

## **LIST OF RECIPIENTS**

### **Who has access to your personal data and to whom it is disclosed Annex to section 7 of the Privacy Statement ‘Grant management and registration/validation of participants’ published on the Funding & Tenders Portal**

#### **7.1. EU institutions, bodies and agencies**

By default: Access to your personal data is provided to authorised staff of EU institutions, bodies and agencies for the purposes indicated in chapter 3 of the Privacy Statement, in compliance with the principles of “necessity” and “data minimisation”:

In addition, data may be disclosed to:

- Research Executive Agency: personal data submitted by the applicants for the validation of their legal entities and financial capacity;
- EU Delegations for some programs of EACEA: they receive copies of the applications for eligibility check and assessment of the relevance, as well evaluation reports;
- Programme committee and European Parliament (EACEA): under some EACEA programmes the Programme Committees might receive information on the results of the selection that may contain some limited personal data . In addition, Commission staff prepares and participates in the meetings. Information on selected proposals is provided in parallel to the European Parliament;
- Upon request and for the purposes of legal proceedings: Access to your personal data is provided to authorised staff of the EU Courts (e.g. the General Court and the Court of Justice) or national Courts as well as the lawyers and the agents of the parties;
- The competent Appointing Authority in case of a request or a complaint lodged under Article 90 of the Staff Regulations;
- OLAF in case of an investigation conducted in application of Regulation (EC) No 883/2013;
- The Internal Audit Service of the Commission within the scope of the tasks entrusted by article 118 of the Financial Regulation and by article 49 of the Regulation (EC) No 1653/2004;
- The Court of Auditors within the tasks entrusted to it by Article 287 of the Treaty on the Functioning of the European Union and Article 20(5) of Regulation (EC) No 58/2003;
- The European Ombudsman within the scope of the tasks entrusted to it by Article 228 of the Treaty on the Functioning of the European Union;
- The European Data Protection supervisor in accordance with Article 58 of the Regulation.

#### **7.2. Recipients other than EU institutions, bodies and agencies**

**7.2.1. Without the need to obtain your explicit consent**, in compliance with the ‘necessity’ and ‘data minimisation’ principles:

- The Legal Entity Appointed Representatives (LEARs)<sup>1</sup>, Account Administrators or Self-Registrants of the participating organisations included in your project proposal<sup>2</sup> if these organisations are located in the EU/EEA or third countries with an adequacy decision<sup>3</sup>;
- Independent experts, contractors and beneficiaries of actions who are working for or on behalf of and under the responsibility of the Joint Controllers and/or their services for the purposes of evaluation of proposals, monitoring of grants, communication activities, networking among beneficiaries and concerned staff<sup>4</sup>, as well as design, monitoring and evaluation of Research and Innovation Programmes and other EU Programmes and Initiatives<sup>5</sup>;
- Authorised persons of the Member States' ministries<sup>6</sup> and Programme Committees<sup>7</sup> members for purposes which fall within the scope of their tasks as defined in Article 12(7) and Annex II of the Specific Programme;
- External auditors (for beneficiaries only);
- Authorised staff of industry and of scientific committees in the case of Joint Undertakings' operations;
- Members of the ERC Scientific Council;
- National Contact Points/Desks and Erasmus+ National Agencies: under some EACEA programmes National Contact Points/Desks (national offices/national agencies) receive copies of the proposals from their countries and of related evaluation reports, so as lists of proposals invited to Grant Agreement Preparation that may contain some limited personal data.
- National Agencies implementing at national level Erasmus+ and European Solidarity Corps Programmes in the Programme countries;
- For Intra-Africa Academic Mobility Scheme (EACEA): the African Union Commission assist in the evaluation of applications and the monitoring of selected projects;
- For Intra-ACP Academic Mobility Scheme (EACEA): the ACP Secretariat, African Union Commission, CARIFORUM, Pacific Forum and South African Mission to the EU assist in the monitoring of the selected projects;
- For communication purposes, the ERCEA may publish information on the proposals recommended for funding as a result of the evaluation<sup>8</sup>:and occasionally may contact

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<sup>1</sup> Cf. Terms and conditions of the Funding and Tenders Portal:

<http://ec.europa.eu/research/participants/portal/desktop/en/support/tc/termsconditionsservice.html>

<sup>2</sup> If not, prior-consent is required (cf.6.2.2).

<sup>3</sup> In the absence of an adequacy decision, we may provide access to your personal data subject to appropriate safeguards, such as standard data protection clauses adopted by the Commission. For more information please see [http://ec.europa.eu/justice/data-protection/international-transfers/adequacy/index\\_en.htm](http://ec.europa.eu/justice/data-protection/international-transfers/adequacy/index_en.htm) if no appropriate safeguards can be implemented, we will ask for your explicit consent before granting access to your personal data.

<sup>4</sup> For instance, coordinators, fellows, researchers and other staff members.

