



2014 annual work programme for the implementation of the 'Erasmus+' Programme - International dimension of Higher Education

C(2014) 5455 of 5 August 2014

Summary

The 2014 annual work programme for the implementation of the International dimension of Higher Education (Heading 4) of "Erasmus+: the Union Programme for Education, Training, Youth and Sport - (complement to Commission Implementing Decision C(2013)8193)

The annual work programme 2014 Erasmus+ was adopted by the Commission on 27 November 2013 by Commission Implementing Decision C(2013)8193 and amended by Commission Implementing Decision C(2014)1372 of 7 March 2014 and Commission Implementing Decision C(2014)3581 of 5 June 2014.

At that time, the complete information required to constitute a financing decision was not available concerning the actions and activities foreseen under Heading 4 in 2014. This work programme puts forward, as a complement, the previously missing information on Heading 4 funds for 2014.

It covers the international credit mobility and capacity building actions (including the network of National Erasmus+ Offices), as well as the "windows" under the Erasmus Mundus Joint Master Degrees funded with the financial contribution of external instruments under Heading 4:

- the Development Cooperation Instruments (DCI)
- the European Neighbourhood Instrument (ENI)
- the Partnership instrument (PI)
- and the Pre-accession Assistance Programme (IPA2)

and serves as a financing decision up to a total amount of **€246,857,624**.

This work programme therefore completes the Commission Implementing Decision for the part of the Heading 4 budget not covered by Commission Decision C(2013)8193 and its subsequent revisions.

Due to the late adoption of the Legal Bases¹ of the four external financial instruments, 2014 appropriations will be committed through global commitments, in line with this work programme in the autumn of 2014. The calls for the Key Action 1 activities and the international higher education capacity building projects will be published in September 2014.

2. Actions and activities for Heading 4 in 2014

The actions and activities covered by this work programme include in particular:

- For grants (implemented under direct management; the calls for proposals are marked in *italics* in the table):

WPI*	Key action 1	WPI*	Key action 2
<i>1.23</i>	<i>Erasmus Mundus Joint Master Degrees: additional scholarships</i>	<i>2.40</i>	<i>International HE capacity building</i>
		2.41	Network of National Erasmus+

¹ OJ L(2014)77, 15.03.2014, p. 1.

			Offices (NEOs)
--	--	--	----------------

(*) WPI: work programme index

– For actions (implemented under indirect management):

WPI *	Key action 1	WPI*	Key action 2
1.13	Individual Mobility of Learners and Staff to/from partner countries		

(*) WPI: work programme index

EN

ANNEX 1

The 2014 annual work programme for the implementation of "Erasmus+": the Union Programme for Education, Training, Youth and Sport on the

International dimension of Higher Education (Heading 4)

1. INTRODUCTION

The 2014 annual work programme Erasmus+ was adopted by the Commission on 27 November 2013 by Commission Implementing Decision C(2013)8193 and amended on 7 March 2014 by Commission Implementing Decision C(2014)1372 and on 5 June 2014 by Commission Implementing Decision C(2014)3581.

At that time, the complete information required to constitute a financing decision was not available concerning the actions and activities foreseen under Heading 4 in 2014. This work programme therefore puts forward, as a complement, the previously missing information on Heading 4 funds for the year 2014.

This work programme covers the international credit mobility and capacity building actions (including the network of National Erasmus+ Offices), as well as additional scholarships under the Erasmus Mundus Joint Master Degrees (EMJMD) funded with the financial contribution of four separate external instruments under Heading 4:

- the Development Cooperation Instruments (DCI)
- the European Neighbourhood Instrument (ENI)
- the Partnership instrument (PI)
- the Pre-accession Assistance Programme (IPA2).

and serves as a financing decision for grants and procurement up to a total amount of € 246,857,624.

It therefore completes the Commission Implementing Decision for the part of the Heading 4 budget not covered by Commission Implementing Decision C(2013)8193 and its subsequent revisions and thereby complements it. Also, the budget tables in Appendix 1 and 2 complement those already incorporated in the 2014 work programme.

The present decision aims at authorising the expenditure of the actions and activities related to Heading 4 explained below.

The Legal Bases of the four external cooperation instruments that finance the international dimension of the programme were adopted in March 2014¹. Amounts have been approved by the Committees responsible for the external instruments. Due to the late adoption of the Legal Bases and the programming documents thereof, 2014 appropriations will be committed through global commitments (except for the network of National Erasmus+ Offices, for which a commitment will be made in 2014) in accordance with this work programme in the Autumn of 2014. The Erasmus+ general call for proposals is expected to be published in September 2014, including Key Action 1 activities and Key Action 2, international higher education capacity building projects. These activities will be implemented as of 2015.

2. GENERAL OVERVIEW OF THE ERASMUS+ PROGRAMME - HEADING 4

2.1. General objective

According to Regulation (EU) No 1288/2013 of the European Parliament and of the Council of 11.12.2013² establishing the Erasmus+ programme (hereinafter the "Regulation"), Heading 4 funds implemented through the Erasmus+ programme will contribute to the achievement of the following general objective:

- the objectives of the Europe 2020 strategy, including the headline education target
- the objectives of the strategic framework for European cooperation in education and training (ET 2020), including the corresponding benchmarks

¹ OJ L(2014)77, 15.03.2014, p. 1.

² OJ L 347, 20.12.2013, p 50.

- the sustainable development of partner countries in the field of higher education
- the promotion of European values in accordance with Article 2 of the Treaty on European Union.

