



Performance framework in the post-2020 HOME funds

DG HOME, MFF team, Unit E.1

Programme performance framework

Art. 12-13 CPR provisional agreement

'The Member States shall establish a performance framework which shall allow monitoring, reporting on and evaluating programme performance during its implementation, and contribute to measuring the overall performance of the Funds.'

It consists of:

- **Output and result indicators** linked to specific objectives (SO), Annex VIII
- **Milestones** for output indicators to be achieved by end 2024
- **Targets** for output and result indicators to be achieved by end 2029
- **Baselines** for result indicators

Additional provisions on monitoring of HOME funds

HOME Fund Regulations set out specific requirements for indicators, baselines, milestones and targets (Art. 24 ISF, Art. 25 BMVI and Art. 28 AMF)

- Indicators per specific objective
- Indicators set out in Annex VIII
- Baselines for output indicators are always zero
- Milestones and targets are cumulative
- Requirements cover shared and (in)direct management

Programme performance framework – methodologies (Art. 13 CPR proposal)

The methodology to establish the performance framework shall include:

- (a) the criteria applied by the Member State to select indicators;
- (b) data or evidence used, data quality assurance and the calculation method;
- (c) factors that may influence the achievement of the milestones and targets and how they were taken into account.

Programme performance framework – methodologies (Art. 13 CPR proposal)

The Member State shall make that methodology available upon request by the Commission (PF methodology document)

Benefits of the PF methodology documents:

- Documents the data used and underlying assumptions
- Provides institutional memory for programmes
- Provides basis to justify later programme changes when assumptions or demand change
- Supports later evaluation

Programme performance framework – MS Question

- Do all output and result indicators with target value flow into the performance framework or is it possible to choose which indicators do?

Baselines

- A reference value for setting targets and for monitoring progress in programme implementation
- Set out the situation prior to the start of the programme
- Baselines remain unchanged
- Baselines for result indicators only, baseline for output indicators are zero
- Depending on the indicator, data can come from a specific year or constitute the annual average covering a number of years (examples will follow)

Baselines cont.

- If historical data is lacking: studies or data from other MS can be considered
- Data can come from activities funded by HOME funds, by other EU funds (e.g. ESF, ERDF) or by purely national funding
- Expressed in absolute numbers

Baselines – MS comment

- The realistic baseline for output indicators cannot be determined for every indicator as this statistic has not been gathered on a national level.

Baselines – MS question

Should the baseline figures for result indicators reflect only the results of the actions under the 2014-2020 HOME funds?

For example if the result indicator is „The estimated value of assets frozen in the context of cross-border operations“, should the baseline figure represent the estimated value under the 2014-2020 ISF-Police or in the Member State as a whole, regardless the funding of these operations?

Targets

- Target values for output and result indicators
- Cumulative targets to be achieved by end 2029 (no annual targets)
- The target value should only cover achievements of the funds (not the total situation)
- Targets expressed in absolute numbers
- To be adjusted in case of additional allocations (e.g. thematic facility, mid-term review)

Methodology for target setting

- MS choose the methodology. Important that it considers all factors which potentially influence target achievement

Potential aspects of the methodology:

- allocate budgets to related types of activities and use costs per unit (output & result targets)
- estimated/probable change of the situation following the support or the success rate of the support (result targets)
- Target value depends on a number of factors (e.g. characteristics of the target group, changes in needs or the socio-economic situation)

Targets – example

Indicator: number of newly created places in reception infrastructure

Target: 100 (i.e. create new places)

Baseline (in 2021): 2000 places exist

The target of 100 covers only places created with the support of the AMF and not those created with purely national or other EU funding.

Why: indicators do not monitor the policy. They monitor programme performance

Targets – MS question

- What are the criteria for the Commission's assessment of the 2029 indicator targets at the programme adoption?
- It is extremely difficult to agree on the milestones and targets of indicators if the definitions have not been agreed. We are really looking forward to these discussions and/or guidances.

Targets – MS question

- Setting targets can be challenging at the programming stage (in case the interventions are completely new or due to the changes of the socioeconomic situation) and the planning body can set only the best estimate that might need revision at a certain point of time, and in the closure period the need of possibility of fulfilment range is inevitable.

Performance Framework - milestones

- Intermediate targets for output indicators
- To be achieved by end 2024
- When setting the milestones, the amount of the mid-term review shall not be taken into account (it is effective for the calendar year 2025)
- As of 2025, the allocation of the Thematic Facility will, where appropriate, take account of achieving the milestones

Milestones – MS question

- Is there a threshold value for missing the milestone during the mid term evaluation, which is seen as problematic or a threshold value within the performance framework which indicates an adaptation of the programme?

Examples of result indicators (1)

- Number of persons resettled
- Monitor the entirety of the population resettled with the support of the fund
- Baseline: should reflect the status-quo situation of the entire population (i.e. all persons resettled) with EU or national support at the beginning of the programming period. Recommended to take the average of a period of years.

Example of result indicators (2)

- Number of visa applicants applying through digital means
- Monitors a sub-set of a population
- Baseline: should not reflect all visa applicants but only a sub-group, namely those, which apply through digital means. Recommended to use data from a specific year.

Examples of result indicators (3)

- Number of participants in language courses who have improved their proficiency level in the host-country language upon leaving the language course by at least one level in the Common European Framework of Reference for Languages or national equivalent
- Monitors the success rate of participants in a language course
- Baseline: should reflect the success observed in existing or previous similar interventions. Recommended to use the average over a period of years, if available

Example of result indicators (4)

- Number of Schengen Evaluation Recommendations with a financial implication in the area of security addressed
- Baseline: should always be zero

Question on indicators

- What is the measurement unit of indicators, targets and milestones?
- When does the Commission intend to discuss the definitions of the indicators?

Performance Framework – table

Table 1: Output indicators					
Specific objective	ID	Indicator	Measurement unit	Milestone (2024)	Target (2029)

Table 2: Result indicators									
Specific objective	ID	Indicator	Measurement unit	Baseline	Reference year	Target (2029)	Measurement unit for target	Source of data	Comments

Questions?