

EVALUATION AND FITNESS CHECK (FC) ROADMAP			
TITLE OF THE EVALUATION/FC	Ex-post evaluation of the programme "Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks" (CIPS) 2007-2013		
LEAD DG – RESPONSIBLE UNIT	HOME E.2	DATE OF THIS ROADMAP	23/06/2016
TYPE OF EVALUATION	Evaluation	PLANNED START DATE	10/2015
	Ex-post	PLANNED COMPLETION DATE	06/2017
	Mixed	PLANNING CALENDAR	http://ec.europa.eu/smart-regulation/evaluation/index_en.htm
This indicative roadmap is provided for information purposes only and is subject to change.			

A. Purpose
(A.1) Purpose
<p>The purpose of the ex-post evaluation of the programme "Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks" (CIPS) 2007-2013 ex-post evaluation is:</p> <ul style="list-style-type: none"> to meet the legal obligations (please see section A.2); to ensure transparency and accountability for the implementation of the CIPS; to assess to which extent the 2007-2013 CIPS programme has been relevant, effective, efficient, coherent and achieved EU added value with a view to improve the implementation of the current EU financial instrument in the field of terrorism and other security related risks (i.e. the instrument for police cooperation, preventing and combating crime, and crisis management, established as part of the Internal Security Fund) and to prepare for any post-2020 programme in this field.
(A.2) Justification
<p>This ex-post evaluation is required by the (now repealed) legal base of the CIPS programme. Moreover, the Financial Regulation on the EU's budget requires evaluation of programmes with resources above EUR 5 million¹.</p> <p>The legal base of the CIPS 2007-2013 programme was Council Decision (EU, Euratom) No 2007/124/EC, Euratom which established the Specific Programme "Prevention, Preparedness and Consequence Management of Terrorism and other Security related risks" for the period 2007-2013 as part of the General Programme on Security and Safeguarding Liberties ("CIPS Decision").</p> <p>This legal base was repealed by Council Decision No 2015/457 of 17 March 2015 which confirmed in its article 2(4) that the Commission should submit to the European Parliament and to the Council, a report on the results achieved and on quantitative aspects of the implementation of the former CIPS programme for the period 2011-2013 by 31 December 2015.</p> <p>The Commission has decided to enlarge the scope of this exercise to cover the whole programming period 2007-2013 for two reasons. First, although the above-mentioned decision repealing the CIPS Decision limits the time frame for the ex-post evaluation report to 2011-2013, the original repealed legal base for CIPS did not limit</p>

¹ See Article 18(3) of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union, all programmes or activities, including pilot projects and preparatory actions, where the resources mobilised exceed EUR 5 000 000 shall be subject of an interim and/or ex-post evaluation in terms of the human and financial resources allocated and the results obtained in order to verify that they were consistent with the objectives set.

reporting to this period. Second, evaluating the impact of the whole programming period gives a more complete picture of the CIPS benefits. In addition, the CIPS Decision makes it clear in its article 14(2) that evaluation must be conducted regularly, independently and externally.

B. Content and subject of the evaluation

(B.1) Subject area

The CIPS 2007-2013 financial allocation was 140 million EUR and was implemented under the direct management mode. Projects were supported by grants awarded by the Commission or via contracts for services concluded following the calls for tenders published by the Commission.

The following types of actions could be financed (article 5 of the CIPS Decision):

- a) projects initiated and managed by the Commission with a European dimension;
- b) transnational projects involving partners in at least two Member States, or at least one Member State and one acceding or a candidate country;
- c) national projects within Member States, which:
 - (i) prepare transnational projects and/or Community actions (starter measures);
 - (ii) complement transnational projects and/or Community actions (complementary measures)
 - (iii) contribute to developing innovative methods and/or technologies with a potential for transferability to actions at Community level; at Member States level; and/or acceding or a candidate countries.

(B.2) Original objectives of the intervention

CIPS had the following general objectives (article 3 of the CIPS Decision):

1. contribute to support Member States' efforts to prevent, prepare for, and to protect people and critical infrastructure against terrorist attacks and other security related incidents.
2. contribute to ensuring protection in the areas such as the crisis management, environment, public health, transport, research and technological development and economic and social cohesion, in the field of terrorism and other security related risks within the area of freedom, security and justice.

