



Brussels, 23.6.2017  
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ANNEXES 1 to 6

**ANNEXES**

**to the**

**COMMISSION DELEGATED REGULATION**

**supplementing Directive (EU) 2015/2366 of the European Parliament and of the Council with regard to regulatory technical standards for the cooperation and exchange of information between competent authorities relating to the exercise of the right of establishment and the freedom to provide services of payment institutions**

**ANNEX I**  
**Format of the relevant unique identification number in each Member State**

Member State	Legal person		Natural person	
	ID number type	ID number format	ID number type	ID number format
<b>Austria</b>	If registered: Firmenbuchnummer ( <a href="https://www.justiz.gv.at/web2013/html/default/8ab4a8a422985de30122a90fc2ca620b.de.html">https://www.justiz.gv.at/web2013/html/default/8ab4a8a422985de30122a90fc2ca620b.de.html</a> )	Maximum: six digits plus one check letter	If not registered: Umsatzsteuer-Identifikations-Nummer (UID-Nummer) ( <a href="https://www.bmf.gv.at/steuern/selbststaendige-unternehmer/umsatzsteuer/UID-und-ZM.html">https://www.bmf.gv.at/steuern/selbststaendige-unternehmer/umsatzsteuer/UID-und-ZM.html</a> )	-
<b>Belgium</b>	KBO/BCE number (KBO=KruispuntBank van Ondernemingen; BCE=Banque-Carrefour des Entreprises)  <a href="http://economie.fgov.be/nl/ondernemingen/KBO/#.VlBmZpYcTcu">http://economie.fgov.be/nl/ondernemingen/KBO/#.VlBmZpYcTcu</a>	0 + VAT number (0XXX.XXX.XXX)	KBO/BCE number (KBO, KruispuntBank van Ondernemingen; BCE, Banque-Carrefour des Entreprises)  <a href="http://economie.fgov.be/nl/ondernemingen/KBO/#.VlBmZpYcTcu">http://economie.fgov.be/nl/ondernemingen/KBO/#.VlBmZpYcTcu</a>	10 digits (0 + 9 digit-VAT number)
<b>Bulgaria</b>	Unified Identification Code” as regulated by Art. 23, para. 1 of the Bulgarian Commercial Register Act.	9 digits	Unified Identification Code” as regulated by Art. 23, para. 1 of the Bulgarian Commercial Register Act.	9 digits
<b>Croatia</b>	OIB (fiscal number; Osobni identifikacijski broj – Personal Identification Number)	11 digits (10 random digits + 1 check digit)	OIB (fiscal number; Osobni identifikacijski broj - - Personal Identification Number)	11 digits (10 random digits + 1 check digit)
<b>Cyprus</b>	Tax Identification Number (TIN) <a href="https://ec.europa.eu/taxation_customs/tin/tinByCountry.html">https://ec.europa.eu/taxation_customs/tin/tinByCountry.html</a>	8 digits and 1 letter (e.g: 99999999L)	Tax Identification Code (TIC) <a href="https://ec.europa.eu/taxation_customs/tin/tinByCountry.html">https://ec.europa.eu/taxation_customs/tin/tinByCountry.html</a>	8 digits and 1 letter (the first digit is always zero)

