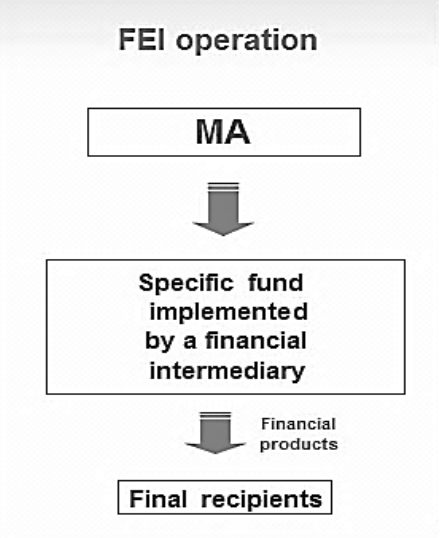


Changes compared to the guidance published in 2014 are marked in red.

No. of section in SFC2007	Required information/data	Required format of data	Instruction
Question 1	Number of financial engineering instrument operations implemented with a holding fund	number	<p>A FEI operation is constituted by the financial contributions from an OP to FEIs (including HFs) and the subsequent investments made by the specific fund(s) to final recipients. Consequently, for financial engineering instrument operation implemented with a holding fund, a number of funding agreements signed between a managing authority and a holding fund should be indicated in this section. A number of financial intermediaries under each holding fund is not relevant here, as the question concerns the number of operations implemented with a holding fund. Example: see the chart below presenting 1 FEI operation implemented with a holding fund.</p> <div data-bbox="1644 625 2237 1213" style="text-align: center;"> <pre> graph TD MA[MA] --> HF[HF] HF --> SFI1[Specific fund implemented by a financial intermediary] HF --> SFI2[Specific fund implemented by a financial intermediary] SFI1 -- Financial products --> FR1[Final recipients] SFI2 -- Financial products --> FR2[Final recipients] </pre> </div>

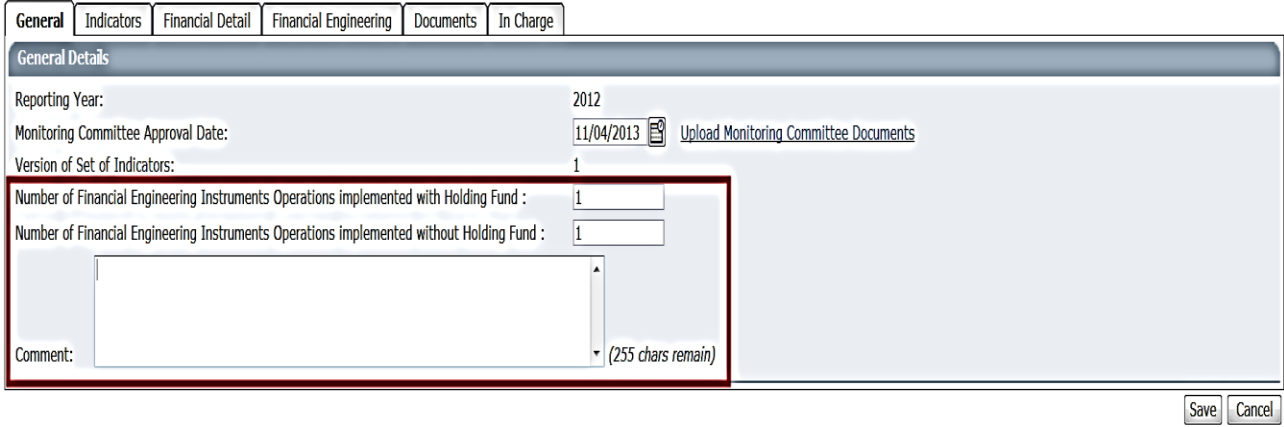
<p>Question 2</p>	<p>Number of financial engineering instrument operations implemented without a holding fund</p>	<p>number</p>	<p>In case of financial engineering instrument operation implemented without a holding fund, a FEI operation is constituted by the financial contribution from an OP to the specific fund and the subsequent investments made by the specific fund(s) to final recipients. Consequently, a number of funding agreements signed between a managing authority and a specific fund should be indicated in this section. This number should neither contain holding funds which are counted in Question 1, nor all specific funds implemented under the holding fund. Example: see the chart below presenting 1 FEI operation implemented without a holding fund. Number of financial engineering instrument operations implemented without a holding fund is therefore 1.</p>  <pre> graph TD FEI[FEI operation] --> MA[MA] MA --> SF[Specific fund implemented by a financial intermediary] SF --> FP[Financial products] FP --> FR[Final recipients] </pre>
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Template 1: Financial Engineering Instruments operations implemented with a holding fund (sections marked with "*" are optional)

No. of section in SFC2007	Required information/data	Required format information/data	Instruction
I. Description and identification of the entities which implement the financial engineering instrument(s) - level of the holding fund (Article 67(2)(j)(i&ii) of Council Regulation (EC) No 1083/2006)			
I.1	Holding fund (name and registered place of business)	text	Name: in English (if possible) or in national language; registered place of business: city name only (example: "JEREMIE Bulgaria EAD", Sofia)
I.2	Legal status of holding fund		
	independent legal entities governed by agreements between the co-financing partners or shareholders separate block of finance within a financial institution	selection	Selection of one option allowed.
I.2.1*	name and registered place of business of co-financing partners	text	Official name, country and city where the holding fund co-financing partner(s) was/were officially registered. This section can be repeated as many times as many co-financing partner(s) holding fund has. Example: "JEREMIE Bulgaria EAD", Sofia.
I.3	Holding fund manager		
	European Investment Bank (EIB)	selection	selection of one option allowed
	European Investment Fund (EIF)		
	financial institution other than the EIB/EIF		
other body			
I.3.1	name and registered place of other body	text	Official name, country and city where the financial institution other than EIB/EIF or other body was officially registered.
I.4	Procedure for selecting the holding fund manager		
	award of a public contract in accordance with applicable public procurement law	selection	Selection of one option allowed.
	award of a grant (in the meaning of Article 44, second paragraph, point (b) of Council Regulation (EC) No 1083/2006)		
award of a contract directly to the EIB or the EIF			
I.5	Date of signature of funding agreement with managing authority	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a managing authority and a holding fund.

