



EUROPEAN COMMISSION

DIRECTORATE-GENERAL FOR ENERGY AND TRANSPORT

**COMMUNITY FINANCIAL AID IN THE FIELD OF
THE TRANS-EUROPEAN TRANSPORT NETWORK
(TEN-T)**

**APPLICATION FORM FOR SUPPORT TO ELIGIBLE STUDIES
(S - D04)**

**COUNCIL REGULATION (EC) N° 2236/95
OF 18 SEPTEMBER 1995
MODIFIED BY REGULATION N° 1655/1999
OF 19 JULY 1999**

- APPLICATION TO BE COMPLETED BY THE APPLICANT ONLY for STUDIES RELATED TO INFRASTRUCTURE PROJECTS, INCLUDING PREPARATORY, FEASIBILITY AND EVALUATION STUDIES.
- TO APPLY for SUPPORT TO PROJECTS (WORKS) USE THE SPECIFIC APPLICATION FORM.

OTHER THAN WITH MAPS, PLEASE ANSWER ALL THE QUESTIONS DIRECTLY RATHER THAN REFERRING TO ANNEXED MATERIAL. THE APPLICATION MUST BE COMPLETED IN ALL ITS PARTS. IF A QUESTION IS NOT APPLICABLE PLEASE GIVE EXPLANATIONS.

TWO COPIES OF THE FORM (1 PAPER COPY AND 1 ELECTRONIC FORMAT COPY¹) HAVE TO BE SUBMITTED IN ENGLISH, GERMAN OR FRENCH BY THE RESPONSIBLE AUTHORITY TO:

THE EUROPEAN COMMISSION

DIRECTORATE GENERAL FOR TRANSPORT AND ENERGY

UNIT B3, TRANS-EUROPEAN NETWORKS: PROJECTS

RUE DE LA LOI, 200

B-1049 BRUSSELS

THIS APPLICATION FORM CONTAINS THE FOLLOWING SECTIONS:

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4. DETAILED IDENTITY OF BENEFICIARY AND BANKING REF.	13
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¹ With a diskette enclosed to the paper form or by e-mail to the address antonio.scala@cec.eu.int possibly in word or in RTF format.

REFERENCES

NAME AND ADDRESS OF THE ORGANISATION RESPONSIBLE FOR THIS APPLICATION:

PERSON RESPONSIBLE FOR THE APPLICATION

NAME:

FUNCTION:

ADDRESS:

TELEPHONE:

FAX:

INTERNET E-MAIL:

DATE:

SIGNATURE AND STAMP:

GENERAL INFORMATION ON THE STUDY

1. STUDY NO. (to be completed by Commission services): /04/

2. TITLE OF THE STUDY COVERED BY THIS APPLICATION²:

If the study has been previously supported under the TEN-T budget, please specify³:

Decision N° _____

3. PROJECT OF COMMON INTEREST (Please refer only to articles or annexes of TEN-T guidelines⁴)

² Short and meaningful title of study (e.g. Geological studies for a high-speed railway line between Valence and Marseilles). It is not a technical description.

³ Specify any previous TEN-T support directly related to this study.

⁴ Decision No 1692/96/EC of the European Parliament and of the Council of 23 July 1996 on Community guidelines for the development of the trans-European transport network.

4. LOCATION OF THE STUDY:

Member State(s):

Region(s):

Geographic co-ordinates:					
START POINT	Long (X)	Lat (Y)	END POINT	Long (X)	Lat (Y)

5. TECHNICAL DESCRIPTION OF THE STUDY(IES) COVERED BY THIS APPLICATION INCLUDING A BREAKDOWN OF MAIN ACTIONS TO BE CARRIED OUT:

6. MAIN OBJECTIVES⁵: :

7. DIVISION OF RESPONSIBILITIES:

7a. Member State⁶ responsible for using the financial assistance granted by the Commission (name and address of the Ministry concerned).

7b. Authority⁷ holding the bank account into which the financial assistance will be transferred (name and address):

⁵ e.g. eliminating bottlenecks, establishing missing links, improving traffic management and safety, promoting interconnection and interoperability, linking peripheral regions.

⁶ The Member State directly responsible either for a project it has submitted itself, "the public or private undertakings or bodies directly concerned" (within the meaning of Article 8 of Regulation No 2236/95 as amended by Regulation 1655/99) in the case of a project submitted with its agreement, or the Member State responsible for a project it submitted on behalf of several Member States. In certain cases, the responsible authority is the organisation concerned (Eurocontrol, the Galileo Joint Undertaking, the European Space Agency, etc.).

7c. Authority⁸ in charge of implementing the project and managing the project funds (name and address):

8. DATE OF RECEIPT OF APPLICATION (to be completed by Commission Services):

9. INDICATIVE BREAKDOWN OF ESTIMATED ELIGIBLE COSTS COVERED BY THIS APPLICATION⁹ (Mio €): *Please refer to the list of non-eligible costs in Appendix*

DESCRIPTION OF THE ACTIVITIES	EXTERNAL CONTRACTS/ SUPPLIERS	INTERNAL COSTS ¹⁰	COST PER ACTIVITY

⁷ The Member State (or the public authority it has designated to receive the funds) or "the public or private undertakings or bodies directly concerned" (within the meaning of Article 8 of the abovementioned Regulation), Eurocontrol, the Galileo Joint Undertaking, the European Space Agency, etc..

