

EVALUATION AND FITNESS CHECK (FC) ROADMAP			
<b>TITLE OF THE EVALUATION/FC</b>	<b>Ex-post evaluation of the programme "Prevention of and fight against Crime" (ISEC) 2007-2013</b>		
<b>LEAD DG – RESPONSIBLE UNIT</b>	HOME E.2	<b>DATE OF THIS ROADMAP</b>	13/06/2016
<b>TYPE OF EVALUATION</b>	Evaluation	<b>PLANNED START DATE</b>	10/2015
	Ex-post	<b>PLANNED COMPLETION DATE</b>	06/2016
	Mixed	<b>PLANNING CALENDAR</b>	<a href="http://ec.europa.eu/smart-regulation/evaluation/index_en.htm">http://ec.europa.eu/smart-regulation/evaluation/index_en.htm</a>
<b>This indicative roadmap is provided for information purposes only and is subject to change.</b>			

A. Purpose
(A.1) Purpose
<p>The purpose of the ex-post evaluation of the programme "Prevention of and Fight against Crime" (ISEC) 2007-2013 is:</p> <ul style="list-style-type: none"> <li>to meet the legal obligations (please see section A.2);</li> <li>to ensure transparency and accountability for the implementation of the ISEC;</li> <li>to assess to which extent the 2007-2013 ISEC programme has been relevant, effective, efficient, coherent and achieved EU added value with a view to improve the implementation of the current EU financial instrument in the field of prevention of and fight against crime (i.e. the instrument for police cooperation, preventing and combating crime, and crisis management, established as part of the Internal Security Fund) and to prepare for any post-2020 programme in this field.</li> </ul>
(A.2) Justification
<p>This ex-post evaluation is required by the (now repealed) legal base of the ISEC programme as repealed by the legal act of its successor programme established as part of the Internal Security Fund. Moreover, the Financial Regulation on the EU's budget requires evaluation of programmes with resources above EUR 5 million<sup>1</sup>.</p> <p>The legal base for the ISEC programme was Decision No 2007/125/JHA of the Council of 12 February 2007 establishing the Specific Programme 'Prevention of and Fight against Crime' ("ISEC Decision") for the period 2007 to 2013, as part of General Programme on Security and Safeguarding Liberties. This legal base was repealed by Regulation (EU) No 513/2014 of the European Parliament and of the Council of 16 April 2014 establishing, as part of the Internal Security Fund, the instrument for financial support for police cooperation, preventing and combating crime, and crisis management. The latter Regulation confirmed in its article 14(4) that the Commission has to submit to the European Parliament and the Council the ex-post evaluation report of the former ISEC programme concerning the period 2007-2013 by 31 December 2015. The ISEC Decision makes it clear that evaluation must be done regularly, independently and externally.</p>

<sup>1</sup> See Article 18(3) of Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union, all programmes or activities, including pilot projects and preparatory actions, where the resources mobilised exceed EUR 5 000 000 shall be subject of an interim and/or ex-post evaluation in terms of the human and financial resources allocated and the results obtained in order to verify that they were consistent with the objectives set.

## B. Content and subject of the evaluation

### (B.1) Subject area

The ISEC 2007-2013 financial allocation was 600 million EUR and was implemented under the direct management mode. Projects were supported by grants awarded by the Commission or via contracts for services concluded following the calls for tenders.

Within the ISEC Decision the following four financing themes were defined:

- a) crime prevention and criminology;
- b) law enforcement;
- c) protection and support to witnesses;
- d) protection of victims

The following types of actions could be supported (article 4(1) of ISEC Decision):

- a) projects initiated and managed by the Commission with a European dimension;
- b) transnational projects, involving partners in at least two Member States, or at least one Member State and one other acceding or a candidate country;
- c) national projects within Member States, which:
  - i. prepare transnational projects and/or Union actions (starter measures);
  - ii. complement transnational projects and/or Union (complementary measures);
  - iii. contribute to developing innovative methods and/or technologies with a potential for transferability to actions at Union level, at Member States level and/or acceding or a candidate country level.
- d) operating grants for non-governmental organisations pursuing on a non-profit basis objectives of the Programme on a European dimension.

### (B.2) Original objectives of the intervention

ISEC had the following general objectives (article 2 of the ISEC Decision):

1. contribute to a high level of security for citizens by preventing and combating crime, organised or otherwise, in particular terrorism, trafficking in persons and offences against children, illicit drug trafficking and illicit arms trafficking, corruption and fraud.
2. contribute to the development of the policies of the Union and of the Community (without prejudice to the objectives and powers of the European Community).

