



EUROPEAN COMMISSION

DIRECTORATE-GENERAL EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION

Directorate E Skills
Unit E1 Job Creation

Brussels, 27 June 2019

EX-ANTE PUBLICITY VT/2019/018

Subject: **Negotiated procedure: Study contributing to the preparation of guidance on social sustainability proofing of investment and financing operations under the InvestEU Programme 2021-2027**

This publication is made pursuant to Article 124 (b) of the Rules of Application of the Financial Regulation and the thresholds foreseen in Directive 2014/24/EU for all supplies contracts awarded by contracting authorities not operating in the field of defence.

Only the candidates invited by the contracting authority to participate in the procedure for awarding this middle value contract will be admissible.

1. Purpose of the study

The purpose of the study is to provide the Commission services with evidence-based analysis and proposals as regards the guidance for social screening and proofing of financing and investment operations under the InvestEU Programme 2021-2027¹.

¹ cf. proposal for a Regulation (EU) of the European Parliament and Council establishing the InvestEU Programme, see: http://www.europarl.europa.eu/doceo/document/TA-8-2019-0433_EN.pdf

The results of the study should serve as a basis for the Commission to further examine with InvestEU implementing partners the practical implementation of the sustainability proofing requirements foreseen in the particular context defined by the InvestEU Programme regulation. Relevant aspects related to environmental and climate objectives shall be adequately taken into account. On this basis, the Commission will be able to develop realistic guidance setting out the information to be provided by promoters and the screening and proofing methodology to be followed by implementing partners.

Interested economic operators must prove experience in the field of sustainable finance², in particular related to the assessment of social impact of financing and investment operations conducted by banks and other financial intermediaries

The tasks foreseen under the contract are:

The study covers 4 tasks, 2 intermediate reports and a final report, and the participation in a workshop, organised by the Commission, to present the preliminary results of the study to interested parties in Brussels.

Task 1: Review of best practices (social sustainability proofing)

This task involves desk review and fieldwork. It shall provide a detailed overview of investment related screening and sustainability proofing requirements by international finance and investment organisations

Task 2: Identify and analyse case studies (screening of investment / finance operations)

This task involves the presentation of concrete case studies of screening and proofing social impacts of investment projects. Case studies shall cover the description of the case, the methodological approach and the indicators used. The cases shall be selected to illustrate, and to propose solutions to, the major challenges that social screening and proofing will give rise to.

Task 3: Elaboration of a methodology for screening

On the basis of the evidence gathered in tasks 1 and 2, the contractor shall outline different options for a possible InvestEU social proofing methodology.

Task 4: Design of a procedure for determining social proofing compliance

The contractor shall provide an analysis of the options for determining the social proofing compliance of the projects referred to above.

² https://ec.europa.eu/info/business-economy-euro/banking-and-finance/sustainable-finance_en

Final report:

The final report shall present the proposed guidance for social screening and proofing, taking due account of the interaction with the environmental and climate change dimensions and providing operational instructions to implementing partners.

2. Price

The maximum amount of the contract for the study is EUR 140 000.

3. Indicative time schedule and contact point

The indicative time schedule for launching the negotiated procedure is July/August 2019. Interested economic operators can express their interest to participate in this negotiated procedure until **11th July 2019** in writing to EMPL-VT-2019-018@ec.europa.eu

4. Protection of personal data

If processing a tender involves the recording and processing of personal data (such as name, address and CV), this data will be processed pursuant to Regulation (EC) No 2018/1725 on the protection of natural persons with regard to the processing of personal data by the Union institutions, bodies, offices and agencies, and on the free movement of such data, and repealing Regulation (EC) No 45/2001 and Decision No 1247/2002/EC.

Unless indicated otherwise, any personal data will be processed solely for evaluation purposes under the negotiated procedure by the Head of Unit of Unit F4 - Programme Management & Implementation, DG Employment, Social Affairs and Inclusion. Details concerning the processing of personal data are available in the privacy statement at:

https://ec.europa.eu/info/data-protection-public-procurement-procedures_en

The tenderer's personal data may be registered in the Early Detection and Exclusion System (EDES) if the tenderer is in one of the situations mentioned in Article 136 of the Financial Regulation¹.

For more information, see the privacy statement:

http://ec.europa.eu/budget/explained/management/protecting/protect_en.cfm