

# eGrants Grant management – amendments

HORIZON 2020 Coordinators' Day 12 April 2018 Brussels



Attila BERCZIK
Common Support Centre (CSC)
CSC J3 – Common service for business processes



## **Amendments - key characteristics**

- ✓ E-flow, paperless, endorsed with e-signature
- ✓ No evolution, no negotiation of requests: no modification
- √ Agreement or rejection
- ✓ Request for complementary information
- ✓ <u>Time-bound</u> process: 45-day time limit for processing

  Extension possible

  Tacit rejection if no reaction within 45 days deadline
- ✓ Withdrawal is possible by the requesting party.



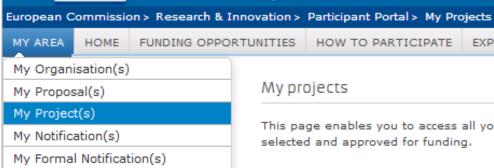
## Request for amendment – in 4 steps

- 1. Launch the amendment preparation in PPGMS
- 2. <u>Prepare</u> compose amendment
- 3. <u>Consultation</u> optional
- 4. Sign & Submit the amendment to EU services



HOW TO PARTICIPATE

#### Participant Portal



My Expert Area

My projects

FP7 REF. DOCS

SUPPORT ▼

H2020 ONLINE MANUAL

Search PP

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This page enables you to access all your EU projects managed via the Participant Portal that have been selected and approved for funding.

Depending on your roles, you can view or manage the following project-related tasks:

**EXPERTS** 

- Prepare and sign your grant agreement
- Submit amendments to your grant agreement
- Manage your scientific and financial reports
- · View or manage roles and access rights in your projects consortia

#### Where to go?

If you are LEAR and want to see the full list of your organisation projects, please go to My Organisations and click on the action button VP. LEAR can only view the list of projects in which their organisation is involved. If you want to see project details, your organisation main contact for this project or the project Coordinator has to give you access rights. For more details see the H2020 online manual.





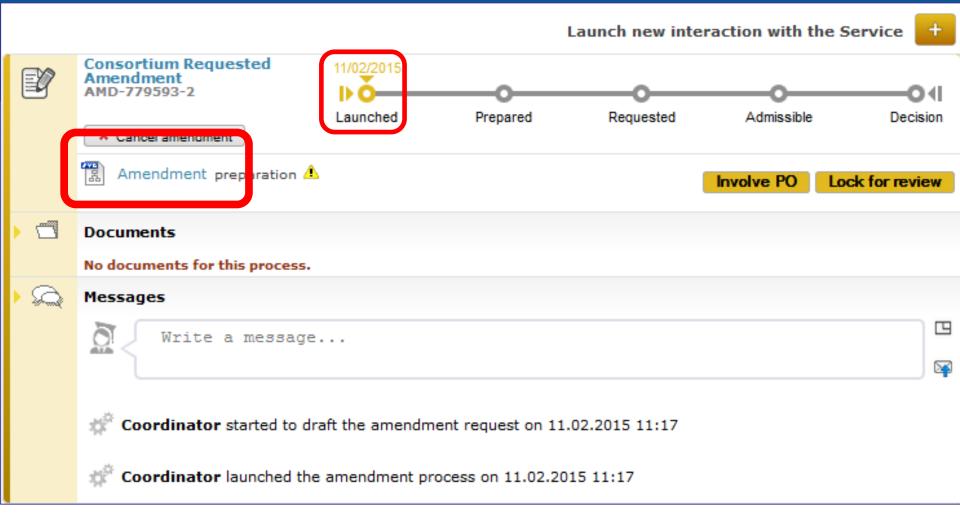
## STEP 1: Launch

Participant Portal - Grant Management Services Attila BERCZIK Launch new interaction with the EU **MY PROJECT** Proposal Management & Grant Preparation 16 Apr 2015 HORIZON 2020 Launch new interaction with the EU Call: H2020 Type of Action Choose one of the options below Acronym: NCP-PLAYGR Request for Amendment Launch **Current Pha** Including notification on termination of the participation of one or more beneficiaries. Number: 68 Choose the data set based on which you would like launching a new amendment request: Duration: latest legal data Start Date: Estimated P €30,000.00 Launch Grant Agreement Termination by beneficiaries Requested E Attention! This option is reserved for the termination of the grant agreement. The termination of the grant €30,000.00 agreement is considered as a last resort measure, if all other efforts to continue the action fail. Termination is Contact: Po irrevocable and comes into force immediately after signature and submission. You are advised to consult the available guidance of the corresponding funding programme or contact the responsible officer in charge of your Lates grant agreement. Proce Formal Notification Launch Docu Attention! The use of the formal notification channel is reserved for specific purposes e.g. for communication as set out in the model grant agreement (MGA) e.g. obligation to provide information upon request or obligation Comr to inform). If you wish to interact with officers, please use the messaging facility ( see below ). H2020 O Communication to the Project Officer Launch

At any time, you may wish to informally exchange messages or ask questions to the Service via the electronic system. If your query is related to an ongoing process, you"re encouraged to use the messages section of that



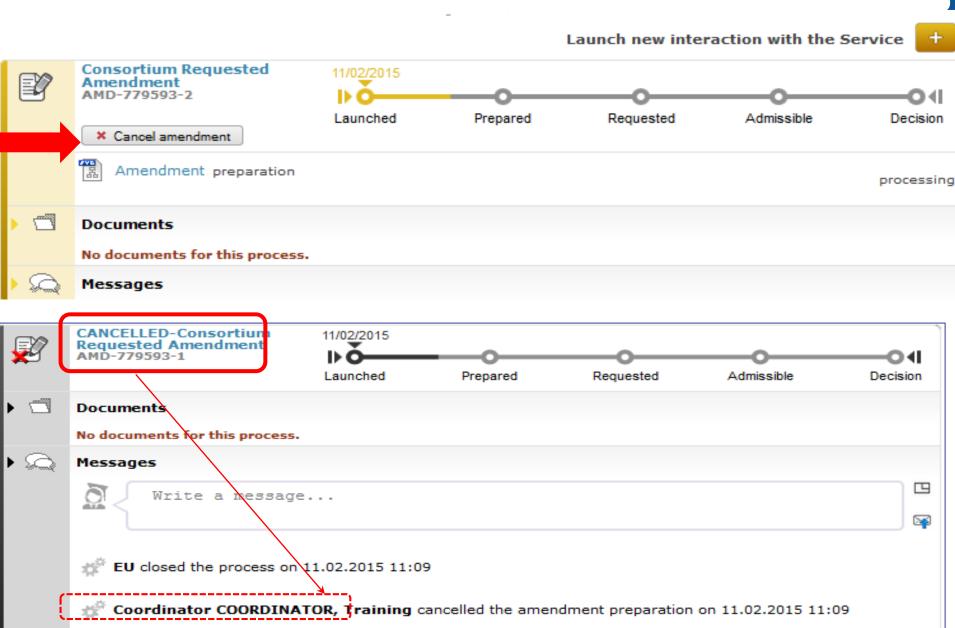
process.



