



DG Employment, Social Affairs and Inclusion

**Annual work programme for grants and procurement for DG EMPL prerogatives and specific competencies for 2016**

[Commission Decision C(2015)8646 of 9 December 2015]

## **SUMMARY**

### **DG EMPL's 2016 annual work programme of grants and contracts for prerogatives and specific competencies, serving as a financing decision**

This draft Implementing Decision constitutes DG EMPL's 2016 annual work programme of grants and contracts for prerogatives and specific competencies, serving as a financing decision. It determines the essential elements of the actions which will involve expenditure from the 2016 budget, and its purpose is to allow procedures to be launched so that individual decisions on the award of grants and contracts could be taken from the beginning of 2016.

This draft Commission Decision relates to the following budget lines:

- 04 03 01 01: Cost of preliminary consultation meetings with trade union representatives
- 04 03 01 03: Free movement of workers, coordination of social security schemes and measures for migrants, including migrants from third countries
- 04 03 01 04: Analysis of and studies on the social situation, demography and the family
- 04 03 01 05: Information and training measures for workers' organisations
- 04 03 01 06: Information, consultation and participation of representatives undertakings
- 04 03 01 08: Industrial relations and social dialogue
- 04 03 11: European Foundation for Improvement of Living and Working Conditions (EUROFOUND)
- 04 03 12: European Agency for Safety and Health at Work (EU-OSHA)
- 04 03 13: European Centre for the Development of Vocational Training (CEDEFOP)
- 04 03 14: European Training Foundation (ETF)

The appropriations available under these budget lines will be used for:

- awarding grants (including to bodies with a *de jure* monopoly, for the cofinancing of conferences in DG EMPL's fields of activity and to bodies on the account of their technical competence and high degree of specialisation);
- public procurement;
- granting of cross-subdelegations to other DGs for actions of common benefit.

The Annex to the draft Commission Decision sets out, for each budget line concerned, the details of the various actions scheduled by DG EMPL for 2016.

## ANNEX

Programme for Prerogatives and Specific Competencies - Work Programme for 2016.

### 1.1. Introduction

On the basis of the objectives given in legal basis mentioned under the different appropriations this work programme contains the actions to be financed and the budget breakdown for year 2016 as follows:

- for grants (implemented under direct management) (1.2): **46.609.200 EUR**
- for prizes (implemented under direct management (1.3.) N/A
- for procurement (implemented under direct management) (1.4): **8.061.056 EUR**
- for actions implemented under [insert management] modes [(1.5): N/A
- for Financial instruments (1.6): N/A
- for other actions (1.7): **EUR 73.007.499**

Any action under this work programme shall respect and shall be implemented in line with the rights and principles enshrined in the Charter of Fundamental Rights of the European Union.

## 1.2 GRANTS

Indicative budget breakdown:

Budget Line	Amount
<b>04.030103</b> - Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries	EUR 2.500.000
1.2.1	
<b>04.030104</b> - Analysis of and studies on the social situation, demography and the family	EUR 4.088.000
1.2.2 - 1.2.3	
<b>04.030105</b> - Information and training measures for workers' organisations	EUR 18.758.200
1.2.4 – 1.2.5	
<b>04.030106</b> - Information, consultation and participation of representatives undertakings	EUR 7.313.000
1.2.6	
<b>04.030108</b> - Industrial relations and social dialogue	EUR 13.950.000
1.2.7 - 1.2.8 – 1.2.9	
<b>TOTAL</b>	<b>EUR 46.609.200</b>

**BUDGET LINE**

04.030103 - Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries

**LEGAL BASIS**

Treaty on the Functioning of the European Union, in particular Articles 45 and 48 thereof.

Regulation (EU) No 492/2011 of 5 April 2011 (OJ L 257, 19.10.1968, p.2 and OJ L 141, 27.5.2011, p.1).

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p.2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p.1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p.1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p.1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p.1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p.1).

**1.2.1 Specific grant to the Social Agencies for Future Europe (SAFE) Consortium for the EESSI (Electronic Exchange of Social Security Information) Pool of Excellence - (ID Code: 2016\_04.030103\_15)**

Priorities of the year, objectives pursued and expected results

Development of EESSI - Electronic Exchange of Social Security Information

Increase Member State's capacity to connect to the EESSI central node.

Award of the fourth annual specific grant

Description of the activities to be funded

The Framework Partnership Agreement for "Social Agencies for Future Europe" (S.A.F.E.) is a complex transnational project involving 11 Member States, providing national expertise to support the delivery of EESSI (Electronic Exchange of Social Security Information) project collaboratively with the EC. It aims to ensure that with the introduction of electronic exchange, business and operational activity between institutions is more efficient and accurate, directly benefiting the outcome of cases for European citizens. The current activity will focus mainly on validation of the EU defined business processes by reconstruction of previous cases, and the testing of two builds of the IT solution supporting electronic exchange in view of determining if this is fit for purpose. This will be the fourth and last year of the framework partnership agreement.