⁸ The Member State (or the public authority it has designated to implement the project and manage the project funds) or "the public or private undertakings or bodies directly concerned" (within the meaning of Article 8 of the abovementioned Regulation), Eurocontrol, the Galileo Joint Undertaking, the European Space Agency, etc..

⁹ Costs only for the activities for which support is sought. Costs incurred before the date of application cannot be supported (unless the application is a continuation and refers to a study that has already been supported previously).

¹⁰ Please indicate the nature of the beneficiary's internal (own) costs (in particular Personnel, Travel, Overheads,).

10. INDICATIVE BREAKDOWN OF ESTIMATED ELIGIBLE COSTS OVER THE IMPLEMENTATION PERIOD (Mio €):

Phase of Activity	Grand Total	2003	2004	2005	2006	2007+
Studies for promotion/ Planning phase						
Design phase, Technical studies						
Follow-up studies						
Others						
TOTAL COST						

11. TIMETABLE FOR THE STUDIES COVERED BY THIS APPLICATION:

START MONTH AND YEAR:

COMPLETION MONTH AND YEAR:

12. REQUESTED SUPPORT FOR THE STUDY: Mio €

13. SPECIFIC FACTORS OF UNCERTAINTIES WHICH MAY AFFECT THE STUDY

(e.g. calendar, budgetary, environmental, technical or administrative aspects):

14. Are there any outstanding requirements to be fulfilled for any aspect of the study in order to satisfy the Community Law and Policies in particular in relation to Environmental Protection, Competition and the Award of Public Contracts ?

Yes

No

If “Yes”, please specify:

15. CALL FOR TENDER: tick box and specify date where appropriate:

Contract awarded date:

Already launched date:

To be launched provisional date:

Not required please specify:

Table 2: INDICATIVE FINANCIAL DATA FOR STUDY (IES) AS DEFINED IN POINT 2

A. BREAKDOWN OF COST	Grand Total ¹¹	Total 2001-2006	Before 2004	2004	2005	2006	2007 +
1. Feasibility studies							
2. Design studies							
3. Environmental studies							
4. Geological studies ¹²							
5. Others (specify) ¹³							
TOTAL COST							

B. FINANCIAL SOURCES	Grand Total	Total 2001-2006	Before 2004	2004	2005	2006	2007 +
1. State budget							
2. Regional / local budget ¹⁴							
3. Project promoter (Public or Private) ¹⁵							
4. EIB loan							
5. Other loans (indicate lender and beneficiary)							
TEN-T interest rebates on loan according to 4 or 5							
TEN-T guarantee for loan according to 4 or 5							
6. TEN-T feasibility/other studies							
7. Other EU funds (ERDF, Cohesion Fund, research etc.) ¹⁶							
8. Other sources							
TOTAL							

11 If TEN financial aid was already granted to the study concerned prior to the MIP period, the total cost has to be counted from the first year of support on

12 Please specify if these studies include physical interventions (such as trial bores etc.)

13 Please specify the studies; add lines as appropriate

14 Please specify the relevant budget; include additional rows if needed

15 Please specify the name of the public or private organisation concerned and the nature of the contribution

16 Please specify fund; include additional rows if needed

OTHER INFORMATION

(See also the indicative financial table)

This section of the questionnaire should give a perspective on 1) How the study project has reached this phase through other studies and institutional preparation 2) Total Community support sought (including EIB loans) 3) Special monitoring arrangements for the project 4) The financial plan.

16. PREVIOUS STUDIES ALREADY UNDERTAKEN IN RELATION TO THIS STUDY¹⁷:

MAIN RESULTS OF THESE STUDIES:

17. IF THE STUDY HAS ALREADY STARTED PLEASE PROVIDE A DESCRIPTION OF WORKS PREVIOUSLY COMPLETED RELATED TO THIS APPLICATION. IN PARTICULAR SPECIFY PERIOD OF COMPLETION, COSTS AND EU FINANCIAL SUPPORT:

18. PUBLIC FUNDS COMMITTED OR INCLUDED IN MEIUM TERM NATIONAL INVESTMENT PLANS *(specify legal basis)*:

19. HAS AN APPLICATION BEEN MADE FOR SUPPORT FROM ANY OTHER COMMUNITY SOURCE, INCLUDING EIB, FOR THIS STUDY?

Yes

No

IF YES, PLEASE GIVE DETAILS *(financial instrument concerned, dates, amounts requested, amounts granted, reference n°, etc)*:

¹⁷ Feasibility, impact, mobility/traffic, technical, financial, other

20. IF THIS ACTION IS NOT AWARDED TEN-T FINANCIAL ASSISTANCE, THIS WOULD HAVE THE FOLLOWING CONSEQUENCES: (Please describe) :
21. INDICATE ARRANGEMENTS FOR MONITORING, FINANCIAL CONTROL AND EVALUATION WHICH APPLY TO THIS STUDY, PLEASE GIVE DETAILS¹⁸:
22. GIVE DETAILS OF PUBLICITY TO BE GIVEN TO THE CONTRIBUTION OF THE TEN-T CO-FINANCING TO THE STUDY (*e.g. mentioning in the study report etc.*):

¹⁸ E.g. Normal financial control of the Member State, regular reports to EIB or other lenders, certification by an external public or private body, etc.