<sup>5</sup> With regard to ERCEA, this is applicable to ERC calls as of 2018.

<sup>6</sup> With regards to the third health programme, member States and associated Countries' ministries include the National Focal Points referred to in article 15 of regulation 282/2014 establishing a third Programme for the Union's action in the field of health (2014-2020).

<sup>7</sup> For ERC action, after each peer review evaluation a report is prepared by the ERCEA services and made available to the Programme Committee. The report provides information on the proposals received, it includes names of Host Institutions and personal data i.e. names of applicant PIs, evaluation scores of proposals, ranked lists and may also include panel comments and individual reviews. A subset of information is also made available to the National Contact Points.

<sup>8</sup> This may include the names of PIs and applicant legal entities, the proposal title and acronym and the panel.

Principal Investigators of funded proposals for various purposes such as communication campaigns, pitching events, presentation of their project's evolution or outcomes to the public, invitations to represent the ERC in national and international forums, studies, etc.;

- For Innovation Fund Programme (CINEA): the contact details of the coordinators of projects, whether selected for funding or not, may be transmitted to the National Contact Point(s) of the country/ies where the project is located for the purpose of possible support<sup>9</sup>.

**7.2.2. Only upon your explicit consent**, in compliance with the ‘necessity’ and ‘data minimisation’ principles:

- The Legal Entity Appointed Representatives (LEARs)<sup>1</sup>, Account administrators or Self-Registrants<sup>1</sup> of the participating organisations included in your project proposal if these organisations are located in third countries without an adequacy decision, i.e. which do not ensure an adequate level of protection of personal data as recognised by the European Commission decision<sup>3</sup>;
- For ERC Frontier Research, upon consent of the Principal Investigator, a limited set of personal data may be disclosed to national/international funding authorities which run schemes to fund ERC applicants that score highly in the evaluation but which cannot be funded by the ERC due to its limited budget: full name and contact details of the Principal Investigator, non-confidential proposal title and abstract, proposal acronym, Host Institution and results of the evaluation (score and ranking range);
- For ERC Frontier Research, upon consent of the Principal Investigator, a limited set of personal data may be disclosed to institutions that are awarding prizes to excellent researchers: full name and contact details of the Principal Investigator, non-confidential proposal title and abstract, proposal acronym, Host Institution;
- For purposes related to monitoring, study and evaluating implementation of ERC actions, the ERC may need that submitted proposals and their respective evaluation data from H2020 calls 2014-2017, upon consent of the Principal Investigator, be processed by Contractors, independent experts identified in Article 40 of the Rules for Participation, and/or beneficiaries of Coordination and Support Actions;
- Public research funding bodies and other research organisations of EU/EEA countries as well as third countries associated to Horizon 2020 for which the Commission has not adopted an adequacy decision;
- Programme Committees members for purposes which are out of the scope of their tasks as defined in Article 12(7) and Annex II of the Specific Programme;
- Funding bodies in EU/EEA countries and countries associated to the relevant EU funded programmes, with the aim of seeking national funding sources out of the scope of the Programmes and Initiatives managed by the Joint Controllers;
- Authorised persons<sup>10</sup> in EU/EEA countries and third countries associated to the relevant EU funded programmes and for which the Commission has adopted an adequacy decision.

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<sup>9</sup> Under Art. 5(b) of Regulation (EU)2108/1725 and Art. 18 of the [Delegated Regulation \(EU\) 2019/856 on the operation of the Innovation Fund](#).

<sup>10</sup> For instance National Contact Points (NCPs), Nationally Nominated Authorised e-CORDA Users.

### **7.3. Publication of your personal data online**

The access to a limited subset of personal data is given to the public:

- For beneficiaries or prize winners, a limited set of data<sup>11</sup> may be published on the CORDIS website/Europa/other dedicated Internet webpages or disseminated by any other means;
- In addition, reports and deliverables of projects not marked as "confidential" by the concerned beneficiaries will be published on CORDIS/Europa/other dedicated Internet webpages. The publication of any personal data contained in these documents must comply with the applicable EU and national law on data protection (cf. art 15.2 of the Corporate Model Grant Agreement);
- In accordance with Articles 37 and 38 of the Financial Regulation, certain information on recipients<sup>12</sup> of EU funds are published annually on the Europa webpage ([Financial Transparency System](#)) and might also be published on the CORDIS website/other dedicated Internet webpage or disseminated by any other means;
- For the Media subprogramme managed by EACEA: the names of film directors are published in the website of EACEA;
- For Jean Monnet (Erasmus+) managed by EACEA: the contact details of the professors are published in the website of EACEA.

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<sup>11</sup> Identity of Principal Investigators, Main Host institution contact (ERCEA Grants); Identity of the Researchers/Fellows (Marie Skłodowska-Curie actions), Supervisor; Identity of the administrative contacts of the beneficiaries of Horizon 2020 grants.

<sup>12</sup> These provisions are not applicable for scholarships paid to natural persons.