I.6	Number of financial engineering instruments implemented under this specific holding fund	number	Number of specific funds (loan, guarantee, equity/venture capital or other funds) implemented through a holding fund. If there are several financial intermediaries implementing the same product (e.g. the same loan) they are considered to be distinct specific funds which should be reported separately. For each specific fund, the section II (below) should be repeated.
II. Description and identification of the entities which implement financial engineering instrument - level of the specific financial engineering instrument implemented with a holding fund (Article 67(2)(j)(i&ii) of Council Regulation (EC) No 1083/2006)			Sections II.1 – II.7 relate to a specific fund and should to be repeated accordingly for each specific fund implemented with the holding fund described previously in section I.
II.1	Financial engineering instrument (name and registered place of business)	text	Official name of the specific fund implemented with a holding fund: in English (if possible) or in national language; registered place of business: city name only (example: "Risk Capital Fund", Sofia).
Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006?			
II.2	(a) financial engineering instruments for enterprises	selection	Selection of one option allowed.
	(b) urban development funds		
	(c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing		
II.3	Type of financial product offered by the financial engineering instrument to the final recipients		
II.3.1	equity	selection	Selection of min.1 and max. 4 options allowed.
II.3.2	loan		
II.3.3	guarantee		
II.3.4	other product (interest rate subsidies, guarantee fee subsidies and equivalent measures)		
II.4	Financial engineering instrument manager (name and registered place of business)	text	Official name of specific fund manager: in English (if possible) or in national language; registered place of business: city name only.
Legal status of the financial engineering instrument			
II.7	independent legal entities governed by agreements between the co-financing partners or shareholders	selection	Selection of one option allowed.
	separate block of finance within a financial institution		

III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)			Sections III.2 - III. 4 relate to the contributions paid by the MA to the holding fund. Sections III.5 –III.6 relate to the contributions paid by the holding fund to the specific fund.
III.2	Operational Programme contributions paid to the holding fund		
III.2.1	Amounts of assistance from the Structural Funds paid to the holding fund		
III.2.1.1*	ERDF amounts of assistance committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	ERDF amounts as a part of the operational programme committed in the funding agreement signed between a managing authority and a holding fund.
III.2.1.2	ERDF amounts of assistance paid to the holding fund (in EUR)	number (amount in EUR)	ERDF amounts of assistance effectively paid to the holding fund, i.e. ERDF amounts previously committed in the funding agreement and paid into the account of the holding fund. If a part of the ERDF assistance has been withdrawn from the holding fund, then the MA should report the net ERDF amount (ERDF amount paid –ERDF amount withdrawn).
III.2.1.3*	ESF amounts of assistance committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	ESF amounts as a part of the operational programme committed in the funding agreement signed between a managing authority and a holding fund should be indicated in this section.
III.2.1.4	ESF amounts of assistance paid to the holding fund (in EUR)	number (amount in EUR)	ESF amounts of assistance effectively paid to holding fund, means the ESF assistance committed in the funding agreement and paid-into the account of the holding fund. If part of ESF assistance has been withdrawn from the holding fund then the managing authority should report the net ESF amount (ESF amount paid –ESF amount withdrawn).
III.2.2	Amounts of national co-financing paid to the holding fund		
III.2.2.1*	National public co-financing committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	National public co-financing constituting part of the Operational Programme contributions committed in the funding agreement signed between a managing authority and a holding fund. Any other national public financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.2.2	National public co-financing paid to the holding fund (in EUR)	number (amount in EUR)	National public co-financing constituting part of the Operational Programme committed in the funding agreement and paid into the account of the holding fund.
III.2.2.3*	National private co-financing committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	National private co-financing constituting part of the Operational Programme contributions committed in the funding agreement signed between a managing authority a holding fund. National private co-financing means only this part of national private financing which is part of the OP resources [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.2.4	National private co-financing paid to the holding fund (in EUR)	number (amount in EUR)	National private co-financing constituting part of the Operational Programme committed to holding fund in the funding agreement and paid into the account of the holding fund [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.

III.2.3	Other ERDF or ESF Operational Programme(s) providing contributions to the holding fund	selection	<p>Titles and CCI numbers of other Operational Programme(s) contributing to the same holding fund should be reported in this section. If the reply is "Yes", the CCI numbers of other OPs should be filled in the comment box.</p> 
III.3*	Amounts of other assistance paid to the holding fund outside the Operational Programme (in EUR)	number (amount in EUR)	<p>Funding from national/regional authorities, other bodies or investors to the holding fund which remains outside fo the Operational Programme. Payments from other Operational Programmes which contribute to the same holding fund should not be reported here.</p>
III.4	Management costs and fees paid to the holding fund (in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	number (amount in EUR)	Total amount of management costs and fees paid from Operational Programme contributions to the holding fund until the end of the reporting period (31/12/year of the AIR), in accordance with the conditions of the relevant funding agreement. Management costs and fees paid from other Operational Programmes contributing to the same holding fund should not be reported.
III.5	Operational Programme contributions paid from the holding fund to, or in case of guarantee put aside for the specific fund	////////////////	Sections III.5–III.6 relate to the specific fund and have to be repeated accordingly for each funding agreement signed between a holding fund and a specific fund (financial intermediary).
III.5.1*	Operational Programme contributions committed to the specific fund in the legal agreement with holding fund (in EUR)	number (amount in EUR)	Operational Programme contributions (Structural Funds and national public and private co-financing) committed in the funding agreements signed between a holding fund and a specific fund (financial intermediary). Resources committed from other Operational Programmes or other sources than OP should not be reported here.
III.5.1.1*	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	The part of the Operational Programme contributions reported under III.5.1* which was provided from Structural funds (SFs=ERDF and ESF).
III.5.2	Operational Programme contributions paid to or put aside for the specific fund in line with the legal agreement with holding fund (in EUR)	number (amount in EUR)	Operational Programme contributions committed in the funding agreement between a holding fund and a specific fund and paid into the account of the specific fund. In the case when the HF performs the function of a guarantee -specific fund (see as example the First Loss Portfolio Guarantee (FLPG)- product of the EIF within JEREMIE HFs) this includes the amounts of OP contributions reserved ("put aside") by the HF for guarantee contracts to be signed between the HF and the financial intermediary providing loans.
III.5.3	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	Part of the amount reported in section III.5.2 which comes from Structural Funds (ERDF and ESF).
III.5.4	out of which national public co-financing paid to the specific fund (in EUR)	number (amount in EUR)	Part of the amount reported in section III.5.2 which constitutes national public co-financing of this particular Operational Programme.

