

Brussels, 15.12.2023
C(2023) 8700 final

ANNEX 6

ANNEX

to the

Commission Implementing Regulation

**laying down implementing technical standards for the application of
Directive 2009/65/EC of the European Parliament and of the Council with regard to the
form and content of the information to be notified in respect of the cross-border
activities of undertakings for collective investment in transferable securities (UCITS),
UCITS management companies, the exchange of information between competent
authorities on cross-border notification letters, and amending Regulation (EU)
No 584/2010**

ANNEX VI

Attestation of the competent authority under Article 93(3), second subparagraph, of Directive 2009/65/EC

ATTESTATION ESTABLISHED BY _____
(Name of the competent authority of the UCITS home Member State)

Section 1. Department responsible for the establishment of the attestation within the competent authority of the UCITS home Member State

Department	
Address of the competent authority	
Email address of the department establishing the statement	

Section 2. Identification of the UCITS whose units will be marketed in the host Member State

Please fill the below table with information for each UCITS that is notified for marketing in the host Member State. Where a UCITS takes the form of an umbrella UCITS with several compartments or sub-funds, references to the UCITS in the table below shall be understood as referring to the compartment or sub-fund to be marketed in the host Member State and not to the umbrella UCITS, which shall be identified separately in the corresponding column.

Name of the UCITS	Name of the umbrella UCITS (where applicable)	Legal form ⁽¹⁾	UCITS LEI (where available)	Date of authorisation	UCITS national identification code (where available)	Duration of the UCITS (where applicable)	Management company ⁽²⁾	Management company LEI	Management company national identification code (where available)

⁽¹⁾ The legal form is one of the following: common fund, unit trust or investment company.

⁽²⁾ The management company shall be identified only for externally managed UCITS. In case of internally managed investment companies, please leave blank.

Section 3. Attestation of the fulfilment of the conditions set out in Directive 2009/65/EC

The below signatory certifies that the UCITS identified in section 2 of the present attestation fulfil the conditions set out in Directive 2009/65/EC and may market

their units in _____ (*the host Member State*).

(The attestation shall be signed and dated by a representative of the competent authority of the UCITS home Member State. The signatory shall state his or her full name and capacity.)

Date	
Name and capacity of the signatory	
Signature	