Standard Summary Project Fiche for the Transition Facility

Improving the operational and administrative capacity of the customs authority

1. Basic Information

CRIS Number:

1.1 CRIS Number: 2007/19343.05.01.

Twinning contract

RO /2007-IB/FI/01
RO /2007-IB/FI/02

1.2 Title: Improving the operational and administrative capacity of the customs authority

1.3 Sector: Customs

1.4 Location: National Customs Authority, Bucharest, Romania

2. Objectives

2.1. Overall Objective:

Settlement of the integration capacity into EU Customs Union of the Romanian Administration in order to enable the achievement of full harmonisation with the EU Acquis and European Union best practices.

2.2. Project purpose:

Improving the administrative, operational and technical capacity of the customs authority in order to ensure the efficiency and transparency of the specific customs activities.

2.3. Justification

The projects proposed for Transition Facility for the Customs National Authority are based on the continuing need for improvements, in terms of organisation and activities, with a view to properly functioning in the new context of Romania’s Membership.

These aspects were identified in documents that are of particular importance.

The project under Component 1 - “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project” aims to solve the following issues raised in EU official documents in order to support Romania to be fully prepared for EU integration and to achieve the full compatibility of its computerised systems with the European Commission/DG TAXUD systems.

Council Resolution of December 5, 20031, which endorsed the Communication by the Commission on a simple and paperless environment for customs and trade2 calls on Member States to ensure interoperability of customs systems in line with rules and standards to be agreed upon at Community level by introducing or completing interfaces between existing and planned national systems in order to bring about improved cooperation based principally on electronic data exchange between customs authorities, on the one hand, and between customs authorities and economic operators, on the other.

1 OJ No C 305, p. 1.
The proposal for a Decision on the implementation of a paperless environment for customs and trade (Electronic Customs Decision) calls on the Commission and the Member States for the setting up secure, integrated, interoperable and accessible electronic customs systems for the exchange of customs declarations, electronic certificates, accompanying documents and other information. The Electronic Customs Decision contains actions and deadlines for the implementation of accessible and interoperable electronic customs systems.

EC Regulation No.648/2005 amending Council Regulation (EEC) establishing the Community Customs Code provides for electronic summary customs declarations and the electronic exchange between customs administrations. According to the EC Regulation No.648/2005, electronic declaration and automated systems for the implementation of risk management and for the electronic exchange of data between customs offices of import, entry, export and exit, are required to be in place three years after the date of entry into force.

The proposal for a modernised Customs Code streamlines customs procedures and lays the foundations for accessible, interoperable customs clearance systems at EU levels. It incorporates the security related changes to the Customs Code introduced by the EC Regulation No.648/2005 and further integrates and consolidates these changes taking account of the electronic declarations, electronic exchanges of data between customs authorities.

May 2006 Comprehensive Monitoring Report on Romania emphasizes that NCA must maintain the pace of progress in preparing the remaining IT systems for interconnectivity upon accession, and should maintain its fight against corruption as its top priority, also by extending to all customs offices the existing IT tools for random allocation of officers to physical and documentary controls. Training at all levels within the customs administration should continue.

Also, the May 2006 Comprehensive Monitoring Report on Romania mentions that Romania should continue increasing its efforts to strengthen the collection and control capacity of the tax administration, with particular regard to excise duties and direct taxation.

As concerns the improving of the competencies for the customs staff, both at central and territorial level, the May 2006 Monitoring Report on Romania mentions that, even NCA has made significant progress on strengthening its administrative and operational capacity, training at all levels within the customs administration should continue.

Also, the 2005 Comprehensive Monitoring Report for Romania recommends increased efforts are needed to strengthen the administrative and operational capacity of the NCA. Additional efforts are needed to cover all officials and all customs rules and procedures, including basic customs procedure and to guarantee their uniform application.

Furthermore, the Commission's Strategy for the Customs Union - which provides the framework for implementing measures to modernise Customs - aims to ensure that customs authorities (including those of the candidate countries) are in a position to meet their obligations in a changing world. That is why actions have to be focused, inter alia, on improving the application of legislation and improving training for customs officers and for traders.

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3 COM(2005) 609 final was submitted by the Commission to the Council and the European Parliament on 30 November 2005 and is anticipated to enter in force during 2007.
5 The proposal for a Regulation to modernise the Customs Code COM/2005/608 was submitted, simultaneously with the proposal for an Electronic Customs Decision, to the Council and the European Parliament on 30 November 2005.
6 COM (2006) 214 final
In the light of the above mentioned aspects, one of the objectives of this transition facility programme fiche seeks to strengthen the NCA by further development of its procedures, working methods and, on that basis, to further build up its training capacity.

3. Description

3.1. Background and justification:

The rapidly accelerating process of change towards the implementation of EU legislation in the field of customs has a major impact upon the institutional capacity of the NCA. Today Customs is facing new challenges: it must ensure the smooth flow of trade whilst applying necessary controls and protecting the health and safety of Community’s citizens.

These are sound reasons for which the key issues to be managed have to lead to the conclusion and to ensure that the Romanian Customs Authority has the capacity to respond with maximum effectiveness and efficiency to the change process. Each of the components identified in this programme fiche relates to and addresses priorities mentioned in strategic documents.

General background and current situation for the Romanian National Customs Authority

1) IT systems

Through the implementation of the Strategy of the Romanian Customs IT Development and in accordance with DG TAXUD inter-operability strategy, the NCA has achieved the following steps in ensuring the consistent inter-operability of the ICIS components with the EU systems:

a) NCTS

The National Transit System, fully compatible with the NCTS, integrated into the ICIS environment and interoperable with the EC and EU Member States systems over the CCN/CSI, is implemented and functional at HQ and at all customs offices dealing with road traffic.

Romania is part to the Convention on Common Transit and the New Computerised Transit System (NCTS) is operational since 2nd January 2006.

Maintenance of a permanent connection with the similar structures from the convention on Common Transit of signatory countries as well as to the delivery of a permanent assistance to the economic operators and the customs officers involved in using the system is ensured by the NCTS Helpdesk.

b) TARIC

The Romanian Integrated Customs Tariff (TARIR), fully Compatible with TARIC™ is fully operational at HQ and regional level, Asycuda and Asytar Interface and the reference data used is TARIR/TARIC, following EU Regulations and new National legislation.

Since 18th June 2006 Romania is using in the clearance process information for national TARIR database with the view of TARIC measures.

Maintenance of a permanent connection with the similar structures of DG TAXUD and delivery of permanent assistance to the economic operators and also to the customs officers involved in using the system is ensured by the Taric Help Desk Office.

c) Integrated Tariff Environment

In order to ensure the consistent inter-operability of the ICIS components with the EU systems, in accordance with DG TAXUD inter-operability strategy, the NCA developed and implemented an Integrated Tariff Environment. National Application for TQS and Surveillance were
implemented and Remote Conformance Tests were successfully performed with DG TAXUD test environment. Also, additional National Applications for ISPP, EBTI, SMS were implemented and connections done for ISPP, EBTI, SMS, Surveillance DG TAXUD Web Light Clients Applications.

The NCA is ready to interoperate with the DG TAXUD databases, as per the DGTAXUD interoperability requirements. The actual implementation of TQS and Surveillance applications at the National level is ready to connect and interoperate with DG TAXUD production environment.

2) NCA’s Human Resources and Training aspects

NCA has taken important steps through setting up an effective organizational framework. The NCA has rebuilt itself in line with EU standards and a new NCA organisational structure is in place starting with the beginning of 2007.

Also, major results under the above mentioned Phare 2004 programme were the drawing up of the Strategy on Human Resources for Customs for 2007-2009 and, respectively, the Training Strategy of customs staff for the same period (with the corresponding Action Plan).

3) NCA’s Excise aspects

Tax legislation regarding the excise duty is, to a large extent, already harmonized and Romania has accepted integrally the acquis communautaire in this field and has committed itself to take necessary measures for its implementation by the customs management.

The legal provisions regarding the excise duty are important for the fiscal stability, by instituting precise rules, coherent, compatible with the acquis and stable which to determine a real competition and attracting and stimulating the investors, including by implementing the UE measures in excise matter, of which principles Romania has committed to accept, totally, from the accession, in accordance with the EU policies. The Code of conduct for excise duty has been taken in consideration on drawing up the renewal Fiscal Code, wholly updated, entered into force on January 1st, 2007.

The fundamental objective of the Fiscal Code\(^7\) is to approximate the EU tax legislation, as well as to ensure conformity with the international tax agreements which Romania is part of. This code comprises a number of improvements of the legal framework in areas, such as: profit tax, income tax, excise duties, tax on the small enterprises’ revenue, taxation of the foreign companies’ representations set up in Romania and small producers.

After the adoption of the Fiscal Code, any amendment or completion of tax regulations can be promoted only by measures of amending and completing the Fiscal Code Law. This principle will ensure legal stability necessary to develop any business plan on long and medium term.

The Methodological Norms for the application of Fiscal Code\(^8\) develop specific regulation for any type of tax. The methodological norms detail the Fiscal Code provisions, through mentions and exemplifications regarding the taxpayers liable for the tax payment, the manner of calculating for each type of tax, the payment deadlines of these contributions, as well as the taxpayers’ obligations of statement.

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\(^7\) Law no.571/2003 regarding the Fiscal Code was published in the Official Journal no.927/2003 and was updated by Law no.343/2006 published in the Official Journal no.662/2006.

\(^8\) Methodological Norms for the application of Fiscal Code were approved by Government Decision no.1.861/2006, published in the Official Journal no. 1044/2006.
This Fiscal Procedure Code\(^9\) regulates the rights and obligations of the parties at tax judicial reports regarding management of the taxes and duties owned to the State budget and local budget, foreseen by the Fiscal Code and also provide the sanctions and the related penalties.

The National Customs Management has been consolidated by establishing the Division for the management of the excise movements' surveillance, under the Government Decision no.1.552/2006. This division started to operate from January 1\(^{st}\), 2007.

In order to improve the excise management to NCA, all the divisions from the central and regional level, involved in the excise management were re-organized under a single coordination, within a single department. A director general coordinator of the department has been appointed. The number of NCA personnel has been reorganized, for establishing and consolidating certain structures which would lead to a better management of the public finances, especially for improving the excise management.

The consequences of this unification will be materialized into the reduction of the administrating costs, the elimination of parallel procedures, and the unification of the IT and data base management related to the payments obligations and to the amounts paid by the taxpayers.