2.2. A reinforced international dimension

Erasmus+ includes a strong international dimension in particular in the higher education (HE) field that contributes to various external policies, in particular neighbourhood, enlargement and development objectives. Erasmus+ supports partner countries to address the challenges facing their higher education institutions and systems, including those of quality, relevance, equity of access, planning, delivery, management, governance and internationalisation by offering a balanced mix of actions addressing individuals, institutions and higher education systems.

Regarding *higher education*, the following principles will apply:

- Funds from Heading 4 will be allocated according to the geographical priorities of EU external action to fund credit mobility, additional scholarships under the Erasmus Mundus Joint Master Degrees and the capacity building action (under Key action 1 and Key action 2). Funds coming from DCI will be used in accordance with the rules of OECD Development Assistance Committee.

Depending on the region, different objectives will apply:

- Establishment of a common higher education area through the use of common quality and transparency instruments, support to modernisation and internationalisation in the countries neighbouring the EU, including the enlargement countries (applies to countries covered by ENI and IPA2 financial instruments³)
- Support to modernisation and internationalisation, to inclusive and sustainable development with particular attention to ensuring the participation of least developed countries and less advanced higher education institutions within the countries as well as disadvantaged groups (applies to countries covered by the DCI financial instrument)
- Increase cooperation leading to the creation of partnerships aimed at improving the quality of higher education and academic recognition (applies to countries covered by the PI financial instrument). Note that PI will not fund Key Action 2 projects (capacity building action).

2.2.1. Key action 1: Learning mobility of individuals

Mobility will be strengthened and remain the core element across the programme.

Learning mobility has the potential to raise the level of skills, competences, to make higher education more relevant to the labour market and society, to increase awareness of cultural and linguistic diversity, and to enhance the modernisation and internationalisation of higher education institutions, to the benefit of both the EU and its partner countries.

Key action 1 caters for the international opening of student and staff mobility to and from partner countries. It also funds additional scholarships to high-quality EMJMD for talented students and staff worldwide coming from targeted regions of the world.

Geographic coverage

Key Action 1:

- Erasmus Mundus Joint Master Degrees are open to students and institutions from the whole world.
- The credit mobility action is open to students and institutions from the whole world, provided that funds from the external instruments are available.

³ For the Enlargement region, the South East Europe Strategy (SEE 2020) aims to bring the region closer to the European Union. It has many similarities with the Europe 2020 strategy but is adjusted to the specific needs of the region.

2.2.2. *Key action 2: Cooperation for innovation and the exchange of good practices*

This action supports partner countries in modernising and internationalising their higher education systems - with a special focus on neighbourhood and enlargement countries.

Under Key action 2, capacity-building and cooperation measures for the modernisation of higher education systems are streamlined; cooperation with neighbourhood and enlargement countries is strengthened through the addition of an embedded mobility component to ensure a systemic impact of mobility. This action will fund joint projects and structural measures involving higher education institutions and other relevant organisations, from programme countries and from enlargement and neighbouring regions, Russia⁴, Asia and Latin America. It will also fund the network of National Erasmus+ Offices in countries formerly covered by the Tempus programme.

Geographic coverage

Key Action 2:

- The capacity building action is open to the countries covered by the IPA2, ENI and DCI financial instruments. It is not open to countries covered by the Partnership Instrument.

⁴ Territory of Russia as recognised by international law.

3. OVERVIEW AND PRINCIPLES FOR DISTRIBUTION OF FUNDS

3.1. Principles for the distribution of funds

3.1.1. Programme countries

In accordance with Article 24 of the Regulation, the programme is open to the participation of some non-EU countries (i.e. the EFTA-EEA countries, the enlargement countries, the Swiss Confederation and those countries covered by the ENI (European Neighbourhood Instrument), in addition to the Member States of the European Union. The participation of these countries is subject to the conclusion of an agreement.

The following countries have signed such an agreement, which will cover their participation in all aspects of the programme and take effect as from 2014:

- those EFTA countries that are party of the EEA Agreement (Iceland, Liechtenstein and Norway)
- the candidate countries: Turkey and the former Yugoslav Republic of Macedonia.

3.1.2. Partner countries

Partner countries are:

- Countries identified in Article 24 of the Regulation as being eligible to participate in the programme on the same footing as EU Member States, but for which the relevant agreements for setting up a National Agency have not yet been concluded.
- Any other country of the world.

3.1.3. Distribution of funds available in 2014

The Erasmus+ programme includes a strong international dimension; particularly in regards to higher education taking into account the various external policies, in particular neighbourhood, enlargement and development objectives. Not only will the programme enhance the quality of European higher education in pursuit of the broader ET 2020 objectives and the attractiveness of the Union as a study destination, but also promote understanding between people and contribute to the sustainable development of higher education in the partner countries, as well as to their broader socio-economic development, inter alia by stimulating brain circulation through mobility actions with partner country nationals.

To this end, the financial contribution of external instruments in 2014 will be the following:

Erasmus+ Heading 4 Draft Budget 2014 (EU-33)(*)	TOTAL
Erasmus+ Contribution from Development Cooperation Instruments (DCI)	100.336.946
Erasmus+ European Neighbourhood Instrument (ENI)	106.769.355
Erasmus+ Contribution from Partnership instrument (PI)	8.807.832
Erasmus+ Contribution from Pre-accession Assistance Programme (IPA2)	30.943.491
(*) Draft budget (EU33) incl. draft budget EU28, EFTA/EEA contribution and third country contributions	246.857.624

and will be implemented with the following budget breakdown:

Erasmus+ Heading 4 Draft Budget 2014 (EU-33)(*)	TOTAL
Key action 1 (KA1) of which Credit Mobility	106.174.865
Key action 1 (KA1) of which Degree Mobility	23.139.560
Key action 2 (KA2) of which Capacity Building (HE)	117.543.199
Total activities KA1 + KA2	246.857.624
(*) Draft budget (EU33) incl. draft budget EU28, EFTA/EEA contribution and third country contributions	246.857.624

The budget distribution per sub-regions is included in the table of Appendix 1.