Essential eligibility, selection and award criteria

Partner shall be invited to submit an application which shall be evaluated according to the following award criteria:

Award criteria

- Coherence of the annual activity plan with the outline work programme of the Framework Partnership Agreement;
- The extent to which the proposed activities take into consideration the current priorities of the EESSI project and respond to actual needs relevant for the period concerned;
- The added value and relevance at European level of the activities proposed as well their dissemination and availability to all countries involved in EESSI;
- The clarity and feasibility of the annual activity plan, including time-table and methodology and in particular its capacity to achieve the planned objectives;
- The quality, efficiency and feasibility of the work organisation including a clear description of the allocation of human resources in relation to the individual tasks or activities;
- The financial quality of the proposal, including the existence of a clear, detailed, and reasonable budget, which is coherent with the actions proposed.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 80%

Indicative timetable and indicative amount:

Date	Amount
2016 Q4	EUR 2.500.000

**BUDGET LINE**

04.030104 - Analysis of and studies on the social situation, demography and the family

**LEGAL BASIS**

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

**1.2.2 Direct grant to the SHARE European Research Infrastructure Consortium - (ID Code: 2016\_04.030104\_1)**

Priorities of the year, objectives pursued and expected results

Extension of the coverage of the SHARE survey to all Member States with a minimum sample size, allowing a better monitoring of ageing challenges and the effectiveness of national policy responses in all Member States.

Description of the activities to be funded

The Survey on Health, Ageing and Retirement in Europe (SHARE) covers people over the age of 50 and addresses questions that are key to developing appropriate policy responses to the challenges of demographic ageing. The survey provides information on activities (employment, caring), the financial situation and living conditions, as well as the health status (based on medical tests, rather than subjective responses) of the population that will determine the success of reforms aimed at extending working lives and making social protection systems sustainable in ageing societies. The SHARE survey monitors the health status and the employment, social and financial situation of people over the age of 50 over time. It is an important evidence base for designing policies to tackle the economic and social impacts of ageing. However, its use for EU policy making is limited by the fact that about one third of MS are not yet covered. This action, supported by a grant for 2 years, aims at ensuring that all Member States participate in the survey in the 2017 wave, so that it can be used in future European Semesters and the work of SPC and EMCO.

Essential eligibility, selection and award criteria

According to Article 190.1.f) of the Rules of Application, grants may be awarded without a call for proposals to particular bodies for actions with specific characteristics that require a particular type of body on account of its technical competence; its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals. The grant is to be awarded to the European Research Infrastructure Consortium for the Survey of Health, Ageing and Retirement in Europe (SHARE) which was established by Commission Decision 2011/166/EU of 17 March 2011. This body is the only one conducting a comprehensive survey on ageing in the EU and has, over a period of more than a decade, acquired a unique technical competence and acceptance in the scientific and policy-making community. The objective of this activity cannot be achieved in another way than by awarding it to SHARE. The award decision

justifying the direct award will be adopted at a later stage.

#### Selection criteria

Financial capacity – the applicants must demonstrate that they have the financial capacity to carry out the action: each applicant must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies).

Operational capacity – The applicant and co-applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. The applicant must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

#### Award criteria

The potential beneficiaries will be invited to submit an application which will be evaluated according to the following main award criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget, as well as its sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 80%

Indicative timetable and indicative amount

Date	Amount
2016 Q2	EUR 2.700.000

### **1.2.3 Direct grant to the OECD to cooperate on the monitoring of the adequacy of pensions** (ID Code: 2016\_04.030104\_25)

Priorities of the year, objectives pursued and expected results

Develop analytical tools for the monitoring of current and future pension adequacy in the Member States and the need for policy adjustments in order to ensure adequate pensions in a financially sustainable way.

Description of the activities to be funded



This action continues and develops DG EMPL's cooperation with the OECD on the development of pension adequacy indicators in the form of 'theoretical replacement rates' (TRR, pension levels expressed as a percentage of previous earnings). TRR make it possible to compare the generosity of pension systems across countries and to quantify the impact of reforms; without them, an assessment of national pension policies would have to rely on descriptions of reforms and, if at all available, national models using different methodologies, assumptions and parameters. The basis for the calculation of comparable TRR was a model developed by the OECD which was then refined and applied to all EU Member States.

Theoretical replacement rates for various career patterns are to be calculated for workers retiring today and for workers starting their career today. Complementary methodological approaches should also be explored, as well as specific support to individual Member States needing to develop their modelling capacity, in particular with a view to anticipating spending needs and adequacy challenges over the medium term (10-15 years).

Essential eligibility, selection and award criteria

According to Article 190.1.f) of the Rules of Application, grants may be awarded without a call for proposals to particular bodies for actions with specific characteristics that require a particular type of body on account of its technical competence; its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals.