III.5.5	out of which national private co-financing paid to the specific fund (in EUR)	number (amount in EUR)	Part of the amount reported in section III.5.2 which constitutes national private co-financing of this particular Operational Programme (only for OPs under Article 53(1)(a) of General Regulation).
III.6	Management costs and fees paid by the holding fund to the specific fund (in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	number (amount in EUR)	Operational Programme contributions to management costs and fees paid by the holding fund to the specific fund (financial intermediary) by end of the reporting period, in accordance with the conditions of the relevant funding agreement.
IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)			
IV.1	Operational Programme contributions paid to final recipients in loans		If the holding fund signed with the specific fund (= financial intermediary) only one funding agreement for different types of loan product (for example: loans for SMEs and microloans), we suggest to group them together in <u>one</u> section IV.1. Example: in 2008 HF signed with specific fund a funding agreement for two types of loan products: loans for SMEs and for microloans. For this case, section IV.1 should be completed only once: together for loans for SMEs and for microloans. If the holding fund signed with the same specific fund (financial intermediary) a separate funding agreement for each type of loan product, the entire section IV.1 should be repeated as many times as many funding agreements for different types of loan products have been signed. Example: in 2008 HF signed with specific fund (financial intermediary) a funding agreement for one type of loan product: for SMEs, and in 2009 with the same specific fund, a funding agreement for microloans. For this case, section IV.1 should be completed separately for loans for SMEs and for microloans.
IV.1.1	Name of loan product	text	Name of loan product supported with Operational Programme resources and offered by specific fund (financial intermediary) to final recipients. The name of loan product(s) should not be confused with the name of the specific fund or the fund manager. For different loan products under the same funding agreement, there is no need to provide names of each specific loan products but one general description, for example: "Loans for SMEs and microenterprises".
IV.1.2*	Number of final recipients supported		It should be reminded that a number of final recipients supported reported in sections IV.1.2.1*-IV.1.2.4* can be equal or lower (but not higher) to the number of loan contract reported in section IV.1.3*.
IV.1.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.1.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.1.2.2.1*	out of which microenterprises	number	Total number of microenterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Definition of a "microenterprise(s)" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employ less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

IV.1.2.3*	out of which individuals	number	Total number of individuals who signed a contract for loan product with the specific fund, and to whom the latter disbursed a loan (or a part of loan) until the end of the reporting period. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.1.2.4*	out of which urban projects	number	Total number of urban projects supported with loan. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.1.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with loan.
IV.1.3*	Number of loan contracts signed with final recipients	number	Number of loan contracts that the specific fund signed with final recipients (including the contracts for loans for which no disbursement took place).
IV.1.4*	Total value of loans committed in contracts signed with final recipients (in EUR)	number (amount in EUR)	Total value of loans in contracts signed with final recipients should be indicated. This amount should include Operational Programme contributions and other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of OP.
IV.1.4.1*	out of which Operational Programme contributions	number (amount in EUR)	Operational Programme contributions assistance (i.e. ERDF/ESF + national public and private co-financing) committed in signed loans should be reported in this section. If the loans were also financed from other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of the Operational Programme, those other resources should not be reported.
IV.1.5	Amounts of Operational Programme contributions paid to final recipients in loans (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing) should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This section refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of the reporting period. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, resources returned to the operation and reinvested in final recipients should not be reported in this section.
IV.1.5.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates only to this part of amount indicated in section IV.1.5, provided from Structural Funds (= ERDF & ESF resources).
IV.1.6	Date of signature of funding agreement between holding fund and specific fund for this loan product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a holding fund and a specific fund for the loan product.
IV.2	Operational Programme contributions paid to final recipients in guarantees		If the holding fund signed with the specific fund (financial intermediary) only one funding agreement for different types of guarantee product in relation to loans or to other risk-bearing instruments, we suggest to group them together in one section IV.2 If the holding fund signed with the same specific fund (= financial intermediary) a separate funding agreement for each type of guarantee product, the entire section IV.2 should be repeated as many times as many funding agreements for different guarantee products have been signed.

IV.2.1	Name of guarantee product	text	Name of guarantee product supported with Operational Programme contributions and offered by specific fund (financial intermediary) to final recipients. The name of guarantee product should not be confused with the name of the specific fund or the fund manager. For different guarantee products under the same funding agreement, there is no need to provided names of each guarantee product but one general description, for example: "Guarantees for SMEs".
IV.2.2*	Number of final recipients supported		
IV.2.2.1*	out of which large enterprises	number	Total number of large enterprises to which the loans guaranteed with the Operational Programme contributions were fully or partially disbursed until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.2.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) to which the loans guaranteed with the Operational Programme contributions were effectively fully or partially disbursed until the end of the reporting period. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.2.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises, as a part of SMEs, to which the loans guaranteed with Operational Programme contributions were fully or partially disbursed until the end of the reporting period. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.2.2.3*	out of which individuals	number	Total number of individuals to whom the loans guaranteed with Operational Programme contributions were fully or partially disbursed until the end of the reporting period. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.2.2.4*	out of which urban projects	number	Total number of urban projects to which the loans guaranteed with Operational Programme contributions were fully or partially disbursed until the end of the reporting period. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.2.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period.
IV.2.3*	Amounts of Operational Programme contributions blocked for guarantee contracts signed with final recipients (in EUR)	number (amount in EUR)	In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2012), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, the the amounts of to be reported in section IV.2.3 are the Operational Programme contributions blocked (committed) in guarantees contracts signed with final recipients , irrespective whether the loan was disbursed or not to final recipient. In case when the HF performs the function of a guarantee specific fund (see as example the First Loss Portfolio Guarantee (FLPG) product of the EIF within JEREMIE HFs) , this includes the amounts of OP contributions reserved (put aside) by the HF for financial intermediary to build up a portfolio of loans for SMEs/to guarantee loan contracts signed between a financial intermediary and final recipients.

