



Annual Implementation Reports 2017 ESF Performance Reports and Thematic Reports - VC20160064 Country Summary Tables – United Kingdom

Source: Data extraction from AIR September 6th 2017

The aim of this factsheet is to provide an overview of the performance and progress of the operational ESF programmes by country. It shows the aggregated achievements on the output and result indicators, the financial implementation, also providing an overview per investment priority and category of region. However, given the early stage of implementation of most Operational Programmes, the information should be taken with caution and no inference on effectiveness and efficiency should be made.

Section 1 presents data relating to ESF only (excluding ESF matching of YEI); Section 2 presents data relating to YEI only (including ESF matching of YEI).

Introductory methodological note

These country summary tables have been prepared as an addition to the Synthesis Report of the ESF Annual Implementation Reports 2017, within the framework of Task 1 of the service “ESF Performance and Thematic Reports”. They provide a quantitative overview of ESF performance by presenting country level aggregated financial, output and result data provided by the Managing Authorities in the AIRs 2017 (covering implementation period 2014, 2015 and 2016) and extracted from SFC2014 as of 6 September 2017. The tables also provide a measurement of progress in target achievement and of success rates of individuals supported. Data is presented for both ESF and YEI.

Tables are created automatically based on predefined queries extracting data from the SFC2014 Infoview Platform. Each table is introduced by a short description and followed, when relevant, by explanatory notes/disclaimers. For a more detailed methodological explanation please refer to the Synthesis report.

In addition to these, we present below some general comments.

For the ESF and YEI, participants should be understood as "participations" similarly to other widely used terms such as 'users', 'spectators' or 'airline passengers'. One participant may participate in several operations. At the same time, an ESF participant returning to the same operation is not counted multiple times. Moreover, indirect participations are excluded from the calculations.

Some of the data presented are susceptible to further changes as a few AIRs are still in the process of being accepted. Furthermore, Managing Authorities can choose whether to report on completed or partially implemented operations: in the first instance this might lead to a certain level of underestimation of achievements. Also note that financial implementation values are not associated to the indicators on physical progress (because the time for the certification of expenditure differs from programme to programme).

Finally, some data of approved AIRs are susceptible to changes in the next reporting periods, as Member States can retroactively modify or update data (e.g. when an individual participation record changes or in order to correct errors).

Country specific notes

No country specific comments at this stage.

1 ESF

1.1 Overview

This section provides an overview of key programming and implementation ESF data in the country.
YEI IS NOT INCLUDED IN THIS SECTION

1.1.1. Main achievements - participants at 31st Dec 2016

Output indicator	Participants	Share of total	Result indicator	Results
unemployed, including long-term unemployed	26,557	24.8%	inactive participants engaged in job searching upon leaving	307
inactive	17,231	16.1%	participants in education/training upon leaving	7,385
employed, including self-employed	63,512	59.2%	participants gaining a qualification upon leaving	36,199
Total	107,300	100.0%	participants in employment, including self-employment, upon leaving	5,945

Note: Total participants are calculated as the sum of COI 1, 3 and 5. The same individual can take part in one or more intervention and be recorded as an individual participant each time. Indicators relating to YEI IPs are not included. Only common indicators are considered

1.1.2 Achievements at 31st Dec 2016 - projects and enterprises

Output indicator	Output
CO20 number of projects fully or partially implemented by social partners or non-governmental organisations	145
CO21 number of projects dedicated at sustainable participation and progress of women in employment;	2
CO22 number of projects targeting public administrations or public services at national, regional or local level	0
CO23 number of supported micro, small and medium-sized enterprises (including cooperative enterprises, enterprises of the social economy)	503

1.1.3 No. of ESF Operational programmes and funding by region

No. of ESF OPs (including multi-fund OPs)	Allocation of total EU funding by categories of region		
Total (incl. TA)	6	More developed	64.1%
- of which monofund ESF	6	Transition	21.1%
- of which multifund ESF/ERDF		Less developed	14.8%
- of which incl. YEI	2	Total	100.0%

Note: France, Greece and Spain each have one OP solely devoted to TA

1.1.4 Total ESF Budget

Budget		Budget allocation by Thematic Objective	
Source	MEUR		
Union support	4,736	TA	3.5%
National co-financing	4,003	TO11	0.0%
Total	8,739	TO10	42.9%
		TO9	22.7%
		TO8	30.9%

Note: Total budget including Union support and national co-financing. The YEI specific allocation and ESF matching contribution to YEI are not included in the table. Break-down by TO is based on "Total Budget".