Among the new Division for the management of the excise movements' surveillance responsibilities, it is also included the management and coordination of the internal and intra-Community information exchange regarding the excise duties (ELO office), an unit involved in methodological development of the legislation required, the Unit for authorising the warehouse-keepers and guarantees, an unit which is responsible with surveillance of the fiscal warehouses and Helpdesk for EMCS.

All these structural changes in the customs structures were made in order to increase the efficiency of the excise management activity and to develop and support the partnership with the taxpayers.

**Recommendations for future developments**

In order for the Customs Union to function correctly, it is essential that all the Member States (MS) of the EU apply legislation for imports and exports correctly and uniformly. As a future EU Member State, Romania will need to have in place IT customs systems able to interface with a number of IT Customs systems within the European Community.

Member States have committed themselves to action within the framework of e-Europe and e-government environment\(^10\).

The Council Resolution of December 5, 2003, which endorsed the Communication by the Commission on a simple and paperless environment for customs and trade\(^9\), called for a multiannual strategic plan for the creation of a European electronic environment, consistent with the operational and legislative projects and developments already scheduled on underway in the areas of customs and indirect taxation.

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The Electronic Customs Multi-annual Strategic Plan (MASP)\textsuperscript{12}, drawn up by the Commission in close co-operation with Member States, provides the basis for programming the implementation of the proposal for the proposed Electronic Customs Decision and establishes a plan which aims at establishing a list of implementation actions and relating to the “electronic customs initiative”, a detailed timetable and the timing overview and electronic customs systems and projects sequence.

The Community Customs Code\textsuperscript{13}, already containing a number of rules relating to electronic customs, has been recently modified with a view to increase security at the external borders\textsuperscript{14}, by introducing a number of measures to tighten security around goods crossing international borders leading to faster and better-targeted checks.

Also, following to the Council Resolution of 5 December 2003, the European Commission has adopted in 2005 two proposals to introduce an electronic, paper-free customs environment in the EU and to modernise the EU Customs Code.

The proposal for a Decision on the implementation of a paperless environment for customs and trade\textsuperscript{15} (Electronic Customs Decision) has in view the setting up, secure, integrated, interoperable and accessible electronic customs systems and provides for simplifying customs procedures and processes, and for interoperable customs systems, Electronic Customs Decision sets out the list of the customs systems and databases and the timetable, actions and deadlines for the implementation of automated customs service by the Member States.

The proposal for a modernised Customs Code\textsuperscript{16} also supports wider moves towards egovernance and the shift to a paperless environment for customs and trade and provides for ensuring security in the movement of goods. The proposal incorporates the amendments provided for in the Regulation to improve the security and safety of goods crossing Community borders that the Council and Parliament adopted in 2005.

For the implementation of the DGTAXUD E-customs Strategy, the National Customs Authority IT systems will need to be able to meet the requirements of the Commission/DG TAXUD foreseen in the Multi Annual Strategic Plan (MASP) as well as the functional and technical requirements.

Also, the Government Programme for 2005-2008 stipulates in Section C “Customs system” the measures which the Government of Romania is taking on the Romania’s customs policy, which are starting from the basic functions of the customs institution in the context of Romania’s accession to the European Union.

In this respect the Government Programme emphasizes that the existent dysfunctions are to be addressed by accelerating the measures for institutional and legislative-procedural reform, namely through the proper recruitment and training of the customs staff as well as the setting up of a high professional customs staff. Also, it is stated that it is necessary for the customs authority to have a taking over of new competencies related to the management of other taxes categories, especially the excise duties which will lead to an increase of the degree of these tax collection through removal of additional administrative structures.

\textsuperscript{12} Working document TAXUD/477/2004 Rev.7
\textsuperscript{15} Proposal for a Decision of the European Parliament and the Council of 30 November 2005 on the implementation of a paperless environment for customs and trade COM/2005/609
\textsuperscript{16} The proposal for a Regulation to modernize the Customs Code COM/2005/608
The Government Decision no. 532/ 30.05.2007 concerning the new organisation and functioning of the National Customs Authority was approved and is in force. This Government Decision was published in Official Journal of Romania no.405/ 18.06.2007. According to the respective normative act the 8 regional customs directorates became 8 regional directorates for excise and customs operations which have in their structure 41 county directorates and Bucharest directorate for excise and customs operations, inland customs offices and, respectively, border customs offices.

Referring to the above mentioned Government Decision, the National Customs Authority has at present clear responsibilities on the excise management:

- implementation in the excise field of the specific measures resulted from the governmental programmes and from the excise regulations and other dispositions applied to the goods under customs and fiscal surveillance for excise or submitted to the customs and fiscal control for excise;
- organisation and control of the activity of regional directorates for excise and customs operations, of the county directorates and Bucharest directorate for excise and customs operations, of the inland customs offices and, respectively, of the border customs offices;
- proposal of measures concerning the improvement of activity of collecting and levying of the revenues coming from the excisable goods and, respectively of strengthening the control capacity in completing the circuits of the excisable goods movement;
- control of means of transport loaded or suspected to be loaded with goods submitted to harmonised excise which are in intra-Community movement;
- responsibilities concerning special measures referring to the surveillance of production, importation and circulation of certain excisable products;
- organisation and specialised assistance given to the taxpayers in the field of circulation of excisable goods.

The twinning component «Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority” proposed by the National Customs Authority is absolutely necessary in the next period for a good carrying on of the activity in the field of excise.

**NCA targets for the post-accession period**

*Component 1 – “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”*

Considering the requirements settled through the E-customs Strategy regarding the IT systems, the NCA will continue the process to complete the interoperability with DGTAXUD systems and to improve the availability and continuity of the IT system connected to corresponding DGTAXUD IT systems.

The project component will assist the NCA to continue the development of IT systems related to the interconnectivity with the EU systems and the development and implementation of the national IT modules to ensure the compatibility with the EU IT system associated to the ‘paperless’ principles (MASP), as follows:

1.1. “Continuing the development of IT system related to the interconnectivity with the EU systems”
In order to assure the effective maintenance of the Romanian TARIC (TARIC-RO) (legal provisions, customs tariff – taxes and duties, tariff preferences, preferences, documents needed for customs clearance, restrictions/quotas etc.) and also its dissemination to the customs operational staff and the Trade community, the Romanian TARIC Management System will be developed in the context of IT environment of ICIS (Unix, Oracle) and implemented in accordance with DG TAXUD TARIC 3 functional and technical requirements.

The project will ensure the reconfiguration and adaptation of TARIC-RO and Tariff related systems to the new requirements for the functioning of the Integrated Community Tariff – TARIC 3 and the associated systems and for the integration of the related regulations within the Automated Customs Declaration Processing System and will ensure their connection to the production environment of the corresponding systems of DG TAXUD and the (ITMS) internal data exchange.

1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level”

The project will assist the NCA to adapt the Romanian Customs Declaration Processing System in order to meet the functional and technical DGTAXUD requirements related to Automated Import System (AIS) at the national level.

1.3. “Development and implementation of the NCTS Security and Safety Aspects and of the NCTS/TIR at the level of NCTS customs offices”

The project will ensure the development and integration into the Romanian NCTS of the following:

A. Security and Safety features arising from the security amendment of the Modernise Customs Code, as well as from its implementing provisions, at the level of NCTS customs offices, in accordance with the DG TAXUD requirements.

B. NCTS/TIR application at the level of NCTS customs offices, in accordance with the DG TAXUD requirements.

Component 2 – “Improving the competencies for the customs staff both at central and territorial level”

The project component will consolidate and develop a complete and unitary customs training system by improving the ability of customs staff to perform its tasks after enlargement, taking into account the EU standards for civil servants specific career.

The component is intended to build on progress already made on the training and guidance received from the customs administrations of some Member States through the twinning process.

Training is an essential element in ensuring a uniform approach on the implementation of the legislation and the work on the development of modern training tools must continue as well as the enhancement of training in the new areas of customs responsibilities.

Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”

The project component will assist the NCA with the taking over of the excise management activity, having in view the following steps:

a) Fiscal surveillance of warehouses
b) Authorising the fiscal warehouses, the fiscal warehouse keepers and other categories of
trade agents which are authorised according to the Fiscal Code

c) Taking over of the Excise Liaison Office (ELO) - SEED

d) Management of the accompanying administrative document (AAD)

e) Fiscal inspection

3.2. Linked Activities

NCA's efforts to strengthen its administrative and operational capacity have begun to bear fruits.
Significant progress has been made, also through the consistent support provided by the Phare
programmes.

I. Implemented EU Phare National Support Programme Assistance

1. Twinning Project RO0006.04 - “Improving of the revenue collection”, in collaboration with
Agencia Tributaria of Spain, offered support to the Ministry of Public Finance on the organization
and management of the revenues collection system..

2. RO-2002/000-586.04.03 – “Connection of the IT Integrated Customs System to the EU systems”
provided support for the achievement of full compatibility and inter-operability of the Romanian
Customs systems with the EU systems, enabling the exchange of computerised data between the
Romanian Integrated Customs Information System (ICIS) and the European Commission and the EU
Member States systems.

The project component “Preparation of NCA systems for the connection with the DG TAXUD
databases” assisted the NCA in the development and implementation of an Integrated Tariff
Management System (ITMS) and ensured the consistent inter-operability of the ICIS components
with the EU systems, in accordance with DG TAXUD inter-operability strategy.

Through the project component “Completion of the preparation for adhesion to the Common Transit
Convention and implementation of NCTS at the level of the National Customs Authority” the
National Transit System, fully compatible with the NCTS Phase 3.2., integrated into the ICIS and
interoperable with the EC and EU Member States systems over the CCN/CSI, was implemented and
is functional at the level of 78 customs offices dealing with road traffic.

The project component “Completion of the Implementation of the Romanian Integrated Customs
Tariff (TARIR), fully Compatible with TARIC” supported the NCA to finalise the implementation of
a fully TARIC system in Romania compatible with EU TARIC and its integration into ICIS and the
operational customs environment.

Through the project component “Connection of RGCA systems with the CCN/CSI system” the NCA
was assisted in achieving the connection of Romanian ICIS with the CCN/CSI system, enabling the
use of a coherent method of access by the applications operated by the NCA using the CCN/CSI
interface, in order to be connected to the EC systems managed by DG TAXUD.

