

ANNEX I

**LEGAL BASIS - WORK PROGRAMME FOR 2015**

Article 58 of Regulation (EU) No 1303/2013 of the European Parliament and of the Council of 17 December 2013 laying down common provisions on the European Regional Development Fund, the European Social Fund, the Cohesion Fund, the European Agricultural Fund for Rural Development and the European Maritime and Fisheries Fund and laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Council Regulation (EC) No 1083/2006.

**BUDGET LINE**

13 03 65 01 - European Regional Development Fund (ERDF) — Operational technical assistance

**1.1. Introduction**

On the basis of the objectives given in Article 58 of Regulation (EU) No 1303/2013 this work programme contains the actions to be financed and the budget breakdown for year 2015 as follows:

- for grants (implemented under direct management) (4): 34 391 000
- for prizes (implemented under direct management) (5): N/A
- for procurement (implemented under direct management) (6): 23 471 500
- for actions implemented under indirect mode (7): N/A
- for financial instruments (8) : N/A
- for other actions (9): 5 016 000

IN TOTAL: 62 878 500

**1.2. Grants**

**1.2.1. Integrity Pacts, Phase II**

*Priorities of the year, objectives pursued and expected results*

- to explore and promote the use of an Integrity Pact (IP) as civil society control mechanism for safeguarding EU funds against fraud and corruption, and a tool to increase transparency and accountability;
- preparatory activities for identification of ERDF or CF (ESIF) projects for Integrity Pact piloting in different Member States, including, the work on mitigation of potential risk factors for IP piloting.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 No 1268/2012 (RAP)*

Implementation and monitoring of several Integrity Pacts in selected EU Member States (duration - 3 years)

### *Essential eligibility, selection and award criteria*

Under Article 190 (1) (f) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals. The actions proposed by this project have specific characteristics that require a particular type of body on account of its reputation, independence, accountability, technical competence, its high degree of specialisation and its administrative power thus Transparency International is the only civil society body capable of conducting the actions of the project in the intended shape and scope. Since its inception in the early 1990s, TI with more than 100 national chapters worldwide and its International Secretariat in Berlin has become the leading civil society organisation fighting corruption with significant expertise and experience in designing applied research tools, conducting evidence-based policy and advocacy initiatives, and coordinating complex multi-country projects. The Integrity Pact (IP) is a tool developed by TI and TI has acquired substantial experience in implementing Integrity Pacts. Only the TI Secretariat's reputation, knowledge, quality control and administrative capacity to coordinate and manage multi-country pilot project coupled with the country specific knowledge of TI National Chapters can ensure successful implementation of this complex project.

The selection criteria shall verify the financial and operational capacity of the TI, namely: stable and sufficient sources of funding to maintain its activity throughout the period during which the action will be carried out, as well as, reliability and experience in carrying out similar actions. The award criteria for the evaluation of the proposal submitted by TI shall be a) relevance with the objectives of the action and b) cost-effectiveness.

### *Implementation*

This action will be implemented by DG REGIO directly. The grant will be of an amount of EUR 2 800 000 of which: EUR 1 960 000 will be financed under 13 03 65 01 budget line and EUR 840 000 will be financed under the 13 04 61 01 budget line.

### *Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
	3Q 2015	1 960 000

### *Maximum possible rate of co-financing of the eligible costs*

100%

## **1.2.2. Homologues Group Meeting**

### *Priorities of the year, objectives pursued and expected results*

The 24th annual meeting of the European financial controllers (Homologues group meeting) is an annual conference gathering of representatives of Member States and the Commission to discuss issues related to controls of the management of the Structural Funds. The objectives of this meeting are sharing and dissemination of best practices amongst Member States. The expected result is better cooperation between Member States and the Commission.

### *Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

The annual conference gathering representatives of Member States to discuss issues related to controls of the management of the Structural Funds.

*Essential eligibility, selection and award criteria*

Under Article 190(1) (c) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals to bodies with a de jure or de facto monopoly, duly substantiated in the award decision.

Because of the treatment and exchange of confidential and sensitive information during the 24th meeting of the Homologue Group between the representatives of the Ministries of Finance of the Member States, the European Court of Auditors and the European Commission, the organisation of this meeting is limited to the national bodies of control and audit. These entities have decided to designate annually (during the meeting of year n-1) the body amongst them that will ensure the organisation of the meeting for year n. For 2015 the designated body is the Ministry of Finance of the Republic of Latvia. Therefore a de facto monopoly has been established.