1.2 Output and result indicators

This section provides an overview of the Common output and result indicators for ESF investments as listed in Annex I of the ESF regulation. Regarding the Performance Framework Indicators, these are based on the performance indicators in Table 6 in Operational Programmes.

1.2.1 Common output indicators - achievements

Output indicator	Achievements
Employment status	
unemployed, including long-term unemployed	26,557
of which long-term unemployed	7,117
inactive	17,231
of which inactive, not in education or training	10,037
employed, including self-employed	63,512
Total	107,300
Age	
below 25 years of age	63,264
above 54 years of age	3,664
above 54 years of age who are unemployed, including long term unemployed, or inactive not in education or training	2,135
between 25-54 years of age (calculated as residuals)	40,372
Education	
with primary (ISCED 1) or lower secondary education (ISCED 2)	30,055
with upper secondary (ISCED 3) or post-secondary education (ISCED 4)	54,028
with tertiary education (ISCED 5 to 8)	11,310
without educational attainment (calculated as residuals)	11,907
Other disadvantaged	
participants who live in jobless households	13,509
participants who live in jobless households with dependent children	4,174
participants who live in a single adult household with dependent children	5,857
migrants, participants with a foreign background, minorities (including marginalised communities such as the Roma)	4,762
participants with disabilities	12,506
other disadvantaged	33,147
homeless or affected by housing exclusion	1,967
from rural areas	21,985

Note: Some of the figures are not reported in the AIRs, but calculated as residuals based on total amount of participants.

1.2.2 Common output indicators - achievements continued	
Output indicator	Achievements
Projects	
number of projects fully or partially implemented by social partners or non-governmental organisations	145
number of projects dedicated at sustainable participation and progress of women in employment;	2
number of projects targeting public administrations or public services at national, regional or local level	0
Entities supported	
number of supported micro, small and medium-sized enterprises (including cooperative enterprises, enterprises of the social economy)	503
1.2.3 Common result indicators - achievements	
Results for ESF participants upon leaving	Achievements
no data available does not apply	
inactive participants engaged in job searching upon leaving	307
participants in education/training upon leaving	7,385
participants gaining a qualification upon leaving	36,199
participants in employment, including self-employment, upon leaving	5,945
disadvantaged participants engaged in job searching, education/ training, gaining a qualification, or in employment, including self-employment , upon leaving	3,687
Results 6 months after leaving	Achievements
participants in employment, including self-employment, 6 months after leaving	940
participants above 54 years of age in employment, including self-employment, six months after leaving	51
disadvantaged participants in employment, including self-employment, 6 months after leaving	819

1.2.4a Total milestone achievement in the Performance Framework

Less developed	More developed	Transition	ESF total	YEI total	Total (ESF + YEI)
58%	5%	13%	12%	0%	10%

1.2.4b Common Output Indicators included in the Performance Framework

Total aggregated outputs		Cumulative achievement	2018 milestone	% of milestone	2023 target	% of target
Target group						
Employment status						
unemployed, including long-term unemployed		11,568	21,000	55.1%	56,000	20.7%
inactive		6,956	9,000	77.3%	24,000	29.0%

Source: Performance Framework AIR Table 5. Only common indicators CO01 to CO11 are included.

Note: The data in this table is calculated by summing the cumulative achievement and 2018 milestone grouped by CCI number, Priority axis ID (PA_ID) and indicator ID (CO_ID). After that the achievement and milestone values are linked by CCI, PA_ID and CO_ID, so that only achievements from the corresponding priority axis and indicator is included when comparing to the indicator from the performance framework. Finally, the values are summed up at common indicator level.

1.2.5 Success rates

Success rates are calculated by dividing the total cumulative achievements of the common result indicator (CRI) by the total cumulative achievements of the corresponding common output indicator (COI). This way the output indicator is used as a basis for target setting.

