



**EUROPEAN COMMISSION**  
DG Employment, Social Affairs and Inclusion

**Annual work programme for grants and procurements for DG EMPL prerogatives and specific competencies for 2018**

**[Commission Decision C(2017)5636 of 14 August 2017]**

**SUMMARY**

**DG EMPL's 2018 annual work programme of grants and contracts for prerogatives and specific competencies, serving as a financing decision**

DG EMPL's 2018 annual work programme of grants and contracts for prerogatives and specific competencies serves as a financing decision. It determines the essential elements of the actions which will involve expenditure from the 2018 budget, and its purpose is to allow procedures to be launched so that individual decisions on the award of grants and contracts could be taken from the beginning of 2018.

This Decision relates to the following budget lines:

- 04 03 01 01: Cost of preliminary consultation meetings with trade union representatives
- 04 03 01 03: Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries
- 04 03 01 04: Analysis of and studies on the social situation, demography and the family
- 04 03 01 05: Information and training measures for workers' organisations
- 04 03 01 06: Information, consultation and participation of representatives undertakings
- 04 03 01 08: Industrial relations and social dialogue
- 04 03 11: European Foundation for Improvement of Living and Working Conditions (EUROFOUND)
- 04 03 12: European Agency for Safety and Health at Work (EU-OSHA)
- 04 03 13: European Centre for the Development of Vocational Training (CEDEFOP)
- 04 03 14: European Training Foundation (ETF)

The appropriations available under these budget lines will be used for:

- awarding grants (including to bodies with a *de jure* monopoly, for the cofinancing of conferences in DG EMPL's fields of activity and to bodies on the account of their technical competence and high degree of specialisation);
- public procurement;
- granting of cross-subdelegations to other DGs for actions of common benefit.

The Annex sets out, for each budget line concerned, the details of the various actions scheduled by DG EMPL for 2018.

## ANNEX

Programme for Prerogatives and Specific Competencies - Work Programme for 2018.

- Introduction

On the basis of the objectives of the legal basis this work programme contains the actions to be financed and the budget breakdown for year 2018 as follows:

- for grants (implemented under direct management) (1.2): <b>EUR 44.810.500</b>
- for prizes (implemented under direct management (1.3.) N/A
- for procurement (implemented under direct management) (1.4): <b>EUR 11.901.117</b>
- for actions implemented under [insert management] modes [(1.5): N/A
- for Financial instruments (1.6): N/A
- for other actions (1.7): <b>EUR 73.243.453,93</b>

Any action under this work programme shall respect and shall be implemented in line with the rights and principles enshrined in the Charter of Fundamental Rights of the European Union.

### 1.2 GRANTS

Indicative budget breakdown:

Budget Line	Amount
<b>04.030104</b> - Analysis of and studies on the social situation, demography and the family	
1.2.1 to 1.2.4	EUR 3.160.000
<b>04.030105</b> - Information and training measures for workers' organisations	EUR
1.2.5 – 1.2.6	19.767.000
<b>04.030106</b> - Information, consultation and participation of representatives of undertakings	
1.2.7	EUR 7.076.000
<b>04.030108</b> - Industrial relations and social dialogue	EUR
1.2.8 to 1.2.10	14.807.500
<b>TOTAL</b>	<b>EUR 44.810.500</b>

## **BUDGET LINE**

04.030104

### **LEGAL BASIS**

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof

## **1.2.1 Direct grant to the SHARE European research Infrastructure Consortium**

### Priorities of the year, objectives pursued and expected results

Extension of the coverage of the SHARE survey to all Member States with a minimum sample size, allowing a better monitoring of ageing challenges and the effectiveness of national policy responses in all Member States.

### Description of the activities to be funded

The Survey on Health, Ageing and Retirement in Europe (SHARE) covers people over the age of 50 and addresses questions that are key to developing appropriate policy responses to the challenges of demographic ageing. The survey provides information on activities (employment, caring), the financial situation and living conditions, as well as the health status (based on medical tests, rather than subjective responses) of the population that will determine the success of reforms aimed at extending working lives and making social protection systems sustainable in ageing societies. The SHARE survey monitors the health status and the employment, social and financial situation of people over the age of 50 over time. It is an important evidence base for designing policies to tackle the economic and social impacts of ageing. However, its use for EU policy making is limited by the fact that about one third of MS are not yet covered.

### Essential eligibility, selection and award criteria

#### Eligibility criteria:

According to Article 190.1.f) of the Rules of Application, grants may be awarded without a call for proposals to particular bodies for actions with specific characteristics that require a particular type of body on account of its technical competence; its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals. The grant is to be awarded to the European Research Infrastructure Consortium for the Survey of Health, Ageing and Retirement in Europe (SHARE) which was established by Commission Decision 2011/166/EU of 17 March 2011. This body is the only one conducting a comprehensive survey on ageing in the EU and has, over a period of more than a decade, acquired a unique technical competence and acceptance in the scientific and policy-making community. The objective of this activity cannot be achieved in another way than by awarding it to SHARE. The award decision justifying the direct award will be adopted at a later stage.

