





# Innovative Implementation of the Partnership Principle in EU Cohesion Policy

Insight into case study: Implementation of Ideathon in Integrated territorial strategy of functional urban area of Banska Bystrica (November 2022 - May 2024)

The training has been organised by EIPA and CPVA under the Framework Contract







#### Sona Karikova

Department for participation and innovation in open governance

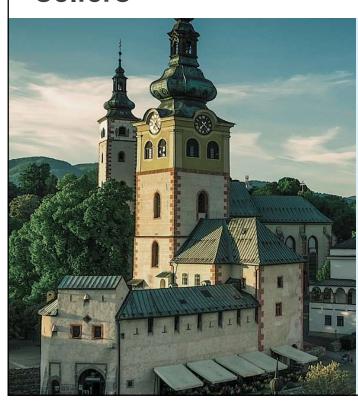
13/05/2024

Nr 2023CE160AT004.

### Context of the city and its participatory culture







## BANSKÁ BYSTRICA CITY Population:

• 75,317 inhabitants

#### Mayor:

- Ján Nosko
- serving 3rd term (10th year)

### Context of Slovakia / Banská Bystrica / participatory culture





- geopolitical shift (intensification of disinformation, increased polarization, increased distrust towards EU)
- **chaos** (new organisational structures and people at the ministerial levels, disconnect of previous CSO leaders, changing attitudes towards OGP)
- accent on regional level (strengthening of mayors, "regional" people at ministries)
- investment priorities investment debt (areas of transport infrastructure, water supply, sewerage and flood protection...) vs innovations
- closed culture (dominance of hierarchy and silo mentality)

### Context of Banská Bystrica and its participatory culture

Open Government Partnership



- entry into the OGP programme
   (2021) = systematisation &
   acceleration of participatory culture
   development within the municipality
- OGP innovation initiative found institutional "home"
- impact of national politics, polarisation and closed culture
- access to international projects



### Case study: Implementation of Ideathon in Integrated teritorial strategy of Functional urban area of Banská Bystrica

### Project callers:

- DG REGIO
- OECD

#### Project team:

- Open governance Unit (OECD OGU)
- Department for strategical planning of the BB City (administrative capacities for ITS)
- Department for participation and innovation in open governance of the BB City
- Dialogue Centre n.o. (CSO)







#### Aim of the project







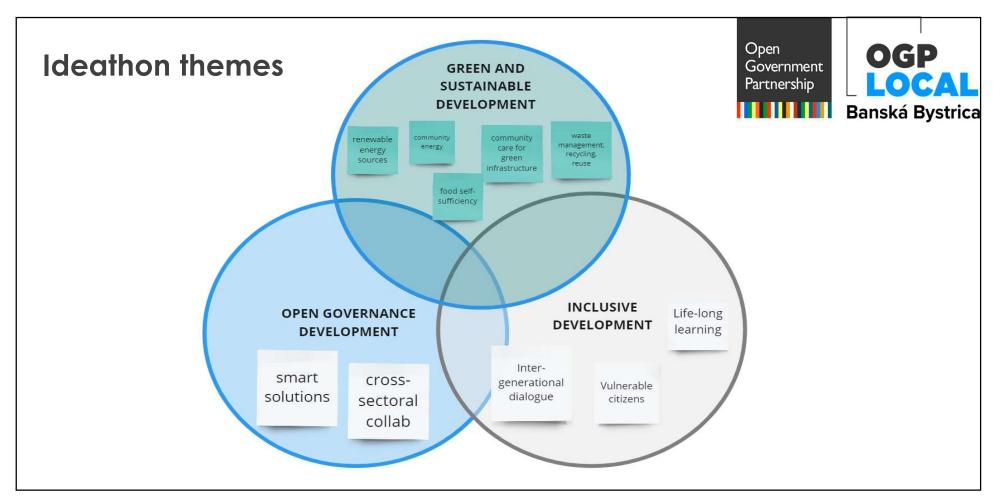
- to implement partnership principle in EU Cohesion Policy at the local /city level:
- participatory method to involve citizens /stakeholders in the development and prioritisation of the project ideas for the ITS (2021-2027)