Funds may be made available, at a later stage, from the European Development Fund (EDF), in accordance with the procedures governing it.

3.1.4. Distribution of the funds to be managed at national level between the programme countries

Index references in budget table: 1.13

In line with Article 28 of the Regulation, the funds implemented by the National Agencies are managed according to the indirect management mode as provided for by Article 58 (1) (c) FR. These funds are allocated between the programme countries (33 countries in 2014) according to the criteria foreseen in the Regulation⁵, which stipulates a distribution of funds for learning mobility of individuals under Key action 1 on the basis of:

- a population factor
- correction factors in terms of differences in "cost of living" and "distance between capitals"
- performance.

As regards Heading 4 funds:

1) For the EU and EFTA countries which are party to the European Economic Area agreement (EU-31), the criteria used are: (a) the total population⁶; (b) the cost of living⁷, (c) the distance between capitals⁸ and (d) the performance (i.e. most recent data available on higher education past performance). In the proposed method, the criteria (a) to (d) are applied for the allocation of the 100% of mobility activities.

Population criteria account for 75% of the available funds:

- of which 80% is distributed to the (31) countries according to their total population, corrected to take into account differences in the cost of living and distance between capitals
- of which 20% is reserved to be distributed as a minimum fixed allocation (weighted to account for very small countries).

Performance accounts for 25% of the available funds (most recent data available on higher education past performance).

2) For those countries participating in the programme against payment of a financial contribution (non-Member States and non-EFTA countries), the allocation of funds under indirect management is calculated on the basis of the contributions paid by the countries.

These funds are indicated in the budget table in Appendix 1 by the abbreviation "NA". The country distribution of the funds under indirect management for Heading 4 is provided in Appendix 2. The contribution of each instrument is calculated on a *pro rata* basis.

⁵ Article 18(7)

⁶ The population factor is defined as the ratio of the country total population versus the total population of the programme countries (EU-31). Source: Eurostat.

⁷ The cost of living coefficient (correction coefficients between capitals) is defined as the relative living cost between Belgium and the other countries. Source: Eurostat.

⁸ The distance between capitals coefficient is defined as the relative kilometric bird fly distance between a capital and all other capitals of the programme countries. Web source: <http://www.ephemeride.com/atlas/distance/27/>.

3.1.5. *Calls for proposals*

To achieve the priority objectives announced above, general and specific calls for proposals will be published in accordance with Article 128(1) of the Financial Regulation (FR) and Article 189 of the Rules of Application (RAP). The call for proposals that will be launched with a view to selecting actions and work programmes to be co-financed, or financed in full, as of 2015 is specified in the following sections.

The indicative timetable of the call for proposals, as well as the estimated amount available and the maximum rate of European Union co-financing, are indicated in the budget tables in [Appendix 1](#).

The general exclusion, eligibility and selection criteria that apply to all calls for proposals are the following:

Exclusion criteria

- Applicants for grants higher than €60,000 must certify that they are not in any of the situations described in Articles 106(1), 107, 108 and 109 FR, subject to the provisions stipulated in Article 131 FR.

Eligibility criteria

Eligible countries for application

The capacity building action is open to applicant higher education institutions and organisations from programme countries and from partner countries: neighbouring, enlargement countries, Russia⁹, Asia, and Latin America (all countries covered by the ENI, IPA2 and DCI instruments).

Other eligibility criteria may be specified in the description of particular actions.

Selection criteria

- Applicants must have stable and sufficient sources of funding to maintain their activity throughout the period during which the action is being carried out and to participate in its funding.
- Applicants must have the professional competences and qualifications required to complete the proposed action.

Applicants are required to submit a declaration on their honour to show their legal status and their financial and operational capacity to carry out the proposed action. In conformity with Article 131(3) FR, for applications for a grant exceeding €60,000 supporting documents may be requested, in which case the nature of those documents are specified in the call for proposals. Such documents may in particular consist of annual accounts, bank extracts, CVs of proposed staff, whereas in certain cases proof of prior experience in a particular field of competence may be deemed necessary.

⁹ Territory of Russia as recognised by international law.

4. INTERVENTIONS PLANNED UNDER THE 2014 WORK PROGRAMME

The interventions planned for the Heading 4 funds available in 2014 are presented in these sections. In line with the 2014 budget tables of [Appendix 1](#), they are regrouped by types of activities (per Key action).

The actions will be implemented on the basis of open, quality driven competition via the general or specific calls, with the evaluation criteria specified in the relevant section.

They will also be implemented in the form of grants, as per Article 190(1)(f) RAP: i.e. grants for actions with specific characteristics that require a particular body in account of its technical competence, its high degree of specialisation or its administrative power .

The funds implemented by the National Agencies are managed according to the indirect management mode as provided for by Article 58.1(c) FR.

The network of National Erasmus+ Offices will be managed in conformity with Article 277 RAP.

For each action, the implementation mode is mentioned in the relevant section as well as in the budget tables in [Appendix 1](#). The indicative timetable of the call for proposals as well as the estimated amount available and the maximum rate of EU co-financing, are indicated in the tables in [Appendix 1](#).