OECD has developed a unique experience and expertise in the area of indicators on pensions through the development of its model, which was then refined and applied to all EU Member States. In addition, OECD provides a forum in which 34 Member Countries can work together and have active contacts as well with other civil society organisations.

The award decision justifying the direct award will be adopted at a later stage.

The targeted beneficiary will be invited to submit an application which will be evaluated according to the following main award criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget, as well as its sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 80%

Indicative timetable and indicative amount

Date	Amount
2016 Q2	EUR 1.388.000

## **BUDGET LINE**

04.030105 - Information and training measures for workers' organisations

## **LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and Health Information Centre (CIS) of the International Labour Office.

Council Directive 89/391/EEC of 12 June 1989 on the introduction of measures to encourage improvements in the safety and health of workers at work (OJ L 183, 29.6.1989, p. 1), and the associated individual Directives.

Council Directive 92/29/EEC of 31 March 1992 on the minimum safety and health requirements for improved medical treatment on board vessels (OJ L 113, 30.4.1992, p. 19).

### **1.2.4 Call for proposals on Information and training measures for workers' organisations**

(ID Code: 2016\_04.030105\_3)

Priorities of the year, objectives pursued and expected results

- Stronger contribution by workers' organisations to the overarching challenges facing European employment and social policy as laid down in the Europe 2020 Strategy and within the context of EU initiatives to address the consequences of the economic crisis, as well as in the context of increasing involvement of social partners in the European Semester process, and in the context of the follow-up to the new start for social dialogue;
- Improved skills for workers' representatives for participation in European social dialogue, better understanding of issues discussed in European social dialogue, sharing of ideas/experience on European social dialogue as well as improving the capacity of workers' organisations.

Description of the activities to be funded

Information and training measures for workers' organisations. These measures include: conferences, seminars, round tables, studies, surveys, publications, training courses, training tools, the setting up of networks and the development and exchange of best practices.

Essential eligibility, selection and award criteria

#### **Essential eligibility criteria**

To be eligible,

- The lead applicant must be a social partner organisation representing workers at European, national or regional level;
- The lead applicant must have its registered office in one of the Member States of the European Union;

– Co-applicants must have their registered office in one of the Member States or Candidate Countries;

– Applicants must be properly constituted and registered legal persons. In application of article 131 of the Financial Regulation, workers' organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met.

#### Selection criteria

Only applicants (lead and co-applicants) with the necessary financial and operational capacity may be awarded a grant.

– Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies).

– Operational capacity to complete the proposed action: applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. They must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

#### Award criteria

– The extent to which the action meets the objectives and priorities of the call for proposals;

– The extent to which the action has a genuine transnational dimension;

– The quality of the consortium and broader partnership, including the degree of involvement and commitment at the application stage of the social partners/stakeholders in the action;

– The extent to which the action contributes to the priorities, activities or results of European social dialogue;

– The added value, i.e. the lasting impact and/or multiplier effect of the action;

– The cost-effectiveness of the action;

– The arrangements to publicise the action and disseminate the results, including the quality and/or innovativeness of dissemination plans;

– The overall quality and clarity of the proposal and budget explanation.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 90%

Indicative timetable and indicative amount:

Date	Amount
2016 Q1	EUR 4.050.000

**1.2.5 Direct Operating Grants to the Trade Union Institutes ETUI and EZA (ID Code: 2016\_04.030105\_11)**

Priorities of the year, objectives pursued and expected results

To support the work programmes of the two specific trade union institutes ETUI (European Trade Union Institute) and EZA (European Centre for Workers' Questions), as foreseen in the Framework Partnership Agreement. The aim of these operating grants is to facilitate capacity building through training and research at European level, as well as to improve the degree of involvement of workers' representatives in European governance.

Description of the activities to be funded

Operating grants as foreseen in the Framework Partnership Agreement - support for the work programmes of the two specific trade union institutes. The activities will consist of facilitating and promoting training, best practice initiatives and innovative approaches that can take the form of seminars, conferences, round tables and dissemination of information in the form of reports, manuals, analysis, guidelines, newsletters, web pages, etc...

Essential eligibility, selection and award criteria

The Commission concluded, in 2006 and 2010, four-year framework partnership agreements with two specific trade union institutes with a view to establishing a long term cooperation. The Commission, taking duly into account the important contribution of these institutes in the field of trade union training, information and research, as well as the positive experience of previous cooperation with them, concluded with each one of them a new four-year framework partnership agreement for the period 2014-2018 with a view to continuing the long-term cooperation, as provided for in Article 178 and according to the rules and conditions of Article 180 of the Rules of Application of the Financial Regulation.