The award criteria for the evaluation of the proposal submitted by the Member States shall be a) relevance with the objectives of the action and b) cost-effectiveness.

*Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 250 000 of which: EUR 175 000 will be financed under 13 03 65 01 budget line and EUR 75 000 will be financed under 13 04 61 01 budget line.

*Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	3Q 2015	175 000

*Maximum possible rate of co-financing of the eligible costs*

80 %

**1.2.3. Sub-national statistics**

*Priorities of the year, objectives pursued and expected results*

Cities and labour market areas play an important role with regional economic development. The objective is to better understand how urban development and the extent of labour market areas in the EU. The expected result is to obtain an update to the Eurostat cities database and new GIS-layers with updated labour market area definitions.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

This grant will increase data availability for cities and improved definition of labour market areas. This budget will be cross-subdelegated to ESTAT for implementation.

*Essential eligibility, selection and award criteria*

Under Article 190(1) point (d) of the Implementing Rules, grants may be awarded without a call for proposals in the case(s) of bodies identified by a basic act, within the meaning of Article 128 of the Financial Regulation, as beneficiaries of a grant. According to Article 5 (3) of Regulation (EC) N° 223/2009 of the European Parliament and of the Council, grants may be awarded to the National Statistical Institutes without a call for proposals.

The award criteria for the evaluation of the proposal submitted by National Statistical Institutes shall be a) relevance with the objectives of the action and b) cost-effectiveness.

### *Implementation*

This Action will be implemented by ESTAT. The grant will be of an amount of EUR 600 000 of which: EUR 420 000 will be financed under 13 03 65 01 budget line and EUR 180 000 will be financed under 13 04 61 01 budget line.

#### *Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	1Q 2015	420 000

#### *Maximum possible rate of co-financing of the eligible costs*

90%

### **1.2.4. Regional business demography**

#### *Priorities of the year, objectives pursued and expected results*

Start-ups, survival rates and high-growth firms have a big impact on regional economic growth. The objective of this action is to better understand the business demography in EU regions. The expected results are regional business demography indicators for the years 2011, 2012, 2013.

#### *Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

This grant will encourage national statistical institutes to set up tools to calculate regional business demography indicators.

#### *Essential eligibility, selection and award criteria*

Under Article 190(1) point (d) of the Implementing Rules, grants may be awarded without a call for proposals in the case(s) of bodies identified by a basic act, within the meaning of Article 128 of the Financial Regulation, as beneficiaries of a grant. According to Article 5 (3) of Regulation (EC) N° 223/2009 of the European Parliament and of the Council, grants may be awarded to the National Statistical Institutes without a call for proposals.

The award criteria for the evaluation of the proposal submitted by National Statistical Institutes shall be a) relevance with the objectives of the action and b) cost-effectiveness.

### *Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 300 000 of which: EUR 210 000 will be financed under 13 03 65 01 budget line and EUR 90 000 will be financed under 13 04 61 01 budget line.

#### *Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	1Q 2015	210 000

#### *Maximum possible rate of co-financing of the eligible costs*

90 %

### 1.2.5. Regional growth structure

#### *Priorities of the year, objectives pursued and expected results*

The structure of economic growth can indicate to what extent this growth is likely to be sustained. The objective is to help regions to avoid bubbles and boost their tradable sector. The expected results are analysis and new data at the regional level on investment, employment and economic structure and housing prices.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

This study will assess the structure of growth in OECD regions assessing to what extent it is more export oriented or based on domestic demand.

#### *Essential eligibility, selection and award criteria*

Under Article 190(1) (f) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals. On this basis a direct grant will be awarded to OECD.

The award criteria for the evaluation of the proposal submitted by the OECD shall be a) relevance with the objectives of the action and b) cost-effectiveness.

#### *Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 700 000 of which: EUR 490 000 will be financed under 13 03 65 01 budget line and EUR 210 000 will be financed under 13 04 61 01 budget line.

#### *Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	3Q 2015	490.000

#### *Maximum possible rate of co-financing of the eligible costs*

90 %

### 1.2.6. Governance and regulatory indicators

#### *Priorities of the year, objectives pursued and expected results*

Regional development is strongly influenced by the quality of governance in the region and the country. The objective is to better understand the different dimensions of good governance at both the national and regional level. The expected results are a report and scoreboard with the most reliable indicators and proposals on how to measure regional governance issues.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

The study will survey existing indicators and identify the most relevant ones for EU MS and regions.

