STANDARD SUMMARY PROJECT FICHE

1. Basic Information

1.1. CRIS Number: 2002/000-642.03

1.2. Title: Joint Small Projects Fund (JSPF)

1.3. Sub-programme: Slovak Republic/Austria Cross Border Co-operation

1.4. Geographical location: The Joint Small Project Fund will finance small projects for the Programme area described in the Joint Programming Document, notably in the counties of Bratislava and Trnava

2. Objectives:

2.1. Overall objectives:

- to support the further development of the economic potential of the border regions by strengthening existing structures;
- to reduce the peripheral character of these areas, thereby improving the quality of life and creating a co-operative network on both sides of the border;
- to contribute to the development and strengthening of an effective implementation structure for the programme.
- to create a flexible mechanism for supporting of mirror projects financed under INTERREG III A programme in Austria

In pursuing these objectives, the JSPF provides a flexible approach to funding smaller-scale actions, which are defined on the basis of local/regional needs, and which have a strong cross-border impact.

2.2. Project purpose

The sub-projects of the JSPF are tailored to support development in the fields of small-scale activities across the border according the priorities and measures set up in the Joint Programming Document. The Joint Small Project Fund is supporting mainly the following fields: local democracy, economic development and tourism, cultural exchanges, ecology, health and information and communication links.

2.3. Accession Partnership and NPAA priority

The JSPF plays a key part in the process of preparation of Slovakia for accession, in particular through the capacity building dimension of local/regional actors. These funds are part of a process of creating "integration-minded" border regions. The project represents thus an important element in the preparation of Slovakia for the adoption of Structural Funds, in particular INTERREG III A Programme. In addition, the project supports the social and economic integration with the member states and the border regions of candidate countries.
2.4. National Plan for Regional Development/Joint Programming Document

The project will contribute to the fulfilment of priorities and measures defined in the Joint Programming Document for Phare CBC/INTERREG III A Programme Slovakia – Austria.

2.5. Cross-border impact of the project

The Programme will contribute to the removal of existing disparities between border regions and thus to the gradual alignment of economic and social development. This is particularly important in view of the future integration of Slovakia into the European Union. Full membership will require the approximation of levels of economic activity and living standards in particular in order to avoid undue problems connected to the removal of borders and the introduction of the principle of free movement.

The JSPF emphasise projects with a cross-border impact and encourages the development of linkages between the communities’ (organisations) institutions on either side of the border. In general, the "people-to-people" character of the individual projects and the general direction of the fund guarantee a high degree of cross-border relevance of the scheme.

The JSPF will create a flexible mechanism, which allows overcome disparities in procedures of INTERREG III A and PHARE CBC programmes. This mechanism will allow support of mirror projects financed jointly by PHARE and INTERREG III funds.

3. Description

Background

The eligible area from both Slovak and Austrian side of the border includes the counties of Bratislava (with the urban districts I-V and the districts of Malacky, Pezinok and Senec) and Tmava. On the Austrian side, the cross border area includes, the three Lower Austrian NUTS III regions of Weinviertel, Wiener Umland Nord, Wiener Umland Süd, Nordburgenland and the capital of Vienna.

The mentioned area corresponds to 12,197 square/Km and the number of inhabitants living into the area is 3,445,389 with a density of 282 inhabitants per square/Km.

The border region is characterised by a large urban-rural disparities. Both capital regions, Bratislava and Vienna are close to areas at extreme peripheral locations (e.g., northern parts of the districts of Bratislava, Tmava and Weinviertel).

The Joint Small Projects Fund (JSPF) will be established in alignment with the Commission Regulation (EC) No 2760/98 concerning the implementation of a program for cross-border cooperation in the framework of the PHARE programme. Together with large-scale activities and the Innovation and Technology Development Grant scheme, supported from the main part of the programme, it will be a complementary tool in the framework of pre-accession measures in support of the regional development.

The inclusion of the JSPF in the 2002 Slovak-Austrian CBC Programme reflects the overall PHARE CBC Programme strategy in the Slovak Republic, in this case a flexible funding mechanisms, continuing with Small Projects Fund established with 1995, 1998, 1999, 2000 and 2001 Phare CBC Programme. Past experience has demonstrated that the fund approach is an
efficient mechanism to address small-scale intervention. Moreover funds are as ideal mechanism for the "maturing" of cross-border co-operation through allowing greater possibilities for the definition and preparation of joint INTERREG III A/PHARE CBC projects.