5. KEY ACTION 1: LEARNING MOBILITY OF INDIVIDUALS

5.1. Activities of Key action 1

For the international dimension, the following actions will be implemented under Key action 1 and financed with Heading 4 funds:

5.1.1. International mobility of students and staff to and from partner countries

Index references in budget table: 1.13

Mobility actions for staff and higher education students (professional development activities and study periods abroad for HE students activities) will be opened to incoming and outgoing mobility to and from partner countries. As the outgoing mobility of European students (1st and 2nd cycle) to countries covered by the DCI, cannot be funded by Heading 4 funds, according to the rules governing this instrument, Heading 1 funds can be allocated up to a maximum amount equivalent to 20% of the total DCI allocation to fund the outgoing mobility of European students (1st and 2nd cycle).

Expected results

This action aims to:

- support learners in the acquisition of competences with a view to improving their personal development and employability in the labour market
- support the professional development of those who work in education, with a view to innovating and improving the quality of teaching and learning
- enhance notably the participants' foreign languages competence
- raise participants' awareness and understanding of other cultures and countries, offering them the opportunity to build networks of international contacts
- enhance the attractiveness of higher education in Europe and support European HEI in competing on the higher education market worldwide
- support the internationalisation, attractiveness, quality, equity of access and modernisation of HEI outside Europe in view of promoting the inclusive and sustainable development of partner countries.
- promote the development and external policy objectives and principles including national ownership, social cohesion, equity, proper geographical balance and diversity.

Eligible applicants

- Higher education institutions established in a programme country and awarded with an Erasmus Charter for Higher Education (ECHE) can apply for incoming and outgoing international mobility, on behalf of partner countries
- Any public or private organisation established in a programme country and applying on behalf of a Mobility Consortium.

Individuals cannot apply directly for a grant.

Award criteria for mobility grants

The applications for international student and staff mobility will be assessed against these award criteria:

- Relevance of the strategy
- Quality of the cooperation arrangements
- Quality of the activity design and implementation
- Impact and dissemination.

Secondary criteria

In addition to the general criteria outlined above, where the National Agency budget envelope for a particular partner region will be very limited, a National Agency may choose to limit demand by adding one or more secondary criteria. In the event that a National Agency chooses to use secondary criteria, this decision must be clearly publicised and communicated to all stakeholders in advance of the call deadline. Secondary criteria must be chosen amongst the following:

- Degree level (for example limiting applications to one or two cycles only – BA, MA or PhD)
- Privileging only staff or only student mobility
- Limiting the duration of mobility periods.

The maximum EU co-financing rate will be 80%.

2014 – Sector distribution in €

2014	HE	TOTAL
Learners and Staff	106.174.865	106.174.865

5.1.2. Erasmus+/ Erasmus Mundus Joint Master Degrees - Additional scholarships for targeted regions of the world

Index references in budget table: 1.23

Erasmus Mundus Joint Master Degrees (EMJMD), as described under the 2014 work programme are financed with Heading 1 funds. Consortia running Erasmus Mundus Master Courses (EMMC financed during the previous phase of the programme) and new EMJMD consortia from 2015 will have the possibility to apply for additional funding from Heading 4 funds (external actions) in addition to Heading 1 funds, to award additional scholarships to students coming from specific regions covered by the following external instruments (regional windows):

- Development and Cooperation Instruments (DCI)
- European Neighbourhood Instrument (ENI)
- Partnership Instrument (PI).

Expected results

These additional funds will be allocated to regions identified as priority targets for the EU external action. They will fund scholarships for excellent students coming from these regions, allowing them to participate in high level Joint Master programmes, and be mobile in at least two different programme countries. This will allow them to raise their skills and competences, their inter-cultural skills, thus contributing in the long run to the sustainable development of their country.

The action will also promote the development and external policy objectives and principles including national ownership, social cohesion, equity, proper geographical balance and diversity.

Only projects proposed for funding under Heading 1 will be considered for the award of the additional scholarship for targeted regions of the world.

Eligibility criteria

- Existing consortia holding Erasmus Mundus Master Courses and those applying for a new EMJMD can apply.

Additional award criteria for Heading 4 additional scholarships targeting specific regions of the world:

- Relevance of the project in the targeted region.

EMJMD and EMMC will be offered an additional variable number (approximately 5-12 scholarships for the three intakes) of student scholarships. The actual amount of the individual scholarships will vary in accordance with the EMJMD length (60, 90 or 120 ECTS), the student country/region of origin and the EMJMD admission and enrolment fees.

The maximum EU co-financing rate will be 95%.

6. KEY ACTION 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES

6.1. Activities of Key action 2

For the international dimension, the following actions will be implemented under Key action 2 and financed with Heading 4 funds:

6.1.1. Capacity Building in higher education

Index references in budget table: 2.40

International Cooperation and Capacity Building Projects are transnational cooperation projects between Higher Education Institutions (HEI) in programme countries and eligible partner countries.

Capacity Building projects will target specific regions and will be funded from Heading 4 funds under the following external instruments:

- Development and Cooperation Instruments (DCI)
- European Neighbourhood Instrument (ENI)
- Pre-accession Assistance Programme (IPA2).

Expected results

- Support the modernisation and internationalisation of higher education in the eligible partner countries
- Establish an area of cooperation between programme and eligible partner countries (and among partner countries) in line with the priorities of the EU's external action
- For the countries covered by DCI, support development cooperation policies, including national ownership, social cohesion, equity, proper geographical balance and diversity
- Promote voluntary convergence with EU developments in the field of higher education
- Enhancing sustainable and inclusive socio-economic growth in partner countries.