#### Selection criteria:

Financial capacity – the applicant must demonstrate that they have the financial capacity to carry out the action: each applicant must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies).

Operational capacity – the applicant must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. The applicant must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

#### Award criteria:

The potential beneficiary will be invited to submit an application which will be evaluated according to the following main award criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget, as well as its sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 80%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030104_29	2018 Q2	EUR 1.500.000

### 1.2.2 Direct grant to OECD: Study on inequalities

Priorities of the year, objectives pursued and expected results

Inequality becomes a major policy challenge when it takes the form of a permanent polarisation of society and restricts opportunities of people rather than being a manifestation of changes that can occur over any individual's life course. A main purpose of this project is to examine the extent to which current inequality levels and trends are the result of a permanent exclusion of certain population groups (including their descendants) from opportunities and living standards.

Description of the activities to be funded

The activity based on a previous cooperation, in the form of a joint project will continue to examine the extent to which current inequality levels and trends are the result of a permanent exclusion of certain population groups (including their descendants) from opportunities and living standards. The joint project activity is linked to labour market transitions and opportunities. It will analyse inequalities focussing on determinants of inequality in primary incomes and their determinants.

Essential eligibility, selection and award criteria

Eligibility criteria:

According to Article 190.1.(f) of the Rules of Application, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals

The direct award will be duly substantiated in the individual award decision which will be adopted at a later stage.

Award criteria:

The potential beneficiary (OECD) will be invited to submit an application which will be evaluated according to the following main award criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget, as well as its sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 80%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030104_32	Q2 2018	EUR 300.000

### 1.2.3 Direct grant to OECD: Policy responses to new forms of work

Priorities of the year, objectives pursued and expected results

A study that summarizes the policy responses to new forms of work at EU level, based on existing expertise of international organisations in the area; testing the feasibility of a discussion forum between national regulators in industrial relations and employment policies and the main business actors in the digital economy and the added value of an EU-wide network of experts in the subject area.

Description of the activities to be funded

The project would contribute to reinforce mutual dialogue and the sharing of good practices on policy responses to the future of work and to advance the implementation of the European Pillar of Social Rights. It will explore the potential for closer coordination through a EU-level facilitated dialogue between national regulators in industrial relations and employment policies and the main business actors in the digital economy. The project will map and classify policy responses to new forms of work in Member States, in the area of social dialogue, social security systems, taxation models and employment policies. It will also look at the current degree of coordination of regulatory responses to new forms of work. The project would be implemented through OECD.

Essential eligibility, selection and award criteria

Eligibility criteria:

According to Article 190.1.(f) of the Rules of Application, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals.. The direct award will be duly substantiated in the individual award decision which will be adopted at a later stage.

Award criteria:

The extent to which the proposed action is in line with the list of priorities agreed with the Directorate-General and the extent to which the proposed outputs present added value in this context:

- The financial quality of the proposal including a reasonable and realistic budget and a sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 80%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030104_33	2018 Q2	EUR 400.000

### 1.2.4 Sub - delegation to EUROSTAT – Direct grant to National Statistical Institutes (NSI) and other designated National Authorities for further developments and improvements of EU-SILC, in the context of its redesign, its timeliness and its regional coverage

Priorities of the year, objectives pursued and expected results

Over the past four years, with the support of DG EMPL, Eurostat developed and started implementing a plan to improve timeliness and measurement of poverty and social exclusion.

This plan comprises several major strands: improvement of timeliness of the availability of income distribution and material deprivation data, provision of better regional data, as well as other methodological and data collection developments.

#### Description of the activities to be funded

Action plan for SILC improvements (EU survey on income and living conditions): Grants to MS to support the further developments and improvements of SILC, in the context of its redesign, its timeliness and its regional coverage. The improvements are a part of the implementation of the Eurostat action plan in timeliness of social data, with the aim to provide more timely and better quality information for monitoring of EU social objectives.

#### Essential eligibility, selection and award criteria

##### Eligibility criteria:

To be eligible, applicants must:

- be National Statistical Institutes (NSI) and other National Authorities as designated by the Member States members and being members of the European Statistical System.
- be properly constituted and registered in one of the EU Member States or EEA countries, and in Switzerland.<sup>1</sup>

According to Article 190.1.(f) of the Rules of Application, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals

The individual award decisions substantiating the specific characteristics of the action and the particular technical competence, high degree of specialisation or administrative power of the beneficiaries will be adopted at a later stage by EUROSTAT.

