



EUROPEAN COMMISSION

Brussels, 24.11.2011  
C(2011) 8185 final

**COMMISSION DECISION**

**of 24.11.2011**

**amending Decision C(2010)8336 of 07 December 2010 concerning the adoption of a financing decision, work programme and grant award decision for 2011 in the framework of ERDF and Cohesion Fund for the use of administrative and operational technical assistance at the initiative of or on behalf of the Commission**

## COMMISSION DECISION

of 24.11.2011

**amending Decision C(2010)8336 of 07 December 2010 concerning the adoption of a financing decision, work programme and grant award decision for 2011 in the framework of ERDF and Cohesion Fund for the use of administrative and operational technical assistance at the initiative of or on behalf of the Commission**

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) N° 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999<sup>1</sup>, and in particular Article 45(2) thereof,

Having regard to Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities<sup>2</sup> (hereinafter referred to as the 'Financial Regulation'), and in particular Article 75 and 110 thereof,

Having regard to Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities<sup>3</sup> (hereinafter referred to as the 'Implementing Rules'), and in particular Article 90 and 181 thereof,

Whereas:

- (1) On 7 December 2010, the Commission adopted Decision C(2010)8336 concerning the adoption of a financing decision, work programme and grant award decision for 2011 in the framework of ERDF and Cohesion Fund for the use of administrative and operational technical assistance at the initiative of or on behalf of the Commission.
- (2) The co-financing rate for the grant to EIF for JASMINE should be modified. All other characteristics of the grant remain unchanged.
- (3) A new action "Poverty mapping - mapping extremely marginalised communities in Member States" to be implemented through joint management according to Article 53d par. 1 of the Financial Regulation with the United Nations Development Programme (UNDP) and World Bank (WB) is introduced. (Point 1.4.4. of Annex I

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<sup>1</sup> OJ L 210, 31.07.2006, p. 25.

<sup>2</sup> OJ L 248, 16.9.2002, p. 1.

<sup>3</sup> OJ L 357, 31.12.2002, p. 1.

and Point 1.4.3. of Annex II to this decision). These international organisations apply standards offering guarantees equivalent to internationally accepted standards in their accounting, audit, internal control and procurement procedure, in line with Article 53d(1) of the Financial Regulation.

- (4) A new action "Follow-up of works on Smart Specialisation Strategies" which will be implemented through joint management according to Article 53d paragraph 1 of the Financial Regulation with the Organisation for the Economic Co-operation and Development (OECD) is introduced. (Point 1.4.1. of Annex I to this Decision). This international organisation applies standards offering guarantees equivalent to internationally accepted standards in its accounting, audit, internal control and procurement procedure, in line with Article 53d(1) of the Financial Regulation.
- (5) A new administrative arrangement "Developing new indicators and GIS layers for environmental risk assessments" with the Joint Research Center (JRC) is introduced (Point 1.5.1. of Annex I and II to this Decision).
- (6) In accordance with the procedure referred to in Article 103 (2) of Regulation (EC) No 1083/2006, the Coordination Committee of the Funds was consulted and delivered a favourable opinion for the modification of the work programme for 2011.
- (7) Decision C(2010)8336 should therefore be amended accordingly,

HAS DECIDED AS FOLLOWS:

*Sole Article*

Annexes I and II to Decision C(2010)8336 are replaced by Annexes I and II to this Decision.

Done at Brussels, 24.11.2011

*For the Commission*  
*Johannes Hahn*  
*Member of the Commission*

## ANNEX I

### BUDGET LINE:

13 03 20- ERDF Operational Technical Assistance budget line

#### **1.1. Introduction**

This work programme contains implementing measures for the year 2011. On the basis of the objectives given in the article 45 of Council regulation 1083/2006, the budget breakdown and main actions are as follows:

- for grants (implemented under direct centralised management) (1.2): EUR 29.489.000,00
- for procurement (implemented under direct centralised management) (1.3): 15.505.230,00
- for actions implemented under joint management through an agreement with an international organisation (1.4): EUR 1.285.000,00
- for other actions (1.5): EUR 3.720.770,00

#### **1.2. Grants**

Grants shall be covered by a written agreement.