## A new amendment is launched...

... preparation can now go on.

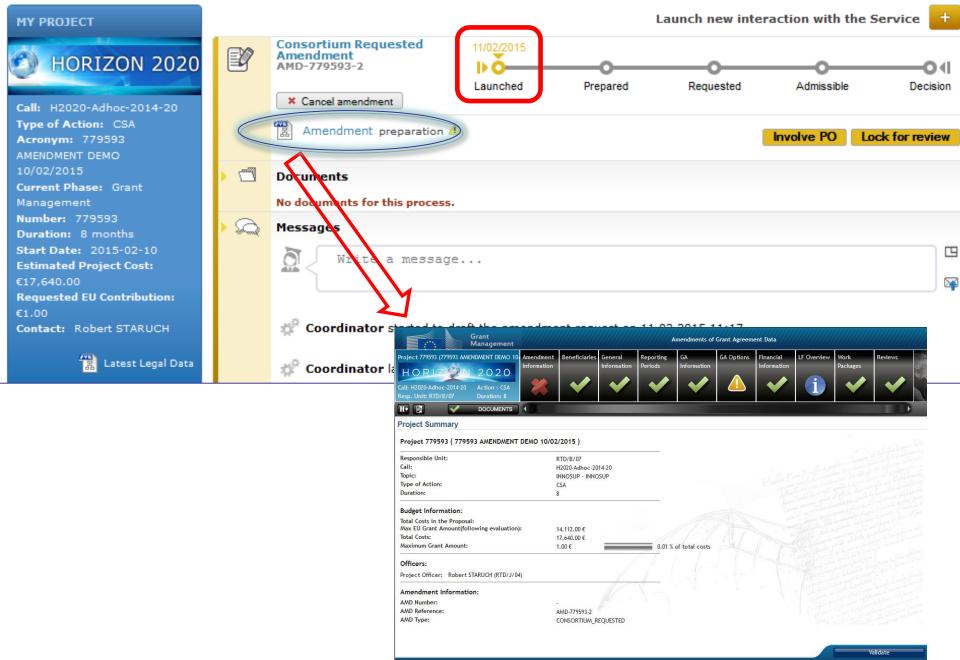
#### Possibility to cancel at any moment before Signature

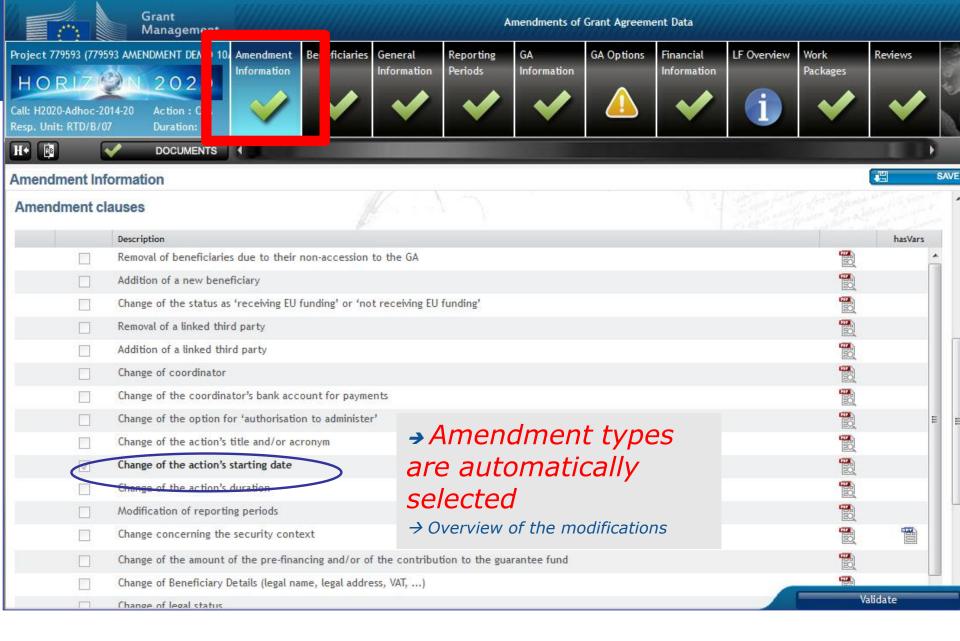




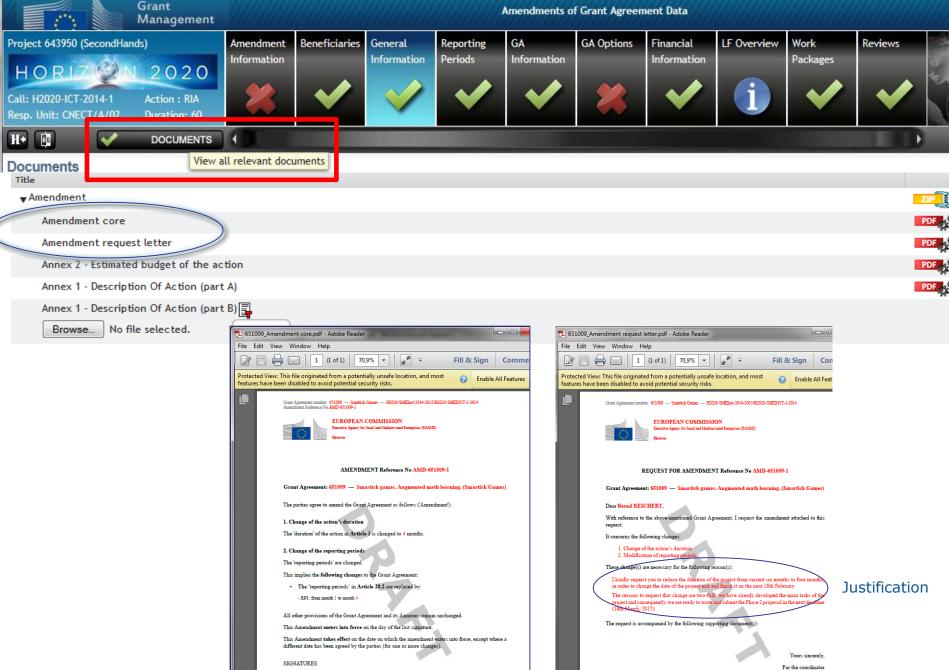
## STEP 2: preparation - automated assistance