3. Within the 2002 PHARE National Programme, the Ministry of Public Finance benefited of the
following twinning projects:

- RO 2002-586.03.04.08 - “Setting up the procedural and methodological framework in view
  of the arrears' diminution, as well as using other modalities for the budgetary debts’
  extinction”;

- RO 2002-586.03.04.09 - “Strengthening of the fiscal control function within the Ministry of
  Public Finance”;

- RO 2002-586.03.04.12 - “Strengthening and developing the State revenues administrative
capacity”.
4. RO 2002-586.03.04.11 - "Increasing the tax collection level by improving taxpayers' voluntary compliance, by building the internal capacity of the MoPF to develop by itself information, communication campaigns and a modern taxpayer assistance activity" - technical assistance project.
5. 2004/016-772.05.01.02 – "Update of the Romanian Customs Declaration Processing System in accordance with the Commission Regulation (EC) No.2286/2003" provided technical assistance support to the NCA for updating the Romanian Customs Declaration Processing System in accordance with EC Regulation 2886/2003 and complies with the EU requirements in the Customs Sector, concerning the new EU SAD.

II. Ongoing and/or starting projects in the near future for National Customs Authority

1. The Multi-annual Programme Phare 2004-2006 for Border Management Sector having as main outputs the development of an Integrated Border Securing System, a thorough enhancement of the knowledge, skills and abilities of RBP officers in a whole range of border control and surveillance responsibilities, and the development of a data exchange system at the operational level between the RBP, NCA and (i) similar national institutions, and (ii) similar agencies from neighbouring countries.

The Task "Enhancement of the National Customs Authority operational capacity in line with the EU e-customs concepts" aims to set up and operate secure, interoperable and accessible electronic customs systems which are intended to improve and facilitate supply chain logistics and customs processes. These electronic customs systems aim at making customs clearance more efficient, reduce administrative burdens, facilitate trade, increase safety of goods and security of international trade, and enhance environmental and consumer protection.

Through the components Automated Import System including Import Control System, Automated Export System including Export Control System, Risk Management Framework, Customs Information Portal, Economic Operator Authorisation, Single Window, the following main results will be achieved:

a) Methodologies and working procedures approved and applied referring risk management framework (RMF), import control system (ICS), registration system for traders, common customs information portal and single electronic access point
b) Organizational procedures for using electronic signature
c) Technical specifications drafted for the necessary IT equipments to sustain the functionality of the new e-Customs component
d) Import Control System (ICS) implemented to permit the:
   - exchange of electronic data between customs administrations
   - electronically declaration of goods for import by the authorized economic operators, without transit to the place where the trader is established
e) Required interconnectivity and interoperability possibilities between the national risk systems
f) Developed specific databases for unique electronic registration of economic operators involved;
g) Developed specific interfaces to allow the communication and exchange of information with related databases referring all the inter-operable databases across CCN/CSI
h) Necessary interfaces implemented to sustain interconnectivity and interoperability with EU systems (RMF, ICS, AEO)
i) Customs information portal operational to allow standardizing and bundling all information of the member States and the Community which is needed for import and export transactions and make it accessible to traders at the same website
j) Full tested of the new components to fulfill the compliance with EU requirements.
2. The Multi-annual Programme 2004-2006 “Further Institution Building of the Romanian Ministry of Public Finance and Court of Accounts” aiming to properly improve the efficiency of the activity of the Ministry of Public Finance by development and of the IT infrastructure. It aims for support in further implementation of the IT strategy of the Ministry of Public Finance, in order to enlarge the electronic taxpayer assistance, to implement a unique procedure to submit and process unified electronic forms of declarations from the taxpayers and contributors, to achieve full inter-operability with the IT systems of EU Member States in the field of taxation and EU budget, to integrate the IT system supporting the activities of MoPF and to develop the IT inter-operability with the National Customs Authority.

Within in the Multi-annual Programme 2004-2006, Phare 2005 Project “Continuing the implementation of the IT strategy”, the NCA beneficiaries of technical assistance under the on going project Phare 2005/017-553.03.01.03.05 “Enhancement of the integrated web environment in accordance to the EC specific customs system and the national components - Development and implementation of the national control export system (ECS)”. The project is actually on going and will assist the NCA in the development and implementation of the ECS – Phase 1.

3. The twinning project RO04/IB/FT-07 (ongoing) with UK assistance “Assistance to the National Customs Authority for the improvement of human resource policies including training and ethics” aims to strengthen the Human Resource policies including training and ethics. The project focuses on: developing an effective human resources management system which supports the achievement of the Romanian Customs Authority’s objectives; developing a training service which fully and autonomously supports the business strategy. The project contains also a special component dedicated to the development of a coherent ethics management that ensures all staff observe the rule of law and perform their duties in a professional, fair and honest manner.

4. Within the Multi-annual Programme 2004-2006 - sector Public Finance managed by the Ministry of Public Finance, the twinning project RO2004/IB/FT-01 - “Completing the harmonization of tax legislation and strengthening of the fiscal management” is on going in collaboration with Agencia Tributaria of Spain.

5. Referring to the IT specific developments and interoperability with the EU systems (SEED & EMCS) the first phase (SEED) of the technical assistance project “Establishment and implementation of the IT structures regarding the administrative cooperation with the other Member States” (SEED RO 2004 016-772.03.01.03.02 and EMCS 2005) was launched.

III. Other EU relevant programmes for National Customs Authority

2000 Cross Border Co-operation Programme (Romania and Bulgaria) addresses the harmonization of border procedures and the exchange of information between the Romanian border control agencies and their Bulgarian counterparts. The main objective is to facilitate the border crossing of the Danube and increase the efficiency of border controls.

Joint PHARE/UNDCP Drugs Fight Programme (Phase 1) focused on countering the drugs demand and supply. Benefiting countries are: Romania, Bulgaria and FYROM. The programme implementation period was foreseen for 1999-2001.

The Conference on Customs co-operation at the borders between the EU and Phare countries and the New Independent States (1999 – 2001) introduced working groups at geographical level in order to consolidate regional contacts between Customs, Border Police and other relevant services, and to develop reference documents on cross border co-operation.
IV. Other donors for National Customs Authority

Specialized training to strengthen the operational capacity of the NCA was provided by the French Customs Administration under French bilateral co-operation programmes.

All the projects programmed under the Transition Facility Programme will be developed by correlating and completing the results/conclusions of the above-mentioned projects in order to improve the Tax Administration capacity and the public finance system.

3.3. Results

Component 1 – "Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project"

1.1. "Continuing the development of IT system related to the interconnectivity with the EU systems"

1. TARIC-RO, existing Tariff related systems updated/developed in accordance with DG TAXUD technical and functional specifications relating to TARIC 3, Quota 2, ECICS 2, EBTI 3, etc., interfaced with CDPS and others ICIS components, tested and integrated into ICIS environment.

2. TARIC-RO, Tariff related systems, CDPS databases updated/developed in conformity with of the TARIC 3, Quota 2, ECICS 2,EBTI 3, etc., databases structure;

3. Calculating module updated/developed, tested and integrated into the new operational environment;

4. The updated TARIC-RO and updated Tariff related systems, interfaces between them and interface with the CDPS, tested;

5. TARIC-RO, Tariff related systems tested in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment;

6. TARIC-RO, Tariff related systems, CDPS, Calculating module with the newly updated/developed TARIC 3, Quota 2, ECICS 2, EBTI 3, etc deployed, implemented and fully operational on 01.11.2009 for TARIC 3, 01.12.2008 for Quota 2; 

7. Customs staff (50 customs officers and 20 IT personnel) selected, trained and evaluated as trainers;

8. Corresponding manuals for the customs staff and traders produced and disseminated;

9. Five (5) sessions of conference organised for the traders involved in the customs operations;

10. TARIC HelpDesk personnel trained and evaluated;

1.2. "Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level"

1. RCDPS updated/developed and interfaced with Summary Declaration Component, Control Management Component and Security Risk Assessment Component;

2. RCDPS piloted in order to cover the interactions with Summary Declaration Component, Control Management Component and Security Risk Assessment Component and tests successfully passed as to cover the common functionalities with the respective components;

3. Corresponding manuals elaborated and disseminated for the NCA staff (e.g. Installation Software Manual, Users Manual, System Administrator Manual);

4. Customs staff (20 customs officers and 10 IT personnel), trained and evaluated as trainers;
5. Two (2) conferences organised for the Trade Community representatives participating to import operations, in order to ensure the preparedness of the end users to use the new system;
6. AIS HelpDesk, three level consolidated to solve the occurred problems during the system running.

1.3. “Development and implementation of the NCTS Security and Safety Aspects and of the NCTS/TIR at the level of NCTS customs offices”

A. NCTS/TIR

1. NCTS/TIR developed in conformity with DGTAXUD functional and technical specifications,
2. NCTS/TIR tested and integrated into Romanian NCTS;
3. Romanian NCTS with the developed NCTS/TIR functionalities, implemented and fully operational on 01.07.2008 at the level of NCTS customs offices;
4. Customs staff (40 customs officers and 20 IT personnel) selected, trained and evaluated as trainers;
5. Corresponding manuals for the customs staff and traders produced and disseminated;
6. Two (2) conference sessions organised for the participants to the transit operations;
7. NCTS Helpdesk personnel trained and evaluated.

B. NCTS – Security and Safety Aspects

1. NCTS – Security and Safety Aspects, developed in conformity with DGTAXUD functional and technical specifications, integrated into Romanian NCTS;
2. NCTS – Security and Safety Aspects Mode 0 Tested in order to cover all functionalities related to paperless transit document, including guarantee, and inquiry procedure;
3. NCTS – Security and Safety Aspects Mode 1, Pre-Conformance and Actual Conformance Tested in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment;
4. Romanian NCTS with the newly developed Security and Safety Aspects, implemented and fully operational on 01.07.2009 at the level of NCTS customs offices;
5. Customs staff (30 customs officers and 10 IT personnel) selected, trained and evaluated as trainers;
6. Corresponding manuals for the customs staff and traders produced and disseminated;
7. Two (2) conference sessions organised for the Trade Community involved in the NCTS operations;
8. NCTS Helpdesk personnel trained and evaluated;

Component 2 – “Improving the competencies for the customs staff both at central and territorial level”

1. Training packages adapted to the NCA’s new structure designed and delivered, in line with EU requirements;
2. A programme for multiplying the effects of the "core" training designed and approved (cascade training);
3. 3 workshops organised for high, middle management and executive level in the field of managing the processes of changes (50 persons);
4. Managers prepared in the field of identifying individual and group training and development needs;
5. A post-implementation programme designed and integrated into the customs training system to evaluate the impact of the training programme already delivered;
6. Preparedness achieved on the benefits of regular updated training to the customs officers in order to deliver a long term career planning and a professional development system;
7. E-learning system re-launched and up-dated by including new training materials and new modules on the customs platform;
8. Standards elaborated for evaluating the trainers performances and the efficiency of training activities and integrated into the customs training system;
9. Methodology for selecting and train the trainers, elaborated and integrated into the customs training system.

Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”

1. Amendment proposals related to the excise specific legislation (level 2 and 3) adapted to the customs specific environment, approved;
2. Proposals for Fiscal Code updating procedures, applied on biannual basis, related to the excise field;
3. Proposal for adjustment of the organizational chart, information flow, methodologies and working procedures, approved;
4. Proposal for adjustment of the attributions and responsibilities approved for the specific structures in the field, at central and territorial level;
5. A complete organizational chart for the ELO, and the subordinated units, which has to ensure the exchange of information;
6. Methodological and working procedures for ELO and for authorized units adapted to the customs environment;
7. Procedures regarding the internal and international jurisprudence (appeals) in the excise field, designed and approved;
8. Action plans referring to specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase I);
9. Action plans referring to specific activities and responsibilities related to the transfer to the NCA of the IT components related to the excise management and interoperability;
10. Action plans referring to specific activities and responsibilities related to the transfer of excise management to the NCA (phase II);
11. Training programmes approved for train the trainers, dedicated to the field of the specific legislation and best practice in surveillance of the excisable goods movement;
12. Thirty (30) customs staff trained as trainers based on the approved training for trainers programme;
13. Two (2) sessions of conference for the representatives of the Trade Community referring to their responsibilities and rights in excise field;
14. Annotations and requests for clarification from the Trade Community representatives, for improving the excise management by NCA, evaluated and solved;
15. Draft of terms of reference and technical specifications referring to the related investments and technical assistance projects foreseen to continue the strengthening of the excise management;

16. Evaluation study regarding the global appropriateness of the NCA for managing the specific aspects of the excise domain.

3.4. Activities

Component 1 - “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”

1.1. “Continuing the development of IT system related to the interconnectivity with the EU systems”

1. Updating/development of the TARIC-RO, existing Tariff related systems in accordance with DG TAXUD technical and functional specification relating to TARIC 3, Quota 2, ECICS 2, EBTI 3, etc, interfacing between them and interfacing with CDPS and others ICIS components; indicative budget is 91,000 euro

2. Updating/development of the TARIC-RO, Tariff related systems, CDPS databases in conformity with of the TARIC 3, Quota 2, ECICS 2, EBTI 3, etc, databases structure; indicative budget is 140,000 euro

3. Updating/development of the Calculating module and its integration into updated TARIC-RO and CDPS; indicative budget is 105,000 euro

4. Testing of the updated TARIC-RO, updated Tariff related systems, of the interfaces between them and the interface with CDPS; indicative budget is 126,000 euro

5. Conformity updated TARIC-RO, updated Tariff related systems Testing in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment; indicative budget is 91,000 euro

6. Implementation of the updated/developed TARIC-RO, Tariff related systems, CDPS, Calculating module, deployment, and fully operational on 01.11.2009 for TARIC 3, 01.12.2008 for Quota 2; indicative budget is 133,000 euro

7. Design and delivery of Train the trainer programme for customs staff (50 customs officers and 20 IT personnel) and evaluation of the trained personnel; indicative budget is 70,000 euro

8. Elaboration of the corresponding manuals for the customs staff and traders involved in the customs operations; indicative budget is 24,500 euro

9. Organization of 2 (two) conference sessions targeted for the traders involved in the customs operations; indicative budget is 63,000 euro

10. Delivery of special training for the TARIC HelpDesk personnel and evaluation; indicative budget is 42,000 euro.

Means: 16 months Technical assistance contract

1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level”:

1. Updating the RCDPS with the new functionalities and improve the existing features in order to cover the National Domain functionalities related to:

   • interaction of the RCDPS with Summary Declaration Component, Control Management Component and Security Risk Assessment Component
• consolidation of summary declaration data and extraction from the Common Domain in National Domain
• consolidation of control and risk assessment data
• performing automated risk assessment
• communicate control decision and control result to relevant parties
• development of the external message communication component between National Domain and External Domain, other domains taking into account the requirements for standardisation (messages, procedures, protocols)

Indicative budget is 196,000 euro

2. Creation of a pilot and testing the updated RCDPS and the interactions with the Summary Declaration Component, Control Management Component and Security Risk Assessment Component; indicative budget is 112,000 euro.

3. Elaboration of corresponding manuals for RNCA staff (e.g. Installation Software Manual, Users Manual, System Administrator Manual); indicative budget is 49,000 euro.

4. Design and delivery of the training program for customs officers and IT specialists (20 customs officers and 10 IT personnel); indicative budget is 45,500 euro

5. Organisation of 2 (two) conferences sessions for the Trade Community representatives involved in import operations, in order to ensure the preparedness of the end users to use the new system; indicative budget is 20,300 euro.

6. Implementation of the AIS HelpDesk, three levels consolidated to solve the occurred problems during the system running; indicative budget is 35,000 euro.

**Means: 12 months Technical assistance contract**

1.3. “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices”

**A. NCTS/TIR**

1. Development of the NCTS/TIR in conformity with DGTAXUD functional and technical specifications; indicative budget is 38,500 euro

2. Testing of NCTS/TIR and its integration into Romanian NCTS; indicative budget is 21,000 euro

3. Implementation of Romanian NCTS with the newly developed NCTS/TIR, fully operational at the level of NCTS customs offices on 01.07.2008; indicative budget is 28,000 euro

4. Design and delivery of Train-the-trainers programme for customs staff (40 customs officers and 20 IT personnel) and evaluation of the trained personnel; indicative budget is 17,500 euro

5. Elaboration of corresponding manuals for the customs staff and traders; indicative budget is 21,000 euro

6. Organization of 2 (two) conference sessions targeted to the participants to the customs operations; indicative budget is 14,000 euro

7. Delivery of training for the NCTS HelpDesk personnel and evaluation; indicative budget is 21,000 euro.

**B. NCTS – Security and Safety**

1. Development of NCTS – Security and Safety Aspects in conformity with DGTAXUD functional and technical specifications and its integration into Romanian NCTS; indicative budget is 56,000 euro

2. Testing of NCTS – Security and Safety Aspects in order to cover all functionalities related to paperless transit document, including guarantee and enquire procedure; indicative budget is 42,000 euro
3. Conformance Testing of NCTS – Security and Safety aspects in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment; indicative budget is 31,500 euro.

4. Implementation of Romanian NCTS with the newly developed Security and Safety Aspects, fully operational at the level of NCTS customs offices on 01.07.2009; indicative budget is 52,500 euro.

5. Design and delivery of Train the trainer programme for customs staff (30 customs officers and 10 IT personnel) and evaluation of the trained personnel; indicative budget is 21,000 euro.

6. Elaboration of the corresponding manuals for the customs staff and traders; indicative budget is 21,000 euro.

7. Organization of 2 (two) conference sessions targeted for the participants involved into the transit operations; indicative budget is 14,000 euro.

8. Delivery of training for the NCTS HelpDesk personnel and evaluation; indicative budget is 18,200 euro.

Means: 16 months Technical assistance contract

Component 2 – “Improving the competencies for the customs staff both at central and territorial level”

The following activities are related to the result 1:

1.1. Assessment of the existing customs training system;

   *The estimated budget is about 29,900 Euro. The estimated working days per activity are 20 days.*

1.2. Identification of the training needs in order to ensure a uniform and unitary customs training system, both at central level and regional level. The training system will be in line with the EU standards for civil servants specific career.

   *The estimated budget is about 44,850 Euro. The estimated working days per activity are 20 days.*

1.3. Designing and delivering of training packages adapted to the NCA’s new structure and responsibilities. The training packages will cover: excises, own resources, customs debt, e-customs, Common Agricultural Policy etc.

   *The estimated budget is about 44,850 Euro. The estimated working days per activity are 40 days.*

2. Designing of a programme for the wider benefit of the RCA training function in order to multiply the effects of the “core” training (cascade training);

   *The estimated budget is about 44,850 Euro. The estimated working days per activity are 20 days.*

3. Organisation of 3 workshops for selected target group of high, middle managers and executive level in the field of managing the process of changes (50 persons);

   *The estimated budget is about 44,850 Euro. The estimated working days per activity are 15 days.*

4. Organisation of 3 workshops for 40 selected managers on identifying individual and group training and development needs;

   *The estimated budget is about 44,850 Euro. The estimated working days per activity are 15 days.*
5. Designing a programme for evaluating the impact of the training programme already delivered and its integration into the customs training system;

The estimated budget is about 29,900 Euro. The estimated working days per activity are 20 days.

6. Achieving the preparedness on the benefits of regular updated training to the customs officers. It will be pointed out that the regular updated training is a solid base for future progression in order to deliver a long term career planning and a professional development system.

The estimated budget is about 29,900 Euro. The estimated working days per activity are 30 days.

The following activities are related to the result 7:

7.1. 3 Workshops for staff involved in e-learning system’s administration (for IT and training department/compartment staff)

The estimated budget is about 44,850 Euro. The estimated working days per activity are 15 days.

7.2. Delivering and loading of new training materials and new modules on the NCA’s customs e-learning platform;

The estimated budget is about 29,900 Euro. The estimated working days per activity are 20 days.

8. Establishing standards for evaluating the trainers performances and the efficiency of training activities and its integration into the customs training system;

The estimated budget is about 29,900 Euro. The estimated working days per activity are 20 days.

9. Elaboration of methodology for selecting and train the trainers and its integration into the customs training system. The methodology will also have in view the trainers who do not work within the customs system.

The estimated budget is about 29,900 Euro. The estimated working days per activity are 20 days.

Means: 12 months twinning contract

Profile of the RTA

The RTA will be responsible for:
- Making contact with Member State's Administration representatives, relevant participating organisations and Romanian actors engaged in the project.
- Coordinating the work of Member State experts involved in the project, including organisation of the training activities in Romania.
- Providing advice to the Romanian NCA.
- Providing experts appraisals and reports on the request of the Romanian Project Leader.
- Monitoring the implementation of the Twinning Covenant.
- Organisation and management of the Steering Committees (each three months).
- Preparation of the final report.
The secondment of a Resident Twinning Adviser (RTA) will be necessary for the entire duration of the project of 12 months. The RTA will provide the general expertise and day to day advice to MS in all matters related to the project.