##### *1.2.1. JASPERS*

Priorities of the year, objectives to be fulfilled and expected results

This grant is a continuation of funding from Commission to EIB to finance part of the JASPERS technical assistance facility with the purpose of ensuring continued support to the 12 eligible Member States for the preparation of major projects which will be supported by EU funds.

Continued output of expert reports for the Member States concerned, for capacity building purposes and as input to applications to DG REGIO for support for major projects.

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant to EIB. Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2008, the EIB is identified as recipient of a grant and a direct award is, therefore justified. The Commission is funding the costs of staff in the JASPERS unit within EIB (58 experts plus 14 support staff), in the form of a flat rate per month: EUR 26,142 per expert and EUR 14,667 for support staff. Mission costs will also be eligible on an actual cost basis, subject to a ceiling per expert per year. Other costs, such as external consultancy, translation, external audit and the costs of some events throughout the year will also be eligible on actual cost basis. Maximum possible co-financing rate 85%

Indicative amount of the direct award:

EUR 20.594.000,00<sup>4</sup>

### 1.2.2. *JESSICA*

Priorities of the year, objectives to be fulfilled and expected results

The JESSICA Action for 2011 will comprise completion of JESSICA evaluation studies previously undertaken and launching new evaluation studies in interested Member States or EU regions; preparation of horizontal studies and contributing to the work of the JESSICA Networking Platform by sharing knowledge and experience with other stakeholders. Other appropriate actions to be agreed between the Commission and the EIB in the contribution agreement for 2011 could also be envisaged, in particular those aimed at raising awareness of the initiative and its added value as well as exchanging good practice regarding the implementation of JESSICA. The purpose of this action is to identify appropriate implementation conditions and gaps between supply and demand for the JESSICA instrument in Member States and regions. It is expected to assist Member States and regions in planning and preparing the framework for the implementation of JESSICA; raise awareness of the initiative and to contribute to development/exchange of good practice.

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant to EIB. Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2006, the EIB is identified as recipient of a grant and a direct award is therefore justified. Expenses for professional staff will be reimbursed at the following rates per month: EUR 16,250 for salary and salary related costs for professional staff; EUR 6,250 for salary and salary related costs for support staff; EUR 14,250 for other direct and indirect costs for professional staff; EUR 9,250 for other direct and indirect costs for support staff. Travel and subsistence costs, as well as the costs of external consultants will be also eligible on an actual cost basis. Max co-financing rate: 85%.

Indicative amount of the direct award:

EUR 3.500.000,00

### 1.2.3. *JASMINE*

Priorities of the year, objectives to be fulfilled and expected results

The action in 2011 is the continuation of the action started in 2008 and is necessary to develop the micro-credit element of the JEREMIE initiative in Member States and regions. It is aimed at rolling out the services that the JASMINE facility will provide to selected micro-credit providers/micro-finance institutions/MFIs to help them improve the quality of their operations. The aim is to improve the provision of micro-credit in Member States and regions. Develop the provision of technical assistance (TA) to non-bank micro-credit providers/MFIs. TA will include, amongst other activities, assessment, training and rating of selected micro-credit providers/MFIs, as well as development of a general "business

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<sup>4</sup> JASPERS is financed on both ERDF and CF operational budget lines (13 0320: 70% ; 13 0402: 30%); the total budget allocation for this action is therefore EUR 29.420.000,00

development package" for micro-credit providers/MFIs.

Expected results:

- 1) Provide technical assistance services (assessment/ diagnosis, rating and/or training) to selected 25 micro-credit providers/MFIs active in the EU through at least two specialised rating agencies of the European Union;
- 2) Design a "business development package" for micro-credit providers/MFIs;
- 3) Select additional 15 micro-credit providers/MFIs who might benefit from services and products provided by the JASMINE facility.

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant to EIF. Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2006, the EIF is identified as recipient of a grant and a direct award is therefore justified. Expenses for the EIF staff will be reimbursed at the following maximum rates per month: EUR 14,720 for salary and salary related costs for professional staff: EUR 6,745 for salary and salary related costs for support staff: EUR 11,224 for other direct and indirect costs for professional staff: EUR 7,925 for other direct and indirect costs for support staff. Travel and subsistence costs, as well as the costs of external consultants will be also eligible on an actual cost basis.. Max. co-financing rate: 95%.