These institutes are the following:

- The European Trade Union Institute (ETUI) is a research and training centre which conducts research and provides scientific, educational and technical support to workers' organisations and contributes to the development of Social Europe and the social dialogue. It provides information and training in European matters of strategic importance for the trade unions. This institute is linked to the European Trade Union Confederation (ETUC);
- The European Centre for Workers' Questions (EZA), which provides a trade union education with a European dimension for European Christian workers' organisations through the promotion of education activities, programmes and exchanges, it widens the knowledge of workers about the EU's objectives and policies in the social field and strengthens a common European identity.

The presence of more than one entity in this field of activity and the diversified philosophical and political orientation of ETUI and EZA offer a supplementary assurance of pluralism and a larger choice to workers' organisations. The position of these institutes is unique, since they are specialised research/training institutes responding to the necessary representatively criteria and covering needs at a European scale, including the candidate countries (ETUI is linked to the ETUC which has member organisations in 36 and EZA in 24 European

countries). With reference to the above, the ETUI and EZA cover all representative unions of the European labour movement.

The third specific operating grant agreements will be signed in the first quarter of 2016 and will cover the period 01/04/2016-31/03/2017.

#### Selection criteria

Only applicants with the necessary financial and operational capacity may be awarded a grant.

- Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary.
- Applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully implement the proposed work programme. They must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

#### Award criteria

The award criteria used for the specific operating grant agreements will be:

- Coherence of the annual work programme with the Framework Partnership Agreement
- Transnational dimension
- Contribution to the priorities, activities or results of European social dialogue
- Added value (lasting impact and/or multiplier effect)
- Cost-effectiveness
- Arrangements to publicise the operation and dissemination methods envisaged
- Overall quality, clarity and completeness of the proposal and budget explanation

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 85%

Indicative timetable and indicative amount:

Date	Amount
2016 Q1	EUR 14.708.200 (ETUI: 11.251.773; EZA: 3.456.427)

#### **BUDGET LINE**

04.030106 - Information, consultation and participation of representatives undertakings

#### **LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Convention concluded in 1959 between the ECSC High Authority and the International Occupational Safety and health Information Centre (CIS) of the International Labour Office.

Council Directive 97/74/EC of 15 December 1997 extending to the United Kingdom of Great Britain and Northern Ireland Directive 94/45/EC on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 10, 16.1.1998, p.22).

Council Directive 98/59/EC of 20 July 1998 on the approximation of the laws of the Member States relating to collective redundancies (OJ L 225, 12.8.1998, p. 16)

Council Directive 2001/23/EC of 12 March 2001 on the approximation of the laws of the Member States relating to the safeguarding of employees' rights in the event of transfers of undertakings, businesses or parts of undertakings or businesses (OJ L 82, 22.3.2001, p. 16).

Council Directive 2001/86/EC of 8 October 2001 supplementing the Statute for a European Company with regard to the involvement of employees (OJ L 294, 10.11.2001, p. 22).

Directive 2002/14/EC of the European Parliament and of the Council of 11 March 2002 establishing a general framework for informing and consulting employees in the European Community (OJ L 80, 23.3.2002, p. 29).

Council Directive 2003/72/EC of 22 July 2003 supplementing the Statute for a European Cooperative Society with regard to the involvement of employees (OJ L 207 of 18.8.2003, p. 25).

Directive 2005/56/EC of the European Parliament and of the Council of 26 October 2005 on cross-border mergers of limited liability companies (OJ L 310, 25.11.2005, p.1).

Directive 2009/38/EC of the European Parliament and of the Council of 6 May 2009 on the establishment of a European Works Council or a procedure in Community-scale undertakings and Community-scale groups of undertakings for the purposes of informing and consulting employees (OJ L 122, 16.5.2009, p. 28).

#### **1.2.6 Call for proposals on Information, consultation & participation of representatives of undertakings (ID Code: 2016\_04.030106\_12)**

Priorities of the year, objectives pursued and expected results

The main objective pursued under this call is to foster the conditions for a proper employee involvement in undertakings following the legal basis.

The main priorities for the financial year 2016 are to promote actions designed to prepare the setting up of transnational information, consultation and participation bodies and mechanisms; to promote the exchange of information and good practice aimed at creating favourable conditions for the above; to promote actions aiming to familiarize the social partners and actors at company level with the content of EU law and transnational company agreements; to promote transnational actions involving representatives of the new Member States and of Candidate Countries in the field of employee involvement; and to develop expertise across Member States, promoting cooperation between relevant authorities and stakeholders and fostering relations with the Union Institutions. The expected results are improved possibilities for the social partners to exercise their rights and duties as regards employee involvement; strengthened cooperation among social partners and other actors in respect to Union law on employee involvement; a follow up on the findings of the "Fitness check" on EU acts in the area of Information and Consultation of Workers, and increased number of social partners and other actors familiarised with transnational company agreements.

#### Description of the activities to be funded

Conferences, seminars, short training actions, manuals and exchange of information and of good practices, analysis papers on quantitative, qualitative aspects and results in a transnational cooperation context, as well as websites, publications, newsletters and other means for the dissemination of information.