Through structured cooperation, exchange of experience and good practices, mobility and capacity building between HEI and other relevant actors, Capacity Building projects will achieve one or more of the following objectives in eligible partner countries:

- Support the modernisation and internationalisation of higher education in eligible partner countries
- Establish an area of cooperation between programme and eligible partner countries (and among partner countries) in line with the priorities of the EU's external action

- Promote voluntary convergence with EU developments in the field of higher education.
- Improve the quality of higher education and enhance its relevance for the labour market and society
- Improve the level of competences and skills in HEI by developing new and innovative education programmes
- Enhance and improve governance, management, innovation capacity and internationalisation of HEI
- Increase the capacities of national authorities to modernise higher education systems through support to the definition, implementation and monitoring of reform policies
- Foster regional integration¹⁰ and cooperation across different regions of the world¹¹ through joint initiatives, sharing of good practices and cooperation activities
- Foster people to people cooperation and contacts, with a particular focus on enlargement and neighbouring countries, which are priority regions for the EU's external relations.

Capacity Building projects are expected to have a long-term structural impact on the systems, institutions and individuals concerned. Projects will have to demonstrate their innovative character, the impact and sustainability of their results and how they intend to maintain or develop the outcomes after the end of the project.

This action will cover enlargement and neighbouring countries, Russia¹², South Africa, Asia, and Latin America.

There will be two kinds of project:

1. Joint projects help higher education institutions from eligible partner countries develop, modernise and share new curricula, teaching methods or materials. They also help boost quality assurance and governance of higher education institutions.
2. Structural projects help to develop and reform higher education institutions and systems in eligible partner countries. The aim is to enhance their quality and relevance, and promote regional cooperation.

In the enlargement and neighbouring countries (i.e. excluding Russia¹³), a special mobility strand for staff and students is foreseen to complement the joint and structural projects. This mobility strand will be optional and evaluated as a separate component. It aims to support mobility activities between the programme countries and the eligible partner countries (and also between the eligible partner countries) provided they are instrumental to the objectives of the project.

In partner countries formerly covered by the Tempus programme, the implementation of the action for Capacity Building in higher education is supported by National Erasmus+ Offices, which will receive a direct grant from the European Commission (see point 6.1.2) in 2014.

Eligible applicants

An applicant must be:

- for Joint projects and Structural projects: a higher education institution or an association or organisation of higher education institutions
- in addition for Structural Projects only: a national or international rector, teacher or student organisation.

Each applicant must be located in a programme country or in an eligible partner country. HEI located in a programme country must hold a valid Erasmus Charter for Higher Education (ECHE). An ECHE is not required for participating HEI in eligible partner countries.

Award criteria

The project will be assessed against the following criteria:

- Relevance of the project
- Quality of the project design and implementation

¹⁰ Within a given geographic region of several partner countries

¹¹ Geographic regions as defined under the different external action instruments.

¹² Territory of Russia as recognised by international law.

¹³ Territory of Russia as recognised by international law.

- Quality of the project team and the cooperation arrangements
- Impact and sustainability.

National, regional or cross cutting priorities may be defined for both categories of projects (joint projects and structural projects). The aim of such priorities is to better channel the projects towards the real needs of the partner countries' higher education systems and institutions, to increase the local relevance and ownership of the projects and to respond at best to immediate society and labour market's requirements. Partner countries/regions will be consulted on a list of priority areas which correspond most to their needs. Proposals not respecting these priorities will not be considered for funding. Four categories of priorities are proposed, covering the following areas:

- Subject areas (for curriculum development)
- Improving quality of education and training
- Improving management and operation of higher education institutions
- Developing the higher education sector within society at large.

Cross-cutting priorities may also be defined according to the priorities expressed in the different funding instruments.

The maximum EU co-financing rate will be 90%.

6.1.2. *Network of National Erasmus+ Offices*

Index references in budget table: 2.41

In the partner countries previously covered by the Tempus programme¹⁴, the implementation of the external dimension of Erasmus+ will be supported by National Erasmus+ Offices (NEO) which are in charge of:

- Information and promotion of Erasmus+
- Assistance to potential applicants and to beneficiaries
- Monitoring of projects including through field visits
- Support to the team of Higher Education Reform Experts (HEREs)
- Liaison with national authorities, EU Delegations and the Commission services.

They also participate in coordination meetings, specific trainings, conferences and seminars. They are consulted during the selection process of Capacity Building applications and participate in studies, analysis, statistical exercises and reports.

NEO receive a direct (action) grant from the European Commission. They need to be established by the end of 2014 in order to be operational once the first activities under Heading 4 will be launched. They will be financed for 3 years. Taking into account of their technical competence and high degree of specialisation, they are considered to meet the criteria stipulated in Article 190(1)(f) RAP for bodies implementing actions with specific characteristics, as national entity designated to provide a pool of expertise to promote reforms and enhance progress and reforms in higher education in the countries concerned.

The following award criteria will be applied:

- Relevance of the work plan in relation to expected deliverables and policy objectives
- Quality of the work plan in terms of methodology, work organisation and budget
- Cost effectiveness of the proposed work plan.

Moreover, in accordance with Article 192 FR the maximum co-financing rate for actions implemented by the National Erasmus+ Offices may be up to 100% where this is essential for them to be carried out. Grounds for the derogation of the co-financing requirement will be provided in the award decision, in line with Article 277 RAP.

¹⁴ Western Balkan countries, the Eastern neighbouring countries, the Southern neighbouring countries, Russia (Territory of Russia as recognised by the international law) and Central Asia.

7.