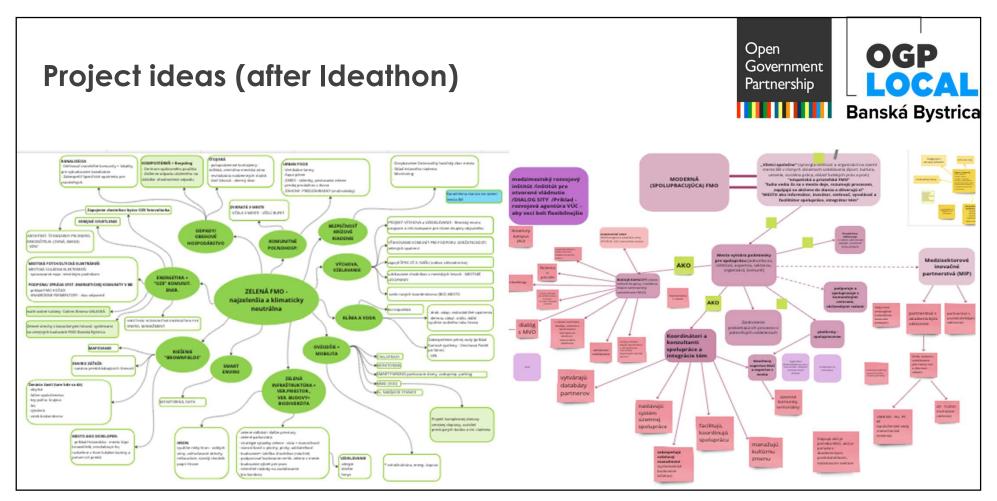
# Project method / (Hybrid) IDEATHON





- a dynamic participatory format designed to facilitate an intensive brainstorming session of diverse stakehlders to come up with ideas
- in-person sessions
- online public consultation
- online voting





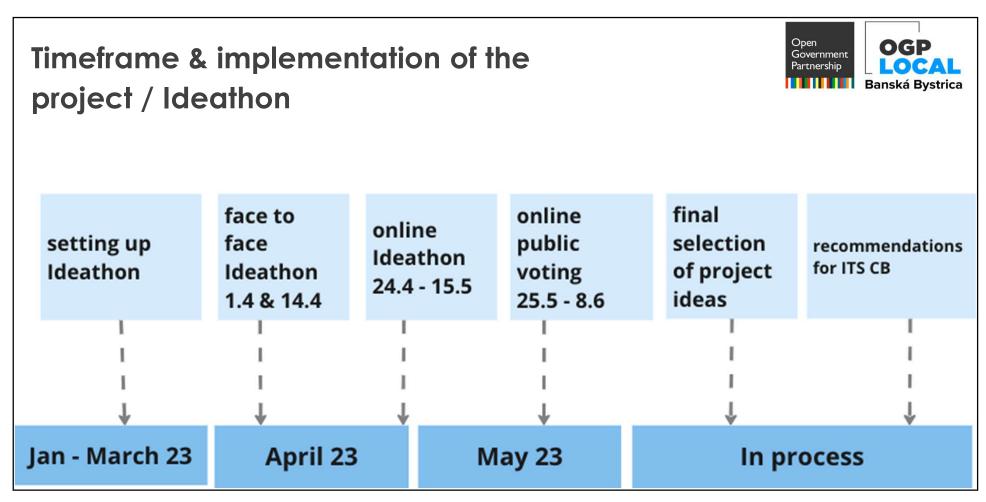
# Project target group







- "Ordinary" citizens
- Stakeholders from civil society
- Municipality experts /Civil servants