#### Essential eligibility, selection and award criteria

##### Essential eligibility criteria

To be eligible, applicants must be:

- properly constituted and registered legal persons, having their registered office based in one of the Member States of the European Union. In derogation from this requirement and pursuant to Article 131 of the Financial Regulation, the organisations of social partners without legal personality under the applicable national law are also eligible provided that the conditions of the Financial Regulation related thereto are met.

- representatives of workers or employers of Member States, i.e.:

A) for workers: applicants may be works councils or similar bodies ensuring the general representation of workers; regional, national, European, sectoral or multi-sectoral trade unions;

B) for employers: applicants may be the management of undertakings, organisations representing employers at regional, national, European, sectoral or multi-sectoral level. In case of commercial undertakings, the objective of the project must be non-commercial in nature.

- As an exception, applicants may also be technical bodies such as non-profit training or research bodies and commercial companies only if the aim of the project is non-commercial.

The above quoted technical bodies and commercial undertakings will be considered eligible only where they are expressly mandated by one or more eligible organisations representing workers or employers of Member States.

– Co-applicants must have their registered office in one of the Member States or Candidate Countries.

#### Selection criteria

Only applicants and co-applicants with the necessary financial and operational capacity may be awarded a grant.

– Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies).

– Operational capacity to complete the proposed action: applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it.

#### Award criteria

- The extent to which the action meets the priority objectives of this Call for proposals;

– The extent to which the project concerns innovative measures or new subjects in relation to information, consultation and participation of representatives of undertakings and which adds value to the existing situation.

– The extent to which the project promotes the joint participation of employers and workers;

– The extent to which the action has a genuine transnational dimension;

– The extent to which workers' and/or employers' representatives from new Member States or candidate countries are actively involved in the project;

– The cost-effectiveness of the action;

– The overall quality and clarity of the proposal, including the budgetary aspects and the arrangements to publicise the operation, including aspects related to the European Union funding, and dissemination methods envisaged.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 90%

Indicative timetable and indicative amount:

Date	Amount
2016 Q1	EUR 7.313.000

#### **BUDGET LINE**

04.030108 - Industrial relations and social dialogue

#### **LEGAL BASIS**



Tasks resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154.

**1.2.7 Call for proposals on Improving expertise in the field of industrial relations** (ID Code: 2016\_04.030108\_2)

Priorities of the year, objectives pursued and expected results

- Promotion of analysis and research in the field of industrial relations;
- Promotion of exchange of information and experience among the parties actively involved in industrial relations, with the aim of contributing to developing and reinforcing industrial relations structures in Europe.

The aim is to build on key findings of the previous Industrial Relations in Europe reports and to contribute to future industrial relations analysis by the Commission, notably in the context of the ESDE Report.

Description of the activities to be funded

To finance actions supporting industrial relations analysis and research. Financed measures include: conferences, seminars, round tables, studies, surveys, publications, monitoring exercises, training measures, training tools, the setting up of networks and the development and exchange of best practices.

Essential eligibility, selection and award criteria

Essential eligibility criteria

To be eligible, applicants must:

- Be properly constituted and registered legal persons. In application of Article 131 of the Financial Regulation, social partner organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met;
- Fall within one of the following categories: social partners; non-profit-making organisations linked to industrial relations, including universities and research institutes; public authorities; international organisations active in the fields of social dialogue and/or industrial relations;
- The lead applicant must have its registered office in one of the EU Member States;
- Co-applicants must have their registered office in one of the EU Member States or the candidate countries; in derogation from this requirement, international organisations active in the fields of social dialogue and/or industrial relations with their registered headquarters outside the EU Member States are also eligible.

Selection criteria

Only applicants (lead and co-applicants) with the necessary financial and operational capacity may be awarded a grant.

- Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies and international organisations).

– Operational capacity to complete the proposed action: applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. They must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

#### Award criteria

- The extent to which the action meets the objectives and priorities of the call for proposals;
- The extent to which the action has a genuine transnational dimension;
- The quality of the consortium and broader partnership, including the degree of involvement and commitment at the application stage of the partners in the action;
- The extent to which the action contributes to the understanding of industrial relations, at EU level as well as in comparative terms;
- The added value, i.e. the lasting impact and/or multiplier effect of the action;
- The cost-effectiveness of the action;
- The arrangements to publicise the action and disseminate the results, including the quality and/or innovativeness of dissemination plans;
- The overall quality and clarity of the proposal and budget explanation.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 90%

Indicative timetable and indicative amount:

Date	Amount
2016 Q1	EUR 4.150.000

#### **1.2.8 Call for proposals on Support for social dialogue (ID Code: 2016\_04.030108\_4)**

Priorities of the year, objectives pursued and expected results

- To promote the development of European social dialogue at the sectoral and cross-industry levels in its different dimensions of information exchange, consultation, negotiation and joint action, in particular in the context of the follow-up to the new start for social dialogue;
- To stimulate increased awareness of European social dialogue and improved capacity to participate in it, including in the context of increasing involvement of social partners in the European Semester process;
- To support the preparation, monitoring, follow-up and/or implementation of joint texts agreed at the sectoral and cross-industry levels.