Indicative amount of the direct award:

EUR 2.420.000,00

#### 1.2.4. *Homologue Group Meeting*

Priorities of the year, objectives to be fulfilled and expected results

The 20th annual meeting of the European financial controllers (Homologues group meeting) will be hosted by the Maltese Audit Authority. This is an annual conference gathering of representatives of Member States and the Commission to discuss issues related to controls of the management of the Structural Funds. The objectives of this meeting are sharing and dissemination of best practices amongst Member States. It is expected better cooperation between Member States and the EC.

Implementation-maximum possible rate of co-financing-Form of the grant

This action will be implemented through a direct grant. The beneficiary designated on an annual basis by common agreement of the Homologue group participants. Maximum co-financing rate: 80%

Indicative amount of the direct award:

EUR 175.000,00

#### 1.2.5. *Title of the Action: European Public-Private Partnership Expertise Centre (EPEC)*

Overall objective and purpose of the action

This grant will co-finance the activities of the EPEC facility within the EIB which is intended to build capacity in relation to public private partnerships.

The output of EPEC will be in the form of expert reports for the members of EPEC (Commission and Member States) and capacity building activities such as training events.

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant to EIB. The action will be jointly funded with TENEA. This Financing Decision will cover the budgetary needs for EPEC for 2011 and 2012. Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2008, the EIB is identified as recipient of a grant and a direct award is, therefore, justified. The Commission is funding the costs of staff in the EPEC unit within EIB in the form of a flat rate per month of not more than EUR 38,000. Other costs, such as external consultancy and external audit will also be eligible on actual cost basis. The maximum possible co-financing rate to the whole Commission's support to the project will be 50%, 2/3 of it will be financed by DG REGIO.<sup>5</sup>

Indicative amount of the direct award:

EUR 2.800.000,00

### 1.3. Procurement

The overall budgetary allocation reserved for procurement contracts in 2011 amounts to EUR 15.505.230,00.

Indicative number and type of contracts envisaged

A number of activities will be implemented through service contracts (direct contracts, specific contracts or order forms under FWC).

These types of activities consist of the following measures:

*-Evaluations:*

indicative number of direct contracts: 9.

Budget allocation:

EUR 2.855.000,00

*-Studies:*

indicative number of direct contracts: 16

Budget allocation:

EUR 2.692.300,00

*-Audit:*

indicative number of specific contracts/order forms under FWC: 10

indicative number of direct contracts: 1

Budget allocation: EUR 916.930,00

*-Communication and publication:*

<sup>5</sup> This action is financed on both ERDF and CF operational budget lines (13 0320: 70% ; 13 0402: 30%); the total budget allocation for this action is therefore EUR 4.000.000,00

indicative number of specific contracts/order forms under FWC: 55  
indicative number of direct contracts: 5  
Budget allocation: EUR 4.980.000,00  
*-IT:*  
indicative number of specific contracts/order forms under FWC: 1  
Budget allocation: EUR 553.000,00  
*-Meetings and conferences:*  
indicative number of specific contracts/order forms under FWC: 10  
Budget allocation: EUR 1.597.000,00  
*-Technical and other administrative assistance:*  
indicative number of specific contracts/order forms under FWC: 4  
Budget allocation: EUR 1.911.000,00

The indicative timeframe for launching the procurement procedures is 1st January 2011.

#### **1.4. Joint Management-Actions implemented in joint management through an agreement with an international organisation**

##### *1.4.1. Title of the Action: Follow-up of works on Smart Specialisation Strategies*

Budget

EUR 200.000,00

Implementing entity

This action will be implemented through a contribution agreement with OECD. Only the OECD can offer the policy dialogue needed to provide legitimacy and credibility to the analysis and the policy recommendations. Joint-management applies because the project is jointly elaborated with the OECD: DG REGIO will participate in the meetings to decide how to elaborate and develop this project. We will decide how to set up the structure and priorities of the work and the specific output. DG REGIO will contribute to the drafting and editing of the different reports.