Under these general objectives, the specific objectives of the ISEC (article 3(2) of ISEC Decision) were to stimulate, promote and develop:

- a) horizontal methods and tools necessary for strategically preventing and fighting crime and guaranteeing security and public order such as the work carried out in the European Union Crime Prevention Network, public-private partnerships, best practices in crime prevention, comparable statistics, applied criminology and an enhanced approach towards young offenders;
- b) coordination, cooperation and mutual understanding among law enforcement agencies, other national authorities and related Union bodies in respect of the priorities identified by the Council in particular as set out by the Europol's Organised Crime Threat Assessment;
- c) best practices for the protection and support witnesses; and
- d) best practices for the protection of crime victims.

(B.3) How the objectives were to be achieved
<p>The ISEC objectives were to be achieved by the financing of:</p> <p>a) activities improving operational cooperation and coordination (strengthening networking, mutual confidence and understanding, exchange and dissemination of information, experience and best practices);</p> <p>b) analytical, monitoring and evaluation activities;</p> <p>c) development and transfer of technology and methodology;</p> <p>d) training, exchange of staff and experts; and</p> <p>e) awareness and dissemination activities.</p>

<b>C. Scope of the evaluation/FC</b>
(C.1) Topics covered
<p>The evaluation will cover the whole ISEC period 2007-2013. The geographical scope of the evaluation is all 28 EU Member States.</p> <p>Funding was provided primarily through grants awarded either on the basis of calls for proposals or direct awards. Other funding channels included public procurement contracts and administrative arrangements with the Joint Research Centre (JRC).</p>
(C.2) Issues to be examined
<p>The evaluation will examine the results and impacts of actions co-financed by the ISEC 2007-2013 and assess programme's relevance, effectiveness, efficiency, coherence, and EU added value.</p> <p>Under the relevance theme, the evaluation will examine to what extent the ISEC objectives corresponded to needs and still correspond to the current needs related to the prevention of and fight against crime.</p> <p>Under the effectiveness theme, the evaluation will examine to what extent and how the ISEC 2007-2013 actions contributed to the achievement of its general and specific objectives (Article 2 and Article 3(2) of ISEC Decision).</p> <p>Under the efficiency theme, the evaluation will examine to what extent the effects of the ISEC 2007-2013 actions were achieved at a reasonable cost in terms of financial and human resources deployed.</p> <p>Under the coherence theme, the evaluation will examine to what extent the ISEC 2007-2013 actions were coherent with other actions - at national, EU or international level- related to the prevention of and fight against crime.</p> <p>Under the EU added value theme, the evaluation will examine to what extent the beneficiaries would be able to carry out the actions in the area of prevention of and fight against crime with support at EU-level through ISEC.</p>
(C.3) Other tasks
N.A.

<b>D. Evidence base</b>
(D.1) Evidence from monitoring
The data on the implementation of projects supported is provided in the final reports of the different projects.
(D.2) Previous evaluations and other reports
The Mid-term evaluation report that covered ISEC 2007-2009 and most of the 2010 programme should be taken into account – Commission Communication of 16 June 2011 COM(2011)318 final.
(D.3) Evidence from assessing the implementation and application of legislation (complaints, infringement procedures)
The evaluation will take into account the audit reports drafted by the DG HOME auditors.
(D.4) Consultation
DG HOME will carry-out an internet based public open consultation (using a questionnaire) in the period June – September 2016. The purpose of the consultation will be to collect opinions of the general public on the importance / EU added value of the ISEC funding for the security in Europe.

In addition, a significant number of stakeholders and direct beneficiaries (such as Ministries of the Interior, Ministries of Defence, Universities, private bodies, etc.) will be interviewed or surveyed by the Commission's external contractor.

(D.5) Further evidence to be gathered

The following additional documents and data sources will be covered under the desk research stage of the evaluation:

- Decision No 2007/125/JHA;
- Regulation (EU) No 513/2014;
- Policy related documents on security area: [http://ec.europa.eu/dgs/home-affairs/index\\_en.htm](http://ec.europa.eu/dgs/home-affairs/index_en.htm)
- Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 ISEC Union financial support
- Calls for proposals under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 for ISEC
- Calls for tenders under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013 for ISEC
- Awarded projects for the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013
- Final Report for the projects awarded under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013
- Final results of the tender projects under the Annual Work Programmes for the 2007, 2008, 2009, 2010, 2011, 2012 and 2013
- The results of the administrative arrangement with the Joint Research Centre

**E. Other relevant information/ remarks**

While retaining the responsibility for the evaluation and its management, the Commission will rely on an external evaluation study, in order to ensure the highest possible credibility for the evaluation findings and to mobilise professional evaluation expertise.