Description of the activities to be funded

A large variety of measures will be financed, including: conferences, seminars, round tables, negotiations, studies, surveys, publications, monitoring exercises, training measures, training tools, the setting up of networks and the development and exchange of best practices.

#### Essential eligibility, selection and award criteria

##### Essential eligibility criteria

To be eligible,

- The lead applicant must be a social partner organisation at European, national or regional level;
- The lead applicant must have its registered office in one of the Member States of the European Union;
- Co-applicants must have their registered office in one of the EU Member States or Candidate Countries; in derogation from this requirement, international organisations active in the fields of social dialogue and/or industrial relations with their registered headquarters outside the EU Member States are also eligible;
- Applicants must be properly constituted and registered legal persons. In application of article 131 of the Financial Regulation, social partner organisations without legal personality are also eligible provided that the conditions of the Financial Regulation related thereto are met.

##### Selection criteria

Only applicants (lead and co-applicants) with the necessary financial and operational capacity may be awarded a grant.

- Financial capacity to carry out the action: applicants must have access to solid and adequate funding to maintain its activities for the period of the action and to help finance it as necessary (the verification of financial capacity will not apply to public bodies or international organisations).
- Operational capacity to complete the proposed action: applicants must have the operational resources (technical, management) and the professional skills and qualifications needed to successfully complete the proposed action, as well as the ability to implement it. They must have a strong track record of competence and experience in the field and in particular in the type of action proposed.

##### Award criteria

- The extent to which the action meets the objectives and priorities of the call for proposals;
- The extent to which the action has a genuine transnational dimension;
- The quality of the consortium and broader partnership, including the degree of involvement and commitment at the application stage of the social partners/stakeholders in the action;
- The extent to which the action contributes to the priorities, activities or results of European social dialogue;
- The added value, i.e. the lasting impact and/or multiplier effect of the action;
- The cost-effectiveness of the action;

- The arrangements to publicise the action and disseminate the results, including the quality and/or innovativeness of dissemination plans;
- The overall quality, clarity and completeness of the proposal and budget explanation.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 90%; 95% for actions involving negotiations in accordance with Articles 154 and 155 of the Treaty on the Functioning of the European Union, meetings to prepare for negotiations, or joint social partner actions relating to the implementation of the results of European social dialogue negotiations.

Indicative timetable and indicative amount:

Date	Amount
2016-Q1	EUR 9.300.000

### 1.2.9 Direct Grant to the International Labour Organization (ILO) (ID Code: 2016\_04.030108\_10)

Priorities of the year, objectives pursued and expected results

The priority for 2016 will be to support capacity building activities in favour of both employers as workers' organisations thus contributing to one of the main areas of work identified as part of the 'new start for social dialogue' launched under the Juncker Commission. The result of the activity should be an enhanced capacity of representatives of workers' and employers' organisation to participate in social dialogue, be it at national, European, sectoral or cross-industry level.

Description of the activities to be funded

Targeted capacity building activities (training) developed by the international training centre of the ILO (ITC-ILO) in cooperation with the relevant European employers' organisations and trade unions, in line with the strategic partnership for social dialogue between the ILO and the European Commission 2014-2017, development of capacity building activities for representatives from employer and workers' organisations (training) with a particular focus on those from EU-12 and candidate countries.

Essential eligibility, selection and award criteria

#### Essential eligibility criteria

According to Article 190.1.c) of the Rules of Application, grants may be awarded without a call for proposals to bodies with a de jure or de facto monopoly. ILO is the only international organisation that has an explicit and specific mandate in its constitution and its basic texts to promote social dialogue and has acquired know-how internationally in this area. It is also the only international organisation with both governments and social partners in its governance and decision making process. Capacitybuilding and training measures are designed by the unique international training centre of the ILO in close cooperation with the relevant employers' organisations and trade unions.

The potential beneficiary will be invited to submit an application which will be evaluated according to the following main criteria:

- The extent to which the proposed action is in line with the objectives pursued and the extent to which the proposed outputs present added value in this context;
- The financial quality of the proposal including a reasonable and realistic budget, and a sound cost-efficiency ratio.

Implementation: Directly by the DG

Maximum possible rate of co-financing of the eligible costs: 90%

Indicative timetable and indicative amount:

Date	Amount
2016 Q2	EUR 500.000

### 1.3 Prizes N/A

### 1.4 Procurement

The overall budgetary allocation reserved for procurement contracts in 2016 amounts to 8.061.056 €.