<b>Czech Republic</b>	Personal identification number (Identifikační číslo osoby (IČO))	8 digits (e.g.:12345678)	Personal identification number (Identifikační číslo osoby (IČO))	8 digits (e.g. 12345678)
<b>Denmark</b>	Company registration number (CVR number)	8-digit number (e.g. 12345678)	Personal registration number (CPR number)	10 digit number in the format “123456-7890”
<b>Estonia</b>	Company registry code, accessible at the Company Commercial Registry website. <a href="https://ariregister.rik.ee/index?lang=eng">https://ariregister.rik.ee/index?lang=eng</a>	8-digit number	Personal identification code (ID code)	Personal identification code (ID code)
<b>Finland</b>	Local business ID ( <a href="https://www.ytj.fi/en/index/businessid.html">https://www.ytj.fi/en/index/businessid.html</a> ) or international VAT number	Local business ID: 7 digits, a dash and a control mark, e.g. 1234567-8 VAT number: 8 digits - e.g. FI12345678	-	-
<b>France</b>	SIREN	9 digits	SIREN	9 digits
<b>Germany</b>	If registered: Handelsregisternummer (HReg-Nr.) (Commercial Register number; <a href="https://www.handelsregister.de/rp_web/mask.do">https://www.handelsregister.de/rp_web/mask.do</a> ), incl. place of registration HRA; HRB; GnR; PR;VR	HRA xxxx HRB xxxx GnR xxxx PR xxxxx VR xxxx Choose the applicable format, depending on the (legal) person type, followed by a number with different length	If not registered: Umsatzsteuer-Identifikationsnummer (USt-IdNr.) ( <a href="http://www.bzst.de/DE/Steuern_International/USSt_Identifikationsnummer/Merkblaetter/Aufbau_USSt_IdNr.html?nn=19560">http://www.bzst.de/DE/Steuern_International/USSt_Identifikationsnummer/Merkblaetter/Aufbau_USSt_IdNr.html?nn=19560</a> ) (VAT-number)	DExxxxxxxxx followed by a 9 digit number
<b>Greece</b>	Tax Identification Number (TIN - ΑΦΜ) <a href="https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_EL_en.pdf">https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_EL_en.pdf</a>	9 digits	Tax Identification Number (TIN – ΑΦΜ) <a href="https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_EL_en.pdf">https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_EL_en.pdf</a>	9 digits
<b>Hungary</b>	Company registration number	Numbers (##-##-#####)	Register number of private entrepreneurs Company registration number for sole proprietorships	Numbers (#####); Numbers (##-##-#####)
<b>Iceland</b>	-	-	-	-
<b>Ireland</b>	Company registration number <a href="https://www.cro.ie/">https://www.cro.ie/</a>	6 digits	-	-
<b>Italy</b>	Registration number	5 digits	Fiscal code, available on the website of the OAM (Organismo per la	Alphanumerical code of

			Gestione degli Elenchi degli Agenti in Attivita' Finanziaria e dei Mediatori Creditizi): <a href="https://www.organismo-am.it/elenco-agenti-servizi-di-pagamento">https://www.organismo-am.it/elenco-agenti-servizi-di-pagamento</a>	16 characters('SP' followed by digits)
<b>Latvia</b>	Tax registration number ( <a href="http://www.csb.gov.lv/en/node/29890">http://www.csb.gov.lv/en/node/29890</a> )	11 digits	Personal ID number (XXXXXX-XXXXX), or if person is a tax payer - individual entrepreneur, tax registration number ( <a href="http://www.csb.gov.lv/en/node/29890">http://www.csb.gov.lv/en/node/29890</a> )	Tax registration number: 11 digits
<b>Liechtenstein</b>	If available, the Legal Entity Identifier of the entity, or if not available: Commercial register number (Handelsregister-Nummer)	Prefix FL + 11 digits (FL-XXXX.XXX.XXX-X).	Personenidentifikationsnummer (Personal Identification Number)	Maximum 12 digits
<b>Lithuania</b>	Company code from the Register of Legal Entities managed by the Centre of Registers of the Republic of Lithuania ( <a href="http://www.registrucentras.lt/ja/r/p_en/">http://www.registrucentras.lt/ja/r/p_en/</a> ); or	9 digits (used to be 7 until 2004)	Taxpayer's code – Name and Surname (the taxpayer's code is identical to personal code; however, for data protection reasons it is not normally disclosed), or	Name and Surname (letters)
<b>Luxembourg</b>	Company registration number	The letter B followed by 6 digits (e.g. B 123456)	Social security number	13 digits (first 8 digits are the person's date of birth: YYYYMMDD)
<b>Malta</b>	Company registration number: <a href="http://rocsupport.mfsa.com.mt/pages/default.aspx">http://rocsupport.mfsa.com.mt/pages/default.aspx</a>	The letter C followed by 5 digits – e.g. C 28938	Identity Card number OR Passport number: <a href="http://www.consilium.europa.eu/prado/en/prado-documents/mlt/all/index.html">http://www.consilium.europa.eu/prado/en/prado-documents/mlt/all/index.html</a>	6 digits and a capital letter - Example: 034976M  OR  6 digits – e.g. 728349
<b>Netherlands</b>	Chamber of Commerce (KvK) number	8 digits	Chamber of Commerce (KvK) number	8 digits
<b>Norway</b>	Business Register Number (Organisation number)	9 digits (for example 981 276 957)	National identity number/D-number	11 digits (first 6 digits are the person's date of birth: DD.MM.YY.)
<b>Poland</b>	Polish NIP (numer identyfikacji podatkowej)		Polish NIP (numer identyfikacji podatkowej)	