IV.2.4	Amounts of Operational Programme contributions blocked for guarantee contracts for loans paid to final recipients (in EUR)	number (amount in EUR)	The amounts to be reported in section IV.2.4 correspond to the part of the Operational Programme contributions blocked (committed) in guarantees contracts signed with final recipients , but only for loans which were disbursed to final recipients by end of the reporting period; irrespective whether the guarantee was called or not. In case when the HF performs the function of a guarantee specific fund (see as example the First Loss Portfolio Guarantee (FLPG) product of the EIF within JEREMIE HF) , this includes the amounts of OP contributions reserved (put a side) by the HF for financial intermediary to build up a portfolio of loans for SMEs/to guarantee loan contracts signed between a financial intermediary and final recipients.
IV.2.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	The part of the Operational Programme contributions reported under IV.2.4 which was provided from Structural funds (ERDF and ESF).
IV.2.5*	Number of loans paid to final recipients in relation to guarantees contracts signed with final recipients	number	Number of loans disbursed (fully or partially) until the end of the reporting period, for which the guarantees supported from the Operational Programme contributions have been committed.
IV.2.6	Amount of loans actually paid to final recipients in relation to guarantees contracts signed (in EUR)	number (amount in EUR)	Total amount of loans which were guaranteed with Operational Programme resources and were actually disbursed to final recipients (paid into accounts of final recipients).
IV.2.7	Date of signature of funding agreement between holding fund and specific fund for this guarantee product	DD/MM/YYYY	Date of signature of funding agreement between a holding fund and a specific fund for the guarantee product.
IV.3	Operational Programme contributions paid to final recipients in equity/venture capital		If the holding fund signed with the specific fund (financial intermediary) only one funding agreement for different types of equity/venture capital products, we suggest to group them together in one section IV.3. If the holding fund signed with the same specific fund (= financial intermediary) a separate funding agreement for each type of equity/venture capital product, the entire section IV.3 should be repeated as many times as many funding agreements for different equity/venture capital products have been signed. Quasi-equity products should be reported like equity/venture capital.
IV.3.1	Name of equity/venture capital product	text	Name of equity/venture capital product supported with Operational Programme resources and offered by specific fund (financial intermediary) to final recipients. The name of equity product should not be confused with the name of the specific fund or the fund manager. For different equity/venture capital products under the same funding agreement, there is no need to provided names of each guarantee product but one general description, for example: "Venture capital investments".
IV.3.2*	Number of final recipients supported		
IV.3.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.3.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) which signed a contract for equity/venture capital product with the specific fund (financial intermediary), and to which the latter effectively made investment until the end of the reporting period. Definition of a " SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.3.2.2.1*	out of which microenterprises	number	Total number of microenterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment (or a part of it) until the end of the reporting period. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

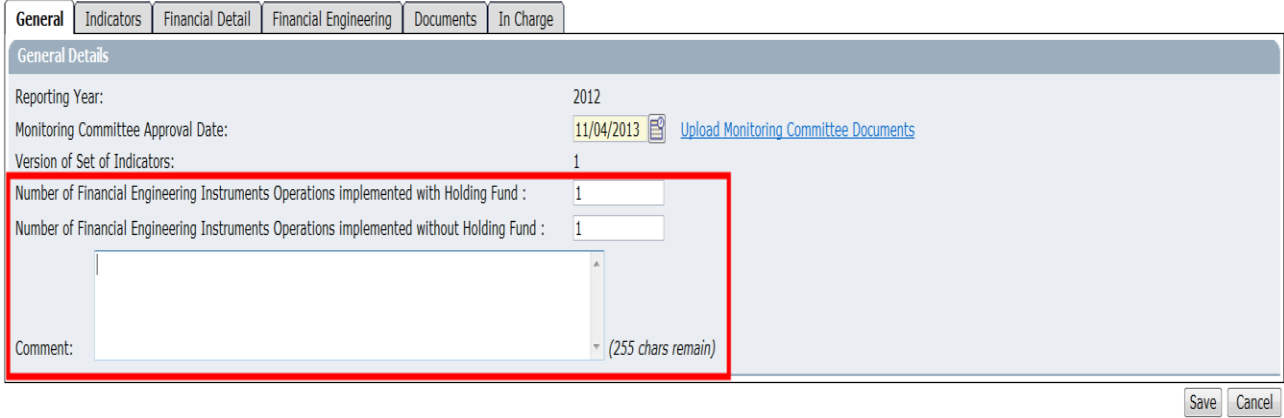
IV.3.2.3*	out of which urban projects	number	Total number of urban projects supported with equity/venture capital product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration, or a project structured in public-private partnership.
IV.3.2.4*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1*-IV.4.2.3*, supported by investment(s) from the specific fund (financial intermediary) by end of the reporting period.
IV.3.3*	Number of equity/venture capital investments made in line with agreements signed between holding fund and specific (equity/venture capital) fund	number	Number of investments made in final recipients by end of the reporting period.
IV.3.4	Amounts of Operational Programme contributions paid to investments made in line with agreements (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing), paid to final recipients. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. Resources returned from investments in final recipients and reinvested in final recipients are not considered amounts of Operational Programme contributions and should <u>not</u> be reported in this section.
IV.3.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates only to this part of amount indicated in section IV.3.4, which was provided from the Structural Funds (SFs=ERDF+ESF).
IV.3.5	Date of signature of funding agreement between a holding fund and a specific fund for this equity/venture capital product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a holding fund and specific fund (financial intermediary) for the venture capital/equity product.
IV.4	Operational Programme contributions paid to final recipients in other financial products		"Other financial product" means the products other than those covered by the provisions for sections IV.1.1, IV.2.1, IV.3.1 of this template, like guarantee fee subsidies, interest rate subsidies and other products in line with section 4.3 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2012). In case when the holding fund signed only one funding agreement for several types of other financial products with a specific fund, we suggest to report them all together only once in section IV.4. If the holding fund signed with the same specific fund (financial intermediary) separate funding agreements for each type of other financial product, the entire section IV.4 should be repeated as many times as many funding agreements for different other financial products were signed.
IV.4.1	Name of other financial product	text	Name of other financial product supported with Operational Programme resources and offered by specific fund (financial intermediary) to final recipients or for the benefit of final recipients. The name of other product should not be confused with the name of the specific fund or the fund manager.
IV.4.2*	Number of final recipients supported		
IV.4.2.1*	out of which large enterprises	number	Total number of large enterprises supported with other financial product. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.4.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) supported with other financial product. Definition of a " SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.