##### Award criteria:

- The extent to which the proposed action is in line with the objectives and the priorities for the year: Relevance of application in relation to the objectives and priorities
- The extent to which the proposed outputs present added value: Quality of the proposal
- Reasonable and realistic budget and sound cost-efficiency ratio.

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<sup>1</sup> Entities established in Iceland, Liechtenstein, Norway and Switzerland shall be entitled to participate in the action with the same contractual rights and obligations as those of entities established in the EU Member States. However, they shall not be entitled to receive any financial contribution from Eurostat.

Implementation: Through a cross delegation to another DG

Maximum possible rate of co-financing of the eligible total costs: 90%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030104_13	2018 Q3	EUR 960.000

### 1.2.5 Call for proposals on Information and training measures for workers' organisations

#### **BUDGET LINE**

04.030105 - Information and training measures for workers' organisations

#### **LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual Directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

#### Priorities of the year, objectives pursued and expected results

- Stronger contribution by workers' organisations to the overarching challenges facing European employment and social policy as laid down in the Europe 2020 Strategy and within the context of EU initiatives to address the consequences of the economic crisis, as well as in the context of increasing involvement of social partners in the European Semester process, and in the context of the follow-up to the new start for social dialogue;
- Improved skills for workers' representatives for participation in European social dialogue, better understanding of issues discussed in European social dialogue, sharing of ideas/experience on European social dialogue as well as improving the capacity of workers' organisations.

#### Description of the activities to be funded

To cover expenditure for information and training measures for workers' organisations, with a view to improving skills of workers' representatives for better participation in European social dialogue, better understanding of the issues discussed in European social dialogue, enhanced sharing of ideas/experience on European social dialogue, as well as improving the capacity of workers' organisations, including in the context of increasing involvement of social partners in the European Semester process, and in the context of the follow-up to the new start for social dialogue.

#### Essential eligibility, selection and award criteria

##### Eligibility criteria:

The essential eligibility criteria are laid down below:

- a) Place of establishment



- Sole or lead applicants must be established and registered in EU Member States;
- Co-applicants, affiliated entities and associate organisations must be established and registered in EU Member States or in Candidate Countries. In derogation from this requirement, international organisations whose registered headquarters are outside the eligible countries are also eligible.

b) Types of entities

- The sole or lead and co-applicants must be legal entities. In application of Article 131 of the Financial Regulation, social partner organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met.
- Sole or lead applicants must be social partner organisations representing workers;
- International Organisations may apply as co-applicant or associate organisation.

c) Sole applicants or Consortia

Proposals may be submitted by either:

- a **sole applicant**: applicable only for European level organisations representing workers;

Or by;

- a **lead applicant on behalf of a consortium** which must be composed of the lead applicant with:

- a co-applicant, or/and
- an affiliated entity, or/and
- an associate organisation

- If the lead applicant is not a European-level organisation, it must be in consortium with:

- a European-level social partner organisation as co-applicant, affiliated entity or associate organisation;

- and at least one organisation (co-applicant, affiliated entity or associate organisation)

from a different eligible country to that of the lead applicant.

d) Affiliated entities

Legal entities having a legal or capital link with applicants, which is neither limited to the action nor established for the sole purpose of its implementation and which satisfy the eligibility criteria, may take part in the action as affiliated entities, and may declare eligible costs.

Selection criteria:

Only applicants with the necessary financial and operational capacity may be awarded a grant.

– Financial capacity to carry out the action: sole or lead and co-applicants must have access to solid and adequate funding to maintain their activities for the period of the action and to help finance it as necessary.

– Operational capacity to complete the proposed action: sole or lead and co-applicants must have the professional competencies as well as appropriate qualifications necessary to complete the proposed action. They must have demonstrable competence and experience in the field of social dialogue and/or industrial relations and in particular in the type of action proposed.

Award criteria:

– The extent to which the action meets the objectives and priorities of the call for proposals and contributes to European social dialogue;

– The transnational dimension of the action, including the genuine involvement of a European-level social partner organisation in case of proposals not submitted by a European level organisation;

– The quality of the consortium and broader partnership, including the degree of involvement and commitment of the partners in the action and the appropriateness of the internal working arrangements;

– The cost-effectiveness of the action and the clarity and completeness of the budget explanation;

– The quality of the arrangements to publicise the action and disseminate the results, and to ensure the action's impact and/or multiplier effect;

– The overall clarity and structure of the work plan.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 90%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030105_2	2018 Q1	EUR 4.841.600

### 1.2.6 Support to the Trade Union Institutes ETUI and EZA

Priorities of the year, objectives pursued and expected results

The Commission concluded multiannual framework partnership agreements with ETUI and EZA in 2006, 2010 and 2014 with a view to establishing a long term cooperation. The Commission, taking duly into account the important contribution of these institutes in the field of trade union training, information and research, as well as the positive experience of previous cooperation with them, has the intention to conclude with each one of them a new 3-year framework partnership agreement for the period 2018-2020 (the last operating grant under this framework partnership agreement will cover the period 04/2020-03/2021 from funds under the 2020 Financing Decision), as provided for in Article 178 and Article 180 of the Rules of Application of the Financial Regulation.