<b>Portugal</b>	Número de Identificação de Pessoa Coletiva (NIPC)	9 digits	Número de Identificação Fiscal (NIF)	9 digits
<b>Romania</b>	-	-	-	-
<b>Slovak Republic</b>	Identifikačné číslo organizácie / Company Registration Number ( IČO)	8 digits IČO – 00 000 000	Company Registration Number (IČO) is assigned to legal persons and entrepreneurs <a href="http://slovak.statistics.sk/wps/portal/ext/Databases/register_organizacii/tut/p/b1/jY7RCoIwGEafKPfPqdsuV-BcLGnJlu0mLCKEpl1E0dtn0m3Wd_fBOXCQRzXyXXNvz82t7bv_m8v4-2zu9ZvM5FsCwo6DyyiTGrrA06QDsBmAhRZFQDcC0TEGJwm64IQOE-c-HLxPwy18i3x5C9DiGCKKE4pRzChnlLOYEbZEffWGMqbRzIF2cgyJYQmktQE4_wFT_CEWElkUfTugabP2s1OwFKhgzhg!!/dl4/d5/L2dBISEvZ0FBIS9nQSEh/">http://slovak.statistics.sk/wps/portal/ext/Databases/register_organizacii/tut/p/b1/jY7RCoIwGEafKPfPqdsuV-BcLGnJlu0mLCKEpl1E0dtn0m3Wd_fBOXCQRzXyXXNvz82t7bv_m8v4-2zu9ZvM5FsCwo6DyyiTGrrA06QDsBmAhRZFQDcC0TEGJwm64IQOE-c-HLxPwy18i3x5C9DiGCKKE4pRzChnlLOYEbZEffWGMqbRzIF2cgyJYQmktQE4_wFT_CEWElkUfTugabP2s1OwFKhgzhg!!/dl4/d5/L2dBISEvZ0FBIS9nQSEh/</a>	8 digits IČO – 00 000 000
<b>Slovenia</b>	Identification (registration) number assigned by the Agency of the Republic of Slovenia for Public Legal Records and Related Services (www.ajpes.si)	10 digits	Identification (registration) number assigned by the Agency of the Republic of Slovenia for Public Legal Records and Related Services (www.ajpes.si)	10 digits
<b>Spain</b>	LEI code In absence: NIF (‘Número de Identificación Fiscal’) a.k.a tax identification number. Further information about the structure of the tax ID number is available at the following links:  NIF (Legal Entities):  <a href="http://www.agenciatributaria.es/AEAT.internet/Inicio_es_ES/La_Agencia_Tributaria/Campanas/">http://www.agenciatributaria.es/AEAT.internet/Inicio_es_ES/La_Agencia_Tributaria/Campanas/</a>	It is composed of 20 characters as follows:  Characters 1-4: A 4-character prefix allocated uniquely to each LOU (Local Operating Unit).  Characters 5-6: 2 reserved characters set to zero.  Characters 7-18: Entity-specific part of the code generated and assigned by LOUs according to transparent, sound and robust allocation policies.	NIF (‘Número de Identificación Fiscal’), or tax identification number.  For Non-Resident Spanish Individuals, for Spanish Individuals under 14 and for Non-Resident Foreign Individuals doing transactions with tax transcendence:  For Foreign Individuals: NIE (‘Número de Identidad de Extranjero’).  -----  Further information about the structure of the tax ID number is available at the following links:  NIF (Individuals) and NIE:  <a href="http://www.agenciatributaria.es/AEAT.internet/Inicio_es_ES/La_Agencia_Tributaria/Campanas/Censos_NIF_y_domicilio_fiscal/Ciudad">http://www.agenciatributaria.es/AEAT.internet/Inicio_es_ES/La_Agencia_Tributaria/Campanas/Censos_NIF_y_domicilio_fiscal/Ciudad</a>	It is composed of 9 characters:  8 digits and a final letter as check code at the end.  It is composed of a letter (“L” for Spanish Non-Residents, “K” for under 14 and “M” for Non-Resident Foreigners),  7 alphanumeric characters and a letter (check control)  It is composed of 9 characters: an initial letter, “X”, followed by 7 digits, and a final letter

Censos\_\_NIF\_y\_domicilio\_fiscal/Empresas\_y\_profesionales\_\_Declaracion\_censal\_\_Modelos\_036\_y\_037/Informacion/NIF\_de\_personas\_juridicas\_y\_entidades.shtml

Characters 19-20: Two check digits as described in the ISO 17442 standard.