IV.4.2.2.1*	out of which microenterprises	number	Total number of micro-enterprises, as a part of SMEs, supported with other financial product. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.4.2.3*	out of which individuals	number	Total number of individuals supported with other financial product. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.4.2.4*	out of which urban projects	number	Total number of urban projects supported with other financial product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.4.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with other financial product.
IV.4.3	Amounts of Operational Programme contributions paid to the final recipients (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution i.e. ERDF/ESF + national public and private co-financing, paid to final recipients or for the benefit of final recipients (in case when the subsidy is paid directly to the financial intermediary). Other resources (e.g. commercial bank resources or additional regional contributions) which contributed to the financial product but do not constitute part of the Operational Programme should not be reported in this section. Resources returned to the operation from investments in final recipients and resources returned to the operation and reinvested are not considered amounts of Operational Programme contributions and should <u>not</u> be reported in this section.
IV.4.3.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates to the Structural Funds (ERDF and ESF) part of the amount reported in section IV.4.3, which was paid to final recipients or for the benefit of final recipients until the end of the reporting period.
IV.4.4*	Number of other financial products provided to final recipients	number	Total number of final recipients supported with other financial product.
IV.4.5	Date of signature of funding agreement between a holding fund and a specific fund for this (other) financial product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a holding fund and a specific fund for the other product.
IV.5	Indicators		
IV.5.1*	Number of jobs created	number	For FEIs under the ERDF OPs: Core indicator n°1 for ERDF and CF at OP level (definition: gross direct jobs created, full time equivalents, source: "Working Document No. 7. Indicative Guidelines on evaluation methods: Reporting on core indicators for the European Regional Development Fund and the Cohesion Fund" (http://ec.europa.eu/regional_policy/sources/docoffic/2007/working/wd7_indicators_en.pdf). For FEIs under the ESF OPs: Given the specificity of FEI operations under the ESF Operational Programmes, we suggest MAs to provide, if possible, information on number of participants in operations (in accordance with Annex XXIII of the Implementing Regulation 1828/2006). This information should be provided cumulatively from the year when operation was set-up until the end of the reporting period. This information could be filled in the 'comment' box. Consequently, an appropriate comment i.e. 'not applicable' should be filled in Section IV.5.1 for ESF programmes.

Template 2: Financial Engineering Instruments operations implemented without a holding fund (sections marked with "*" are optional)

No. of section in SFC2007	Required information/data	Required format information/ data	Instruction
II. Description and identification of the entities which implement financial engineering instrument - level of the specific fund implemented without a holding fund (Article 67(2)(j)(i&ii) of Council Regulation (EC) No 1083/2006)			Sections II.1 – II.6 relate to a specific fund and should be repeated accordingly for each specific fund implemented without a holding fund.
II.1	Financial engineering instrument (name and registered place of business)	text	Official name of the specific fund implemented without a holding fund: in English (if possible) or in national language; registered place of business: city name only (example: Loan fund of NOVALLIA S.A., Liège).
II.2	Attributable to Article 44, first paragraph (a), (b) or (c) of Council Regulation (EC) No 1083/2006)?		
	(a) financial engineering instruments for enterprises	selection	Selection of one option allowed.
	(b) urban development funds		
(c) funds or other incentive schemes providing loans, guarantees for repayable investments, or equivalent instruments, for energy efficiency and use of renewable energy in buildings, including in existing housing			
II.3	Type of financial product offered by the financial engineering instrument to the final recipients		
II.3.1	equity	selection	Selection of min.1 and max. 4 options allowed.
II.3.2	loan		
II.3.3	guarantee		
II.3.4	other product (interest rate subsidies, guarantee fee subsidies and equivalent measures)		
II.4	Financial engineering instrument manager (name and registered place of business)	text	Official name of specific fund manager: in English (if possible) or in national language; registered place of business: city name only (example: Loan fund of NOVALLIA S.A.,Liège).
II.7	Legal status of the financial engineering instruments	selection	Selection of one option allowed.
	independent legal entities governed by agreements between the co-financing partners or shareholders		
	separate block of finance within a financial institution		
II.6	Date of signature of funding agreement with managing authority	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a managing authority and a specific fund.