APPENDIX 1 - HEADING 4

Heading 4	2014 Commitment appropriations				TOTAL(***)			
	EU 28	EFTA/EEA (3,03%)	Transfer	THIRD COUNTRIES*				
Budget Line 21 02 20 : Erasmus+ Contribution from Development Cooperation Instruments (DCI)	93.900.074	2.845.172		3.591.700	100.336.946			
Budget Line 21 03 20 : Erasmus+ European Neighbourhood Instrument (ENI)	80.486.950	2.438.755	20.000.050	3.843.600	106.769.355			
Budget Line 19 05 20 : Erasmus+ Contribution from Partnership instrument (PI)	8.242.776	249.756		315.300	8.807.832			
Budget Line 22 02 04 02 : Erasmus+ Contribution from Pre-accession Assistance Programme (IPA2)	29.243.936	886.091	-293.936	1.107.400	30.943.491			
	211.873.736	6.419.774	19.706.114	8.858.000	Total 246.857.624			
WPI^(*)	Actions	Heading	2014 Budget	Mode of implementation	Number of grants / contracts	Average value of grants / contracts	Maximum rate of co-financing of eligible costs	Publication of calls for proposals / calls for tenders or signature date of grant agreement
KEY ACTION (KA) 1: LEARNING MOBILITY OF INDIVIDUALS								
	Action 1a - International mobility of students and staff to and from partner countries (international opening of Erasmus)	4						
1.13	Indirect management funds: Individual Mobility of <i>Learn</i> ers and Staff to/from partner countries		106.174.865	NA	++	++	80%	Sep-14
	Action 1b - International Learning Mobility (Erasmus Mundus Joint Degrees)							
1.23	Additional scholarships funded from Heading 4 under Erasmus Mundus Joint Master Degrees		23.139.560	CFP-EA	88	262.950	95%	Sep-14
	Sub-total KA1 (Heading 4)		129.314.425					
KEY ACTION (KA) 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES								
	Action 2 - Capacity Building in higher education	4						
	<i>International dimension of HE:</i>							
2.40	International HE capacity building		105.100.332	CFP-EA	140	750.000	90%	Sep-14
2.41	Network of National Erasmus+ Offices (NEOs)		12.442.867	CFP-EA	27	460.847	100%	Oct-14
	Sub-total KA2 (Heading 4)		117.543.199					
	TOTAL (Heading 4)		246.857.624					
	Funds under indirect management		106.174.865					
	Funds under direct management - general call for proposals		128.239.892					
	Management fees awarded to National Agencies		0					
	Other specific calls, procurement and other		12.442.867					
	Total		246.857.624					
(*)	WPI : Work Programme Index							
(**)	The external assigned revenues (R0 and R8) are based on estimations							
(***)	Pursuant to Art. 92 FR, the appropriations may also finance the payment of default interest.							
(++)	Agency++ indirect management actions cover a range of different types of actions (various types of mobilities and of partnerships), which are implemented by the National Agencies of the participating countries. Therefore the expected n° of participants and the average participation per intervention cannot be forecasted in a meaningful way.							
<<Mode of implementation>>								
CFP:	Grants awarded with a call for proposals	CFP-EA:	Grants awarded with a call for proposals implemented by the EACEA					
CFP-OP	Operating Grants awarded with a call for proposals	CFP-OP-EA:	Operating Grants awarded with a call for proposals, implemented by the EACEA					
PP:	Public Procurement	PP-EA:	Public Procurement, implemented by the EACEA					
I-P:	In-house Procurement	SE-EA:	Experts - Art. 204 FR - implemented by EACEA					
NA:	Funds implemented by a network of National Agencies							
<<Maximum rate of co-financing>>								
N/A	not applicable							

WPI (*)	Actions	Heading	Development Cooperation Instruments (DCI)	European Neighbourhood Instrument (ENI)	Partnership Instrument (PI)	Pre-accession Assistance Programme (IPA2)	TOTAL	Mode of implementation	Number of grants / contracts	Average value of grants / contracts	Maximum rate of co-financing of eligible costs	Publication of calls for proposals / calls for tenders or signature date of grant agreement
KEY ACTION (KA) 1: LEARNING MOBILITY OF INDIVIDUALS												
	Action 1a -International mobility of students and staff to and from partner countries (international opening of Erasmus)	4										
1.13	<i>Indirect management funds: Individual Mobility of Learners and Staff to/from partner countries</i>		25.750.190	53.651.601	8.206.979	18.566.095	106.174.865	NA	++	++	80%	Sep-14
	Action 1b - International Learning Mobility (Erasmus Mundus Joint Degrees)	4										
1.23	<i>Additional scholarships funded from Heading 4 under Erasmus Mundus Joint Master Degrees</i>		17.734.087	4.804.621	600.853	0	23.139.560	CFP-EA	88	262.950	95%	Sep-14
	Sub-total KA1 (Heading 4)		43.484.276	58.456.222	8.807.832	18.566.095	129.314.425					
KEY ACTION (KA) 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES												
	Action 2 - Capacity Building in higher education	4										
	<i>International dimension of HE:</i>											
2.40	<i>International HE capacity building</i>		54.548.435	40.478.735	0	10.073.162	105.100.332	CFP-EA	140	750.000	90%	Sep-14
2.41	<i>Network of National Erasmus+ Offices (NEOs)</i>		2.304.235	7.834.398	0	2.304.235	12.442.867	CFP-EA	27	460.847	100%	Oct-14
	Sub-total KA2 (Heading 4)		56.852.670	48.313.133	0	12.377.397	117.543.199					
	TOTAL (Heading 4)		100.336.946	106.769.355	8.807.832	30.943.491	246.857.624					

Note for Action 1a International mobility of students and staff to and from partner countries (international opening of Erasmus)

DCI: EUR 104.4 million (25.7% of the indicative budget 2014-2017 for the DCI) shall be reserved for this specific objective. It shall be split between the following sub-regions: Asia: EUR 61.5 million (of which at least 25% for LDCs, 30% max. for India & China together, 45% rest of Asia); Central Asia: EUR 17.3 million; Latin America: 20.0 million (of which at least 25% for LMICs, 35% max. for Brazil and Mexico together, 40% rest of Latin America) and South Africa: indicatively 60 to 80% of the indicative EUR 8 million allocated to Erasmus+ Learning mobility (credit and degree mobility).