Operating grants as foreseen in the Framework Partnership Agreement - support for the work programmes of the two specific trade union institutes. The aim of these operating grants is to facilitate capacity building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance

Description of the activities to be funded

To support the work programmes of the two specific trade union institutes, as foreseen in the Framework Partnership Agreement.. The activities will consist of facilitating and promoting training, best practice initiatives and innovative approaches that can take the form of seminars, conferences, round tables and dissemination of information in the form of reports, manuals, analysis, guidelines, newsletters, webpages, etc.

Essential eligibility, selection and award criteria

Eligibility criteria:

The institutes which are the potential partners under the Framework Partnership Agreement are the following:

– The European Trade Union Institute (ETUI) is a research and training centre which conducts research and provides scientific, educational and technical support to workers' organisations and contributes to the development of Social Europe and the social dialogue. It provides information and training in European matters of strategic importance for the trade unions. This institute is linked to the European Trade Union Confederation (ETUC);

– The European Centre for Workers' Questions (EZA) provides trade union education with a European dimension for European Christian workers' organisations through the promotion of education activities, programmes and exchanges, it widens the knowledge of workers about the EU's objectives and policies in the social field and strengthens a common European identity.

ETUI and EZA dispose of the necessary specific technical competence, high degree of specialisation and administrative power which is necessary to carry out the above described specific actions and can thus be considered as a particular type of bodies, within the sense of article 190.1.f) of the Rules of Application of the Financial Regulation, to be granted direct support in accordance with article 128.1 of the Financial Regulation

The presence of more than one entity in this field of activity and the diversified philosophical and political orientation of ETUI and EZA offer a supplementary assurance of pluralism and a

larger choice to workers' organisations. The position of these institutes is unique, since they are specialised research/training institutes responding to the necessary representativeness criteria and covering needs at a European scale, including the candidate countries (ETUI is linked to the ETUC which has member organisations in 39 European countries; EZA has members in 25 countries). ETUI and EZA cover close to all representative unions of the European labour movement.

The first specific operating grant agreements will be signed in the first quarter of 2018 and will cover the period 01/04/2018-31/03/2019.

Selection criteria for the purpose of the Framework Partnership Agreement:

Only partners with the necessary financial and operational capacity may be awarded a grant.

- Financial capacity to carry out the action: partners must have access to solid and adequate funding to maintain their activities for the period of the action and to help finance it as necessary;
- Operational capacity to complete the proposed action: partners must have the professional competencies as well as appropriate qualifications necessary to complete the proposed action.

Award Criteria for the purpose of the Framework Partnership Agreement:

- Extent to which the actions planned under the partnership meet the objectives of the Union policy in the field of employment and social policy including the promotion of European Social Dialogue (Articles 152-155 TFEU);
- Extent to which the actions have a genuine transnational dimension;
- Extent to which the actions planned under the partnership facilitate capacity-building of workers' organisations through training and research at European level;
- Extent to which the actions planned under the partnership improve the degree of involvement of workers' representatives in European governance.

Award criteria for the purpose of the Specific Operating Grant:

The award criteria used for the specific operating grant agreements will be:

- Coherence of the annual work programme with the Framework Partnership Agreement;
- Transnational dimension;
- Contribution to the priorities, activities and results of European social dialogue;
- Cost-effectiveness as well as clarity and completeness of the budget explanation;
- Quality of the arrangements to publicise the action and disseminate the results and to ensure the action's impact and/or multiplier effect.

Implementation:

Maximum possible rate of co-financing of the eligible total costs: 85%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030105_10	2018 Q1	ETUI: EUR 11.418.080 (23.50%) EZA: EUR 3.507.320 (76.50%)

**BUDGET LINE**

04.030106 - Information, consultation and participation of representatives undertakings

**LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and health Information Centre (CIS) of the International Labour Office.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p.22).

Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies (OJ L 225, 12.8.1998, p. 16).

Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses (OJ L 82, 22.3.2001, p. 16).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207 of 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p.1).

Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).