- Automatic selection of the relevant 'amendment types' (GA clauses), based on the grant agreement data modified
- Listing of relevant (compulsory) supporting documents
- Enhanced overview and tracking of all changes
- Note: an amendment may consists of a number of possible clauses which are pre-defined [>> examples]



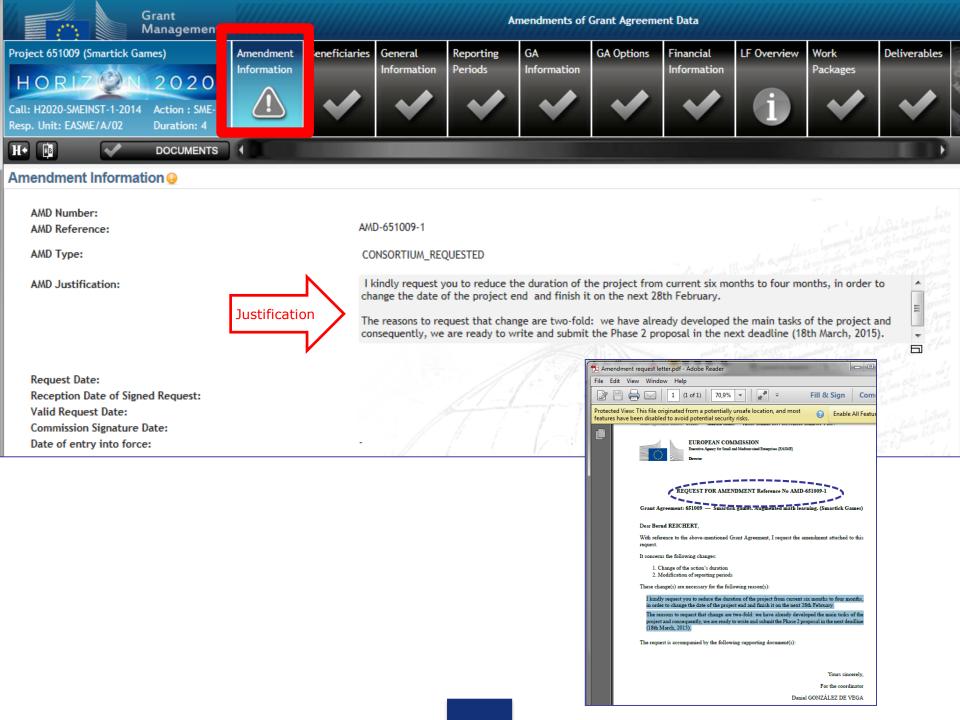


Daniel GONZÁLEZ DE VEGA

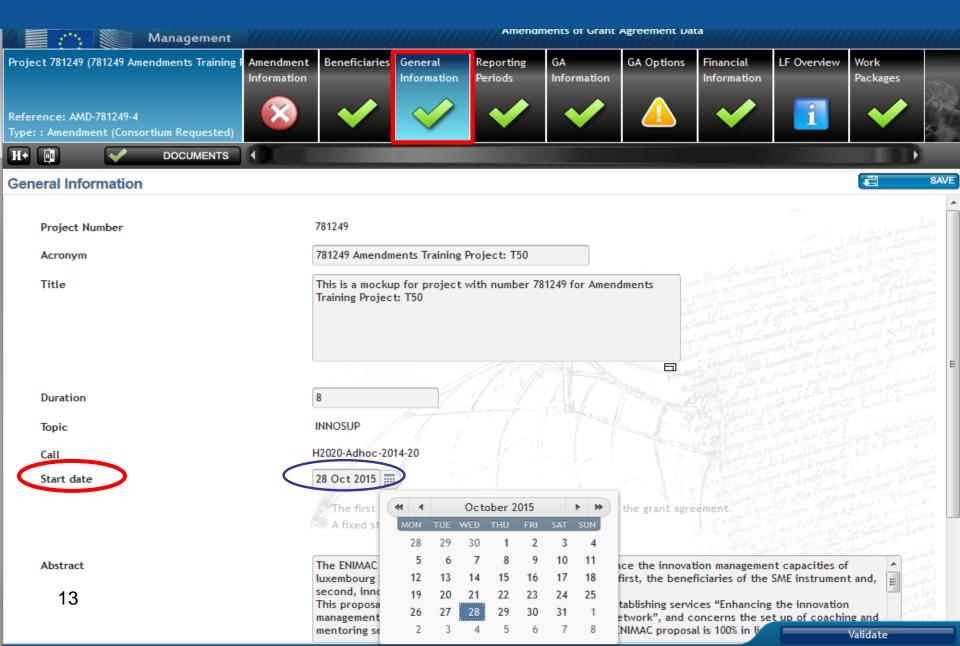


For the Agency

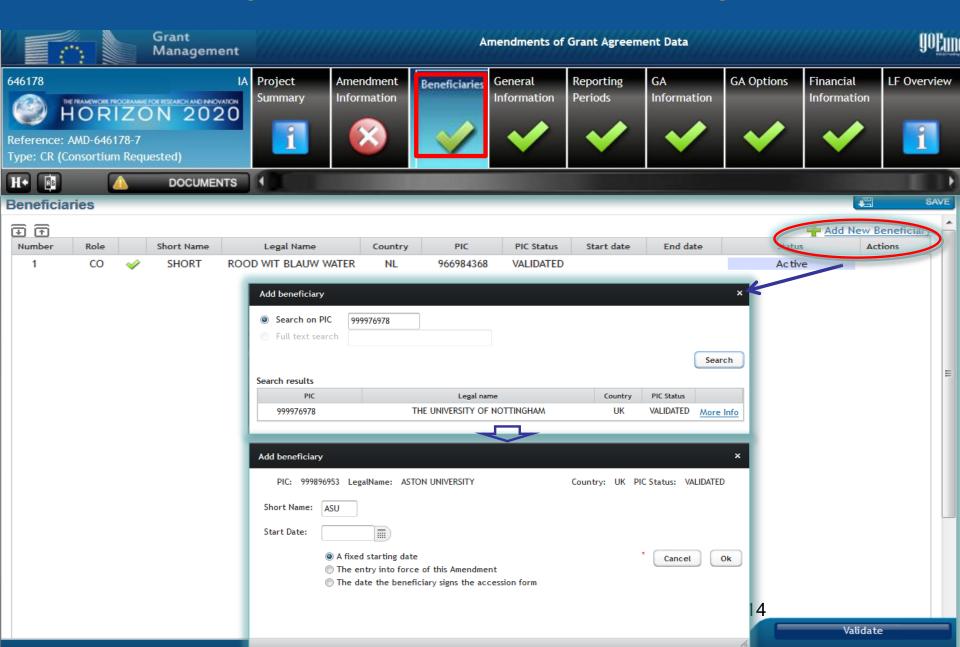
For the coordinator



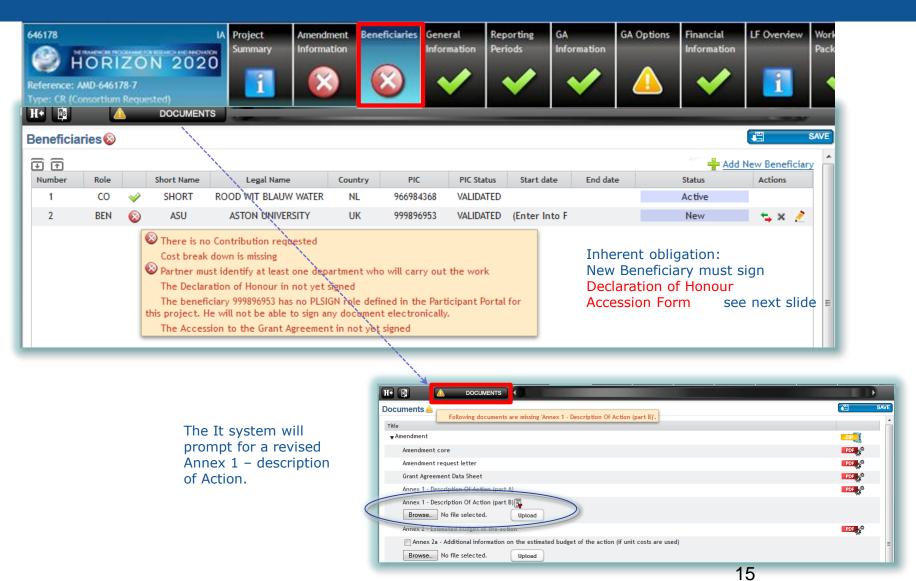
## Preparing amendment request: Example #1 - Change of start date