Overall objective and purpose of the action

Follow-up of the work on Smart Specialisation with OECD and coordination with the work of the Smart Specialisation Platform/JRC/ITPS. The objective is to inform the TIP and the OECD member countries about the Platform on Smart Specialisation Strategies and to follow the works linked to this concept. OECD will join the Mirror Group of the Platform and ensure follow-up of the actions undertaken in the field of Smart Specialisation. The works can help to develop new areas of work in smart specialisation, for example, by looking how countries such as South Korea, Australia or Singapore are making the smart specialisation concept operational. The works will also focus on how smart specialisation strategies lead to differentiated investments according to relative strengths, capitalise on interdependencies by identifying complementarities in regional clusters which are nodes in international value chains. It will be also investigated how, by providing shared strategic intelligence and shared road maps addressing societal challenges, governments and international organisations can facilitate the process of entrepreneurial 'discovery'.



#### 1.4.2. *Title of the Action: Cohesion policy conditionnalities and institutions*

##### Budget

EUR 700.000,00

##### Implementing entity

This action will be implemented through a contribution agreement with OECD. Only the OECD can offer the policy dialogue needed to provide legitimacy and credibility to the analysis and the policy recommendations. Joint-management applies because the project is jointly elaborated with the OECD: DG REGIO will participate in the meetings to decide how to elaborate and develop this project. We will decide how to set up the structure and priorities of the work and the specific output. DG REGIO will contribute to the drafting and editing of the different reports.

##### Overall objective and purpose of the action

This action aims to provide better understanding of the “conditionality” attached to structural funds, notably its strengths and weaknesses, in the context of a particular stringent fiscal consolidation environment, and to articulate recommendations based on a deeper understanding of the implementation of regional policies, conditionality mechanisms and sub-national governance capacities.

#### 1.4.3. *Cross-border regional innovation policies*

##### Budget

EUR 70.000,00

##### Implementing entity

This action will be implemented through a contribution agreement with OECD. Only the OECD can offer the policy dialogue needed to provide legitimacy and credibility to the analysis and the policy recommendations. Joint-management applies because the project is jointly elaborated with the OECD: DG REGIO will participate in the meetings to decide how to elaborate and develop this project. We will decide how to set up the structure and priorities of the work and the specific output. DG REGIO will contribute to the drafting and editing of the different reports.

##### Overall objective and purpose of the action

This study will analyse cross border innovation in a sample of cross border areas, each of which will also have to provide co-financing for this study. The goal of the study is to provide policy recommendations how to promote cross border innovation and to have a better understanding of the possible benefits. The study will address three key questions: (1) How to define relevant functional regions for innovation? (2) How to design effective cross-border regional innovation policies? (3) How to assess the success of cross-border regional

innovation policies?

*1.4.4. Poverty mapping - mapping extremely marginalised communities in Member States*  
*Budget*

EUR 315.000,00

Implementing entity

Following the publication of the Communication on "Fighting against poverty platform", the Communication "EU framework for Roma National Integrated Strategies", calling for mapping marginalised communities in Europe to better spend national and communities funds in favour of extremely poverty and the EU Council Conclusions of 24 June 2011. DG REGIO and DG EMPL agreed to sign a joint management agreement with the World Bank in cooperation with the UNDP and OSI to map poverty in CZ, HU, SK, PL, BU, RO, Estonia, Latvia, Lithuania, Slovenia. This action will be complemented with a mapping exercise of marginalised communities in the old member states to be carried out in the framework of ESPON.

Overall objective and purpose of the action

The main objective of the action is to map extremely marginalised communities in Member States and use the maps to more efficiently target interventions in these areas. Poverty is linked to the territories and the objective is to map local areas below NUTS 3 level. In collaboration with ESPON, this project would allow using the maps during the upcoming negotiation of the Cohesion Funds.

**1.5. Other**

*1.5.1. Title of the Action: Developing new indicators and GIS layers for environmental risk assessments*  
*Administrative arrangement with JRC*

Budget

EUR 210.000,00

Description and objective of the implementing measure

This study will combine a number of different sources of information, include soil sealing, forest layers, urban atlas, CORINE land cover and road network. Through this is will create more precise information and indicators to better assess risks. This study will create a number of continental GIS layers (including population grids for 1990 and 2006) to refine vulnerability and risk assessment. In addition, it will develop a limited number of regional and urban composite indicators on exposure, vulnerability and risk. It will improve the reliability of risk assessment, which will also facilitate the assessment of European Solidarity Fund applications.

1.5.2. *Title of the Action: Urban Audit- sub-delegation to EUROSTAT*

Budget

EUR 400.000,00

Description and objective of the implementing measure

This action will be the contribution from DG REGIO to the third large scale Urban Audit data collection. EUROSTAT will implement this action through a contribution to National Audit Coordinators for data collection 2011.