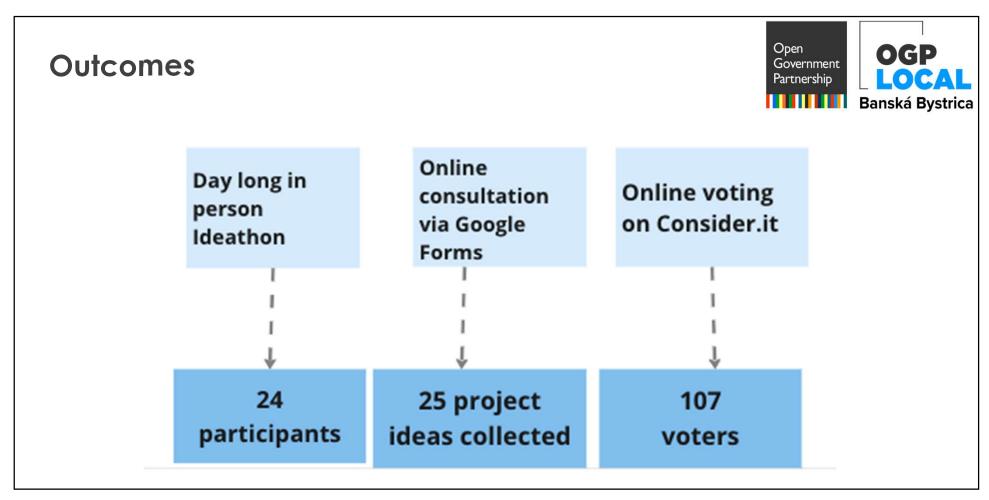
# Communication & digital tools

- · social media
- institutional website
- posters in the city centre
- direct invitation to the municipal office database of stakeholders

- google Forms (online public consultation)
- <u>consider.it</u> (opinion mapping tool used for online voting)
- Miro board (used for graphic visualisation of the brainstorming)







#### **Outcomes**

- the final report was published (transparency)
- the implementation of some of the project ideas is expected to be cofinanced within the EU Cohesion policy resources
- proposed ideas will be implemented within the Banská Bystrica 2030 City Development Programm or Integrated Territorial Strategy

- implementation of digital democracy infrastructure / digital participatory platform DECIDIM
- development of internal support (elected officials, staff members)
- allocating budget to the maintenance and installation costs
- building the skills to use **DECIDIM** autonomously

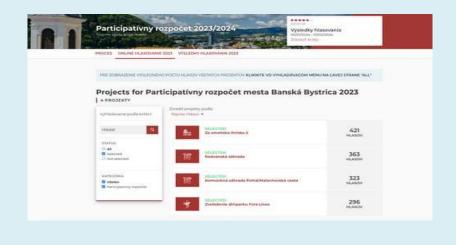




## Secondary outcomes

#### Using DECIDIM in participatory budgeting

- piloting online voting (increased numbers of voters from 400 to 1400)
- increased in person interactions (spontaneous sessions in local cafes organised by citizens)





#### Lessons learned





### OECD and DG REGIO play an important role:

- influencing and accelerating introduction of innovative tools (DECIDIM)
- "gentle"
   watchdogs /
   prevention of the
   misuse of the
   participatory

### Great exercise for capacity building:

- experiential learning of civil servants
- capacities to implement PP

Experienced facilitators play an important role

Experience of the whole life cycle of introduction of the innovative tool (DECIDIM)

Empowerement, ackonwledgement & increased visibility of Innovation Initiatives

### Lessons learned (participation inside of the municipality)



- 1. Devision within our municipality team / old modus operandi vs innovators dynamics:
- hierarchical attitude (reliance on regulation from national level, competence imbalance within the team)
- silo mentality (imbalanced access to information and decision makers managing authority, ITS cooperation board)
- risk of pseudo-participation (different "rules" before and after participatory process)

### Lessons learned (level of citizens' /stakeholders participation)



Low interest /turnout of citizens /stakeholders to participate

- lack of trust and POLARISATION
- lack of experience of citizens to participate about complex topics
- absence of mature collaborative relations in the city

**Insufficient capacities to execute participatory process** (lack of capacities and time for thorough stakeholder analysis, invitation process, pre-meetings...)

### Lessons learned (participation at the national level)



- insufficient dialogue between local and national level unclear regulations
  about EU cohesion policy implementation (financial, thematical and
  processual conditions) at the national level and weak influence of the local
  level to shape regulations
- lack of attitudes and relevant skills of administrative capacities to implement partnership principle /participation
- "there are white spots" in "participation chain" at different levels

#### Possible actions /recommendations





Partnership principle (participation) must work at all levels ..