Requirements for the RTA who will be responsible for the twinning project

- Solid background in the legislation, strategies, policies, methodologies, procedures, organisation and co-ordination in training area;
- Sound knowledge of regulations and policies of the European Union, in relation to human resources issues, including training function;
- Sound communication skills;
- Sound in assessment of staff's knowledge;
- Previous experience of working in a multi-disciplinary and multi-national team is a plus;
- Previous experience as project co-ordinator;
- Fluency in English;
- Understanding of the Romanian environment is a plus.

The required experience in the implementation of institutional aspects targeted by the project is minimum 15 years in the field.

Short-term experts

This project component requires an experienced team of maximum 12 short-term experts (category II) from a Member State(s) customs administration.

The short-term experts should have at least 8 years experience in their specific field. The short-term experts will work during several missions, with the NCA / Human Resources, General Organization and Training Directorate in the limit of 12 months project life-time.

Short-term experts should generally have expertise in the following areas:

a) Solid background in the legislation, strategies, policies, methodologies, procedures, organisation and management in training area;

b) Sound knowledge of regulations and policies of the European Union, in relation to customs ethics issues;

c) Ability in development and delivery of training programmes;

d) Sound communication skills;

e) Sound in assessment of staff’s knowledge;

f) Elaboration of training (needs assessment, design and delivery of training courses, evaluation mechanism);

g) Production of manuals for customs staff and participants to the customs operations.

h) Fluency in English.

Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”
1. Amendment of the specific legislation (level 2 and 3) in order to adapt the existing provisions to the customs specific environment, in relation with the transfer of excise management to the NCA;
   *The estimated budget is about 38.400 Euro. The estimated working days per activity are 20 days.*

2. Improvement of the Fiscal Code updating procedures, applied on biannual basis, related to the excise field, and the synchronisation with the specific procedures applied at the level of Ministry of Public Finance;
   *The estimated budget is about 38.400 Euro. The estimated working days per activity are 20 days.*

3. Evaluation of the current situation and to recommend improvements in the organizational chart, the information flow, the methodologies and working procedures;
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 15 days.*

4. Evaluation of the current situation and recommendation for improvements in the attributions and responsibilities for the specific structures in the field, at central and territorial level (including the authorisation of the economic agents);
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 15 days.*

5. Evaluation of the current situation and to recommend strengthening the operative capacity of ELO, and the subordinated units, in the field of excise;
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 15 days.*

6. Evaluation of the current situation and make recommendations to:
   - improve the methodologies and working procedures for ELO and for authorized units
   - adapt them to the customs environment;
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 20 days.*

7. Evaluation of the current situation and make recommendations to improve the procedures regarding the internal and international jurisprudence (appeals) in the excise field;
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 20 days.*

8. Elaboration of the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase I);
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 20 days.*

9. Elaboration of the action plans referring to the specific activities and responsibilities related to the transfer to the NCA of the IT components related to the excise management and interoperability;
   *The estimated budget is about 25.600 Euro. The estimated working days per activity are 15 days.*

10. Elaboration the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase II);
    *The estimated budget is about 25.600 Euro. The estimated working days per activity are 15 days.*
11. Development of the training programmes for train the trainers, dedicated to the field of the specific legislation and best practice in surveillance of the excisable goods movement, targeted to the customs personnel;

The estimated budget is about 38,400 Euro. The estimated working days per activity are 20 days.

12. Delivery of a training for trainers 30 customs staff based on the approved training for trainers programme;

The estimated budget is about 25,600 Euro. The estimated working days per activity are 10 days.

13. Organisation and carrying on 2 sessions of conference targeted to the representatives of the Trade Community, in order to inform them about all new procedures which are referring to their activity in excise field;

The estimated budget is about 25,600 Euro. The estimated working days per activity are 10 days.

14. Evaluation of the annotations and requests for clarification received from the representatives of the Trade Community in order to improve the excise management by NCA.

The estimated budget is about 25,600 Euro. The estimated working days per activity are 10 days.

15. Elaboration of the draft of terms of reference and technical specifications referring the related investments and technical assistance projects foreseen to continue the strengthening of the excise management;

The estimated budget is about 25,600 Euro. The estimated working days per activity are 15 days.

16. Evaluation of the NCA appropriateness for managing the specific aspects of the excise management and elaboration of the related study.

The estimated budget is about 25,600 Euro. The estimated working days per activity are 15 days.

Means: 12 months twinning contract

Profile of the RTA

The RTA will be responsible for:
- Making contact with Member State's Administration representatives, relevant participating organisations and Romanian actors engaged in the project.
- Coordinating the work of Member State experts involved in the project.
- Ensurance of a comprehensive overview of all the activities related to the training aspects, including design, development, delivery of certain training activities as well as the monitoring and evaluation of the training aspects within NCA.
- Providing advice to the Romanian NCA.
- Providing experts appraisals and reports on the request of the Romanian Project Leader.
- Monitoring the implementation of the Twinning Covenant
- Organisation and management of the Steering Committees (each three months).
- Preparation of the final report.

The secondment of a Resident Twinning Adviser (RTA) will be necessary for the entire duration of the project of 12 months. The RTA will provide the general expertise and day to day advice to MS in all matters related to the project.
Requirements for the RTA who will be responsible for the twinning project:
   a. Minimum 15 years of experience in customs field;
   b. Minimum 10 years of experience in taxation field;
   c. Experience in project management of minimum 5 years, including participation in a twinning project;
   d. Detailed knowledge of the good practice/acquis communautaire in the above-mentioned field;
      • Ability to communicate with the other RTAs in order to ensure interconnectivity with the other project related to the field;
      • Proven ability to design and delivery training programmes;
      • Proven ability to transfer know-how;
      • Excellent command of English;
      • Proven abilities of manager in forming an appropriate team of medium and short-term experts, in particular on taxation and tax management field.

Profile of the MTE

In order to meet easier the requirements of consistency and unity, this project component needs also a medium term expert (category II) from a Member State customs administration.

He will spend in Romania minimum 6 months and will work with the Romanian beneficiary on a daily basis.
He will participate in the analysis of the current situation in the excise field, he will make recommendations for improvements and will have a comprehensive overview of all the activities related to the transfer of the excise management to the National Customs Administration.
Requirements for the MTE who will be responsible within the twinning component for all the matters related to the transfer of excise duties the twinning project:
   • Minimum 10 years of experience in taxation and tax management field;
   • Detailed knowledge of the good practice/acquis communautaire in the above-mentioned field;
   • Previous participation in minimum a twinning project;
Proven ability to communicate with seniors decision-makers in the public sector and to get the cooperation of other institutions involved;
   • Proven ability to transfer know-how;
Excellent command of English;
Proven ability in preparation of periodical reports and organization of seminars.

Short-term experts

This project component requires an experienced team of maximum 10 short-term experts (category II) from a Member State customs administration.

Short-term experts will be required for effective co-operation and provision of know-how and experience in specific areas of project implementation regarding the EMCS system and the related systems to excise; STEs should possess knowledge and at least 8 years long experience in the field of issues covered by project; they should have a very good command of co-operation
with different relevant administrative institutions. Further requirements to the experts include relevant university degree, familiarity with EU acquis, computer skills.

3.5. Lessons learned:

A table on Lessons Learnt is annexed to this Project Fiche (See Annex 5).

4. Institutional Framework

The National Customs Authority is part of the Ministry of Finance and is responsible for the collection of customs duties, excise duties and VAT on imports, and the prevention of illegal imports and exports. The National Customs Authority is led by the Vice-President of the National Agency of Fiscal Administration.

The NCA has established a structure and developed internal procedures to co-ordinate Phare-financed programmes. The Phare Programmes Implementation Unit (PIU) will carry out the day-to-day management of the project and reports directly to the Phare Senior Programme Officer (SPO).

The main role of the NCA’s PIU will be to monitor the project deployment and to solve issues concerning the management and progress of the project for NCA target group, including planning and identification of tasks, reporting, preparation of project Terms of Reference, participation in tendering, monitoring and evaluation of the activities executed. The PIU will also keep track of the timely completion of the project activities of present and previous Phare supported programmes.

5. Detailed Budget

<table>
<thead>
<tr>
<th>M€uro</th>
<th>Transition Facility Support</th>
<th>Co-financing</th>
<th>Total cost</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Investment Support</td>
<td>Institution Building</td>
<td>Total Transition Facility (€1+€2)</td>
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<td>1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level” - technical assistance contract</td>
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<td>1.3. “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices”</td>
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<tr>
<td>2.</td>
<td>Component 2 -“Improving the competencies for the customs staff”</td>
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<tr>
<td>Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority&quot; – twinning contract</td>
<td>0.80</td>
<td>0.80</td>
<td>-</td>
</tr>
</tbody>
</table>

*The National Customs Authority*, as beneficiary of this project, will adequately ensure the conditions necessary for the good development of the project according to the Twinning Manual. Contributions from the Romanian customs administration for effective implementation of the twinning will be further detailed in the twinning contract.

VAT is not an eligible expenditure under both the Transition Facility and national cofinancing funds indicated in the above budget table. Where contracts are subject to VAT due to provisions of national legislation, these funds have to be provided from national resource outside and in addition to the amounts indicated in the budget table.

6. **Implementation Arrangements**

6.1. **Implementing Agency**
The Central Finance and Contracts Unit (CFCU) will be the Implementing Agency and as such be responsible for all procedural aspects of the tendering process, contracting matters and financial management (including payments) of the project activities.

**Contact:**
Central Finance and Contracts Unit (CFCU)
Director (PAO): Mrs. Carmen Rosu
Address: 44th Mirea Voda St, Entrance B, Bucharest 3, Romania
Phone: +4021 326 55 55
Fax: +4021 326 87 30
e-mail: carmenrosu@cfcu.ro

**Implementing Authority**
The responsibility for technical project preparation, implementation and control will remain with the Implementing Authority that will be the NCA and the beneficiary departments.

A Project Steering Committee (PSC), comprising senior representatives of the National Customs Authority, will be set up for each project component, being responsible for the monitoring, supervision and co-ordination of the overall progress and implementation of the project components. The PSC will provide guidance on the different components of the project will approve priorities defined and finally endorse project outputs. It is envisaged that the PSC will meet at least once every three months or more frequently should there be an emphatic reason for such a meeting to be scheduled.

The direct beneficiaries of this project are the following departments within the NCA:
- For Component 1 : Information Technology, Communication and Statistics Department, the Customs Clearance Techniques and Customs Tariff Department and the Surveillance of Excised Products and Transit Department
- For Component 2 : the Human Resources, General Organisation and Training Department
- For Component 3 : Surveillance of Excised Products and Transit Department
The NCA will respectively appoint a Project Co-ordinator for each of the components within the project that relate solely to that administration, and joint Project Co-ordinators for joint projects.