## Preparing amendment request: Example #2 - Addition of new beneficiary



## Preparing amendment request: Example #2 - Addition of new beneficiary ... consequent tasks to do



### Preparing amendment request: Example #2 - Addition of new beneficiary New beneficiary must sign...



#### RESEARCH & INNOVATION

Help

Participant Portal - Grant Management Services

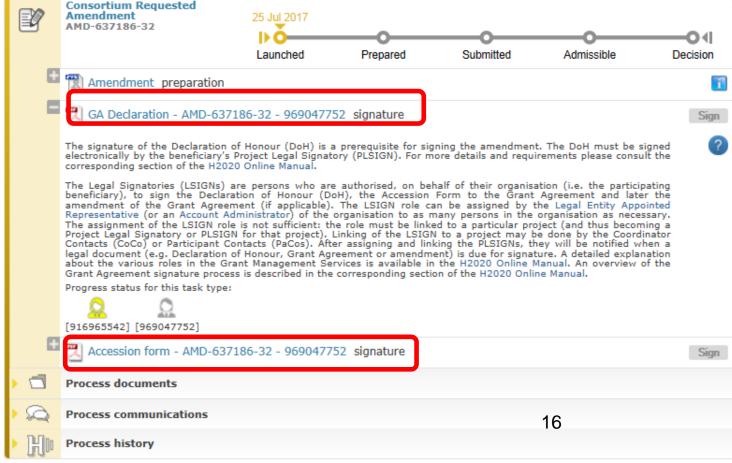


Launch new interaction with the EU

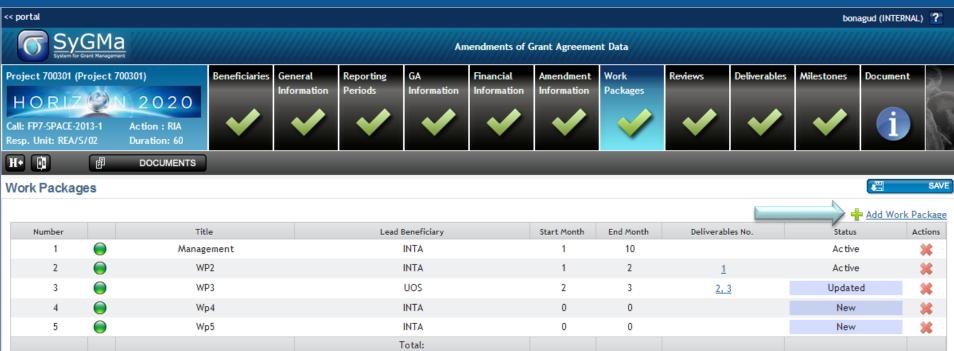


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**HOW TO** 



## Preparing amendment request: Example #3 - changes to work packages



- Addition
- Revision
- Deletion

### Preparing amendment request: Example #4 - changes to deliverables

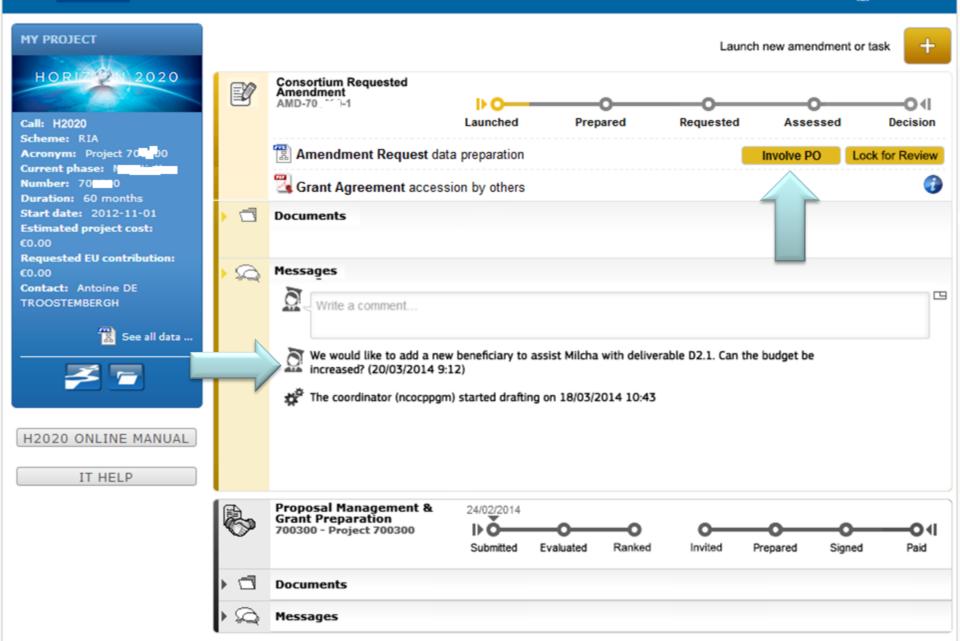
								00.000.000.000
	Grant Management			Amendments of Grant Agreen	ment Data			go <u>r</u> und.
55 (Power)			Amendment Beneficiaries General Reporting GA Information	GA Options Financial LF	Overview Work Deliverables	Ailestones Reviews	Critical Risks	
THE FRAME	RIZON 2020	Summary I	Information Periods Informa	nation Information	Packages			
Reference: AMD-	_							
	ortium Requested)		V V V V			Y		
H+ R	DOCUMENTS							
Deliverables	i							
D7	D2.1	<i>✓</i>	Turbine performance baseline report	MIN	R	CO	9	Active
D8	D2.2	<b>→</b>	·	MIN	R		9	Active
D9	D2.3	<b>~</b>		MIN	R	со	9	Active
D10	D2.4	<b>✓</b>		QUB	R	PU	9	Active
D11	D1.1	✓	Advanced generator and frequenzy converter model report	CHA	R	СО	12	Active
D12	D1.2	<	Simplified generator and frequency converter model report	CHA	R	CO	12	Active
D13	D1.3	<	System and device configuration optimisation report	AC&E	R	СО	12	Active
D14	D1.4	<	Fluid-structure interaction model report	AC&E	R	CO	12	Active