### **1.2.7 Call for proposals on Information, consultation & participation of representatives of undertakings**

#### **Priorities of the year, objectives pursued and expected results**

The main priorities for the financial year 2018 are to promote actions designed to prepare the setting up of transnational information, consultation and participation bodies and mechanisms; to promote the exchange of information and good practice aimed at creating favourable conditions for the above; to promote action aiming at familiarizing social partners and actors at company level with the content of EU law and transnational company agreements; and to develop expertise across Member States, promoting cooperation between relevant authorities and stakeholders and fostering relations with the Union Institutions. The expected results are improved possibilities for the social partners to exercise their rights and duties as regards employee involvement; strengthened cooperation among social partners and other actors in respect to Union law on employee involvement; a follow-up on the findings of the "Fitness check" on EU acts in the area of Information and Consultation of Workers, actions related to EU Directive on European Works Councils, and an increased number of social partners and other actors familiarised with transnational company agreements.

#### **Description of the activities to be funded**

The main purpose of this call is to fund operations aimed at developing employee involvement in undertakings - meaning any mechanism, including information, consultation and participation, through which employees' representatives may exercise an influence on decisions to be taken within the company - in particular by raising awareness and contributing to the application of EU law and policies in this area. Transnational actions shall be promoted involving representatives from several Member States, and in particular representatives of the new Member States as well as of the Candidate Countries in the field of employee involvement. Also, the joint participation of employers and workers is supported.

## Essential eligibility, selection and award criteria

### Eligibility criteria:

To be eligible, Lead/Single applicants must be properly constituted and registered legal persons having their registered office in one of the Member States of the European Union;

In derogation from this requirement and pursuant to Article 131 of the Financial Regulation, the organisations of social partners without legal personality under the applicable national law are also eligible provided that the conditions of the Financial Regulation related thereto are met.

Co-applicants must be properly constituted and registered legal persons having their registered office in one of the Member States or Candidate Countries. In derogation from this requirement, international organisations with their registered headquarters outside the EU Member States are also eligible.

Lead/Single and co-applicants must be:

– representatives of workers or employers such as:

A) for workers: applicants may be works councils or similar bodies ensuring the general representation of workers; regional, national, European, sectoral or multi-sectoral trade unions;

B) for employers: applicants may be the management of undertakings, organisations representing employers at regional, national, European, sectoral or multi-sectoral level.

– As an exception, applicants may also be technical bodies such as non-profit training or research bodies and commercial companies only if the aim of the project is non-commercial.

The above quoted technical bodies and commercial undertakings will be considered eligible as Lead Applicants only where they are expressly mandated by one or more eligible organisations representing workers or employers.

International organisations may also participate as co-applicants.

- In case of proposals not submitted by a European-level workers' or employers' organisations, the action must involve co-applicants, associate organisations or affiliated entities from more than one Member State or Candidate Country.

- Projects involving only one information and consultation body should be submitted as a joint project from representatives of workers and employers. Where particular circumstances do not allow submitting such a joint project, the reasons must be specified in the application.

### Selection criteria:

Only applicants with the necessary financial and operational capacity may be awarded a grant.

– Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies or international organisations).

– Operational capacity to complete the proposed action: applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it to be proven in the form of a demonstrable experience of having carried out projects or activities relating to the subject of the present call.

### Award criteria:

- The extent to which the action meets the priority objectives of this call for proposals;

- The clarity, efficiency and feasibility of the work organisation including a clear description of the allocation of human resources in relation to the individual tasks or activities;

– The extent to which the project concerns innovative measures or new subjects in relation to information, consultation and participation of representatives of undertakings and which adds value to the existing situation.

– The extent to which the project promotes the joint participation of employers and workers;

– The extent to which the action has a genuine transnational dimension including the extent to which workers' and/or employers' representatives from new Member States or Candidate Countries are actively involved in the project;

– The cost-effectiveness of the action including the budgetary aspects.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 90%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030106_18	2018 Q1	EUR 7.076.000

#### **BUDGET LINE**

04.030108 - Industrial relations and social dialogue

#### **LEGAL BASIS**

Tasks resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

### **1.2.8 Call for proposals on Improving expertise in the field of industrial relations**

Priorities of the year, objectives pursued and expected results

– Promotion of analysis and research in the field of industrial relations;  
– Promotion of exchange of information and experience among the parties actively involved in industrial relations, with the aim of contributing to developing and reinforcing industrial relations structures in Europe.

Description of the activities to be funded

Promotion of:

- analysis and research in the field of industrial relations and;  
- exchange of information and experience among the parties actively involved in industrial relations, with the aim of contributing to developing and reinforcing industrial relations structures in Europe.

It contributes to future industrial relations analysis by the Commission, notably in the context of the European Semester and the ESDE Reports.

Essential eligibility, selection and award criteria

Eligibility criteria:

a) Place of establishment

- Sole or lead applicants must be established and registered in EU Member States;  
- Co-applicants, affiliated entities and associate organisations must be established and registered in EU Member States or in Candidate Countries.