III. Operational Programme contributions paid to the financial engineering instrument (Article 67(2)(j)(iii) of Council Regulation (EC) No 1083/2006)			Sections III.2 - III. 4 relate to the contributions paid by the MA to the specific fund.
III.2	Operational Programme contributions paid to the specific fund		
III.2.1	Amounts of assistance from the Structural Funds paid to the specific fund		
III.2.1.1*	ERDF amounts of assistance committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	ERDF amounts as a part of the operational programme committed in the funding agreement signed between a managing authority and a specific fund (financial intermediary).
III.2.1.2	ERDF amounts of assistance paid to the specific fund (in EUR)	number (amount in EUR)	ERDF amounts of assistance effectively paid to the specific fund (financial intermediary), i.e. ERDF amounts previously committed in the funding agreement and paid into the account of the specific fund (financial intermediary). If a part of the ERDF assistance has been withdrawn from the specific fund (financial intermediary), then the managing authority should report the net ERDF amount (ERDF amount paid –ERDF amount withdrawn).
III.2.1.3*	ESF amounts of assistance committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	ESF amounts as a part of the operational programme committed in the funding agreement signed between a managing authority and a specific fund (financial intermediary), should be indicated in this section.
III.2.1.4	ESF amounts of assistance paid to the specific fund (in EUR)	number (amount in EUR)	ESF amounts of assistance effectively paid to the specific fund, means the ESF assistance committed in the funding agreement and paid into the account of the specific fund. If part of ESF assistance has been withdrawn from the specific fund then the managing authority should report a net ESF amount (ESF amount paid –ESF amount withdrawn) in this section.
III.2.2	Amounts of national co-financing paid to the specific fund		
III.2.2.1*	National public co-financing committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	National public co-financing constituting part of the Operational Programme committed in the funding agreement signed between a managing authority and a specific fund. Any other national public financing which do not constitute a part of Operational Programme contributions should not be reported.
III.2.2.2	National public co-financing paid to the specific fund (in EUR)	number (amount in EUR)	National public co-financing constituting part of the Operational Programme committed in the funding agreement and paid into the account of the specific fund.
III.2.2.3*	National private co-financing committed in the funding agreement with managing authority (in EUR)	number (amount in EUR)	National private co-financing constituting part of the Operational Programme committed in the funding agreement signed between a managing authority and a specific fund. National private co-financing means only this part of national private financing which is part of the OP resources [only for OPs under Article 53(1)(a) of General Regulation]. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.
III.2.2.4	National private co-financing paid to the specific fund (in EUR)	number (amount in EUR)	National private co-financing constituting part of the Operational Programme (only for OPs under Article 53(1)(a) of General Regulation) committed to the specific fund in the funding agreement and paid into the account of the specific fund. Any other national private financing which do not constitute part of Operational Programme contributions should not be reported.

III.2.3	Other ERDF or ESF Operational Programme(s) providing contributions to the specific fund	selection	<p>Titles and CCI numbers of other Operational Programme(s) contributing to the same specific fund should be reported in this section. If the reply is "Yes", the CCI numbers of other OPs should be filled in the comment box.</p> 
III.3*	Amounts of other assistance paid to the specific fund outside the Operational Programme (in EUR)	number (amount)	<p>This section relates to funding paid to the specific fund which does not constitute part of the Operational Programme concerned. As the national/ regional authorities or any other body or investor may decide to provide additional funding to a specific fund, the optional section III.3 cover this possibility.</p> <p>Payments from other Operational Programmes which contribute to the same specific fund should not be reported here.</p>
III.4	Management costs and fees paid to the specific fund (in the meaning of Article 78(6)(d) of Council Regulation (EC) No 1083/2006) (in EUR)	number (amount in EUR)	<p>Total amount of management costs and fees paid from Operational Programme contributions to the specific fund until the end of the reporting period (31/12/year of the AIR), in accordance with the conditions of the relevant funding agreement. Management costs and fees paid from other Operational Programmes contributing to the same specific fund should not be reported.</p>
IV. Operational Programme contributions paid by financial engineering instrument(s) to final recipients (Article 67(2)(j)(iv) of Council Regulation (EC) No 1083/2006)			
IV.1	Operational Programme contributions paid to final recipients in loans		<p>If the managing authority signed with the specific fund (= financial intermediary) only one funding agreement for different types of loan product (for example: loans for SMEs and microloans), we suggest to group them together in <u>one</u> section IV.1. Example: in 2008 managing authority signed with specific fund a funding agreement for two types of loan products: loans for SMEs and for microloans. For this case, section IV.1 should be completed only once: together for loans for SMEs and for microloans. If the managing authority signed with the same specific fund (financial intermediary) a separate funding agreement for each type of loan product, the entire section IV.1 should be repeated as many times as many funding agreements for different types of loan products have been signed. Example: in 2008 MA signed with specific fund (financial intermediary) a funding agreement for one type of loan product: for SMEs, and in 2009 with the same specific fund, a funding agreement for microloans. For this case, section IV.1 should be completed separately for loans for SMEs and for microloans.</p>
IV.1.1	Name of loan product	text	<p>Name of loan product supported with Operational Programme resources and offered by specific fund (financial intermediary) to final recipients. The name of loan product(s) should not be confused with the name of the specific fund or the fund manager. For different loan products under the same funding agreement, there is no need to provided names of each specific loan products but one general description, for example: "Loans for SMEs and microenterprises".</p>
IV.1.2*	Number of final recipients supported		<p>It should be reminded that a number of final recipients supported reported in sections IV.1.2.1*-IV.1.2.4* can be equal or lower (but not higher) to the number of loan contract reported in section IV.1.3*.</p>
IV.1.2.1*	out of which large enterprises	number	<p>Total number of large enterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.</p>