PROCUREMENT – Budget Line	Amount
<b>04.030103</b> – Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries	EUR 6.276.056
1.4.1	
<b>04.030104</b> – Analysis of and studies on the social situation, demography and the family	EUR 30.000
1.4.2	
<b>04.030108</b> – Industrial relations and social dialogue	EUR 1.755.000
1.4.3	
<b>TOTAL</b>	<b>EUR 8.061.056</b>

**1.4.1 Procurement activities of DG EMPLOYMENT under "Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries" budget line.**

**BUDGET LINE**

04.03 01 03

**LEGAL BASIS**

Treaty on the Functioning of the European Union, in particular Articles 45 and 48 thereof.

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p.2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p.1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p.1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p.1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p.1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p.1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on free movement for workers within the Union (OJ L 141, 27.05.2011, p.1).

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions implemented by DG EMPL is 6.276.056 €. The actions are meant to ensure the implementation of the fundamental right of "Free Movement of workers", to promote and supervise the coordination of the Social Security Systems so as to provide common rules to protect citizens' social security rights when

moving within EU. This includes actions of support, monitoring the implementation of the Regulations and continuously updating the coordination Regulations, as well as the social security provisions of the Association Agreements. These actions also ensure the implementation and the development of the EESSI system for the electronic exchange of information between the Institutions.

Type of contracts: Service.

Indicative number of contracts envisaged:

- Specific contract based on an existing framework contract: 28
- Contract Renewal: 4

Timeframe: 2016 Q1 to 4

Implementation: Directly by DG EMPL and EUR € 285.000 will be cross-subdelegated DG DIGIT.

**1.4.2 Procurement activities of DG EMPLOYMENT under "Analysis of and studies on the social situation, demography and the family" budget line.**

**BUDGET LINE**

04.030104

**LEGAL BASIS**

Treaty on the Functioning of the European Union, and in particular Articles 159 and 161 thereof.

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions implemented by DG EMPL is 30.000 €. These actions are notably meant to support the publication, communication and media policy of the DG. It may also cover ad hoc analysis and studies on coordination of EU Social Security Systems.

Type of contracts: Service.

Indicative number of contracts envisaged:

- Contract Renewal: 2

Timeframe: 2016 Q1

Implementation: Directly by DG EMPL

**1.4.3 Procurement activities of DG EMPLOYMENT under "Industrial relations and Social dialogue" budget line.**

**BUDGET LINE**

04.030108

**LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Article 154 and 155.

Subject matter of the contracts envisaged

The total budget envisaged for these types of actions implemented by DG EMPL is 1.755.000 €. It may cover the following priority actions: evaluation actions and studies, IT, meetings of workers' and employers' organisations, dissemination of analysis on industrial relations, publications and exchange of information and good practice in the field of social dialogue and industrial relations.

Type of contracts: Service

Indicative number of contracts envisaged:

- Specific contracts based on an existing framework contract: 205

Timeframe: 2016 Q1 to 4

Implementation: Directly by DG EMPL

**1.5 Indirect Management N/A**

**1.6 Financial Instruments N/A**

**1.7 Other Actions**

<b>OTHER ACTIONS - Budget Line</b>	<b>Amount</b>
<b>04.030101</b> - Cost of preliminary consultation meetings with trade union representatives  1.7.1	EUR 452.800
<b>04.030103</b> - Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries  1.7.2	EUR 50.000



<b>04.030108</b> - Industrial relations and social dialogue	1.7.3	EUR 70.000
<b>04.0311</b> – European Foundation for the Improvement of Living and Working Conditions (EUROFOUND)	1.7.4	EUR 20.360.000
<b>04.0312</b> – European Agency for Safety and Health at Work (EU-OSHA)	1.7.5	EUR 15.067.699
<b>04.0313</b> – European Centre for the Development of Vocational Training (CEDEFOP)	1.7.6	EUR 17.051.000
<b>04.0314</b> - European Training Foundation (ETF)	1.7.7	EUR 19.956.000
<b>TOTAL</b>		<b>EUR 73.007.499</b>

#### **1.7.1 Preliminary consultation of trade union representatives (ID Code: 2016\_04.030101\_9)**

##### **BUDGET LINE**

04.030101 – Cost of preliminary consultation meetings with trade union representatives

##### **LEGAL BASIS**

Task resulting from the Commission's prerogatives at institutional level, as provided for in Article 54(2) of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1).

Amount: 452.800€

Description and objective of the implementing measure

To cover expenditure related to meetings between delegates of the European Trade Union Confederation with a view to helping them form their opinions and harmonise their positions regarding Union policies and in view of negotiations with the employers.

**1.7.2 Reports in the areas for free movement of workers and social security coordination**  
(ID Code: 2016\_04.030103\_19)

**BUDGET LINE**

04.030103 - Free movement of workers, co-ordination of social security schemes and measures for migrants, including migrants from third countries

**LEGAL BASIS**

Treaty on the Functioning of the European Union, in particular Articles 45 and 48 thereof.