The NCA will support the implementation of the proposed project by assuring the necessary organisational environment, making available the necessary personnel and by covering the cost of the required infrastructure and equipment, and the related running and administrative costs.

Contact:

Central Finance and Contracts Unit (CFCU)
Director (PAO): Mrs. Carmen Rosu
Phone: +4021 326 55 55
Fax: +4021 326 87 30
Address: 44th Mircea Voda St, Entrance B, Bucharest 3, Romania
e-mail: carmenrosu@cfcu.ro

National Customs Authority
Marcel Simion Mutescu – Director, SPO
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Tel: 00-40-21-315 58 58/315 58 59
Fax: 00-40-21-313 82 51
e-mail: mmutescu@customs.ro

6.2. Twinning

Component 2 – “Improving the competencies for the customs staff both at central and territorial level” - The National Customs Authority will be the Implementing Authority for this twinning project.

Romanian Project Leader
Mr. Gheorghe Stambelu, Director of Human Resources, General Organisation and Training Directorate
National Customs Authority
Address: Matei Millo street 13, Sector 1, 010144 Bucharest, Romania
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e-mail: stambelu@customs.ro

RTA Counterpart
Mr. George Bucnaru, Head of Training Division
National Customs Authority
Matei Millo street 13
Sector 1, 010144 Bucharest, Romania
Tel/Fax: 00-40-21-3125875
e-mail: bucnarug@customs.ro

Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority” - The National Customs Authority will be the Implementing Authority for this twinning project.
Romanian Project Leader
Mr. Iulian Butnaru, Director of the Surveillance of Excised Products and Transit Department
National Customs Authority
Address: Matei Millo Street 13,
Sector 1, 010144 Bucharest, Romania
Tel: 00-40-21-319.35.90
e-mail: butnaru@customs.ro

RTA Counterpart
Mrs. Ion Monica, Deputy Director of the Surveillance of Excised Products and Transit Department
National Customs Authority
Matei Millo Street 13
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Fax: 00-40-21-319.31.80
e-mail: monica@customs.ro

6.3. Non-standard aspects
For technical assistance and investment projects, the rules related to National Public Procurement will be strictly followed. Regarding the twinning project, the Twinning Manual provisions will be strictly followed.

6.4. Contracts

<table>
<thead>
<tr>
<th>No.</th>
<th>Title</th>
<th>Type of assistance</th>
<th>Allocated budget</th>
<th>Co-financing</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.</td>
<td>“Continuing the development of IT system related to the interconnectivity with the EU systems”</td>
<td>Technical assistance 16 months</td>
<td>1.20</td>
<td>-</td>
</tr>
<tr>
<td>2.</td>
<td>“Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level”</td>
<td>Technical assistance 12 months</td>
<td>0.70</td>
<td>-</td>
</tr>
<tr>
<td>3.</td>
<td>“Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices”</td>
<td>Technical assistance 16 months</td>
<td>0.70</td>
<td>-</td>
</tr>
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<td>“Improving the competencies for the customs staff both at central and territorial level”</td>
<td>Twinning 12 months</td>
<td>0.80</td>
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<tr>
<td>5.</td>
<td>“Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”</td>
<td>Twinning 12 months</td>
<td>0.80</td>
<td>-</td>
</tr>
<tr>
<td></td>
<td>Total</td>
<td></td>
<td>4.20</td>
<td></td>
</tr>
</tbody>
</table>

Contributions from the Romanian customs administration for a good implementation of the twinning will be further detailed in the twinning covenants.
7. Implementation Schedule

7.1. Start of tendering/call for proposals
January 2008 (estimated)

7.2. Start of project activity
June 2008 (estimated)

7.3. Project completion
September 2009 (estimated)

8. Sustainability

Maintenance of a permanent connection with the similar structures of DG TAXUD and delivery of permanent assistance to the economic operators and also to the customs officers involved in using the integrated tariff management system will be ensured by the reconfigured Taric HelpDesk for the new integrated tariff management system environment.

The AIS HelpDesk, three levels consolidated, will deliver permanent assistance to the economic operators and also to the customs officers involved in using the automated import system and support them to solve the occurred problems during the system running.

The reconfigured NCTS HelpDesk will deliver permanent assistance to the economic operators and also to the customs officers involved in using the NCTS/TIR system and support them to solve the occurred problems during the application running.

Also, the Guidelines and Manuals delivered under the project will be used as supporting material for the future training of the staff, being integrated into the customs training system.

The train the trainers programmes delivered under this project will be also integrated into the NCA’s training system. The customs training system will ensure cascade trainings for the operational staff that will be delivered in order to multiply after the project completion the effects of the training delivered. The NCA will ensure the necessary financial and human resources thus making possible the sustainability of the projects results.

9. Conditionality and sequencing

Component 1 - “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”

Elaboration by DG TAXUD of the technical specifications related the implementation of the Electronic Customs Multi-annual Strategic Plan (MASP).

Component 2 - “Improving the competencies for the customs staff both at central and territorial level”:

The expected results will be obtained by ensuring the following:

- the mentioned twinning project with UK assistance has to be concluded as the current twinning project of the Transition Facility programme has to be based on its results (the basis is created from the organisational, methodological and training point of view through the ongoing twinning project);
- availability for the staff dedicated to fulfil the activities of the project;
- adequate working conditions;
- national legislation in line with EU legislation and best practice enacted.
Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”:

The expected results will be obtained by ensuring the following:
- availability for the staff dedicated to fulfil the activities of the project;
- adequate working conditions;
- national legislation in line with EU legislation and best practice enacted.

**ANNEXES TO PROJECT FICHE**

1. Logical framework matrix in standard format – Annex 1
2. Detailed implementation chart – Annex 2
3. Contracting and disbursement schedule by quarter for full duration of programme (including disbursement period) – Annex 3
4. List of relevant Laws and Regulations - Annex 4
5. Lessons learnt from previous years - Annex 5
6. Indicative budget breakdowns – Annex 6
ANNEX 1 – LOGFRAME PLANNING MATRIX for Transition Facility Project Fiche

LOGFRAME PLANNING MATRIX FOR Project Fiche

<table>
<thead>
<tr>
<th>Programme name and number</th>
<th>TRANSITION FACILITY</th>
</tr>
</thead>
<tbody>
<tr>
<td>Contracting period expires:</td>
<td>15 December 2009</td>
</tr>
<tr>
<td>Disbursement period expires:</td>
<td>15 December 2010</td>
</tr>
<tr>
<td>Total budget:</td>
<td>4.20 Meuro</td>
</tr>
<tr>
<td>TF budget:</td>
<td>4.20 Meuro</td>
</tr>
</tbody>
</table>

Project title: “Improving the operational and administrative capacity of the customs authority”

<table>
<thead>
<tr>
<th>Overall objective</th>
<th>Relates to Copenhagen criterion and acquis chapter</th>
</tr>
</thead>
</table>
| Settlement of the integration capacity into EU Customs Union of the Romanian Administration in order to enable the achievement of full harmonisation with the EU Acquis and European Union best practices. | • Economic Criterion from Copenhagen “the existence of a functioning market economy”
• May 2006 Comprehensive Monitoring European Commission Report - Chapter 25, pages 37,38
• 2005 Comprehensive Monitoring Report for Romania” - Chapter 25
• The Electronic Customs Multi-annual Strategic Plan (MASP), working doc TAXUD/477/2004/Rev.7 from 27 September 2006
• TAXUD/B1/MD/SF/DX(05) 5015 of 21 January, 2005 - Mission on Customs and Taxation IT interoperability and IT interconnectivity, Bucharest 13-14 December 2004
• TAXUD A3/ED3/MD(06)0195a and b /D/3995 of 31 October 2006 – Report of the Central Project Team’s (CPT) on the Mission to the Romanian NCTS Project Team and ECS National Project Team (NPT), Bucharest 24th July 2006
• The recommendations made by the European Commission as a consequence of the monitoring visits during 2005-2006: |

List of other projects with same objective

- RO04/IB/FI-07 “Assistance to the NCA for the improvement of Human Resources policies including training and ethics”.
- Increasing the integration level of new IT and communication systems – TA and Supply under 2005 and 2006 Phare Programme
- Development and implementation of the national control export system (ECS) – TA under Services 2005 Phare Programme
- Update of the Romanian Customs Declaration Processing system in accordance with the Commission Regulation (EC) No.2286/2003 – Framework Phare 2004
- No previous PHARE assistance was granted in the field of excise
<table>
<thead>
<tr>
<th><strong>Project purpose</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>The project purpose aims to improve the administrative, operational and technical capacity of the customs authority in order to ensure the efficiency and transparency of the specific customs activities,</td>
</tr>
<tr>
<td><strong>Component 1 – “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”</strong></td>
</tr>
<tr>
<td>To continue the development of IT systems related to the interconnectivity with the EU systems and to develop and implement national IT modules to ensure the compatibility with the EU IT system associated to the ‘paperless’ principles (MASP).</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Objectively verifiable indicators</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>TARIC 3:</strong></td>
</tr>
<tr>
<td>a) automated customs clearance based on the data needed (interpretation, integration and codification)</td>
</tr>
<tr>
<td>b) up-to-date tariff and commercial legislation applicable at Community level (mainly via the DDS)</td>
</tr>
<tr>
<td>c) operational TARIC-RO on 01.11.2009</td>
</tr>
<tr>
<td><strong>QUOTA 2:</strong></td>
</tr>
<tr>
<td>a) management of “first come – first served” (FCFS) tariff quotas</td>
</tr>
<tr>
<td>b) up-to-date situation (balance) of the FCFS tariff quotas (via DDS).</td>
</tr>
<tr>
<td>c) Operational QUOTA 2 on 01.12.2008</td>
</tr>
<tr>
<td><strong>ECICS 2:</strong></td>
</tr>
<tr>
<td>a) consistent and harmonised classification of chemical products in the EU</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Sources of Verification</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Regular Customs Administrative and Operational Capacity Monitoring Reports within the SMSC2, SMSC3 &amp; SMSC4</td>
</tr>
<tr>
<td>Reports to the EU DG TAXUD specific services referring the IT system performances</td>
</tr>
<tr>
<td>DG TAXUD technical interoperability reports referring the Romanian Customs readiness for the implementation of the 'e-customs' principles</td>
</tr>
<tr>
<td>The monthly progress reports and the quarterly and final reports elaborated within the projects related services contracts</td>
</tr>
<tr>
<td>Interim evaluations of EC</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th><strong>Assumptions</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td>Government maintains consistent policy viz. the Customs Authority, in line with the accession to EU requirements. National legislation, compliant with the EU legislation and best practice, enacted</td>
</tr>
</tbody>
</table>
Component 2 - “Improving the competencies for the customs staff both at central and territorial level”

To consolidate and develop a complete and unitary customs training system by improving the ability of customs staff to perform its tasks after enlargement, taking into account the EU standards for civil servants specific career.

| b) Customs authorities able to identify chemical products,  
c) System updated for improving its performance.  
Operational updated Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level.  