To summarise, the inclusion of the JSPF into the programme is a logical step forward in the overall context the Slovak Republic's future accession to the EU, and in the specific context of the development of cross-border co-operation and evolution of the Phare CBC programme in the pre-accession context. Joint Small Project Fund shall serve as a tool for preparation of local/regional actors for participation in INTERREG III A programme.

Activities:

The JSPF will provide financial support to small-scale actions in various fields of activities. Eligible actions to be supported under the JSPF are defined in more detail below.

The general aim of the sub-programme is to support "people-to-people" projects and non-investment projects within the Phare CBC Programme. The purpose of the support of these projects is to broaden the field of activity of the CBC Programme, to encourage local involvement and to facilitate the implementation of small-scale actions that constitute the basis for larger cross-border co-operation projects.

The Fund will support small-scale people-to-people actions across the following priority areas:

Local Democracy:

Schemes will be supported that help create and intensify the skills of organisational structures in local and regional public administration and other elements of a democratic society (chambers of commerce, trade associations, trade unions, NGOs). Relevant training courses and information will be provided supporting exchange visits, project preparation and procedural matters. Joint projects may also involve the elaboration of materials for distance learning and so forth. Exchange of know-how and experience in education at various levels will also be supported.

Economic Development:

Support for small projects focusing on economies, which enhance the economic base of the border region. For example proposals for the development of small-scale enterprise, marketing initiatives, markets, exhibitions and advertising events, and so forth; the creation of cross-border co-operation structures and partnerships among supporting organisations;

Tourism:

The JSPF will provide funding for a range of "soft" actions for tourism development - studies, planning, institutional strengthening measures, specific SME training and related HRD programmes.

Cultural Exchanges:

Those cultural exchanges that already exist and that are being newly established between local groups on both sides of the border region. Meetings and exchanges among youth; artistic and supporting activities; folk events and similar actions with the emphasis on the establishment of traditions and long-term co-operation;
Environment

Projects focusing on specific problems of the environment of the region, such as the drafting of studies, assessment of problems, environmental training, actions aimed at increasing public environmental awareness and so forth.

Health

SPF will provide funding for measures to promote co-operation in health, particularly the sharing of resources and facilities on a cross-border basis.

Flow of information

Projects dealing with the development or establishment of facilities and resources to improve the flow of information and communications between border regions, including support for cross-border radio, television, newspapers and other media.

Besides activities mentioned, the SPF can support the projects that create the preconditions for joint planning in a border region, for framing joint research programmes, for drawing up joint strategies for regional development in a border area with the aim to jointly define the development priorities.

4. Institutional framework

As the Joint Small Project Fund (JSPF) will be a regional development supporting facility, all of the institutions involved in this field will participate on the project. The Regional Development Support Agency at the Ministry of Construction and Regional Development will be in charge of the overall project supervision. The regional self-government authorities (VUC) as well as local governments (municipalities) will actively co-operate at the project.

The JSPF will be implemented in line with the Practical Guide to Phare, ISPA and SAPARD Contract Procedures, Chapter 6 – Grants. Guidelines for Applicants describing in detail procedures for JSPF implementation shall be prepared by Slovak Authorities and be approved by the Commission.

The Secretariat will be established at the Ministry of Construction and Regional Development in order to ensure administrative support for JSPF implementation. Functions of Secretariat shall be described in detail in Specific Guidelines and respective Contract Agreement, if some of its functions to be delegated. Up to 7% of overall allocation of funds for JSPF may be used for financing of administrative support.

The Evaluation Committee will include representatives of the relevant Austrian regions, as observers and on the Slovak side inter alia representatives of the Ministry of Construction and Regional Development and NGOs. Representatives of EC Delegation will participate as observers at the meetings of Evaluation Committee.
5. **Detailed budget (in MEUR):**

<table>
<thead>
<tr>
<th>Items</th>
<th>Investment DIS</th>
<th>IB DIS</th>
<th>Total Phare (=I+IB)</th>
<th>Recipient Slovakia</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td>JSPF</td>
<td>-</td>
<td>0.6</td>
<td>0.6</td>
<td>0.067</td>
<td>0.667</td>
</tr>
<tr>
<td>Total</td>
<td>-</td>
<td>0.6</td>
<td>0.6</td>
<td>0.067</td>
<td>0.667</td>
</tr>
</tbody>
</table>

6. **Implementation arrangements:**

6.1 **Implementing Agency:**

Ministry of Construction and Regional Development of SR  
Regional Development Support Agency  
Špitálska 8  
816 44 Bratislava  
Director: Mr. Július Slovák (PAO)  
Tel: +421 2 5975 2819  
Fax: + 421 2 5293 2809

6.2 **Twinning:** N/A

6.3 **Non standards aspects**

The Practical Guide to Phare, ISPA and SAPARD contract procedures will be strictly followed.