#### *Essential eligibility, selection and award criteria*

Under Article 190(1) (f) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power,

on condition that the actions concerned do not fall within the scope of a call for proposals. On this basis a direct grant will be awarded to the World Bank.

The award criteria for the evaluation of the proposal submitted by the World Bank shall be a) relevance with the objectives of the action and b) cost-effectiveness.

#### *Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 1 000 000 of which: EUR 700 000 will be financed under 13 03 65 01 budget line and EUR 300 000 will be financed under 13 04 61 01 budget line.

#### *Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	4Q 2015	700 000

#### *Maximum possible rate of co-financing of the eligible costs*

90 %

### **1.2.7. FI-TAP Multi-Region Assistance**

#### *Priorities of the year, objectives pursued and expected results*

The objective is to improve the knowledge of the Managing Authorities on the set-up and implementation of financial instruments 2014-2020 with regard to issues common to at least two Member States/regions to ensure a wider and smoother uptake of these instruments. As a result the participating Managing Authorities will have gained expertise necessary to take an informed decision about the implementation of a given financial instrument and the results will be disseminated and possibly used in other cases. This expertise can be generated and take in the form of e.g. workshops, trainings, a study. This is expected to lead to an increased use of financial instruments to achieve the objectives of regional policy, in particular in new, non-standard (innovative) areas.

#### *Description of the activities to be funded under a call for proposals*

The action aims at provision of advisory services and guidance that is applicable to at least two regions in at least two Member States aiming at the development of financial instruments targeting development objectives that are shared by participating regions (e.g. the financing of energy efficiency interventions in large housing estates in Central and Eastern Europe, support to cross-border initiatives aimed at reaching economies of scale). The involvement in the project of at least one International Financial Institution (IFI) or at least two relevant National Financial Institutions (NFIs) (from each MS) will be compulsory.

#### *Essential eligibility, selection and award criteria*

Eligibility criteria – Beneficiaries will be managing authorities. Legal entities having a legal or capital link with applicant(s), which is neither limited to the action nor established for the sole purpose of its implementation, may take part in the action as affiliated entities

Selection criteria - applicants will demonstrate their financial capacity by proving that they have stable and sufficient sources of funding to maintain their activity during the period of the grant. Operational capacity will be assessed, based on the proven experience in managing EU funds and thematic experience in the policy field linked to the action.

In accordance with Article 131 (3) FR, verification of financial capacity shall not apply for applicants that are public bodies. Operational capacity may be waived for public bodies, depending on a risk assessment.

Essential award criteria: – Relevance of the actions proposed in view of the objectives established in the call; – Effectiveness and rationality of the proposed methodology and organisation (including the timetable and monitoring); – Relevance of the means of implementation and the resources deployed in relation to the objectives established in the call (in particular in terms of cost-effectiveness); – The resulting geographical coverage; – impact and the dissemination of the expected results; – The transferability of the expected results.

*Implementation*

This Action will be implemented directly by DG REGIO through a call for proposals. The indicative amount of the call will be EUR 6 000 000.

*Indicative timetable and indicative amount of the call for proposals*

Reference	Date	Amount
[...]	4Q 2015	6.000.000

*Maximum possible rate of co-financing of the eligible costs*

95 %

**1.2.8. JASPERS 2015**

*Priorities of the year, objectives pursued and expected results*

Continuing assistance to Member States to prepare major projects, capacity building and independent quality review. Faster approval of projects and better quality of investment.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

Assessment of major project applications.

*Essential eligibility, selection and award criteria*

Under Article 190(1) (d) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals in the case(s) of bodies identified by a basic act, within the meaning of Article 54 of Regulation (EU, Euratom) No 966/2012, as beneficiaries of a grant. According to Article 31(4) of Regulation (EU) No 1303/2013, a grant may be awarded to the European Investment Bank (EIB) and the European Investment Fund covering initiatives implemented on a multi-annual basis. JASPERS will be implemented throughout the 2014-2020 programming period (in continuation of JASPERS action in the 2007-2013 programming period).

The award criteria for the evaluation of the proposal submitted by the EIB shall be a) relevance with the objectives of the action and b) cost-effectiveness.

*Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 33 330 000 of which: EUR 23 331 000 will be financed under 13 03 65 01 budget line and EUR 9 999 000 will be financed under 13 04 61 01 budget line.

*Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	1Q 2015	23 331 000

*Maximum possible rate of co-financing of the eligible costs*

100% according to Article 60(2) of Regulation (EU) No 1303/2013.

Financing through unit costs for technical assistance actions implemented by the EIB is established by a separate Commission Decision.

**1.2.9. Public Procurement training programme for authorities managing & implementing ESIF programmes in key countries affected by non-fulfilled EAC on public procurement**

*Priorities of the year, objectives pursued and expected results*

Development of training schemes aiming at enhancing administrative capacities in Public Procurement of MAs, IBs, CAs and AAs and Final Beneficiaries. These trainings would take place in the MS concerned.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

Targeted training schemes for administration of selected priority MS. Development and implementation of targeted strategic training plans for key bodies involved in public procurement for ESIF implementation in the selected Member States.

*Essential eligibility, selection and award criteria*

Under Article 190(1)(f) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals for actions with specific characteristics that require a particular type of body on account of its technical competence, its high degree of specialisation or its administrative power, on condition that the actions concerned do not fall within the scope of a call for proposals. On this basis a direct grant will be awarded to OECD.

The award criteria for the evaluation of the proposal submitted by the OECD shall be a) relevance with the objectives of the action and b) cost-effectiveness.

*Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 150 000 of which: EUR 105 000 will be financed under 13 03 65 01 budget line and EUR 45 000 will be financed under 13 04 61 01 budget line.

*Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	4Q 2015	105 000

*Maximum possible rate of co-financing of the eligible costs*

100 %

### **1.2.10. Support to the design and implementation of macro regional strategy (MRS) EUSAIR**

*Priorities of the year, objectives pursued and expected results*

Ensuring strategic coordination of MRS EUSAIR and facilitate its implementation, in particular in its very early stages.

Strengthening effective implementation of EUSAIR.

*Description of the activities to be funded by the grant awarded without a call for proposals on the basis of article 190 RAP*

Support to monitoring, evaluation and implementation systems of MRS EUSAIR.

Assisting MRS EUSAIR in particular in governance, management, implementation, organisation of thematic meetings, communication tools, etc.

*Essential eligibility, selection and award criteria*

Under Article 190(1)(c) of Regulation (EU) No 1268/2012, grants may be awarded without a call for proposals to bodies with a de jure or de facto monopoly, duly substantiated in the award decision.

The Government Office for Development and European Cohesion Policy of Slovenia has been designated by the countries participating in the Transnational Cooperation Programme ADRION 2014-20 to manage the Facility Point of EUSAIR (TO11).

The award criteria for the evaluation of the proposal submitted to the Commission by the Government Office for Development and European Cohesion Policy of Slovenia shall be a) relevance with the objectives of the action and b) cost-effectiveness.

*Implementation*

This Action will be implemented directly by DG REGIO. The grant will be of an amount of EUR 1 000 000

*Indicative timetable and indicative amount of the grant awarded without a call for proposals*

Reference	Date	Amount
[...]	1Q 2015	1 000 000

*Maximum possible rate of co-financing of the eligible costs*

100 %

### **1.3. Prizes**

N/A

#### 1.4. Procurement

The overall budgetary allocation reserved for procurement contracts in 2015 amounts to EUR 23 471 500.

<b>Audit</b>	
Type of procurement	Service
Indicative amount	EUR 1 363 500
Type of Contract	Specific Contracts under FWC
Indicative number of contracts	8 Specific Contracts
Timeframe	1Q 2015
Implementation	EUR 490 000 will be cross-subdelegated to DG EMPL
<b>Communication and publication</b>	
Type of procurement	Service
Indicative amount	EUR 7 466 000
Type of Contract	Specific Contracts under FWC, direct contracts
Indicative number of contracts	55 Specific Contracts under FWC, 5 direct contracts
Timeframe	1 – 4 Q 2015
Implementation	Out of the overall amount: EUR 220.000 will be co-delegated to OP, and EUR 2.100.000 is co-delegated to DG COMM for the Corporate Communication Pilot. The rest of the budget will be managed directly by DG REGIO
<b>Evaluation</b>	
Type of procurement	Service
Indicative amount	EUR 1 610 000
Type of Contract	Direct contracts
Indicative number of contracts	5
Timeframe	3Q 2015
Implementation	Directly by DG REGIO
<b>Meetings and conferences</b>	
Type of procurement	Service
Indicative amount	EUR 1 680 000
Type of Contract	Specific Contracts under FWC, direct contract
Indicative number of contracts	12 Specific Contracts under FWC, 3 direct contracts
Timeframe	First semester 2015
Implementation	Directly by DG REGIO