Success rates are different from target achievement rates as they provide an indication of the extent to which participants achieved a given result.

Results for ESF participants upon leaving	Success rate
participants in education/training upon leaving	7.3%
participants gaining a qualification upon leaving	14.4%
participants in employment, including self-employment, upon leaving	23.7%
Results 6 months after leaving	Success rate
participants in employment, including self-employment, 6 months after leaving	6.5%
Total 6 months after leaving	6.5%

Note: Success rates can be calculated only for result indicators that have a reference output indicator and for which achievements are reported.

1.3 Financial implementation

This section provides an overview of financial progress. The traffic light at the end of this table provides a visual representation of the financial performance of the OPs at MS level (see note for interpretation).

1.3.1 Financial implementation (MEUR)

Total eligible cost of selected operations	Total eligible cost share of budget	Total eligible expenditure declared to MA	Expenditure share of 2018 milestone	Expenditure share of 2023 target
4,682	53.6%	190.6	10.2%	2.2%

1.3.2 Expenditure declared to MA by thematic objective (MEUR)

TO8	TO9	TO10	TO11	Total
29.6	33.3	125.3	0.0	188.2

1.3.3 Quick OR synthesis assessment of financial progress

Financial progress vs to 2018 milestone *			Financial progress compared to EU average milestone achievement *		
	●	○		○	○
Red			Green		

Note: The financial performance traffic light (Left) is based on the achievement rate of the 2018 milestone at the MS level. The trafficlight is green if the financial performance belongs to the 1st quartile (is among the 25% MS with the lowest value); and red if the financial performance belongs to the 4th quartile (is among the 25% MS with the highest value). The financial performance compared to EU traffic light (Right) measures progress towards milestone relative to EU average: Red, less than 33% of EU average; Yellow, 34% - 100% of EU average; Green, Higher than EU average.

* Expenditure declared to MAs

2 YEI

2.1 Overview

This section provides an overview of programming and implementation of YEI

2.1.1. Main outputs and results

Output indicator	Participants	
unemployed, including long-term unemployed	4,142	78.7%
inactive	1,120	21.3%
total	5,262	100.0%

Results for YEI participants upon leaving (Annex II of ESF Reg.)	Results
Unemployed participants who complete the YEI supported intervention	387
Long-term unemployed participants who complete the YEI supported intervention	330
Inactive participants not in education or training who complete the YEI supported intervention	201

Results for YEI participants upon leaving (Annex I of ESF Reg.)	Results
inactive participants engaged in job searching upon leaving	31
participants in education/training upon leaving	226
participants gaining a qualification upon leaving	109
participants in employment, including self-employment, upon leaving	514

The total number of participants reports on all participants, including indicators where no target is set. Note: Only YEI is included, and only common indicators are mentioned.

2.1.2 No. of YEI Operational programmes

No. of OPs	
No. of OPs with YEI funding	2

2.1.3 YEI Budget (including ESF contribution) (MEUR)

Fund	EU funding (includes ESF matching of YEI)	National funding	Total funding
YEI	412	188	600

2.2 Output and result indicators

This section provides an overview of COI and CRI achievements for the YEI (as per Annex I and Annex II of ESF Regulation)

2.2.1 Common output indicators - achievements

Output indicator	Achievements
Employment status	
unemployed, including long-term unemployed	4,142
of which long-term unemployed	1,660
inactive	1,120
of which inactive, not in education or training	835
Total	5,262
Age	
below 25 years of age	4,578
between 25-54 years of age (calculated as residuals)	684
Education	
with primary (ISCED 1) or lower secondary education (ISCED 2)	1,882
with upper secondary (ISCED 3) or post-secondary education (ISCED 4)	1,292
with tertiary education (ISCED 5 to 8)	114
without educational attainment (calculated as residuals)	1,974
Other disadvantaged	
participants who live in jobless households	1,236
participants who live in jobless households with dependent children	443
participants who live in a single adult household with dependent children	261
migrants, participants with a foreign background, minorities (including marginalised communities such as the Roma)	439
participants with disabilities	802
other disadvantaged	1,467
homeless or affected by housing exclusion	95
from rural areas	29