It is composed of 9 characters as follows:

a) A letter providing information on its legal form:

- A. Corporations.
- B. Limited liability companies.
- C. General partnerships
- D. Limited partnerships
- E. Co-ownerships and inheritances in abeyance
- F. Cooperatives
- G. Associations
- H. Homeowners communities
- J. Civil corporations
- N. Foreign entities
- P. Local government
- Q. Public organisms
- R. Religious congregations and institutions
- S. Central government and autonomous regions bodies
- U. Joint ventures with

anos/Informacion/NIF\_de\_personas\_fisicas.shtml

as check code.

Having exhausted the numerical capacity of the letter “X”, the sequence will be continued in alphabetical order (first with “Y” and then “Z”).

legal personality

V. Others not defined in the preceding list

W. Permanent establishments settled by non-resident entities

b) A random number of 7 digits.

c) A letter or a number, depending on legal form (check code).

<b>Sweden</b>	Registration number ( <a href="http://www.bolagsverket.se">www.bolagsverket.se</a> )	NNNNNN-XXXX	Social Security Number	YYMMDD-XXXX
<b>United Kingdom</b>	Tax Identification Number (TIN) <a href="https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_UK_en.pdf">https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_UK_en.pdf</a>		Tax Identification Number (TIN) <a href="https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_UK_en.pdf">https://ec.europa.eu/taxation_customs/tin/pdf/en/TIN_-_country_sheet_UK_en.pdf</a>	

## ANNEX II

### Notification template for the exchange of information in relation to branch passport applications by payment institutions and e-money institutions

1)	Home Member State	
2)	Name of the competent authorities of the home Member State	
3)	Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution	DD/MM/YY
4)	Member State where the branch is to be established	
5)	Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Change to previous application <input type="checkbox"/> End of business activity/cessation
6)	Type of Institution	<input type="checkbox"/> Payment Institution <input type="checkbox"/> E-Money Institution
7)	Name of the payment institution/e-money institution	
8)	Head office address of the payment institution/e-money institution	
9)	Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable)	
10)	Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available)	
11)	Home Member State authorisation number of the payment institution/e-money institution (where applicable)	
12)	Contact person within the payment institution /e-money institution	
13)	Email of the contact person within the payment institution/e-money institution	



14)	Telephone number of the contact person within the payment institution/e-money institution	
15)	Branch address	
16)	Identity of persons responsible for the management of the branch	
17)	Email of the persons responsible for the management of the branch	
18)	Telephone number of the persons responsible for the management of the branch	
19)	Payment services to be provided	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account</li> <li>2. <input type="checkbox"/> Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account</li> <li>3. Execution of payment transactions, including transfers of funds on a payment account with the user`s payment provider or with another payment service provider: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> </li> <li>4. Execution of payment transactions where the funds are covered by a credit line for a payment service user: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> </li> </ol>

		<p>Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>5. <input type="checkbox"/> Issuing of payment instruments  <input type="checkbox"/> Acquiring of payment transactions</p> <p>Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>6. <input type="checkbox"/> Money remittance  7. <input type="checkbox"/> Payment initiation services  8. <input type="checkbox"/> Account information services</p>
20)	Electronic money services to be provided (applicable only to e-money institutions)	<input type="checkbox"/> Issuing of electronic money <input type="checkbox"/> Distribution and/or Redemption of electronic money
21)	Description of the organisational structure of the branch	
22)	<p>Business plan, which demonstrates that the branch is able to employ the appropriate and proportionate systems, resources and procedures to operate soundly in the host Member State, comprising:</p> <p>a. main objectives and business strategy of the branch and an explanation of how the branch will contribute to the strategy of the institution and, where applicable, of its group;</p> <p>b. a forecast budget calculation for the first three complete financial years.</p>	
23)	<p>Governance arrangements and internal control mechanisms, comprising the following items:</p> <p>a. description of the governance structure of the branch, including functional and legal reporting lines and the position and role of the branch within the corporate structure of the institution and, where applicable, of its group;</p>	