7. **Implementation Schedule:**

<table>
<thead>
<tr>
<th>Establishment of the Steering Committee:</th>
<th>1&lt;sup&gt;st&lt;/sup&gt; quarter 2003</th>
</tr>
</thead>
<tbody>
<tr>
<td>First calls for proposals:</td>
<td>2&lt;sup&gt;nd&lt;/sup&gt; quarter 2003</td>
</tr>
<tr>
<td>Contracting</td>
<td>3&lt;sup&gt;rd&lt;/sup&gt; quarter 2003</td>
</tr>
<tr>
<td>Completion of projects</td>
<td>4&lt;sup&gt;th&lt;/sup&gt; quarter 2004</td>
</tr>
</tbody>
</table>

8. **Conditionality and sequencing**

No conditionality applies.
<table>
<thead>
<tr>
<th>LOGFRAME PLANNING MATRIX FOR</th>
<th>Programme name and number: 2002/000-642.03</th>
<th>Sheet No.1</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Contracting period expires: 30.11.2004</td>
<td>Disbursement period expires: 30.11.2005</td>
</tr>
<tr>
<td></td>
<td>Total Budget (MEUR): 0.667</td>
<td>Phare contribution: (MEUR): 0.6</td>
</tr>
</tbody>
</table>

**Joint Small Projects Fund – CBC 2002 Slovakia - Austria**

<table>
<thead>
<tr>
<th>Overall Objective</th>
<th>Objectively Verifiable Indicators</th>
<th>Sources of verification</th>
<th>Assumptions</th>
</tr>
</thead>
</table>
| • Development of the Slovak-Austria border region  
  • Engage local actors from the border region in order to enhance their capability to identify, develop and implement such | • Improved socio-economic quality of life in the border region  
  • Number of local actors involvement in Phare activities, number of specialist involved in | • Governments reports  
  • Statistical Offices surveys | • Continued commitment of both of the Governments towards the priorities of the Phare CBC Programme  
  • Continued commitment of regional and local actors |

**Project purpose**

- Promote joint small scale actions, with a strong cross-border co-operation character,

- Cross-border links intensified, number of cross-border activities increased, opinion of inhabitants living in the other part of the border (indicator of approval)

- JCC Reports  
  - PIU Phare CBC Slovak Republic reports  
  - Monitoring and assessment reports

**Results**

- Several sub-projects fulfilling the objectives of the JPD implemented  
  - Development of: local democracy, regional economy and tourism, cultural exchanges, environmental awareness, cooperation in health, and of the flow of information in the cross-border region

- Number of sub-projects, number of participants  
  - Civil servants in the regional institutions trained  
  - Number of “people to people” actions carried out, meeting of socio-economic and cultural organisation, published documentation on cross border activities, on tourism

- Minutes of Evaluation Committee  
  - IA CBC reports  
  - Regional media

**Activities**

- Funding for eligible actions (sub-projects): cultural exchanges, youth and sports, training, conferences and seminars, planning and development studies, tourist

- Grant scheme - Funds implemented in accordance with procedures set out in Guidelines for Applicants

- 600,000 EUR

- Well functioning co-operation between the Evaluation Committee, Implementing Authority, Implementing Agency and Technical Secretariat

- Availability of qualified staff from all involved institutions
# TIME IMPLEMENTATION CHART FOR PROJECT

## Joint Small Projects Fund

<table>
<thead>
<tr>
<th>Component</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>1Q</td>
<td>2Q</td>
<td>3Q</td>
</tr>
<tr>
<td>JSPF</td>
<td>T</td>
<td>C</td>
<td>I</td>
</tr>
</tbody>
</table>

- **T**: Tendering
- **C**: Contracting
- **I**: Implementation
<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Contracted</td>
<td></td>
<td></td>
<td>0.6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Disbursed</td>
<td></td>
<td></td>
<td>0.1</td>
<td>0.2</td>
<td>0.3</td>
<td>0.5</td>
<td>0.6</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>