2.2.2 Common result indicators - achievements

Results for YEI participants upon leaving (Annex II of ESF Reg.)	Achievements
Unemployed participants who complete the YEI supported intervention	387
Unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	87
Unemployed participants who are in education/training, gaining a qualification, or in employment, including self-employment, upon leaving	602
Long-term unemployed participants who complete the YEI supported intervention	330
Long-term unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	37
Long-term unemployed participants who are in education/training, gaining a qualification, or in employment, including self-employment, upon leaving	101
Inactive participants not in education or training who complete the YEI supported intervention	201
Inactive participants not in education or training who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	114
Inactive participants not in education or training who are in education/training, gaining a qualification, or in employment, including self-employment, upon leaving	62
Results for YEI participants upon leaving (Annex I of ESF Reg.)	Achievements
inactive participants engaged in job searching upon leaving	31
participants in education/training upon leaving	226
participants gaining a qualification upon leaving	109
participants in employment, including self-employment, upon leaving	514
disadvantaged participants engaged in job searching, education/ training, gaining a qualification, or in employment, including self-employment , upon leaving	413
Results for YEI participants 6 months after leaving (Annex II of ESF Reg.)	Achievements
Participants in continued education, training programmes leading to a qualification, an apprenticeship or a traineeship 6 months after leaving	0
Participants in employment 6 months after leaving	0
Results for YEI participants 6 months after leaving (Annex I of ESF Reg.)	Achievements
participants in employment, including self-employment, 6 months after leaving	0
participants with an improved labour market situation 6 months after leaving	0
participants above 54 years of age in employment, including self-employment, six months after leaving	0
disadvantaged participants in employment, including self-employment, 6 months after leaving	0

2.2.3 Success rates

Success rates are calculated by dividing the total cumulative value of the common result indicator (CRI) by the total cumulative value of the common reference output indicator (COI). Success rates are different from target achievement rates as they provide an indication of the extent to which participants achieved a given result

Results for participants upon leaving	Success rate
Unemployed participants who complete the YEI supported intervention	9.3%
Unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	2.1%
Unemployed participants who are in education/training, gaining a qualification, or in employment, including self-employment, upon leaving	14.5%
Long-term unemployed participants who complete the YEI supported intervention	19.9%
Total upon leaving	10.0%
Long-term unemployed participants who receive an offer of employment, continued education, apprenticeship or traineeship upon leaving	2.2%

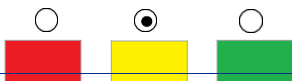

Results 6 months after leaving	Success rate
Long-term unemployed participants who are in education/training, gaining a qualification, or in employment, in	6.1%
Inactive participants not in education or training who complete the YEI supported intervention	24.1%

Note: Success rates can be calculated only for result indicators that have a reference output indicator and for which achievements are reported.

2.3 Financial implementation

This section provides an overview of financial progress of YEI. The traffic light at the end of this table provides a visual representation of the financial performance of at MS level (see note for interpretation).

2.3.1 Financial implementation (MEUR)

Financial performance Expenditure declared to MA vs. 2018 milestone	Financial performance compared to EU 28 Expenditure declared to MA vs. 2018 milestone
<p><i>No data available/does not apply</i></p> <p>Yellow </p>	<p>Green </p>

2.3.2 Summarized financial assessment

Amount contracted by beneficiaries	Amount contracted share of budget	Expenditure declared to MA (MEUR)	Expenditure share of 2018 milestone	Expenditure share of 2023 target
<i>No data available/does not apply</i>	60.5%	8.8	1.59%	1.59%

The financial performance traffic light (on the left) is based on the achievement rate of the 2018 milestone at the MS level: The trafficlight is green if the financial performance belongs to the 1st quartile (is among the 25% MS with the lowest value); and red if the financial performance belongs to the 4th quartile (is among the 25% MS with the highest value). The financial performance compared to EU trafficlight (on the right) measures progress towards milestone relative to EU average: Red, less than 33% of EU average; Yellow, 34% - 100% of EU average; Green, Higher than EU average.

* Expenditure declared to MAs.

2.4 Implementation efficiency

2.4.1 Expenditure declared to MA per output

Expenditure per participant is calculated by dividing total expenditure declared to MA by total participants (CO01 + CO03 + CO05).