IV.1.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.1.2.2.1*	out of which micro-enterprises	number	Total number of microenterprises which signed a contract for loan product with the specific fund, and to which the latter disbursed a loan (or a part of loan) until the end of the reporting period. Definition of a "microenterprise(s)" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.1.2.3*	out of which individuals	number	Total number of individuals who signed a contract for loan product with the specific fund, and to whom the latter disbursed a loan (or a part of loan) until the end of the reporting period. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.1.2.4*	out of which urban projects	number	Total number of urban projects supported with loan. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.1.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, but are supported with loan.
IV.1.3*	Number of loan contracts signed with final recipients	number	Number of loan contracts that the specific fund signed with final recipients (including the contracts for loans for which no disbursement took place)
IV.1.4*	Total value of loans committed in contracts signed with final recipients (in EUR)	number (amount in EUR)	Total value of loans in contracts signed with final recipients should be indicated. This amount should include Operational Programme contributions and other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of OP.
IV.1.4.1*	out of which Operational Programme contributions	number (amount in EUR)	Operational Programme contributions assistance (i.e. ERDF/ESF +national public and private co-financing) committed in signed loans should be reported in this section. If the loans were also financed from other resources (e.g. commercial bank resources or additional regional contribution) which do not constitute part of the Operational Programme, those other resources should not be reported.
IV.1.5	Amounts of Operational Programme contributions paid to final recipients in loans (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing) should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This section refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients until the end of the reporting period. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, resources returned to the operation and reinvested in final recipients should not be reported in this section.
IV.1.5.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates only to this part of amount indicated in section IV.1.5, which was provided from Structural Funds (ERDF & ESF resources).
IV.1.6	Date of signature of funding agreement between a managing authority and a specific fund for this loan product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a managing authority a specific fund for the loan product.

IV.2	Operational Programme contributions paid to final recipients in guarantees		If the managing authority signed with the specific fund (financial intermediary) only one funding agreement for different types of guarantee product in relation to loans or to other risk-bearing instruments, we suggest to group them together in one section IV.2. If the managing authority signed with the same specific fund (financial intermediary) a separate funding agreement for each type of guarantee product, the entire section IV.2 should be repeated as many times as many funding agreements for different guarantee products have been signed.
IV.2.1	Name of guarantee product	text	Name of guarantee product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of guarantee product should not be confused with the name of the specific fund or the fund manager. For different guarantee products under the same funding agreement, there is no need to provide names of each guarantee product but one general description, for example: "Guarantees for SMEs".
IV.2.2*	Number of final recipients supported		
IV.2.2.1*	out of which large enterprises	number	Total number of large enterprises to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.2.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) to which the loans guaranteed with Operational Programme contribution were effectively (fully or partially) disbursed until the end of the reporting period. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.2.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employ less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.
IV.2.2.3*	out of which individuals	number	Total number of individuals to whom the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.2.2.4*	out of which urban projects	number	Total number of urban projects to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.2.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.2.2.1*-IV.2.2.5*, but to which the loans guaranteed with Operational Programme contribution were (fully or partially) disbursed until the end of the reporting period.
IV.2.3*	III.5	number (amount in EUR)	In line with section 4.1 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2012), amounts of Operational Programme contributions should be based on the appropriate multiplier ratio and cover only expected and unexpected losses from loans. Therefore, The amounts of to be reported in section IV.2.3 are the Operational Programme contributions blocked (committed) in guarantees contracts signed with final recipients, irrespective whether the loan was disbursed or not to final recipient. In case when the HF performs the function of a guarantee specific fund (see as example the First Loss Portfolio Guarantee (FLPG) product of the EIF within JEREMIE HFs), this includes the amounts of OP contributions reserved (put aside) by the HF for financial intermediary to build up a portfolio of loans for SMEs/to guarantee loan contracts signed between a financial intermediary and final recipients.

IV.2.4	Amounts of Operational Programme contributions blocked for guarantee contracts for loans paid to final recipients (in EUR)	number (amount in EUR)	The amounts to be reported in section IV.2.4 correspond to the part of the Operational Programme contributions blocked (committed) in guarantees contracts signed with final recipients , but only for loans which were disbursed to final recipients by end of the reporting period; irrespective whether the guarantee was called or not. In case when the HF performs the function of a guarantee specific fund (see as example the First Loss Portfolio Guarantee (FLPG) product of the EIF within JEREMIE HF) , this includes the amounts of OP contributions reserved (put a side) by the HF for financial intermediary to build up a portfolio of loans for SMEs/to guarantee loan contracts signed between a financial intermediary and final recipients.
IV.2.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	The part of the Operational Programme contributions reported under IV.2.4 which was provided from Structural funds (ERDF and ESF).
IV.2.5*	Number of loans paid to final recipients in relation to guarantees contracts signed with final recipients	number	Number of loans disbursed (fully or partially) until the end of the reporting period, for which the guarantees supported from the Operational Programme contributions have been committed.
IV.2.6	Amount of loans actually paid to final recipients in relation to guarantees contracts signed (in EUR)	number (amount)	Total amount of loans which were guaranteed with Operational Programme resources and were actually disbursed to final recipients (paid into accounts of final recipients).
IV.2.7	Date of signature of funding agreement between a managing authority and a specific fund for this	date (format:	Date of signature of funding agreement between a managing authority and a specific fund for the guarantee product.
IV.3	Operational Programme contributions paid to final recipients in equity/venture capital		If the managing authority signed with the specific fund (= financial intermediary) only one funding agreement for different types of equity/venture capital product, we suggest to group them together in one section IV.3. If the managing authority signed with the same specific fund (= financial intermediary) a separate funding agreement for each type of equity/venture capital product, the entire section IV.3 should be repeated as many times as many funding agreements for different equity/venture capital products have been signed. Quasi-equity products should be reported like equity/venture capital.
IV.3.1	Name of equity/venture capital product	text	Name of equity/venture capital product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients. The name of equity product should not be confused with the name of the specific fund or the fund manager. For different guarantee products under the same funding agreement, there is no need to provided names of each guarantee product but one general description, for example: "Venture capital investments".
IV.3.2*	Number of final recipients supported		
IV.3.2.1*	out of which large enterprises	number	Total number of large enterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of the reporting period. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.3.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises (SMEs) which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment until the end of the reporting period. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.3.2.2.1*	out of which micro-enterprises	number	Total number of microenterprises which signed a contract for equity/venture capital product with the specific fund, and to which the latter effectively made investment (or a part of it) until the end of the reporting period. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