FINANCIAL IDENTIFICATION FORM

PROJECT/STUDY REFERENCE

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ACCOUNT HOLDER STATED IN ART. 6.b OF ANNEX I

NAME	<input type="text"/>	
	<input type="text"/>	
ADDRESS	<input type="text"/>	
	<input type="text"/>	
TOWN/CITY	<input type="text"/>	POSTCODE <input type="text"/>
COUNTRY	<input type="text"/>	VAT NUMBER <input type="text"/>
CONTACT PERSON	<input type="text"/>	
TELEPHONE	<input type="text"/>	FAX <input type="text"/>
E-MAIL	<input type="text"/>	

AUTHORITY MANAGING PROJECT/STUDY FUNDS (ART. 6.c of Annex I)

NAME	<input type="text"/>	
	<input type="text"/>	
ADDRESS	<input type="text"/>	
	<input type="text"/>	
TOWN/CITY	<input type="text"/>	POSTCODE <input type="text"/>
COUNTRY	<input type="text"/>	VAT NUMBER <input type="text"/>
CONTACT PERSON	<input type="text"/>	
TELEPHONE	<input type="text"/>	FAX <input type="text"/>
E-MAIL	<input type="text"/>	

BANK

BANK NAME	<input type="text"/>	
	<input type="text"/>	
BRANCH ADDRESS	<input type="text"/>	
	<input type="text"/>	
TOWN/CITY	<input type="text"/>	POSTCODE <input type="text"/>
COUNTRY	<input type="text"/>	
SORT CODE	<input type="text"/>	ACCOUNT NUMBER <input type="text"/>
IBAN	<input type="text"/>	

REMARKS

BANK STAMP + SIGNATURE BANK REPRESENTATIVE

(Both mandatory !)

SIGNATURE ACCOUNT HOLDER

DATE

(Mandatory !)

**COMPATIBILITY WITH OTHER COMMUNITY POLICIES:
PUBLIC PROCUREMENT**

Has relevant EU legislation been met where required? (e.g. Directives EC/93/36; EC/93/37; EC/93/38; EC/92/50, etc). Please give details on tender procedure, publication in Official Journal and on contracts. If not, please give reasons:

Contract	Published in OJ (Yes/No)	Date of publication in OJ	Reference	Adjudication cost
1. Studies or services				
2. Other				

COMPATIBILITY WITH OTHER COMMUNITY POLICIES:

INTEROPERABILITY (railway projects)

The competent authority,

.....
.....
.....

having considered the application for the project entitled:

.....
..... hereby declares that (tick appropriate box):

- the parts of the project conform to the essential requirements and the technical specifications for interoperability in force,
- prior notice of the intended derogation was served to the Commission in accordance with Article 7 of Directives 2001/16/EC and 1996/48/EC on
- neither of the above applies.

First box: how do you justify this statement (study, assessment, independent expert report, etc.)? What process was used for the verification? Who was involved?

.....
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.....
.....

Second box: has the Commission been sent a dossier setting out the technical specifications for interoperability (TSI) or the parts of the TSI which the Member State does not wish to have applied, the plans it intends to implement during the execution of the project to encourage interoperability over time, and the technical, administrative or economic grounds which justify the derogation? If not, why not?

.....
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.....
.....

Third box: for what technical, administrative or economic reasons was prior notification not served?

.....
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.....
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.....
.....

Signature:

Official stamp:

APPENDIX

Non Eligible Costs

The following costs are not eligible:

- indirect taxes and duties, including VAT,
- labour costs incurred by statutory employees of public administrations in the performance of normal administrative functions connected with the study or work, unless duly justified cases that may be accepted by the Commission,
- overhead costs, except duly justified cases that may be accepted by the Commission (in this case, for a maximum of 7%)
- interest paid, except in cases where the Community contribution takes the form of interest rate rebates in accordance with Regulation (EC) No 2236/95,
- provisions for possible future losses or charges,
- provisions for doubtful debts,
- resources made available to a beneficiary free of charge,
- the value of contributions in kind,
- marketing, sales and distribution costs for products and services,
- any cost incurred or reimbursed, in particular in respect of another Community, international or national project, costs that have been claimed for funding under previous Decisions or under other EC programmes
- entertainment expenses,
- excessive or reckless expenditure.
- maintenance costs
- operating costs
- contingencies