NCTS Security and Safety Aspects:  
Additional features arising from the security amendment of the Customs Code and its implementing provisions, incorporated into existing NCTS.  

NCTS/TIR  
a) Full control of the European TIR movements  
b) Termination /discharge of TIR operations facilitated through replacing the return of Voucher No 2 with sending of NCTS messages  

Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”

| Complete and unitary training system able to ensure:  
a) Uniform and correct application of the customs legislation at central and territorial level  
b) Training packages adapted to the NCA’s new structure in line with the EU standards  
c) Ability and competency of customs staff to perform its tasks after enlargement, enhanced  
d) Impact of the training programmes evaluated  
e) Trainers performances evaluated  

To assist the NCA with the taking over of the excise management activity, having in view the following steps:

- fiscal surveillance of warehouses
- authorising the fiscal warehouses, the fiscal warehouse keepers and other categories of trade agents which are authorised according to the Fiscal Code
- taking over of the Excise Liaison Office (ELO) - SEED
- management of the accompanying administrative document (AAD)
- fiscal inspection

### Objectively verifiable indicators

<table>
<thead>
<tr>
<th>Operational and administrative capacity of NCA strengthened and able to manage the excise activity for:</th>
</tr>
</thead>
<tbody>
<tr>
<td>a) Fiscal surveillance of warehouses</td>
</tr>
<tr>
<td>b) Authorising the fiscal warehouses, the fiscal warehouse keepers and other categories of trade agents which are authorised according to the Fiscal Code</td>
</tr>
<tr>
<td>c) Excise Liaison Office (ELO) – SEED</td>
</tr>
<tr>
<td>d) Management of the accompanying administrative document (AAD)</td>
</tr>
<tr>
<td>e) Fiscal inspection</td>
</tr>
</tbody>
</table>

### Sources of Verification

- Technical Reports of the DG TAXUD specific services referring the IT system performances
- DG TAXUD technical interoperability reports referring the Romanian Customs readiness for the implementation of the e-customs principles
- Help desk statistics
- NCA Orders enacted for approval of the working methodology and procedures.
- The monthly progress reports and the quarterly and final reports elaborated within the projects related services contracts
- Regular Customs Administrative and Operational Capacity Monitoring Reports, within the SMSC3
- Report of DG TAXUD specific

### Assumptions

- Full commitment of the parties involved
- Required professional level for the appointed staff
- Timely and adequate resources available (organisation, human and financial)
- Necessary IT & communication infrastructure operational
- Timely and adequate technical information and assistance provided by the Commission (DG TAXUD).
requirements and with requirements of the CCN/CSI environment;

6. TARIC-RO, Tariff related systems, CDPS, Calculating module with the newly updated/developed TARIC 3 Quota 2, ECICS 2, EBTI 3, etc deployed, implemented and fully operational on 01.11.2009 for TARIC 3, 01.12.2008 for Quota 2;

7. Customs staff (50 customs officers and 20 IT personnel) selected, trained and evaluated as trainers;

8. Corresponding manuals for the customs staff and traders produced and disseminated;

9. Five (5) sessions of conference organised for the traders involved in the customs operations;

10. TARIC HelpDesk personnel trained and evaluated.

1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level”

1. RCDPS updated/developed and interfaced with Summary Declaration Component, Control Management Component and Security Risk Assessment Component;

6. Operational TARIC-RO, Tariff related systems, CDPS, Calculating module with the newly updated/developed TARIC 3 Quota 2, ECICS 2, EBTI 3, on 01.11.2009 for TARIC-RO and respectively on 01.12.2008 for Quota 2;

7.1. Training programmes approved

7.2. Fifty (50) customs officers and twenty (20) IT personnel trained and not less than 90% “very good” marks

7.3. Not less than 90% degree of keeping the position & responsibilities by the trained personnel


9. Five (5) conferences dedicated to the traders’ representatives, in order to ensure the preparedness of the end users to use the new systems

10.1. Eight (8) persons of TARIC HelpDesk personnel trained and evaluated and not less than 90% percentage of “very good” marks

10.2. Not less than 90% degree of keeping the position & responsibilities by the trained personnel

1.1. Operational RCDPS, updated in accordance with the requirements of the AIS at the national level and interfaced with Summary Declaration Component, Control Management Component and Security Risk

services on the readiness of ICIS to implement the MASP requirements through the development and implementation of the national modules

The results of the conformity acceptance tests addressed by DG TAXUD

Interim evaluations of EC
2. RCDPS piloted in order to cover the interactions with Summary Declaration Component, Control Management Component and Security Risk Assessment Component and tests successfully passed as to cover the common functionalities with the respective components;

3. Corresponding manuals elaborated and disseminated for the NCA staff (e.g. Installation Software Manual, Users Manual, System Administrator Manual);

4. Customs staff (20 customs officers and 10 IT personnel), trained and evaluated as trainers;

5. Two (2) conferences organised for the Trade Community representatives participating to import operations, in order to ensure the preparedness of the end users to use the new system;

6. AIS HelpDesk, three level consolidated to solve the occurred problems during the system running.

3. “Development and implementation of the NCTS and Safety Aspects and of the NCTS/TIR at the level of customs offices”

A. NCTS/TIR

1. NCTS/TIR developed in conformity with DGTAXUD functional and technical specifications,

2. NCTS/TIR tested and integrated into Romanian NCTS;

Assessment Component

1.2. All customs offices using the new updated RCDPS

2.1. All Technical tests 100% fulfilled successfully

2.2. All Conformity functional tests 100% fulfilled successfully


4.1. Twenty (20) customs officers and ten (10) IT personnel trained and not less than 90% “very good” marks

4.2. Not less than 90% Degree of keeping the position & responsibilities by the trained personnel

5. Two (2) conferences dedicated to the traders’ representatives, in order to ensure the preparedness of the end users to use the new systems

6.1. Eight (8) persons of HelpDesk personnel trained and evaluated and not less than 90% percentage of “very good” marks

6.2. Not less than 90% degree of keeping the position & responsibilities by the trained personnel

1.1. NCTS /TIR specific component developed
3. Romanian NCTS with the developed NCTS/TIR functionalities, implemented and fully operational on 01.07.2008 at the level of NCTS customs offices;

4. Customs staff (40 customs officers and 20 IT personnel) selected, trained and evaluated as trainers;

5. Corresponding manuals for the customs staff and traders produced and disseminated;

6. Two (2) conference sessions organised for the participants to the transit operations;

7. NCTS Helpdesk personnel trained and evaluated.

B. NCTS – Security and Safety Aspects

1. NCTS – Security and Safety Aspects, developed in conformity with DG TAXUD functional and technical specifications, integrated into Romanian NCTS;

2. NCTS – Security and Safety Aspects Mode 0 Tested in order to cover all functionalities related to paperless transit document, including guarantee, and inquiry procedure;

3. NCTS – Security and Safety Aspects Mode 1, Pre-Conformance and Actual Conformance Tested in accordance with DG TAXUD testing

2.1. Functional and technical tests 100% fulfilled successfully

3. Fully Operational Romanian NCTS with the developed NCTS/TIR functionalities on 1 July 2009 at the level of NCTS customs offices

4.1. Forty (40) officers trained and and not less than 90% percentage of “very good” marks

4.2. Twenty (20) IT administrators trained and and not less than 90% percentage of “very good” marks

4.3. Not less than 90% degree of keeping the position & responsibilities by the trained personnel

5. One (1) set of 4 manuals (for IT administrator/installation/configuration, end-user guide, technical HelpDesk Guide and Functional HelpDesk Guide) approved

6. Two (2) sessions of conference dedicated to the trade representatives

7.1. Six (6) customs staff trained for help desk and not less than 90% percentage of “very good” marks

7.2. Not less than 90% degree of keeping the position & responsibilities by the trained personnel

1.1. Technical tests fulfilled 100% successfully

1.2. Degree of 100% conformity with the DG TAXUD functional and technical specifications

2.1. Conformity functional tests 100% fulfilled successfully

2.2. DG TAXUD authentication of the tests results

3.1. Conformity tests 100% fulfilled successfully through CCN/CSI
3.2. DG TAXUD authentication of the tests results

4. All NCTS customs offices using the new NCTS Security & Safety Aspects

4.1. Thirty (30) customs officers customs officers trained and not less than 90% percentage of “very good” marks;

4.2. Ten (10) IT personnel IT administrators trained and not less than 90% percentage of “very good” marks

5.3. Not less than 90% degree of keeping the position & responsibilities by the trained personnel

6.1. Related manuals approved

6.2. One (1) set of 4 manuals (for IT administrator/installation/configuration, end-user guide, technical HelpDesk Guide and Functional HelpDesk Guide) approved

7. Two (2) conference sessions dedicated to the trade representatives

8.1. Six (6) persons of HelpDesk personnel trained and evaluated and not less than 90% percentage of “very good” marks

8.2. Not less than 90% degree of keeping the position & responsibilities by the trained personnel.

### Component 2 – “Improving the competencies for the customs and financial guard staff both at central and territorial level”