IV.3.2.3*	out of which urban projects	number	Total number of urban projects supported with equity/venture capital product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.3.2.4*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1* -IV.4.2.3*, supported by investment(s) from the specific fund (financial intermediary) by end of the reporting period.
IV.3.3*	Number of equity/venture capital investments made in line with agreements signed between a managing authority and a specific (equity/venture capital) fund	number	Number of investments made in final recipients by end of the reporting period
IV.3.4	Amounts of Operational Programme contributions paid to investments made in line with agreements (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution (i.e. ERDF/ESF + national public and private co-financing), paid to final recipients. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. Resources returned from investments in final recipients and reinvested in final recipients are not considered amounts of Operational Programme contributions and should <u>not</u> be reported in this section.
IV.3.4.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates only to this part of amount indicated in section IV.3.4, which was provided from Structural Funds (ERDF & ESF resources).
IV.3.5	Date of signature of funding agreement between managing authority and specific fund for this equity/venture capital product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between managing authority and specific fund for the venture capital/equity product.
IV.4	Operational Programme contributions paid to final recipients in other financial products		"Other financial product" means the products other than those covered by the provisions for sections IV.1.1, IV.2.1, IV.3.1 of this template, like guarantee fee subsidies, interest rate subsidies and other products in line with section 4.3 of the Guidance Note on Financial Engineering Instruments (COCOF-10-0014-05 of 08/02/2012). In case when the MA signed only one funding agreement for several types of other financial products with a specific fund, we suggest to report them all together only once in section IV.4. If the MA signed with the same specific fund (financial intermediary) separate funding agreements for each type of other financial product, the entire section IV.4 should be repeated as many times as many funding agreements for different other financial products were signed.
IV.4.1	Name of other financial product	text	Name of other financial product supported with Operational Programme resources and offered by specific fund (=financial intermediary) to final recipients or for the benefit of final recipients. The name of other product should not be confused with the name of the specific fund or the fund manager.
IV.4.2*	Number of final recipients supported		
IV.4.2.1*	out of which large enterprises	number	Total number of large enterprises supported with other financial product. Based on the Commission Recommendation 2003/361/EC of 6 May 2003 and for purposes of this document, by "large enterprises" should be understood the enterprises with more than 250 employees and a turnover of > EUR 50 million / balance sheet total of > EUR 43 million.
IV.4.2.2*	out of which SMEs	number	Total number of small and medium-sized enterprises(SMEs) supported with other financial product. Definition of a "SME" according to Article 2.1 of the Commission Recommendation of 2003/361/EC of 6 May 2003, i.e. small and medium-sized enterprises (SMEs) is made up of enterprises which employ less than 250 persons and which have an annual turnover not exceeding EUR 50 million, and/or an annual balance sheet total not exceeding EUR 43 million. According to the definition above this includes the microenterprises reported in IV.2.2.1.
IV.4.2.2.1*	out of which micro-enterprises	number	Total number of micro-enterprises, as a part of SMEs, supported with other financial product. Definition of a "microenterprises" according to Article 2.3 of the Commission Recommendation of 2003/361/EC of 6 May 2003 i.e. enterprises which employs less than 10 persons and whose annual turnover and/or annual balance sheet total does not exceed EUR 2 million.

IV.4.2.3*	out of which individuals	number	Total number of individuals supported with other financial product. "Individuals" means all natural persons not covered by the definition of enterprise in the Commission Recommendation 2003/361/EC of 6 May 2003 (Title I, Article 1 of the Annex 1) or not covered by the definition of legal person in the national legislation.
IV.4.2.4*	out of which urban projects	number	Total number of urban projects supported with other financial product. "Urban projects" means the projects included in the integrated plan for sustainable urban development and/or regeneration or structured in public-private partnerships.
IV.4.2.5*	out of which other recipients supported	number	Total number of other types of final recipients, which do not fall under the scope of sections IV.4.2.1*-IV.4.2.3*, but are supported with other financial product.
IV.4.3	Amounts of Operational Programme contributions paid to the final recipients (in EUR)	number (amount in EUR)	Amounts of Operational Programme contribution i.e. ERDF/ESF + national public and private co-financing, paid to final recipients or paid for the benefit of final recipients (in a case when the subsidy is paid directly to the financial intermediary), should be indicated in the section. Other resources (e.g. commercial bank resources or additional regional contributions) which contribute to this product but do not constitute part of the Operational Programme should not be reported in this section. This section refers to the amounts of Operational Programme effectively disbursed, means paid into the account of the final recipients or to the benefit of final recipients, until the end of the reporting period. Resources returned to the operation from investments in final recipients are not considered amounts of Operational Programme contributions. Consequently, resources returned to the operation and either reinvested in final recipients or paid to final recipients or for the benefit of final recipients) should not be reported in this section.
IV.4.3.1	out of which amounts of assistance from the Structural Funds (in EUR)	number (amount in EUR)	This section relates to the Structural Funds (ERDF and ESF) part of the amount reported in section IV.4.3, which was paid to final recipients or for the benefit of final recipients until the end of the reporting period.
IV.4.4*	Number of other financial products provided to final recipients	number	Total number of final recipients supported with other financial product.
IV.4.5	Date of signature of funding agreement between a managing authority and a specific fund for this (other) financial product	date (format: DD/MM/YYYY)	Date of signature of funding agreement between a managing authority and a specific fund for the other product.
IV.5	Indicators		
IV.5.1*	Number of jobs created	number	For FEIs under the ERDF OPs: Core indicator n°1 for ERDF and CF at OP level (definition: gross direct jobs created, full time equivalents, source: "Working Document No. 7. Indicative Guidelines on evaluation methods: Reporting on core indicators for the European Regional Development Fund and the Cohesion Fund" (http://ec.europa.eu/regional_policy/sources/docoffic/2007/working/wd7_indicators_en.pdf). For FEIs under the ESF OPs: Given the specificity of FEI operations under the ESF Operational Programmes, we suggest MAs to provide, if possible, information on number of participants in operations (in accordance with Annex XXIII of the Implementing Regulation 1828/2006). This information should be provided cumulatively from the year when operation was set-up until the end of the reporting period. This information could be filled in the 'comment' box. Consequently, an appropriate comment i.e. 'not applicable' should be filled in Section IV.5.1 for ESF programmes.