D15	D3.1	✓	Requirement specifications	MIN	R	СО	12	Active
D16	D7.6	<	Exploitation plan update	MIN	R	CO	15	Active
D17	D1.5	✓	Turbine model report	SSPA	R	СО	18	Active
D18	D1.6	<	Simulation tool report	MIN	R	CO	18	Active
D19	D3.2	✓	Energy capture design evaluation report	SSPA	R	CO	22	Active
D20	D3.3	<	Power conversion sub-system design evaluation report	CHA	R	CO	34	Updated
D21	D3.4	<	Tether sub-system design evaluation report	UWE	R	CO	34	Updated
D22	D4.1	<	Turbine sub-system optimization report	AC&E	R	CO	24	Active
D23	D4.2	✓	Power conversion sub-system optimization report	AC&E	R	CO	30	Updated
D24	D4.3	<	· · ·	AC&E	R	CO	30	Updated
D25	D4.4	✓	· · · · · · · · · · · · · · · · · · ·	MIN	R	СО	30	Updated
D26	D2.5	<	· · · · · ·	MIN	R	CO	28	Active
D27	D2.6	<b>✓</b>	Tether fairing performance improvement report	UWE	R	CO	28	Active

- The coordinator may edit exiting one: type, dissemination level, due date, description, link to WPs
- 'Ethics' type deliverables can only be modified by the EU officer



#### **Participant Portal - Grant Management Services**

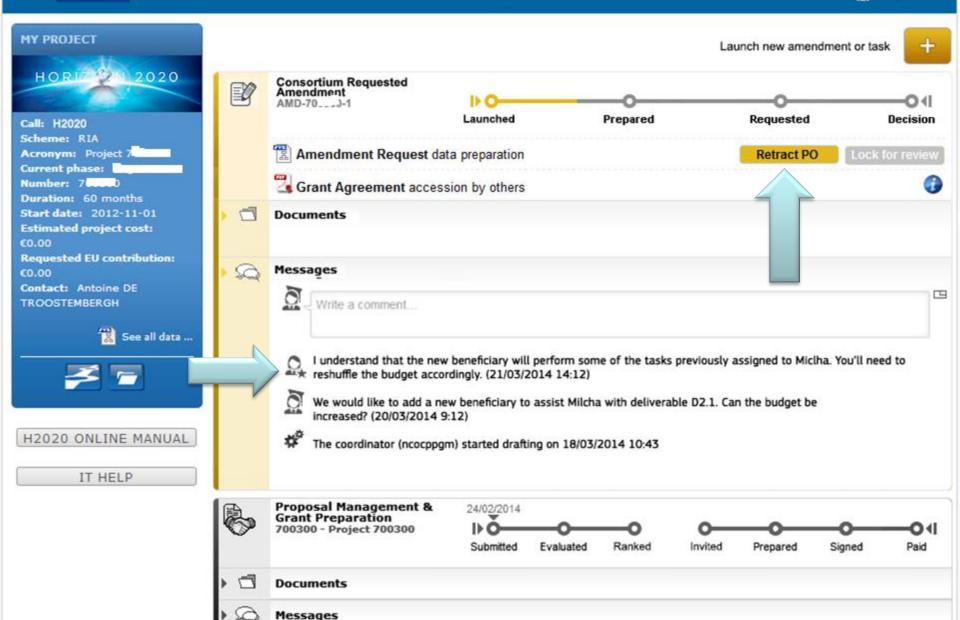






#### Participant Portal - Grant Management Services







## When request is complete: review before submission

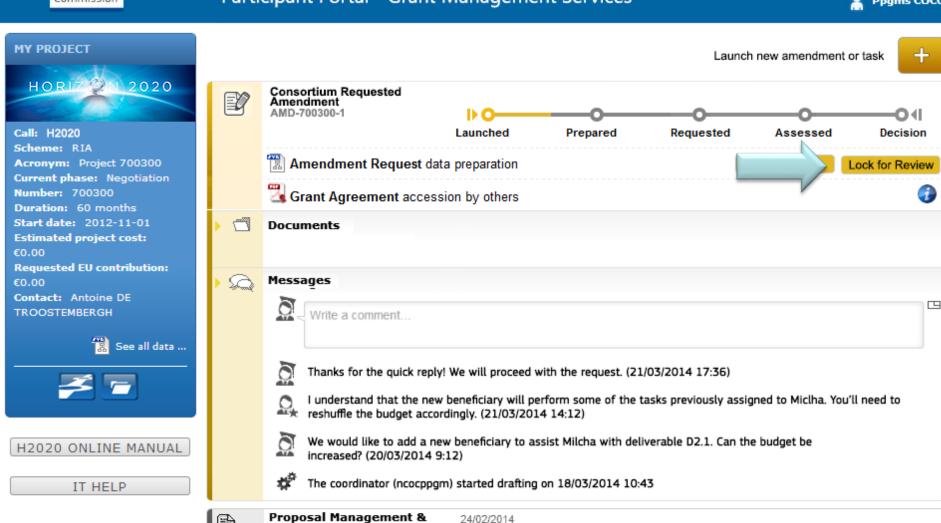
Participant Portal - Grant Management Services



Prepared

Signed

Paid



Submitted

Evaluated

Ranked

Grant Preparation 700300 - Project 700300

Documents



## **STEP 4: Sign and submit**

- \* Who: The coordinator PLSIGN (on behalf of the consortium)
- **\Delta** How: prompted for e-signature
- What: amendment request letter + core amendment sealed, signed
- > The formal submission triggers the 45-days clock