In derogation from these requirements, international organisations with their registered headquarters outside the EU Member States or the candidate countries are also eligible.

b) Types of entities

- The sole or lead and co-applicants must be legal entities. In application of Article 131 of the Financial Regulation, social partner organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met;

- Sole or lead and co-applicants must fall within one of the following categories: non-profit-making organisations such as universities and research institutes; social partners; public authorities; international organisations;

- International organisations may apply as sole applicant, lead or co-applicant as well as associate organisations;

c) Sole applicants or Consortia

Proposals may be submitted by either:

- a sole applicant: applicable only for international organisations;

Or by;

- a lead applicant on behalf of a consortium which must be composed of the lead applicant with:

- a co-applicant, or/and
- an affiliated entity, or/and
- an associate organisation.

- The consortium must be composed of at least one non-profit-making university or research institute as lead or co-applicant. This requirement is not applicable if the lead applicant is an international organisation.

- If the lead applicant is not a European-level or international organisation, it must be in consortium with at least one organisation (co-applicant, affiliated entity or associate organisation) from a different eligible country to that of the lead applicant.

d) Affiliated entities

Legal entities having a legal or capital link with applicants, which is neither limited to the action nor established for the sole purpose of its implementation and which satisfy the eligibility criteria, may take part in the action as affiliated entities, and may declare eligible costs.

Selection criteria:

Only applicants with the necessary financial and operational capacity may be awarded a grant.

– Financial capacity to carry out the action: sole or lead and co-applicants must have access to solid and adequate funding to maintain their activities for the period of the action and to help finance it as necessary.

– Operational capacity to complete the proposed action: sole or lead and co-applicants must have the professional competencies as well as appropriate qualifications necessary to complete the proposed action. They must have demonstrable competence and experience in the field of social dialogue and/or industrial relations and in particular in the type of action proposed.

Award criteria:

– The extent to which the action meets the objectives and priorities of the call for proposals and contributes to the understanding of industrial relations, at EU level as well as in comparative terms;

– The extent to which the action has a genuine transnational dimension;

– The quality of the consortium and broader partnership, including the degree of involvement and commitment of the partners in the action and the appropriateness of the internal working arrangements;

– The cost-effectiveness of the action and the clarity and completeness of the budget explanation;

– The quality of the arrangements to publicise the action and disseminate the results, and to ensure the action's impact and/or multiplier effect;

– The quality of the overall research methodology and its composing elements.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 90%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030108_1	2018 Q1	EUR 4.292.250

### 1.2.9 Call for proposals on Support for social dialogue

Priorities of the year, objectives pursued and expected results

- Development of European social dialogue at the sectoral and cross-industry levels in its different dimensions of information exchange, consultation, negotiation and joint action;
- Increased awareness of European social dialogue and improved capacity to participate in it;
- Improved preparation, monitoring, follow-up and/or implementation of joint texts agreed at the sectoral and cross-industry levels.

#### Description of the activities to be funded

To promote the development of European social dialogue at the sectoral and cross-industry levels in its different dimensions of information exchange, consultation, negotiation and joint action, in particular in the context of the follow-up to the new start for social dialogue;

To stimulate increased awareness of European social dialogue and improved capacity to participate in it, including in the context of increasing involvement of social partners in the European Semester process;

To support the preparation, monitoring, follow-up and/or implementation of joint texts agreed at the sectoral and cross-industry levels.

#### Essential eligibility, selection and award criteria

##### Eligibility criteria:

Essential eligibility criteria are laid down below:

##### a) Place of establishment

- Sole or lead applicants must be established and registered in EU Member States;
- Co-applicants, affiliated entities and associate organisations must be established and registered in EU Member States or in Candidate Countries. In derogation from this requirement, international organisations whose registered headquarters are outside the eligible countries are also eligible.

##### b) Types of entities:

- The sole or lead and co-applicants must be legal entities. In application of Article 131 of the Financial Regulation, social partner organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met;
- Sole applicants must be European level organisations representing employers;
- Lead applicants must be social partner organisations at European, national or regional level;
- International Organisations may apply as co-applicant or associate organisation.

##### c) Sole applicants or consortia

Proposals may be submitted by either:

- a **sole applicant**: applicable only for European level organisations representing employers;

Or by;

- a **lead applicant on behalf of a consortium** which must be composed of the lead applicant with:

- a co-applicant, or/and
- an affiliated entity, or/and
- an associate organisation
- If the lead applicant is a social partner organisation representing workers, it must be in consortium with a social partner organisation representing employers as co-applicant, affiliated entity or associate organisation;
- If the lead applicant is not a European-level organisation, it must be in consortium with:
  - a European-level social partner organisation as co-applicant, affiliated entity or associate organisation;
  - and at least one organisation (co-applicant, affiliated entity or associate organisation) from a different eligible country to that of the lead applicant.