Allocated budget (MEUR)	Amount contracted by beneficiaries (MEUR)	Expenditure declared to MA	Total participants	Expenditure per participant	EU avg. expenditure per participant	Expenditure deviation from EU avg.
600	363.3	8.8	5,262	1,669.8	869.3	92.1%

Note: Expenditure is calculated based on YEI specific allocation and ESF matching allocation together.

3 Overview per OP

3.1 Participants, ESF (target achievements)

For "Common indicators", the number of participants are calculated as the sum of the common output indicators CO01, CO03 and CO05. For "Other indicators" the number of participants is calculated at the sum of all indicators marked as "Other" in the performance framework table 5.

Target achievement is measured against the 2023 total target value of corresponding output indicators. Indicators for which no target is set are excluded.

Common indicators 01, 03 and 05 from Performance Framework table 5

CCI	Title	Participants Number	Target achievement
Transition			
2014UK05SFOP004	ESF Northern Ireland	9,262	23.2%

Other output indicators from Performance Framework table 5

CCI	Title	Participants Number	Target achievement
Less developed			
2014UK05SFOP001	United Kingdom - ESF West Wales and the Valleys	33,936	17.6%
More developed			
2014UK05M9OP001	ESF England	3,905	0.2%
2014UK05M9OP002	ESF Scotland (incl. YEI)	-	-
2014UK05SFOP002	United Kingdom - ESF East Wales	15,410	17.1%
2014UK05SFOP005	ESF Gibraltar	-	-
Transition			
2014UK05SFOP004	ESF Northern Ireland	2,973	3.5%

Data source: AIR performance framework table 5.

3.2 Participants, YEI

Participants are calculated as the sum of the common output indicators CO01, CO03 and CO05.
Data source: AIR indicator table 4A.

CCI	Title	Participants Number
More developed		
2014UK05M9OP001	ESF England	5,262
2014UK05M9OP002	ESF Scotland (incl. YEI)	-

3.3 Financial performance

CCI	Title	Expenditure declared to MA (MEUR)	2023 target (MEUR)	% of milestone	% of target	Financial performance	Performance relative to EU
Less developed							
2014UK05SFOP001	United Kingdom - ESF West Wales and the Valleys	74.6	1,057	27.7%	7.1%	Red	Green
More developed							
2014UK05M9OP001	ESF England	2.6	5,683	0.2%	0.0%	Red	Red
2014UK05M9OP002	ESF Scotland (incl. YEI)	0.0	775	0.0%	0.0%	Red	Red
2014UK05SFOP002	United Kingdom - ESF East Wales	34.0	405	32.9%	8.4%	Red	Green
2014UK05SFOP005	ESF Gibraltar	0.2	9	7.2%	1.9%	Red	Yellow
Transition							
2014UK05SFOP004	ESF Northern Ireland	76.7	506	35.4%	15.2%	Red	Green

Financial progress is based on Expenditure declared to MA and measured against the financial indicators in the performance framework (AIR Table 5) and includes expenditure to both ESF and YEI.

Colors in column: Financial performance: Red: Expenditure below milestone. Yellow: Expenditure between 2018 milestone and 75% of total 2023 target. Green: Expenditure above 75% of 2023 target

Colors in column: Performance relative to EU. Red: Expenditure less 33% of average EU Expenditure. Yellow: Expenditure between 33% and 133% of average EU Expenditure. Green: Expenditure above 133% of average EU Expenditure.

Annex 1: Overview per investment priority and category of region

A1.1 ESF achievements (CO01, CO03 and CO05)

Participants achievements is calculated as the cumulative number of participants (i.e. the sum of COI 1, 3 and 5) over the total targets. Indicators for which no targets are set are excluded from the calculation.

IP	Total participants	Output target achievements
TO08		
8i	8,754	1.1%
8ii	611	0.4%
8iv	578	9.8%
TO08 total	9,943	1.1%
TO09		
9i	2,526	0.9%
9vi	0	0.0%
TO09 total	2,526	0.8%
Total	12,469	1.0%

Source: AIR table 2A.

Region	Total participants reached	Output target achievements
Less developed	358	0.8%
More developed	2,284	0.2%
Transition	9,827	3.7%

Source: AIR table 2A