Proposal Management &

Grant Preparation 700300 - Project 700300

Documents

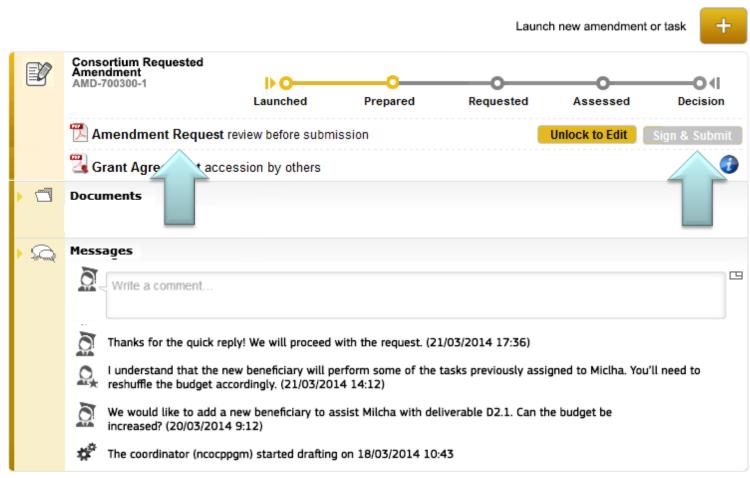
#### **Participant Portal - Grant Management Services**



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Paid





Invited

Prepared

Signed

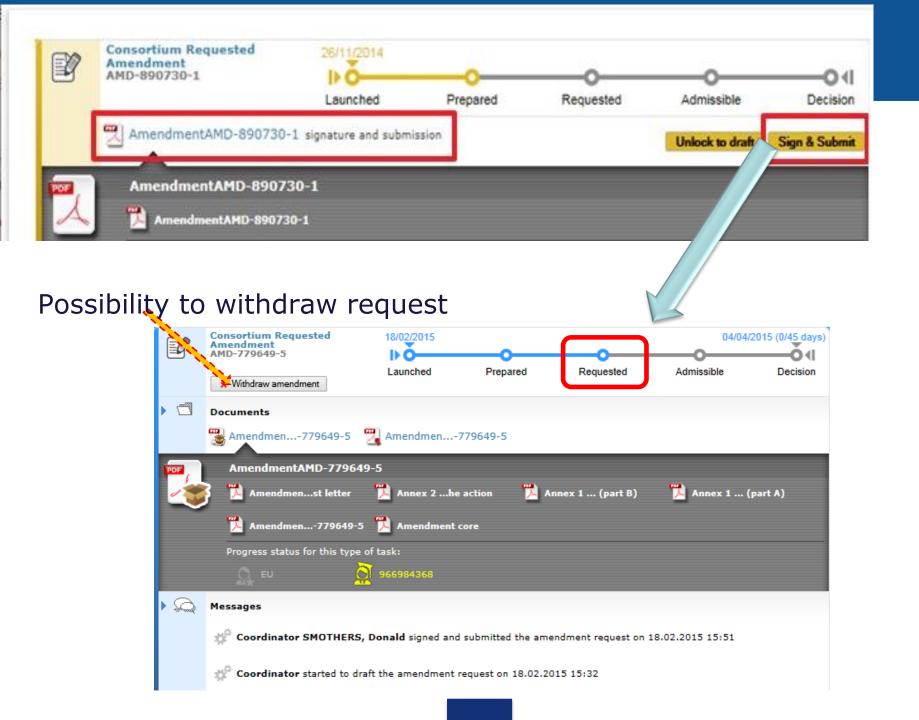
24/02/2014

Submitted

Evaluated

Ranked

IT HELP





#### What happens after the submission of the amendment request?

The EU services have 45 (\*) calendar days to assess the request.

- > May request additional information/clarification
- May reject the request
- > May agree and accept it

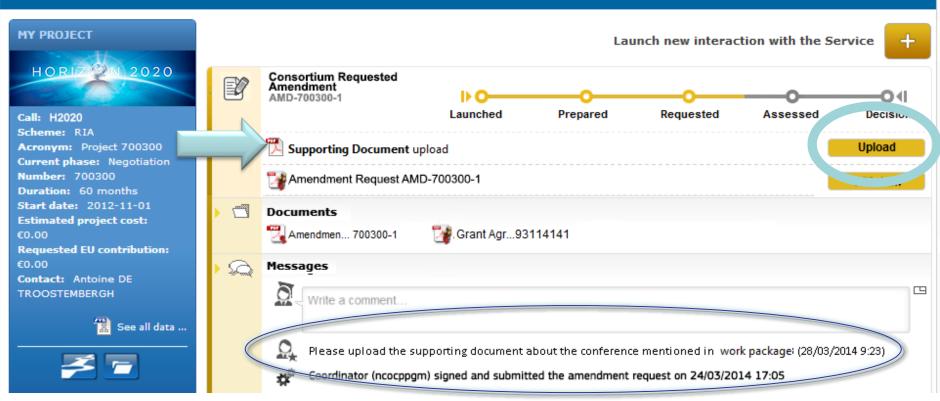


## Request for additional information

#### **RESEARCH & INNOVATION**

Participant Portal - Grant Management Services







700300 - Project 700300

Documents

#### **Participant Portal - Grant Management Services**



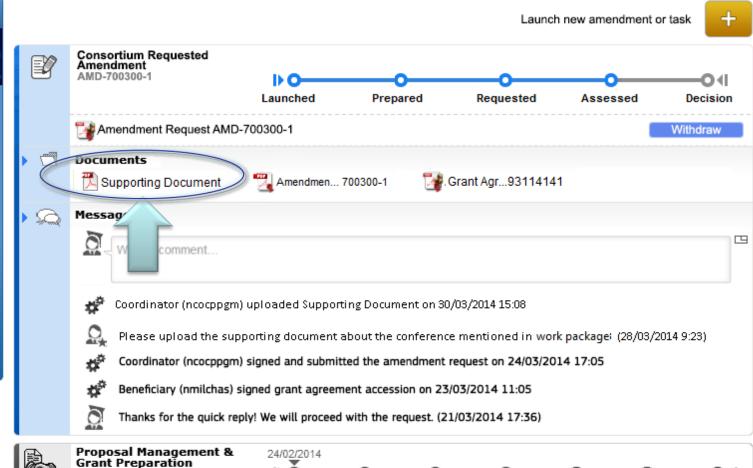
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Evaluated