ENI: € 201 million (of the indicative budget 2014-2017 for the ENI) shall be reserved for this specific objective. It will be split between the following sub-regions: ENP South: indicatively € 94 million, ENP East: indicatively € 71 million, Russia: € 36 million. Under Key Action 1, mobility of students from Erasmus+ programme countries and staff towards ENP partner countries will be possible using up to a maximum amount of 10% of the allocation to Key Action 1 (mobility). For Russia, outward mobility of students and staff from Erasmus+ Programme countries to Russia will be determined by demand

PI: € 46.85 million (93.2% of the indicative budget 2014-2017 for the PI) shall be reserved for this specific objective

IPA2: IPA2: For indicative purposes, approximately EUR 77.4 million (60% of the indicative budget 2014-2017) shall be reserved for this purpose.

Note for Action 1b 6.1.2. Learning Mobility International (Erasmus Mundus Joint Degrees)

DCI: Approximately € 71.9 million (17.6% of the indicative budget 2014-2017 for the DCI) shall be reserved for this specific objective. It will be split between the following sub-regions: Asia: € 23.2 million (with priority to LDCs); Central Asia: € 11.2 million (with priority to LDCs); Middle East (Iran, Iraq, Yemen): € 8.6 million, Latin America: 26.5 million (of which at least 25% for LMICs, 35% max. for Brazil and Mexico together, 40% rest of Latin America) and South Africa: indicatively 20 to 40% of the indicative € 8 million allocated to Erasmus+ Learning mobility (credit and degree mobility).

ENI: EUR 18 million (of the indicative budget 2014-2017 for the ENI) shall be reserved for this specific objective. It will be split between the following sub-regions: ENP South: indicatively € 10 million, ENP East: indicatively € 8 million

PI: € 3.43 million (6.8% of the indicative budget 2014-2017 for the PI) shall be reserved for this specific objective

IPA2: N/A

Note for Capacity Building in higher education

DCI: For indicative purposes, approximately € 230.5 million (56.7% of the indicative budget 2014-2017 for the DCI) shall be reserved for this specific objective. It will be split between the following sub-regions: Asia: € 127 million, Central Asia: € 37 million, Middle East: € 7 million, Latin America: € 46.5 million and South Africa: € 13 million

ENI: For Russia, approximately 40% of the funds will be devoted to Key Action 2. € 181 million (of the indicative budget 2014-2017 for the ENI) shall be reserved for this specific objective. It will be split between the following sub-regions: ENP South: indicatively € 105 million, ENP East: indicatively € 52 million, Russia: € 24 million

PI: N/A

IPA2: For indicative purposes, approximately € 51.6 million (40% of the indicative budget 2014-2017) shall be reserved for this purpose.

Heading 4	2014 Commitment appropriations				TOTAL(***)
	EU 28	EFTA/EEA (3,03%)	Transfer	THIRD COUNTRIES (**)	
Budget Line 21 02 20 : Erasmus+ Contribution from Development Cooperation Instruments (DCI)	93.900.074	2.845.172		3.591.700	100.336.946
Budget Line 21 03 20 : Erasmus+ European Neighbourhood Instrument (ENI)	80.486.950	2.438.755	20.000.050	3.843.600	106.769.355
Budget Line 19 05 20 : Erasmus+ Contribution from Partnership instrument (PI)	8.242.776	249.756		315.300	8.807.832
Budget Line 22 02 04 02 : Erasmus+ Contribution from Pre-accession Assistance Programme (IPA2)	29.243.936	886.091	-293.936	1.107.400	30.943.491
	211.873.736	6.419.774	19.706.114	8.858.000	Total 246.857.624

Development Cooperation Instruments (DCI)

WPI (*)	Distribution of Actions between Regions - DCI	Asia	Central Asia	Middle East	Latin America	South Africa	Total
KEY ACTION (KA) 1: LEARNING MOBILITY OF INDIVIDUALS							
Action 1a - International mobility of students and staff to and from partner countries (international opening of Erasmus)							
1.13	Indirect management funds: Individual Mobility of <i>Leamers and Staff</i> to/from parten countries of which:	15.168.934	4.267.033	0	4.932.987	1.381.236	25.750.190
Action 1b - International Learning Mobility (Erasmus Mundus Joint Degrees)							
1.23	Additional scholarships funded from Heading 4 under Erasmus Mundus Joint Master Degrees	5.722.264	2.762.472	2.121.184	6.536.207	591.958	17.734.087
Sub-total KA1 (Heading 4)		20.891.198	7.029.506	2.121.184	11.469.194	1.973.195	43.484.276
KEY ACTION (KA) 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES							
Action 2 - Capacity Building in higher education							
<i>International dimension of HE:</i>							
2.40	International HE capacity building	31.324.465	6.821.790	1.726.545	11.469.194	3.206.441	54.548.435
2.41	Network of National Erasmus+ Offices (NEOs)	0	2.304.235	0	0	0	2.304.235
Sub-total KA2 (Heading 4)		31.324.465	9.126.025	1.726.545	11.469.194	3.206.441	56.852.670
TOTAL (Heading 4)		52.215.663	16.155.531	3.847.730	22.938.387	5.179.636	100.336.946

European Neighbourhood Instrument (ENI)