	<p>b. description of internal control mechanisms of the branch, including the following items:</p> <p>i. internal risk control procedures of the branch, the link with the internal risk control procedure of the payment institution/e-money institution, and where applicable, of its group;</p> <p>ii. details of the internal audit arrangements of the branch;</p> <p>iii. details of the anti-money laundering procedures to be adopted by the branch in the host Member State, under Directive (EU) 2015/849.</p>	
24)	<p>In case of outsourcing of operational functions of payment/e-money services:</p> <p>a. Name and address of the entity to which operational functions are to be outsourced;</p> <p>b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced;</p> <p>c. Type and exhaustive description of the operational functions outsourced.</p>	

**ANNEX III**

**Notification template for the exchange of information in relation to passport applications by payment institutions and e-money institutions using agents**

1)	Home Member State	
2)	Host Member State in which the agent is to provide payment services	
3)	Name of the competent authority of the home Member State	
4)	Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution	DD/MM/YY
5)	Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Change to previous application <input type="checkbox"/> Additional agents <input type="checkbox"/> Agent deactivation
6)	Nature of the application (assessment of the competent authority of the home Member State)	<input type="checkbox"/> Right of establishment <input type="checkbox"/> Freedom to provide services, based on the following circumstances: ..... ..... ..... ..... .....
7)	Type of Institution	<input type="checkbox"/> Payment Institution <input type="checkbox"/> E-Money Institution
8)	Name of the payment institution/e-money institution	
9)	Head office address of the payment/e-money institution	
10)	Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable)	

11)	Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available)	
12)	Home Member State authorisation number of the payment institution/e-money institution (where applicable)	
13)	Contact person within the payment institution/e-money institution	
14)	Email of the contact person within the payment institution/e-money institution	
15)	Telephone number of the contact person within the payment institution/e-money institution	
16)	<p>Agent details:</p> <ul style="list-style-type: none"> <li>a. If legal person: <ul style="list-style-type: none"> <li>i. Name</li> <li>ii. Registered address(es)</li> <li>iii. Unique identification number in the format of the Member State where the agent is located as specified in Annex I (where applicable)</li> <li>iv. Legal Entity Identifier (LEI) of the agent (where available)</li> <li>v. Telephone number</li> <li>vi. Email</li> <li>vii. Name, place and date of birth of legal representatives</li> </ul> </li> <li>b. If natural person: <ul style="list-style-type: none"> <li>i. Name, date and place of birth</li> <li>ii. Registered business address(es)</li> <li>iii. Unique identification number in the format of the Member State where the agent is located as specified in Annex I (where applicable)</li> <li>iv. Telephone number</li> <li>v. Email</li> </ul> </li> </ul>	

17)	<p>If under the right of establishment, central contact point, if already appointed and/or required by the host authorities in accordance with Article 29(4) of Directive (EU) 2015/2366:</p> <ul style="list-style-type: none"> <li>a. Name of representative</li> <li>b. Address</li> <li>c. Telephone number</li> <li>d. Email</li> </ul>	
18)	<p>Payment services to be provided by the agent</p>	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account</li> <li>2. <input type="checkbox"/> Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account</li> <li>3. Execution of payment transactions, including transfers of funds on a payment account with the user's payment provider or with another payment service provider: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> </li> <li>4. Execution of payment transactions where the funds are covered by a credit line for a payment service user: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> <p>Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> </li> </ol>