CIPS had the following specific objectives (article (4) of the CIPS Decision):

1. protecting people and critical infrastructure by stimulating, promoting and supporting:
 - a) risk assessments on critical infrastructure, in order to upgrade security;
 - b) the development of methodologies for the protection of critical infrastructure, in particular related to risk assessment;
 - c) shared operational measures to improve security in cross-border supply chains, provided that the rules of competition within the internal market are not distorted;
 - d) the development of security standards, and an exchange of know-how and experience on protection of people and critical infrastructure;
 - e) Community wide coordination and cooperation on protection of critical infrastructure.
2. developing the "consequences management" in case of terrorist attack or other security related incident by:
 - a) stimulating, promoting and supporting exchange of know-how and experience, in order to establish best practices with the view to coordinate the response measures and to achieve cooperation between various actors of crisis management and security actions;
 - b) promoting joint exercises and practical scenarios including security and safety components, in order to enhance coordination and cooperation between relevant actors at the European level.

(B.3) How the objectives were to be achieved
<p>The CIPS objectives were to be achieved by the financing of:</p> <p>a) projects on operational cooperation and coordination (strengthening networking, mutual confidence and understanding, development of contingency plans, exchange and dissemination of information, experience and best practices);</p> <p>b) analytical, monitoring, evaluation and audit activities;</p> <p>c) development and transfer of technology and methodology; particularly regarding information sharing and interoperability</p> <p>d) training, exchange of staff and experts; and</p> <p>e) awareness and dissemination activities.</p>

C. Scope of the evaluation/FC
(C.1) Topics covered
<p>The evaluation will cover the whole CIPS period 2007-2013. The geographical scope of the evaluation is all 28 EU Member States.</p> <p>Funding was provided primarily through grants awarded on the basis of calls for proposals. Other funding channels included public procurement contracts and administrative arrangements with the Joint Research Centre (JRC).</p>
(C.2) Issues to be examined
<p>The evaluation will examine the results and impacts of actions co-financed by the CIPS 2007-2013 and assess the programme's relevance, effectiveness, efficiency, coherence and EU added value.</p> <p>Under the relevance theme, the evaluation will examine to what extent the CIPS objectives corresponded to the needs and still correspond to the current needs related to the prevention, preparedness and consequence management or terrorism and other security related risks.</p> <p>Under the effectiveness theme, the evaluation will examine to what extent and how the CIPS 2007-2013 actions contributed to the achievement of the general and specific objectives defined by the CIPS Decision.</p> <p>Under the efficiency theme, the evaluation will examine to what extent the effects of the CIPS 2007-2013 actions were achieved at a reasonable cost in terms of financial and human resources deployed.</p> <p>Under the coherence theme, the evaluation will examine to what extent the CIPS 2007-2013 actions were coherent with other actions - at national, EU or international level- related to the prevention, preparedness and consequence management or terrorism and other security related risks.</p> <p>Under the EU added value theme, the evaluation will examine to what extent the beneficiaries would have been able to carry out the actions in the field of prevention, preparedness and consequence management or terrorism and other security related risks without support provided by the EU-level through CIPS.</p>
(C.3) Other tasks
N.A.

D. Evidence base
(D.1) Evidence from monitoring
The data on the implementation of the projects supported is provided in the final reports of the different projects.
(D.2) Previous evaluations and other reports
The Mid-term evaluation report that covered CIPS 2007-2009 and most of the 2010 programme should be taken into account – Commission Communication of 16 June 2011 COM(2011)318 final.

(D.3) Evidence from assessing the implementation and application of legislation (complaints, infringement procedures)
The evaluation will take into account the audit reports drafted by the DG HOME auditors.
(D.4) Consultation
<p>DG HOME will carry-out an internet based public open consultation (using a questionnaire) in the period June-September 2016. The purpose of the consultation will be to collect opinions of the general public on the importance / EU added value of the CIPS funding in the security area in Europe.</p> <p>In addition, a significant number of stakeholders and direct beneficiaries (such as Ministries of the Interior, Ministries of Defence, etc.) will be interviewed or surveyed by the Commission's external contractor.</p>
(D.5) Further evidence to be gathered
<p>The following additional documents and data sources will be covered under the desk research stage of the evaluation:</p> <ul style="list-style-type: none"> • Decision No 2007/124/EC, Euratom; • Policy related documents on security area: http://ec.europa.eu/dgs/home-affairs/index_en.htm • Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 CIPS the Community financial support • Calls for Proposals under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 for CIPS • Calls for tenders under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 for CIPS • Awarded projects under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 • Final Report for the projects awarded under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 • Final results of the tender projects under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012, 2013 • The results of the administrative arrangement with the Joint Research Centre

E. Other relevant information/ remarks

While retaining the responsibility for the evaluation and its management, the Commission will rely on an external evaluation study, in order to ensure the highest possible credibility for the evaluation findings and to mobilise professional evaluation expertise.