1.5.3. *Title of the Action: Contribution to acquisition of geo-referenced data- Sub-delegation to EUROSTAT*

Budget

EUR 140.000,00

Description and objective of the implementing measure

This action is DG REGIO's contribution to the common acquisition programme of Commission services regarding geo-referenced data. The objective is to get improved analytical capacity on the production of geo-referenced statistics, especially in the field of transport. The availability of better and more up-to-date geographic reference data will contribute to improved analytical results.

1.5.4. *Title of the Action: Review of regional expenditure – Sub-delegation to EUROSTAT*

Budget

EUR 140.000,00

Description and objective of the implementing measure

Review of regional expenditure. The aim is that Member States start collecting and communicating data on public expenditure at regional level.

1.5.5. *Title of the Action: Smart Specialisation Platform-Administrative arrangement with IPTS*

Budget

EUR 2.040.000,00

Description and objective of the implementing measure

Member States and regions are asked to develop smart specialisation strategies. This requires the creation of strategic intelligence. The Platform will bring together expertise from research centres, regional authorities, businesses and Commission services. It will develop tool boxes, design the principles of assessment and propose tools to measure outcomes. It will create a critical mass of developers and implementers of smart strategies and establish systems of peer review. Member States and regions will have increased their capacity to develop smart specialisation strategies. These will be crucial for the alignment of Regional Policy with Europe 2020 and the Innovation Union - flagship initiative and for the preparation of post-2013 programmes of the ERDF.

#### *1.5.6. Meetings with Experts*

Description and objective of the implementing measure

This action aims at covering expert related costs (reimbursement of travel expenses as well as providing daily and accommodation allowance) for experts participating in meetings and conferences with the Commission. It will also cover expenses for technical assistance provided by experts through simplified experts contracts, implemented under article 179 bis FR.

Budget

EUR 790.770,00

## ANNEX II

BUDGET LINE:

13 04 02- Cohesion Fund (CF) Operational Technical Assistance budget line
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### **1.1 Introduction**

This work programme contains implementing measures for the year 2011. On the basis of the objectives given in the article 45 of Council regulation 1083/2006, the budget breakdown and main actions are as follows:

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| <ul style="list-style-type: none"><li>- for grants (implemented under direct centralised management) (1.2):<br/>EUR 10.101. 000,00</li><br/><li>- for procurement (implemented under direct centralised management) (1.3):<br/>EUR 5. 293.500,00</li><br/><li>- for actions implemented under joint management through an agreement with an international organisation (1.4): EUR 465.000,00</li><br/><li>- for other actions (1.5): EUR 1.228.500,00</li></ul> |
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### **1.2 Grants**

Grants shall be covered by a written agreement

#### *1.2.1 JASPERS*

Priorities of the year, objectives to be fulfilled and expected results

<p>This grant is a continuation of funding from Commission to EIB to finance part of the JASPERS technical assistance facility with the purpose of ensuring continued support to the 12 eligible Member States for the preparation of major projects which will be supported by EU funds.</p>
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<p>Continued output of expert reports for the Member States concerned, for capacity building purposes and as input to applications to DG REGIO for support for major projects.</p>
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Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

<p>This action will be implemented through a direct grant to EIB Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2008, the EIB is identified as recipient of a grant and a direct award is, therefore justified. The Commission is funding the costs of staff in the JASPERS unit within EIB (58 experts plus 14 support staff), in the form of a flat rate per month: €26,142 per expert and €14,667 for support staff. Mission costs will also be eligible on an actual cost basis, subject to a ceiling per expert per year. Other costs, such as external consultancy, translation, external audit and the costs of some events throughout the year will also be eligible on actual cost basis. Maximum possible co-financing rate 85%</p>
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Indicative amount of the direct award:

EUR 8.826.000,00<sup>6</sup>

### *1.2.2 Title of the Action: European Public-Private Partnership Expertise Centre (EPEC)*

Overall objective and purpose of the action

This grant will co-finance the activities of the EPEC facility within the EIB which is intended to build capacity in relation to public private partnerships.

The output of EPEC will be in the form of expert reports for the members of EPEC (Commission and Member States) and capacity building activities such as training events.