		<p>5. <input type="checkbox"/> Issuing of payment instruments  <input type="checkbox"/> Acquiring of payment transactions  Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>6. <input type="checkbox"/> Money remittance</p> <p>7. <input type="checkbox"/> Payment initiation services</p> <p>8. <input type="checkbox"/> Account information services</p>
19)	Description of the internal control mechanisms that will be used by the payment institution/e-money institution/agent in order to comply with the obligations in relation to the prevention of money laundering and terrorist financing under Directive (EU) 2015/849	
20)	Identity and contact details of directors and persons responsible for the management of the agent engaged	
21)	For agents other than payment service providers, criteria considered to ensure that directors and persons responsible for the management of the agent to be used in the provision of payment services are fit and proper persons.	<p>a. <input type="checkbox"/> Evidence gathered by the Payment Institution attesting that directors and persons responsible for the management of the agent to be used in the provision of payment services are fit and proper persons.</p> <p>b. <input type="checkbox"/> Actions taken by the competent authority in the home Member State pursuant to Article 19(3) of Directive (EU) 2015/2366 to verify the information provided by the payment institution.</p>
22)	<p>In case of outsourcing of operational functions of payment/e-money services:</p> <p>a. Name and address of the entity to which operational functions are to be outsourced</p> <p>b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced</p> <p>c. Type and exhaustive description of the operational functions outsourced</p>	

**ANNEX IV**

**Notification template for the exchange of information in relation to passport applications by e-money institutions using distributors**

1)	Home Member State	
2)	Host Member State in which e-money services are to be provided	
3)	Name of the competent authority of the home Member State	
4)	Date of receipt by the competent authority of the home Member State of the complete and accurate application from the e-money institution	DD/MM/YY
5)	Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Change to previous application <input type="checkbox"/> Additional distributors <input type="checkbox"/> Distributor deactivation
6)	Nature of the application (assessment of the competent authority of the home Member State)	<input type="checkbox"/> Right of establishment <input type="checkbox"/> Freedom to provide services, based on the following circumstances: ..... ..... ..... ..... ..... .....
7)	Name of the e-money institution	
8)	Head office address of the e-money institution	
9)	Unique identification number of the e-money institution in the format of the home Member State as specified in Annex I (where applicable)	
10)	Legal Entity Identifier (LEI) of the e-money institution (where available)	
11)	Home Member State authorisation number of the e-money institution (where	



	applicable)	
12)	Contact person within the e-money institution	
13)	Email of the contact person within the e-money institution	
14)	Telephone number of the contact person within the e-money institution	
15)	Distributor details: <ul style="list-style-type: none"> <li>a. If legal person: <ul style="list-style-type: none"> <li>i. Name</li> <li>ii. Registered Address(es)</li> <li>iii. Unique identification number in the format of the Member State where the distributor is located as specified in Annex I (where applicable)</li> <li>iv. Legal Entity Identifier (LEI) of the distributor (where available)</li> <li>v. Telephone number</li> <li>vi. Email</li> <li>vii. Name, place and date of birth of legal representatives</li> </ul> </li> <li>b. If natural person: <ul style="list-style-type: none"> <li>i. Name, date and place of birth</li> <li>ii. Registered Business address(es)</li> <li>iii. Unique identification number in the format of the Member State where the distributor is located as specified in Annex I (where applicable)</li> <li>iv. Telephone number</li> <li>v. Email</li> </ul> </li> </ul>	
16)	Electronic money services to be provided by the distributor	<input type="checkbox"/> Distribution <input type="checkbox"/> Redemption of electronic money
17)	Description of the internal control mechanisms that will be used by the e-money institution/distributor in order to comply with the obligations in relation to	

	the prevention of money laundering and terrorist financing under Directive (EU) 2015/849.	
18)	<p>In case of outsourcing of operational functions of e-money services:</p> <ul style="list-style-type: none"> <li>a. Name and address of the entity to which operational functions are to be outsourced</li> <li>b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced</li> <li>c. Type and exhaustive description of the operational functions outsourced</li> </ul>	

**ANNEX V**

**Notification template for the exchange of information in relation to freedom to provide services applications with no agent or distributor**

1)	Home Member State	
2)	Name of the competent authority of the home Member State	
3)	Date of receipt by the competent authority of the home Member State of the complete and accurate application from the payment institution/e-money institution	DD/MM/YY
4)	Member State where the services are to be provided	
5)	Type of application	<input type="checkbox"/> First application <input type="checkbox"/> Change to previous application <input type="checkbox"/> End of business activity/cessation
6)	Type of Institution	<input type="checkbox"/> Payment Institution <input type="checkbox"/> E-Money Institution
7)	Name of the payment institution/e-money institution	
8)	Head office address of the payment institution/e-money institution	
9)	Unique identification number of the payment institution /e-money institution in the format of the home Member State as specified in Annex I (where applicable)	
10)	Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available)	
11)	Home Member State authorisation number of the payment institution/e-money institution (where applicable)	
12)	Contact person within the payment institution/e-money institution	
13)	Email of the contact person within the	