WPI (*)	Distribution of Actions between Regions - ENI	South	East	Russia	Total
KEY ACTION (KA) 1: LEARNING MOBILITY OF INDIVIDUALS					
Action 1a - International mobility of students and staff to and from partner countries (international opening of Erasmus)					
1.13	Indirect management funds: Individual Mobility of <i>Leamers and Staff</i> to/from parten countries of which:	25.090.798	18.951.560	9.609.242	53.651.601
Action 1b - International Learning Mobility (Erasmus Mundus Joint Degrees)					
1.23	Additional scholarships funded from Heading 4 under Erasmus Mundus Joint Master Degrees	2.669.234	2.135.387	0	4.804.621
Sub-total KA1 (Heading 4)		27.760.032	21.086.948	9.609.242	58.456.222
KEY ACTION (KA) 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES					
Action 2 - Capacity Building in higher education					
<i>International dimension of HE:</i>					
2.40	International HE capacity building	23.418.486	11.114.935	5.945.314	40.478.735
2.41	Network of National Erasmus+ Offices (NEOs)	4.608.469	2.765.082	460.847	7.834.398
Sub-total KA2 (Heading 4)		28.026.956	13.880.016	6.406.161	48.313.133
TOTAL (Heading 4)		55.786.988	34.966.964	16.015.403	106.769.355

Partnership instrument (PI)

WPI (*)	Distribution of Actions between Regions - PI	USA & Canada	Asia Industrialised	Gulf countries	Russia	Total
KEY ACTION (KA) 1: LEARNING MOBILITY OF INDIVIDUALS						
Action 1a - International mobility of students and staff to and from partner countries (international opening of Erasmus)						
1.13	Indirect management funds: Individual Mobility of <i>Leamers and Staff</i> to/from parten countries of which:	3.302.061	3.503.513	0	1.401.405	8.206.980
Action 1b - International Learning Mobility (Erasmus Mundus Joint Degrees)						
1.23	Additional scholarships funded from Heading 4 under Erasmus Mundus Joint Master Degrees	0	0	600.853	0	600.853
Sub-total KA1 (Heading 4)		3.302.061	3.503.513	600.853	1.401.405	8.807.832
KEY ACTION (KA) 2: COOPERATION FOR INNOVATION AND THE EXCHANGE OF GOOD PRACTICES						
Action 2 - Capacity Building in higher education						
<i>International dimension of HE:</i>						
2.40	International HE capacity building	0	0	0	0	0
2.41	Network of National Erasmus+ Offices (NEOs)	0	0	0	0	0
Sub-total KA2 (Heading 4)		0	0	0	0	0
TOTAL (Heading 4)		3.302.061	3.503.513	600.853	1.401.405	8.807.832

APPENDIX 2 – HEADING 4

Overview of 2014 funds under indirect management - Heading 4						
appendix 2 - 10/07/2014						
		DCI	ENI	PI	IPA	Total
		21 02 20	21 03 20	19 05 20	22 02 04 02	Funds received
Belgium	BE	638.327	1.329.980	203.444	460.239	2.631.990
Bulgaria	BG	390.694	814.026	124.520	281.693	1.610.933
Czech Republic	CZ	664.650	1.384.826	211.834	479.218	2.740.527
Denmark	DK	343.942	716.617	109.619	247.985	1.418.163
Germany	DE	3.296.885	6.869.198	1.050.768	2.377.081	13.593.932
Estonia	EE	213.884	445.637	68.168	154.212	881.901
Greece	EL	551.447	1.148.962	175.754	397.597	2.273.761
Spain	ES	2.634.173	5.488.411	839.551	1.899.260	10.861.396
France	FR	2.672.981	5.569.268	851.920	1.927.241	11.021.410
Croatia	HR	306.281	638.149	97.616	220.831	1.262.876
Ireland	IE	339.586	707.543	108.231	244.845	1.400.205
Italy	IT	2.473.855	5.154.381	788.455	1.783.669	10.200.360
Cyprus	CY	103.579	215.810	33.012	74.681	427.082
Latvia	LV	274.604	572.150	87.521	197.992	1.132.267
Lithuania	LT	342.903	714.453	109.288	247.236	1.413.881
Luxembourg	LU	65.115	135.669	20.753	46.948	268.485
Hungary	HU	568.728	1.184.968	181.262	410.057	2.345.016
Malta	MT	60.870	126.824	19.400	43.887	250.981
Netherlands	NL	834.036	1.737.750	265.820	601.347	3.438.953
Austria	AT	523.466	1.090.663	166.837	377.423	2.158.389
Poland	PL	1.823.382	3.799.093	581.140	1.314.673	7.518.288
Portugal	PT	686.371	1.430.083	218.757	494.879	2.830.090
Romania	RO	947.158	1.973.443	301.874	682.909	3.905.383
Slovenia	SI	244.136	508.667	77.810	176.024	1.006.636
Slovakia	SK	378.355	788.319	120.588	272.797	1.560.059
Finland	FI	459.778	957.967	146.538	331.504	1.895.787
Sweden	SE	496.195	1.033.843	158.145	357.760	2.045.943
United Kingdom	UK	2.084.046	4.342.197	664.217	1.502.614	8.593.073
Iceland	IS	74.125	154.442	23.625	53.445	305.637
Liechtenstein	LI	18.770	39.109	5.982	13.534	77.395
Norway	NO	313.875	653.971	100.037	226.306	1.294.188
	EU-31	24.826.195	51.726.419	7.912.488	17.899.887	102.364.989
Turkey	TR	912.322	1.900.862	290.771	657.792	3.761.747
Former Yugoslav Republic of Macedonia	MK**	11.673	24.320	3.720	8.416	48.129
	EU-33	25.750.190	53.651.601	8.206.979	18.566.095	106.174.865

** Provisional code which does not prejudice in any way the definitive nomenclature for this country, which will be agreed following the conclusion of negotiations currently taking place at the United Nations.