##### d) Affiliated entities

Legal entities having a legal or capital link with applicants, which is neither limited to the action nor established for the sole purpose of its implementation and which satisfy the



eligibility criteria, may take part in the action as affiliated entities, and may declare eligible costs. For that purpose, applicants shall identify such affiliated entities in the application form.

Selection criteria:

Only applicants with the necessary financial and operational capacity may be awarded a grant. – Financial capacity to carry out the action: sole or lead and co-applicants must have access to solid and adequate funding to maintain their activities for the period of the action and to help finance it as necessary.

– Operational capacity to complete the proposed action: sole or lead and co- applicants must have the professional competencies as well as appropriate qualifications necessary to complete the proposed action. They must have demonstrable competence and experience in the field of social dialogue and/or industrial relations and in particular in the type of action proposed.

Award criteria:

– The extent to which the action meets the objectives and priorities of the call for proposals and contributes to European social dialogue ;

– The transnational dimension of the action, including the genuine involvement of a European-level social partner organisation in case of proposals not submitted by a European-level organisation;

– The quality of the consortium and broader partnership, including the degree of involvement and commitment of the partners in the action and the appropriateness of the internal working arrangements;

– The cost-effectiveness of the action and the clarity and completeness of the budget explanation;

– The quality of the arrangements to publicise the action and disseminate the results, and to ensure the action's impact and/or multiplier effect;

– The overall clarity and structure of the work plan.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 90%; 95% for social dialogue actions involving negotiations in accordance with Articles 154 and 155 TFEU, meetings to prepare for these negotiations, or joint social partner actions relating to the implementation of the agreements resulting from these negotiations.

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030108_3	2018 Q1	EUR 10.015.250

**1.2.10 Direct grant to ITCILO: Project with the International Training Centre of the International Labour Organisation (ITCILO)**

Priorities of the year, objectives pursued and expected results

Capacity building activities (training), which should contribute to the Commission's initiatives of support to capacity building in the field of social dialogue, in the context of the New Start and its follow-up.

Description of the activities to be funded

To promote capacity building activities (training).

Essential eligibility, selection and award criteria

Eligibility criteria:

According to Article 190.1.c) of the Rules of Application, grants may be awarded without a call for proposals to bodies with a de jure or de facto monopoly.

ILO is the only international organisation that has an explicit and specific mandate in its constitution and basic texts to promote social dialogue and has acquired know-how internationally in this area. It is also the only international organisation with both governments and social partners in its governance and decision making processes. Capacity building and training measures are designed by the unique International Training Centre of the ILO in close cooperation with the relevant employers' organisations and trade unions.

The individual award decisions substantiating the monopoly situation will be adopted at a later stage.

Award criteria:

The potential beneficiary will be invited to submit an application which will be evaluated according the following main criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget and a sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible total costs: 90%

Indicative timetable and indicative amount:

Reference	Date	Amount
2018_04.030108_4	2018 Q2	EUR 500.000

**1.3 Prizes N/A**

**1.4 Procurement**

The overall budgetary allocation reserved for procurement contracts in 2018 amounts to EUR 11.901.117.

**1.4.1 Procurement activities of DG EMPLOYMENT, SOCIAL AFFAIRS AND INCLUSION under "Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries" budget line.**

**BUDGET LINE**

04.03 01 03

**LEGAL BASIS**

Treaty on the Functioning of the European Union, in particular Articles 45 and 48 thereof.

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p.2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p.1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p.1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p.1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16. September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p.1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p.1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on free movement for workers within the Union (OJ L 141, 27.05.2011, p.1).

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions is **EUR 9.140.617**.

The actions planned implement the fundamental right of "Free Movement of workers", to promote and supervise the coordination of the Social Security Systems so as to provide common rules to protect citizens' social security rights when moving within EU. This includes actions of support, monitoring the implementation of the Regulations and continuously updating the coordination Regulations, as well as the social security provisions of the Association Agreements. These actions also ensure the implementation and the development of the EESSI system for the electronic exchange of social security information among EU Member States.

Type of contracts: Service

Indicative number of contracts envisaged:

- Specific contract based on an existing framework contract: 22
- Contract Renewal: 6

Timeframe: 2018 Q2 to Q4

Implementation: EUR 710.000 will be subdelegated to DG DIGIT and EUR 1.250.000 to DG DGT. The rest will be implemented directly by DG EMPL.

#### **1.4.2 Procurement activities of DG EMPLOYMENT under "Analysis of and studies on the social situation, demography and the family" budget line.**

##### **BUDGET LINE**

04.030104

##### **LEGAL BASIS**

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions is **EUR 1.130.000**.

These actions will support the publication, communication and media policy of the DG as well as data collection and ad hoc analysis and studies on coordination of EU Social Security Systems, including the improvement of the timely availability, quality and dissemination of survey data on income.

