



EUROPEAN
COMMISSION

Brussels, 30.9.2024
C(2024) 6670 final

ANNEX

ANNEX

to the

COMMISSION DELEGATED REGULATION (EU).../...

supplementing Regulation (EU) 2023/1114 of the European Parliament and of the Council with regard to regulatory technical standards specifying the requirements, templates and procedures for the handling of complaints relating to asset referenced tokens

ANNEX

Template that issuers of asset-referenced tokens and, where applicable third-party entities, shall make available to holders of asset-referenced tokens the submission of complaints

SUBMISSION OF A COMPLAINT

1.a. Information about the complainant

LAST NAME/LEGAL ENTITY NAME	FIRST NAME	REGISTRATION NUMBER	LEGAL ENTITY IDENTIFIER (IF AVAILABLE)	CUSTOMER REFERENCE (IF AVAILABLE)

ADDRESS: STREET, NUMBER, FLOOR (In case of legal entity, address of registered office)	POSTCODE	CITY	COUNTRY

TELEPHONE	EMAIL ADDRESS

1.b Contact details (if different from 1.a)

LAST NAME/LEGAL ENTITY NAME	FIRST NAME

ADDRESS: STREET, NUMBER, FLOOR (In case of legal entity, address of registered office)	POSTCODE	CITY	COUNTRY

TELEPHONE		EMAIL ADDRESS	
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2.a Information about the legal representative (if applicable) (a power of attorney or other official document as proof of the appointment of the representative)

LAST NAME/ LEGAL ENTITY NAME	FIRST NAME	REGISTRATION NUMBER	LEGAL ENTITY IDENTIFIER (IF AVAILABLE)

ADDRESS: STREET, NUMBER, FLOOR (In case of legal entity, address of registered office)	POSTCODE	CITY	COUNTRY

TELEPHONE		EMAIL ADDRESS	
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2.b Contact details (if different from 2.a)

LAST NAME/LEGAL ENTITY NAME	FIRST NAME

ADDRESS: STREET, NUMBER, FLOOR (In case of legal entity, address of registered office)	POSTCODE	CITY	COUNTRY

TELEPHONE		EMAIL	
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3. Information about the complaint

3.a Full reference of the issuance, offer or seeking of admission to trading of an asset-referenced tokens or agreement to which the complaint relates (i.e. name of the issuers of asset-referenced tokens, Asset-Referenced Tokens reference number, or other references of the relevant transactions...)

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3.b Description of the complaint's subject-matter

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Please provide any documentation supporting the facts mentioned.

3.c Date(s) of the facts that have led to the complaint

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3.d Description of damage, loss or detriment caused (where relevant)

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3.e Other comments or relevant information (where relevant)

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In _____ (place) on _____ (date)

SIGNATURE

COMPLAINANT/LEGAL REPRESENTATIVE

Documentation provided (please check the appropriate box):

<i>Power of attorney or other relevant document</i>	
<i>Copy of the contractual documents of the investments to which the complaint relates</i>	
<i>Other documents supporting the complaint:</i>	