Ranked

Invited

Prepared

Signed

Submitted



## Rejection

#### RESEARCH & INNOVATION

Proposal Management &

Grant Preparation 700300 - Project 700300

Documents

Messages

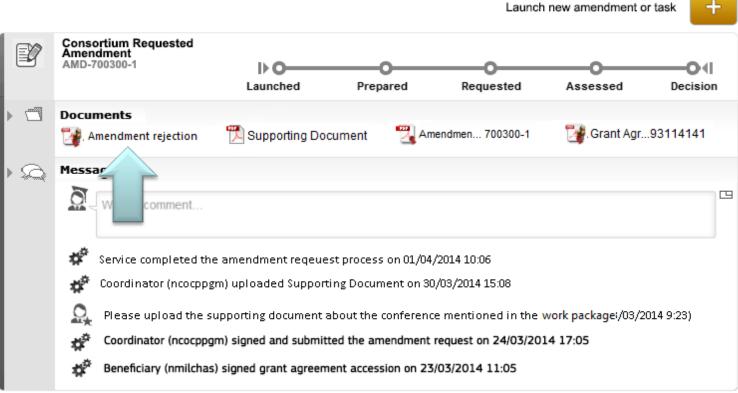
#### **Participant Portal - Grant Management Services**



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Evaluated

Ranked

Invited

Prepared

Signed

24/02/2014

Submitted

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IT HELP



Help

#### Participant Portal - Grant Management Services

🧸 Attila BERCZIK



Management
Number: 633677

Duration: 48 months
Start Date: 01 Jan 2015
Estimated Project Cost:
€13,648,823.25

Requested EU Contribution:

€9,993,008.40 Contact: Istan

Latest Legal Data

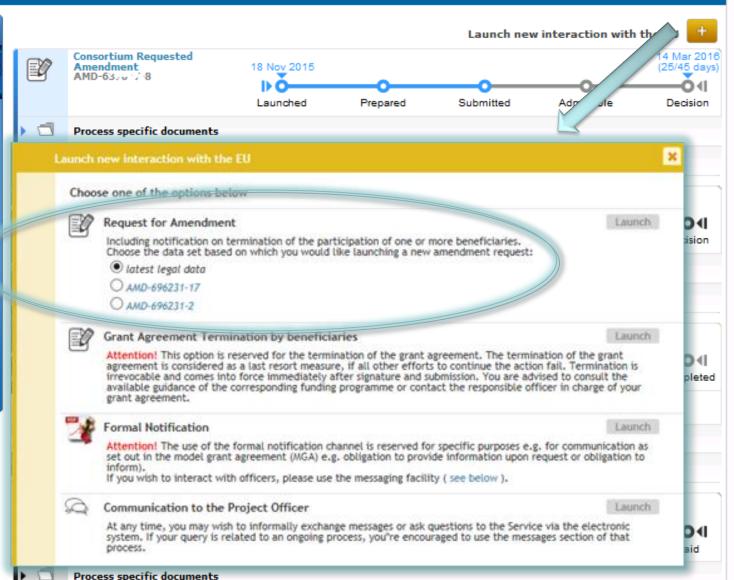
Process List

Document Library

Communication Center

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## Clarification: Termination of participation — MGA Art.50.2

..."The coordinator must formally notify termination...

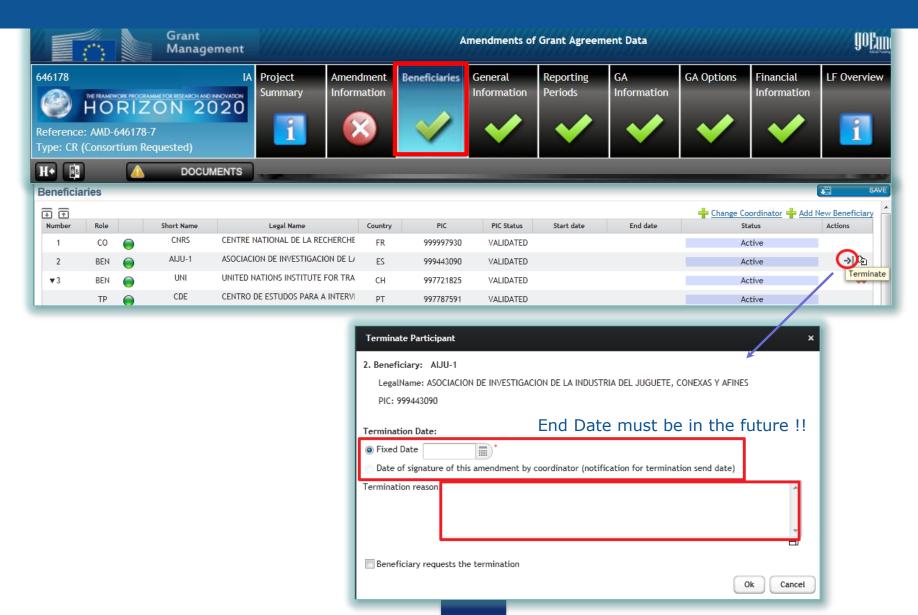
#### How?

The formal notification of termination is <u>part of an amendment</u> <u>request</u>. This design allows reflecting the necessary changes which are the results of (due to) the termination of participation and minimize the risk of improper termination.

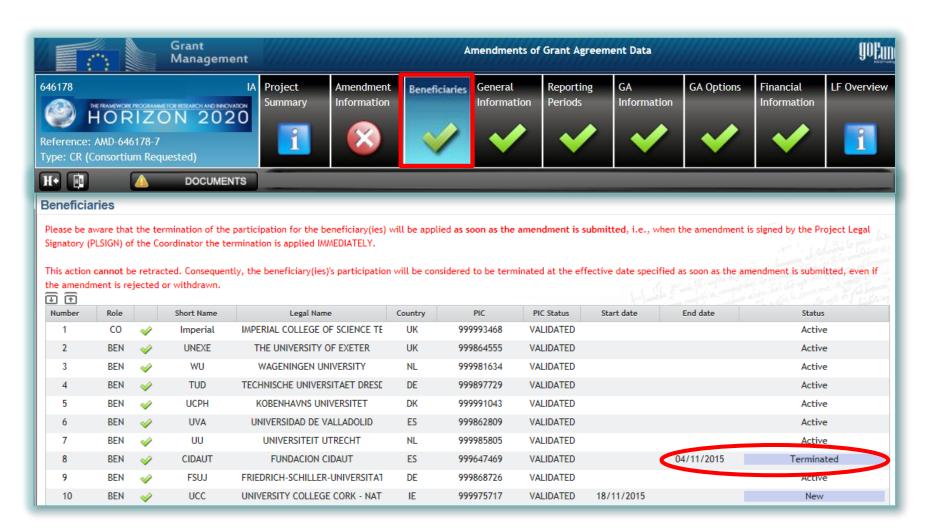
What happens if the amendment request (to which the formal notification is linked) is being withdrawn or rejected?