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant to EIB. The action will be jointly funded with TENEA. This Financing Decision will cover the budgetary needs for EPEC for 2011 and 2012. Having regard to Article 36 and 45 of Council Regulation 1083/2006 of 11 July 2008, the EIB is identified as recipient of a grant and a direct award is, therefore, justified. The Commission is funding the costs of staff in the EPEC unit within EIB in the form of a flat rate per month of not more than €38,000. Other costs, such as external consultancy and external audit will also be eligible on actual cost basis. The maximum possible co-financing rate to the whole Commission's support to the project will be 50%, 2/3 of it will be financed by DG REGIO.<sup>7</sup>

Indicative amount of the direct award:

EUR 1.200.000,00

### *1.2.3 Homologue Group Meeting*

Priorities of the year, objectives to be fulfilled and expected results

The 20th annual meeting of the European financial controllers (Homologues group meeting) will be hosted by the Maltese Audit Authority. This is an annual conference gathering of representatives of Member States and the Commission to discuss issues related to controls of the management of the Structural Funds. The objectives of this meeting are sharing and dissemination of best practices amongst Member States. It is expected better cooperation between Member States and the EC

Implementation-maximum possible rate of co-financing-Form of the grant (flat rates)

This action will be implemented through a direct grant. The beneficiary designated on an annual basis by common agreement of the Homologue group participants. Maximum co-financing rate: 80%

Indicative amount of the direct award:

<sup>6</sup> JASPERS is financed on both ERDF and CF operational budget lines (13 0320: 70% ; 13 0402: 30%); the total budget allocation for this action is therefore EUR 29.420.000,00

<sup>7</sup> This action is financed on both ERDF and CF operational budget lines (13 0320: 70% ; 13 0402: 30%); the total budget allocation for this action is therefore EUR 4.000.000,00

EUR 75.000,00

### 1.3 Procurement

The overall budgetary allocation reserved for procurement contracts in 2011 amounts to EUR 5.293.500,00.

Indicative number and type of contracts envisaged

A number of activities will be implemented through service contracts (direct contracts, specific contracts or order forms under FWC).

These types of activities consist of the following measures:

*-Evaluations:*

indicative number of direct contracts: 4

Budget allocation: EUR 1.095.000,00

*-Studies:*

indicative number of direct contracts: 9

Budget allocation: EUR 386.700,00

*-Audit:*

indicative number of specific contracts/order forms under FWC contract: 10

indicative number of direct contracts: 1

Budget allocation: EUR 392.970,00

*-Communication and publication:*

indicative number of specific contracts/order forms under FWC contract: 55

indicative number of direct contracts: 5

Budget allocation: EUR 1.890.000,00

*-IT:*

indicative number of specific contracts/order forms under FWC: 1

Budget allocation: EUR 237.000,00

*-Technical and other administrative assistance*

indicative number of specific contracts/order forms under FWC: 4

Budget allocation: EUR 1.291.830,00

The indicative timeframe for launching the procurement procedures is 1st January 2011.

### 1.4 Joint Management-Actions implemented in joint management through an agreement with an international organisation

*1.4.1 Title of the Action: Cohesion policy conditionnalities and institutions*

Budget

EUR 300.000,00

Implementing entity

This action will be implemented through a contribution agreement with OECD. Only the OECD can offer the policy dialogue needed to provide legitimacy and credibility to the analysis and the policy recommendations.

Joint-management applies because the project is jointly elaborated with the OECD: DG REGIO will participate in the meetings to decide how to elaborate and develop this project. We will decide how to set up the structure and priorities of the work and the specific output. DG REGIO will contribute to the drafting and editing of the different reports.

Overall objective and purpose of the action

This action aims to provide better understanding of the “conditionality” attached to structural funds, notably its strengths and weaknesses, in the context of a particular stringent fiscal consolidation environment, and to articulate recommendations based on a deeper understanding of the implementation of regional policies, conditionality mechanisms and sub-national governance capacities.

#### *1.4.2 Cross-border regional innovation policies*

Budget

EUR 30.000,00

Implementing entity

This action will be implemented through a contribution agreement with OECD. Only the OECD can offer the policy dialogue needed to provide legitimacy and credibility to the analysis and the policy recommendations. Joint-management applies because the project is jointly elaborated with the OECD: DG REGIO will participate in the meetings to decide how to elaborate and develop this project. We will decide how to set up the structure and priorities of the work and the specific output. DG REGIO will contribute to the drafting and editing of the different reports.