Type of contracts: Service.

- Contract Renewal: 2
- Specific contracts based on existing framework contract: 1

Indicative number of contracts envisaged: 3 contracts implemented directly by DG EMPL.

Timeframe: 2018 Q2 to Q4

#### **1.4.3 Procurement activities of DG EMPLOYMENT under "Industrial relations and Social dialogue" budget line.**

##### **BUDGET LINE**

04.030108

##### **LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154 and 155.

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions implemented by DG EMPL is **EUR 1.630.500**. It covers the following priority actions: evaluation actions and studies, IT, meetings of workers' and employers' organisations, dissemination of analysis on industrial relations, publications and exchange of information and good practice in the field of social dialogue and industrial relations.

Type of contracts: Service

Indicative number of contracts envisaged:

- Specific contracts based on an existing framework contract: 6

Timeframe: 2018 Q2 to Q4

Implementation: EUR 10.000 will be subdelegated to DG DIGIT. The rest will be implemented directly by DG EMPL.

#### **1.5 Indirect Management N/A**

#### **1.6 Financial Instruments N/A**

#### **1.7 Other Actions**

<b>OTHER ACTIONS - Budget Line</b>	<b>Amount</b>
<b>04.030101</b> - Cost of preliminary consultation meetings with trade union representatives 1.7.1	EUR 450.000
<b>04.030106</b> - Information, consultation and participation of representatives of undertakings 1.7.2	EUR 30.000
<b>04.031100</b> – European Foundation for the Improvement of Living and Working Conditions (EUROFOUND) 1.7.3	EUR 20.370.511
<b>04.031200</b> – European Agency for Safety and Health at Work (EU-OSHA) 1.7.4	EUR 15.236.410,93
<b>04.031300</b> – European Centre for the Development of Vocational Training	EUR

(CEDEFOP) 1.7.5	17.100.236
<b>04.031400</b> - European Training Foundation (ETF) 1.7.6	EUR 20.056.296
<b>TOTAL</b>	<b>EUR 73.243.453,93</b>

### 1.7.1 Preliminary consultation of trade union representatives (ID Code: 2018\_04.030101\_8)

#### **BUDGET LINE**

04.030101 – Cost of preliminary consultation meetings with trade union representatives

#### **LEGAL BASIS**

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Amount: EUR 450.000

Description and objective of the implementing measure

To cover expenditure related to meetings between delegates of the European Trade Union Confederation with a view to helping them form their opinions and harmonise their positions regarding Union policies and in view of negotiations with the employers.

### 1.7.2 Experts contracts to support the evaluation of the call for proposal on Information, consultation & participation of representatives of undertakings (ID Code: 2018\_04.030106\_19)

#### **BUDGET LINE**

04.030106 - Information, consultation and participation of representatives undertakings

#### **LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and health Information Centre (CIS) of the International Labour Office.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p.22).

Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies (OJ L 225, 12.8.1998, p. 16)

Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses (OJ L 82, 22.3.2001, p. 16).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207 of 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p.1).  
Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).

Amount: EUR 30.000

Description and objective of the implementing measure:

To cover the costs of external experts for the evaluation of applications under the calls for proposals in the domain of Information, consultation & participation of representatives of undertakings.

### **1.7.3 European Foundation for the Improvement of Living and Working Conditions – Annual contribution (ID Code: 2018\_04.031100\_1)**

#### **BUDGET LINE**

04.031100 – European Foundation for the Improvement of Living and Working Conditions

#### **LEGAL BASIS**

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Amount: EUR 20.370.511

Description and objective of the implementing measure:

Annual Contribution - Eurofound research contributes to a number of policy initiatives of the Commission by providing knowledge aimed at informing sound and evidence-based policy-making.

### **1.7.4 European Agency for Safety and Health at Work -EU-OSHA- Annual Contribution (ID Code: 2018\_04.031200\_1)**

#### **BUDGET LINE**

04.031200 – European Agency for Safety and Health at Work

#### **LEGAL BASIS**

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Amount: EUR 15.236.410,93

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the Agency on Safety and Health at Work.

**1.7.5 European Centre for the Development of Vocational Training (CEDEFOP) - Annual Contribution** (ID Code: 2018\_04.031300\_1)

**BUDGET LINE**

04.031300 – European Centre for the Development of Vocational Training (CEDEFOP)

**LEGAL BASIS**

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

Amount: EUR 17.100.236

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the Agency on Development of Vocational Training.

**1.7.6 European Training Foundation (ETF) - Annual Contribution** (ID Code: 2018\_04.031400\_1)

**BUDGET LINE**

04.031400 – European Training Foundation

**LEGAL BASIS**

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

Amount: EUR 20.056.296

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the European Training Foundation.