**Study**

Type of procurement	Service
Indicative amount	EUR 7 722 500
Type of Contract	Specific Contracts under FWC, direct contracts
Indicative number of contracts	15 Specific Contracts under FWC, 16 direct contracts
Timeframe	2-4 Q 2015
Implementation	Out of the overall amount: EUR 262.500 will be cross-subdelegated to ESTAT. The rest of the budget will be managed directly by DG REGIO.

**Technical and other administrative assistance**

Type of procurement	Service
Indicative amount	EUR 2 211 000
Type of Contract	Specific Contracts under FWC, direct contracts
Indicative number of contracts	25 Specific Contracts under FWC, 5 direct contracts
Timeframe	2-3 Q 2015
Implementation	Out of the overall amount: EUR 161.000 will be cross-subdelegated to ELARG. The rest of the budget will be managed directly by DG REGIO.

**Informatics**

Type of procurement	Service
Indicative amount	EUR 680 000
Type of Contract	Specific Contracts under FWC
Indicative number of contracts	6
Timeframe	2-4 Q
Implementation	Out of the overall amount: EUR 400 000 will be implemented by DIGIT (co-delegation) and OP (cross-subdelegation). The rest of the budget will be managed directly by DG REGIO.

**Translation (externalised)**

Type of procurement	Service
Indicative amount	EUR 248 500
Type of Contract	Specific Contracts under FWC
Indicative number of contracts	3
Timeframe	3rd quarter
Implementation	Externalised translations by DGT

**Trainings (externalised)**

Type of procurement	Service
Indicative amount	EUR 490 000
Type of Contract	Specific Contracts under FWC
Indicative number of contracts	3
Timeframe	3rd quarter
Implementation	Trainings to be held with the involvement of the Managing Authorities

**1.5. Actions implemented in indirect management**

N/A

**1.6. financial instruments**

N/A

**1.7. Other Actions or expenditures****1.7.1. Expert contracts – AMI List***Amount*

EUR 2 881 000

*Description and objective of the implementing measure*

This action covers expenses for technical assistance provided by experts through simplified experts contracts, implemented under Article 204 of Regulation (EU, Euratom) No 966/2012.

**1.7.2. S3 Platform - Energy strand-Administrative Agreement with JRC***Amount*

EUR 1 400 000

The total budget allocation for this actions amounts to EUR 2 000 000 of which: EUR 1 400 000 will be financed under 13 03 65 01 budget line and EUR 600 000 will be financed under 13 04 61 01 budget line.

*Description and objective of the implementing measure*

The activities carried out by JRC-IPTS in close collaboration with DG REGIO and DG ENER would be targeted at information, knowledge and expertise provision for policy-makers, authorities and stakeholders in charge of energy and research and innovation policies, especially within the framework of the Research and Innovation Strategies for Smart Specialisation (RIS3) and the S3 Platform. In particular pro-active 'match-making' services for Member States and regions which have planned investments in research and innovation in energy would be developed. Envisaged duration of the action: 2 years

**1.7.3. Urban and regional transport analysis – Administrative Agreement with JRC***Amount*

EUR 420 000

The total budget allocation for this actions amounts to EUR 600 000 of which: EUR 420 000 will be financed under 13 03 65 01 budget line and EUR 180 000 will be financed under 13 04 61 01 budget line.

*Description and objective of the implementing measure*

This study will analyse urban and regional transport issues and model changes in transport use.

**1.7.4. Ecosystem services and regional and urban development - Administrative Agreement with JRC**

*Amount*

EUR 315 000

The total budget allocation for this actions amounts to EUR 450 000 of which: EUR 315 000 will be financed under 13 03 65 01 budget line and EUR 135 000 will be financed under 13 04 61 01 budget line.

*Description and objective of the implementing measure*

This study will assess the quality of ecosystem services and valuate their contribution. A comprehensive picture of ecosystem service in Europe and the value of the services they provide to regions and cities.