Overall objective and purpose of the action

This study will analyse cross border innovation in a sample of cross border areas, each of which will also have to provide co-financing for this study. The goal of the study is to provide policy recommendations how to promote cross border innovation and to have a better understanding of the possible benefits. The study will address three key questions: (1) How to define relevant functional regions for innovation? (2) How to design effective cross-border regional innovation policies? (3) How to assess the success of cross-border regional innovation policies?

#### *1.4.3 Poverty mapping - mapping extremely marginalised communities in Member States*

Budget

EUR 135.000,00

Implementing entity



Following the publication of the Communication on "Fighting against poverty platform", the Communication "EU framework for Roma National Integrated Strategies", calling for mapping marginalised communities in Europe to better spend national and communities funds in favour of extremely poverty and the EU Council Conclusions of 24 June 2011. DG REGIO and DG EMPL agreed to sign a joint management agreement with the World Bank in cooperation with the UNDP and OSI to map poverty in CZ, HU, SK, PL, BU, RO, Estonia, Latvia, Lithuania, Slovenia. This project will be complemented with a mapping exercise of marginalised communities in the old member states to be carried out in the framework of ESPON.

Overall objective and purpose of the action

The main objective of the project is to map extremely marginalised communities in Member States and use the maps to more efficiently target interventions in these areas. Poverty is linked to the territories and the objective is to map local areas below NUTS 3 level. In collaboration with ESPON, this project would allow using the maps during the upcoming negotiation of the Cohesion Funds.

## 1.5 Other

*1.5.1 Title of the Action: Developing new indicators and GIS layers for environmental risk assessments Administrative arrangement with JRC*

Budget

EUR 90.000,00

Description and objective of the implementing measure

This study will combine a number of different sources of information, include soil sealing, forest layers, urban atlas, CORINE land cover and road network. Through this is will create more precise information and indicators to better assess risks. This project will create a number of continental GIS layers (including population grids for 1990 and 2006) to refine vulnerability and risk assessment. In addition, it will develop a limited number of regional and urban composite indicators on exposure, vulnerability and risk. This project will improve the reliability of risk assessment, which will also facilitate the assessment of European Solidarity Fund applications.

*1.5.2 Title of the Action: Contribution to acquisition of geo-referenced data- sub-delegation to EUROSTAT*

Budget

EUR 60.000,00

Description and objective of the implementing measure

This action will be the contribution from DG REGIO to the third large scale Urban Audit data collection. EUROSTAT will implement this action through a contribution to National Audit Coordinators for data collection 2011.

#### *1.5.3 Title of the Action: Review of regional expenditure – Sub-delegation to EUROSTAT*

##### Budget

EUR 60.000,00

##### Description and objective of the implementing measure

This action is DG REGIO's contribution to the common acquisition programme of Commission services regarding geo-referenced data. The objective is to get improved analytical capacity on the production of geo-referenced statistics, especially in the field of transport. The availability of better and more up-to-date geographic reference data will contribute to improved analytical results.

#### *1.5.4 Title of the Action: Smart Specialisation Platform-Administrative arrangement with IPTS*

##### Budget

EUR 875.000,00

##### Description and objective of the implementing measure

Member States and regions are asked to develop smart specialisation strategies. This requires the creation of strategic intelligence. The Platform will bring together expertise from research centres, regional authorities, businesses and Commission services. It will develop tool boxes, design the principles of assessment and propose tools to measure outcomes. It will create a critical mass of developers and implementers of smart strategies and establish systems of peer review. Member States and regions will have increased their capacity to develop smart specialisation strategies. These will be crucial for the alignment of Regional Policy with Europe 2020 and the Innovation Union - flagship initiative and for the preparation of post-2013 programmes of the ERDF.

#### *1.5.5 Meetings with Experts*

##### Description and objective of the implementing measure

This action aims at covering expert related costs for experts (reimbursement of travel expenses as well as providing daily and accommodation allowance) participating in meetings and conferences with the Commission. It will also cover expenses for technical assistance provided by experts through simplified experts contracts, implemented under article 179 bis FR.

##### Budget

EUR 143.500,00