- .. more work with DG Regio and OECD is needed:
- empowering innovators
- institutional culture change
- open governance capacities building
- living labs for collaborative relations development
- thorough monitoring of PP application

  (eg. participatory action research, funding and technical support for experimentation and testing actions, community of practice, conferences..)
- Empower local level (knows best the needs but too weak to influence)

### Actions via "Agents of Coexistence" project (URBACT IV)







- EU Cities involved: Genk, Aarhus, Breda, Quart de Poblet, Gdaňsk, Budaörs, Kekeva Iasi
- Project overal aim through the social innovation in the area open governance to enhance innovation within all aspects of public services
- Project focus capacity building of the municipality employees and optimalisation of internal processes through the testing of small scale actions



#### Actions via "Agents of Coexistence" project (URBACT IV)









piloting a workshop for civil servants, politicians and NGOs to build the skills of local governments to work with polarisation strategically

### Actions via "Agents of Coexistence" project (URBACT IV)

ACENTS OF CO-EXISTENCE





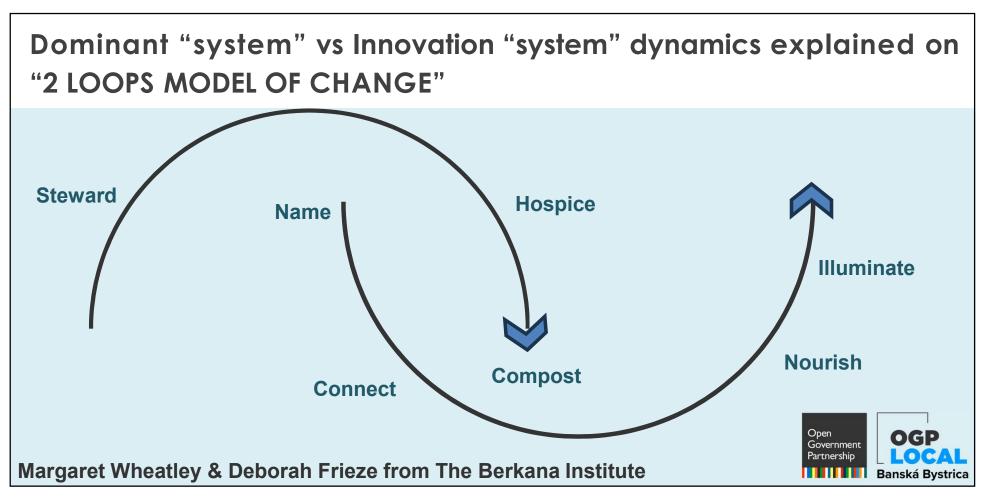
Actions toward **CoLaboratory** development (**local iving lab for innovation in open governance**) with key activities:

- participatory processes implementation
- collaborative relations /innovation ecosystems development
- capacity building, community of practice
- experimentation, research
- Inspiration Arantzazu Lab, Sitra Lab



Dominant "system" vs Innovation "system" dynamics explained on "2 LOOPS MODEL OF CHANGE" Peak **Born Decline Grow** Open Government OGP Margaret Wheatley & Deborah Frieze from The Berkana Institute Banská Bystrica

Dominant "system" vs Innovation "system" dynamics explained on "2 LOOPS MODEL OF CHANGE" One system culminates and starts to collapse Isolated alternatives begin to arise Open OGP Government Margaret Wheatley & Deborah Frieze from The Berkana Institute Banská Bystrica



#### Interactive exercise using the game "Innovation cards":

ACENTS OF COPEXISTANCE





#### 1. Challenge:

What conditions are needed to empower innovation initiatives such as participatory culture development inside institutions?

2. Create teams of 5 /2mins

3. Choose the card that resonate /4 mins

#### 4. Silent work - write down answers to questions:

- How your card relates to the challenge?
- What is a possible insight and way forward?

/4 mins

5. Share your insights /4 mins per person

> 6. Discuss and agree the way forward /10 minutes



# Thank you for your attention!

Sona Karikova

sona.karikova@banskabystrica.sk



The training has been organised by EIPA and CPVA under the Framework Contract Nr 2023CE160AT004. The opinions expressed are those of the contractor only and do not represent the EC's official position.