The notification of termination is valid. The amendment request may be withdrawn or rejected but the termination remains effective and the system captures the termination date accordingly.

## **Termination of participation - MGA Art.50.2**

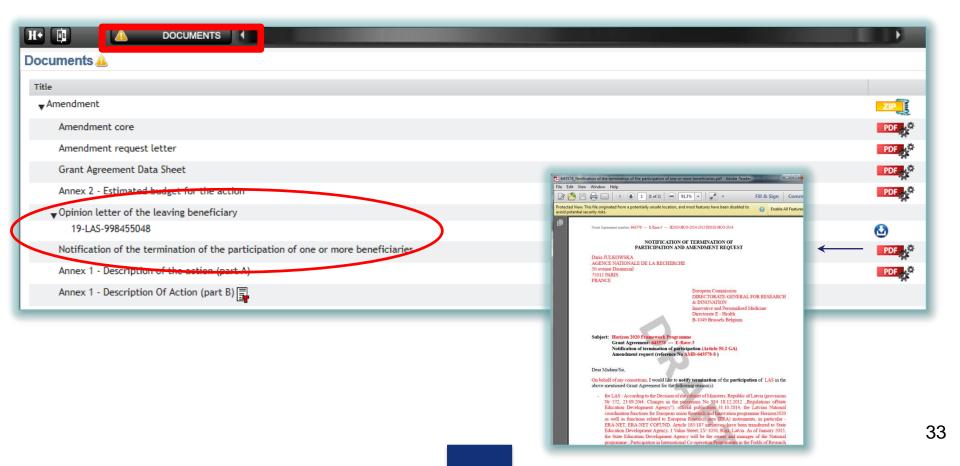


## **Termination of participation - MGA Art.50.2**



## **Termination of participation – MGA Art.50.2**

Compulsory supporting document: 'evidence' letter



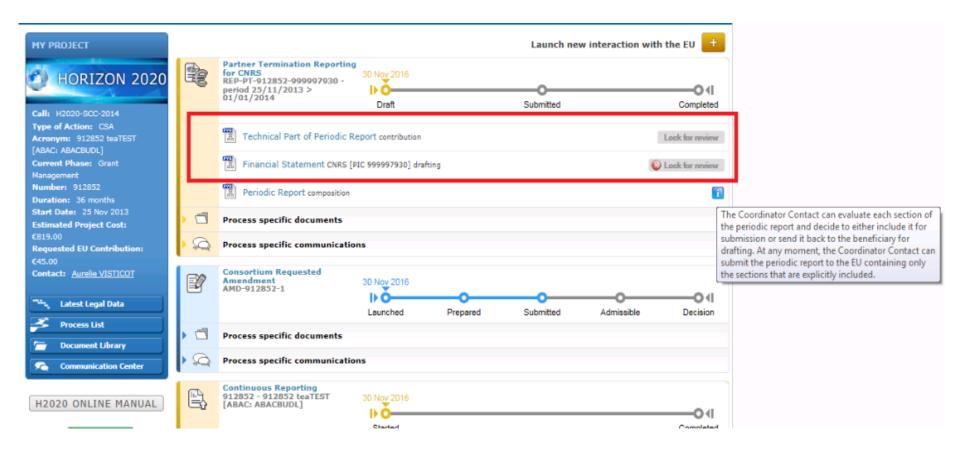


## Residual obligation: \_\_\_\_ reporting for terminated beneficiaries

- Effective date triggers the reporting obligations
  - **Deadline** 30 days if termination by the consortium
    - 60 days if termination by the EC/EA
  - **Beneficiary:** "Termination report" containing an overview of the progress of the work, use of resources, individual financial statement of beneficiary (+ any LTP), CFS (when required)
  - **Coordinator:** Report on the distribution of the payments to the beneficiary concerned (not mandatory)
    - > If not received, it is considered that the coordinator did not distribute any payment to the beneficiary concerned and that the **beneficiary concerned must not repay any amount to the coordinator**
    - ➤ The coordinator receives the parts from the beneficiary and is responsible to submit the reports to the EC/EA

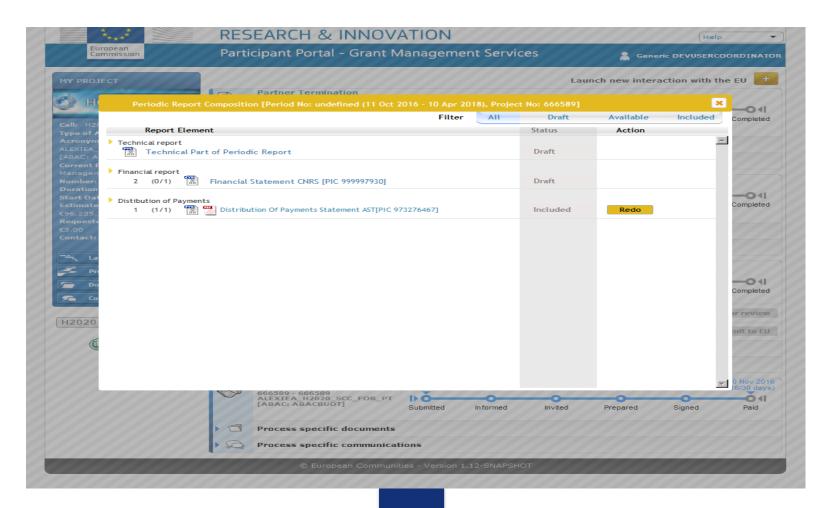


## **PPGMS** – termination reporting



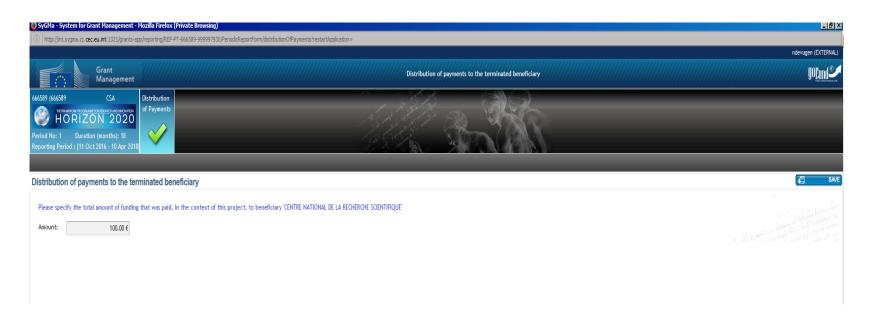


## **PPGMS** – termination reporting coordinator's view





## **PPGMS** – termination reporting coordinator's view





The designed business process and the supporting IT suite helps you

## TO DO THE RIGHT THINGS!

## Your role is TO DO THINGS RIGHT!