	payment institution/e-money institution	
14)	Telephone number of the contact person within the payment institution/e-money institution	
15)	The intended date of start of the provision of payment/e-money services (shall not precede the communication of the decision of the competent authority of the home Member State referred to in Article 28(3) of Directive (EU) 2015/2366	DD/MM/YYYY
16)	Payment services to be provided	<ol style="list-style-type: none"> <li>1. <input type="checkbox"/> Services enabling cash to be placed on a payment account as well as all the operations required for operating a payment account</li> <li>2. <input type="checkbox"/> Services enabling cash withdrawals from a payment account as well as all the operations required for operating a payment account</li> <li>3. Execution of payment transactions, including transfers of funds on a payment account with the user`s payment provider or with another payment service provider: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> </li> <li>4. Execution of payment transactions where the funds are covered by a credit line for a payment service user: <ol style="list-style-type: none"> <li>a) execution of direct debits, including one-off direct debits <input type="checkbox"/></li> <li>b) execution of payment transactions through a payment card or a similar device <input type="checkbox"/></li> <li>c) execution of credit transfers, including standing orders <input type="checkbox"/></li> </ol> </li> </ol> <p>Including granting of credit in accordance with Article 18(4) of</p>

		<p>Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>5. <input type="checkbox"/> Issuing of payment instruments  <input type="checkbox"/> Acquiring of payment transactions  Including granting of credit in accordance with Article 18(4) of Directive (EU) 2015/2366: <input type="checkbox"/> yes <input type="checkbox"/> no</p> <p>6. <input type="checkbox"/> Money remittance</p> <p>7. <input type="checkbox"/> Payment initiation services</p> <p>8. <input type="checkbox"/> Account information services</p>
17)	E-money services to be provided (applicable only to e-money institutions)	<input type="checkbox"/> Issuing of electronic money <input type="checkbox"/> Distribution and/or Redemption of electronic money
18)	<p>In case of outsourcing of operational functions of payment/e-money services:</p> <p>a. Name and address of the entity to which operational functions are to be outsourced</p> <p>b. Contact details (email and telephone number) of a contact person within the entity to which operational functions are to be outsourced</p> <p>c. Type and exhaustive description of the operational functions outsourced</p>	

**ANNEX VI**

**Notification template for the exchange of information in relation to start of branch/agent/distributor passport activities by payment institutions and e-money institutions**

Start of activities		
1)	Home Member State	
2)	Name of the competent authority of the home Member State	
3)	Date of the initial application according to Annex II or III or IV.	
4)	Member State where the branch/agent/distributor is to start activities	
5)	Type of Institution	<input type="checkbox"/> Payment Institution <input type="checkbox"/> E-Money Institution
6)	Name of the payment institution/e-money institution	
7)	Head office address of the payment institution/e-money institution	
8)	Unique identification number of the payment institution/e-money institution in the format of the home Member State as specified in Annex I (where applicable)	
9)	Legal Entity Identifier (LEI) of the payment institution/e-money institution (where available)	
10)	Home Member State authorisation number of the payment institution/e-money institution (where applicable)	
11)	Type of passporting	<input type="checkbox"/> Branch <input type="checkbox"/> Agent <input type="checkbox"/> Distributor
12)	For agents/distributors,	a. If legal person: i. Name ii. Unique identification number in

		<p>the format of the Member State where the agent/distributor is located as specified in Annex I (where applicable)</p> <p>iii. Legal Entity Identifier (LEI) of the agent/distributor (where available)</p> <p>iv. Telephone number</p> <p>b. If natural person:</p> <p>i. Name, date and place of birth</p> <p>ii. Unique identification number in the format of the Member State where the agent/distributor is located as specified in Annex I (where applicable)</p>
13)	For agents and branches, date of entry in the register of the competent authorities of the Home Member State	DD/MM/YYYY
14)	Start date of the branch/agent/distributor activities (for agents and branches, the date shall not precede the date of entry of the agent/branch in the register of the home Member State as referred to in Article 28(3) of Directive (EU) 2015/2366)	DD/MM/YYYY