Council Regulation (EEC) No 1408/71 of 14 June 1971 on the application of social security schemes to employed persons, to self-employed persons and to members of their families moving within the Community (OJ L 149, 5.7.1971, p.2).

Council Regulation (EEC) No 574/72 of 21 March 1972 laying down the procedure for implementing Regulation (EEC) No 1408/71 on the application of social security schemes to employed persons, to self-employed persons and to their families moving within the Community (OJ L 74, 27.3.1972, p.1).

Council Directive 98/49/EC of 29 June 1998 on safeguarding the supplementary pension rights of employed and self-employed persons moving within the Community (OJ L 209, 25.7.1998, p. 46).

Council Regulation (EC) No 859/2003 of 14 May 2003 extending the provisions of Regulation (EEC) No 1408/71 and Regulation (EEC) No 574/72 to nationals of third countries who are not already covered by those provisions solely on the ground of their nationality (OJ L 124, 20.5.2003, p.1).

Regulation (EC) No 883/2004 of the European Parliament and of the Council of 29 April 2004 on the coordination of social security systems (OJ L 166, 30.4.2004, p.1).

Regulation (EC) No 987/2009 of the European Parliament and of the Council of 16 September 2009 laying down the procedure for implementing Regulation (EC) No 883/2004 on the coordination of social security systems (OJ L 284, 30.10.2009, p.1).

Regulation (EU) No 1231/2010 of the European Parliament and of the Council of 24 November 2010 extending Regulation (EC) No 883/2004 and Regulation (EC) No 987/2009 to nationals of third countries who are not already covered by these Regulations solely on the ground of their nationality (OJ L 344, 29.12.2010, p.1).

Regulation (EU) No 492/2011 of the European Parliament and of the Council of 5 April 2011 on free movement for workers within the Union (OJ L 141, 27.05.2011, p. 1).

Amount: 50.000€

Description and objective of the implementing measure

A Series of maximum 5 low-value specific reports as well as two comprehensive reports on some aspects of the new Regulations on the social security coordination as well as in the area of Free Movement of Workers focussing on clarification of interpretation

**1.7.3 External experts for the valuation of applications submitted under calls for proposals**  
(ID Code: 2016\_04.030108\_28)

**BUDGET LINE**

04.030108 - Industrial relations and social dialogue

**LEGAL BASIS**

Task resulting from specific powers directly conferred on the Commission by the Treaty on the Functioning of the European Union pursuant to Articles 154 and 155.

Amount: 70.000€

Description and objective of the implementing measure:

It is envisaged to make use of external experts for the evaluation of applications under the calls for proposals in the domain of social dialogue and industrial relations.

**1.7.4 European Foundation for the Improvement of Living and Working Conditions – Annual contribution** (ID Code: 2016\_04.0311\_1)

**BUDGET LINE**

04.0311 – European Foundation for the Improvement of Living and Working Conditions

**LEGAL BASIS**

Regulation (EEC) No 1365/75 of the Council of 26 May 1975 on the creation of a European Foundation for the improvement of living and working conditions (OJ L 139, 30.5.1975, p. 1).

Amount: 20.360.000€

Description and objective of the implementing measure:

Annual Contribution - Eurofound research contributes to a number of policy initiatives of the Commission by providing knowledge aimed at informing sound and evidence-based policy-making.

**1.7.5 European Agency for Safety and Health at Work -EU-OSHA- Annual Contribution (ID Code: 2016\_04.0312\_1)**

**BUDGET LINE**

04.0312 – European Agency for Safety and Health at Work

**LEGAL BASIS**

Council Regulation (EC) No 2062/94 of 18 July 1994 establishing a European Agency for Safety and Health at Work (OJ L 216, 20.8.1994, p. 1).

Amount: 15.067.699€

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the Agency on Safety and Health at Work.

**1.7.6 European Centre for the Development of Vocational Training (CEDEFOP) - Annual Contribution (ID Code: 2016\_04.0313\_1)**

**BUDGET LINE**

04.0313 – European Centre for the Development of Vocational Training (CEDEFOP)

**LEGAL BASIS**

Regulation (EEC) No 337/75 of the Council of 10 February 1975 establishing a European Centre for the Development of Vocational Training (OJ L 39, 13.2.1975, p. 1).

Amount: 17.051.000 €

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the Agency on Development of Vocational Training.

**1.7.7 European Training Foundation (ETF) - Annual Contribution (ID Code: 2016\_04.0314\_1)**

**BUDGET LINE**

04.0314 – European Training Foundation

**LEGAL BASIS**

Regulation (EC) No 1339/2008 of the European Parliament and of the Council of 16 December 2008 establishing a European Training Foundation (OJ L 354, 31.12.2008, p. 82).

Amount: 19.956.000€

Description and objective of the implementing measure:

Annual contribution of the Commission to the operational and administrative functioning of the European Training Foundation.
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