<table>
<thead>
<tr>
<th>1. Training packages adapted to the NCA’s new structure designed and delivered</th>
<th>Training materials and curricula.</th>
</tr>
</thead>
<tbody>
<tr>
<td>1.1. Training programmes adapted 100% to the new organisation structure approved and integrated into the NCA training strategy</td>
<td>NCA Orders enacted for approval of the working methodology and procedures</td>
</tr>
<tr>
<td>1.2. Related training documents 100% approved</td>
<td>Project deliverables</td>
</tr>
<tr>
<td>1.3. Training packages 100% adapted to the new</td>
<td>The monthly progress reports and the quarterly and final reports</td>
</tr>
<tr>
<td></td>
<td>Timely and adequate resources available</td>
</tr>
<tr>
<td>2.</td>
<td>A programme for multiplying the effects of the &quot;core&quot; training designed and approved</td>
</tr>
<tr>
<td>------</td>
<td>----------------------------------------------------------------------------------</td>
</tr>
<tr>
<td>3.</td>
<td>3 Workshops organised for high, middle management and executive level in the field of managing the processes of changes (50 persons)</td>
</tr>
<tr>
<td>4.</td>
<td>40 Managers prepared in the field of identifying individual and group training and development needs</td>
</tr>
<tr>
<td>5.</td>
<td>A post-implementation programme designed and integrated into the customs training system, for evaluating the impact of the training programme already delivered</td>
</tr>
<tr>
<td>6.</td>
<td>Preparedness achieved on the benefits of regular updated training to the customs officers as a solid base for future progression</td>
</tr>
<tr>
<td>7.</td>
<td>E-learning system re-launched and up-dated</td>
</tr>
<tr>
<td></td>
<td>organisation structure approved and integrated into the NCA training strategy</td>
</tr>
<tr>
<td></td>
<td>Planning of the cascade training approved and integrated into the NCA training plan in the 1st training year after the twinning completion</td>
</tr>
<tr>
<td></td>
<td>3.1. Twelve (12) persons of high level management staff participated in workshop by the end of the project</td>
</tr>
<tr>
<td></td>
<td>3.2. Twelve (12) persons of middle level management staff participated in workshop by the end of the project</td>
</tr>
<tr>
<td></td>
<td>3.3. Twenty-six (26) persons of executive level participated in workshop by the end of the project</td>
</tr>
<tr>
<td></td>
<td>3.4. Not less than 90% of &quot;very good&quot; mark for managers and executive level staff participating in workshops</td>
</tr>
<tr>
<td></td>
<td>4. Forty (40) managers getting preparedness in identifying individual and group training and development need by the end of the project</td>
</tr>
<tr>
<td></td>
<td>5.1. Post-implementation programme to evaluate the training impact approved and integrated into the customs training system</td>
</tr>
<tr>
<td></td>
<td>5.2. Not less than 90% degree of keeping the position &amp; responsibilities by the trained personnel</td>
</tr>
<tr>
<td></td>
<td>6.1. Three (3) workshops (5 days each) organised by the end of the project for career development, dedicated to the customs personnel having between 2 and 10 years working experience (15 persons for each seminar)</td>
</tr>
<tr>
<td></td>
<td>6.2. Increased number of fields covered by individual training</td>
</tr>
<tr>
<td></td>
<td>7.1 Operational re-launched and updated e-learning system by the end of the project</td>
</tr>
<tr>
<td></td>
<td>7.2. No of training documents delivered and included in the customs e-learning platform (minimum 5 fields of activity by the end of the project)</td>
</tr>
<tr>
<td></td>
<td>7.3 One (1) workshop (5 days) organised for IT staff</td>
</tr>
<tr>
<td></td>
<td>elaborated within the projects related services contracts</td>
</tr>
<tr>
<td></td>
<td>Regular Customs Administrative and Operational Capacity Monitoring Reports, within the SMSC3</td>
</tr>
<tr>
<td></td>
<td>Interim evaluations of EC</td>
</tr>
<tr>
<td></td>
<td>(organisation, human and financial)</td>
</tr>
<tr>
<td></td>
<td>Commitment of the customs staff to improve their performances.</td>
</tr>
<tr>
<td>8. Standards elaborated for evaluating the trainers performances and the efficiency of training activities and integrated into the customs training system</td>
<td></td>
</tr>
<tr>
<td>---</td>
<td></td>
</tr>
<tr>
<td>7.4 Two (2) workshops (5 days each) organised by the end of the project for staff involved in e-learning system’s administration, namely for the staff from the customs administration training departments/ compartments in charge of the management of the platform content (15 persons for each workshop)</td>
<td></td>
</tr>
<tr>
<td>8. Standards for trainers evaluation approved and integrated into the customs training strategy by the end of the project</td>
<td></td>
</tr>
<tr>
<td>8.2. Not less than 90% of trainers evaluated as compliant with the standards (the “compliant with the standards” being considered the trainer evaluated with minimum “satisfactory” as mark)</td>
<td></td>
</tr>
<tr>
<td>8.3. Not less than 90% degree of keeping the position &amp; responsibilities by the trainers</td>
<td></td>
</tr>
<tr>
<td>9. Methodology for selecting and train the trainers, elaborated and integrated into the customs training system</td>
<td></td>
</tr>
<tr>
<td>9.1. Methodology for selecting the trainers approved and integrated into the NCA’s training strategy by the end of the project</td>
<td></td>
</tr>
<tr>
<td>9.2. Not less than 90% degree of covering the necessary staff as trainers from the customs system</td>
<td></td>
</tr>
<tr>
<td>9.3. Planning of the train the trainers sessions approved for each customs specific function and integrated into the customs training plan</td>
<td></td>
</tr>
</tbody>
</table>

Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”

1. Amendment proposals related to the specific legislation (level 2 and 3) adapted to the customs specific environment, approved in relation with the transfer of excise management to the NCA;

1. Proposed amendments approved and put in place at the level of NCA, as complimentary legal base for the excise management
2. Proposals for Fiscal Code updating procedures, applied on a biannual basis, related to the excise field, in accordance with the specific procedures applied at the level of Ministry of Public Finance;

3. Proposal for adjustment of the organizational chart, information flow, methodologies and working procedures, approved;

4. Proposal for adjustment of the attributions and responsibilities approved for the specific structures in the field, at central and territorial level (including the authorisation of the economic agents);

5. A complete organizational chart for the ELO, and the subordinated units, which has to ensure the exchange of information;

6. Methodological and working procedures for ELO and for authorized units adapted to the customs environment;

7. Procedures regarding the internal and international jurisprudence (appeals) in the excise field, designed and approved;

8. Action plans referring to specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase I);

9. Action plans referring to specific activities and responsibilities related to the transfer to the NCA of the IT components related to the excise management and interoperability;

10. Action plans referring to specific activities and responsibilities related to the transfer of excise management to the NCA (phase II);

2.1. Proposals for FC updating procedures enacted by the MoPF and NCA experts approved and put in place

3.1. Organisation chart, information flow, methodologies and working procedures approved and put in place

4.1. Rules of organisation and functions approved for the reorganised structures approved and in current use;

4.2. About 300 of customs staff assigned to the reorganised structures;

5.1. Organizational chart for the ELO, and the subordinated units, approved, integrated into the NCA organisational chart and put in place;

6.1. Methodological and working procedures for ELO and for authorized units approved and in current use;

7.1. Procedures regarding the internal and international jurisprudence approved and in current use;

8.1. Action plan referring the phase I of the transfer approved and integrated into the NCA’s Development Strategy;

9.1. Action plan referring the transfer of the IT components related to the excise management approved and integrated into the NCA’s Development Strategy;

10.1. Action plan referring the phase II of the transfer approved integrated into the NCA’s Development Strategy;
<table>
<thead>
<tr>
<th>11. Training programmes approved for train the trainers, dedicated to the field of the specific legislation and best practice in surveillance of the excisable goods movement, targeted to the customs personnel;</th>
</tr>
</thead>
<tbody>
<tr>
<td>12. Thirty (30) customs staff trained as trainers based on the approved training for trainers programme;</td>
</tr>
<tr>
<td>13. Two (2) sessions of conference for the representatives of the Trade Community referring to their responsibilities and rights in excise field;</td>
</tr>
<tr>
<td>14. Annotations and requests for clarification, received from the representatives of the Trade Community in order to improve the excise management by NCA, evaluated and solved.</td>
</tr>
<tr>
<td>15. Draft of terms of reference and technical specifications referring to the related investments and technical assistance projects foreseen to continue the strengthening of the excise management;</td>
</tr>
<tr>
<td>16. Evaluation study regarding the global appropriateness of the NCA for managing the specific aspects of the excise domain.</td>
</tr>
<tr>
<td>11.1. Training programmes targeted to the customs personnel approved and integrated into the NCA training strategy by the end of the project;</td>
</tr>
<tr>
<td>11.2. Training programmes applied for the training for trainers and integrated into the NCA training strategy by the end of the project;</td>
</tr>
<tr>
<td>12.1. Thirty (30) of customs staff trained as trainers;</td>
</tr>
<tr>
<td>13.1. Two (2) sessions of conference for the Trade Community organised;</td>
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<td>14.1. All annotations and requests for clarification, received from the representatives of the Trade Community in order to improve the excise management by NCA, 100% evaluated and solved;</td>
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<td>15.1. Terms of reference referring the related technical assistance project foreseen drafted and approved by NCA;</td>
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<tr>
<td>15.2. Technical specifications referring the related investments project foreseen drafted and approved by NCA;</td>
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<tr>
<td>16.1. Evaluation study regarding the global appropriateness of the NCA for managing the specific aspects of the excise domain approved by NCA</td>
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<td>Activities</td>
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**Component 1 - "Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project"**

**1.1. "Continuing the development of IT system related to the interconnectivity with the EU systems"**

1. Updating/development of the TARIC-RO, existing Tariff related systems in accordance with DG TAXUD technical and functional specification relating to TARIC 3, Quota 2, ECICS 2, EBTI 3, etc., interfacing between them and interfacing with CDPS and others ICIS components;

2. Updating/development of the TARIC-RO, Tariff related systems, CDPS databases in conformity with of the TARIC 3, Quota 2, ECICS 2, EBTI 3, etc., databases structure;

3. Updating/development of the Calculating module and its integration into updated TARIC-RO and CDPS;

4. Testing of the updated TARIC-RO, updated Tariff related systems, of the interfaces between them and the interface with CDPS;

5. Conformity updated TARIC-RO, updated Tariff related systems Testing in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment;

6. Implementation of the updated/developed TARIC-RO, Tariff related systems, CDPS, Calculating module, deployment, and fully operational on **01.11.2009** for TARIC 3, **01.12.2008** for Quota 2;

7. Design and delivery of Train the trainer

| 16 months Technical Assistance Contract | | |
programme for customs staff (50 customs officers and 20 IT personnel) and evaluation of the trained personnel;

8. Elaboration of the corresponding manuals for the customs staff and traders involved in the customs operations;

9. Organization of 2 (two) conference sessions targeted for the traders involved in the customs operations;

10. Delivery of special training for the TARIC HelpDesk personnel and evaluation.

1.2. "Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level":

1. Updating the RCDPS with the new functionalities and improve the existing features in order to cover the National Domain functionalities related to:
   - interaction of the RCDPS with Summary Declaration Component, Control Management Component and Security Risk Assessment Component
   - consolidation of summary declaration data and extraction from the Common Domain in National Domain
   - consolidation of control and risk assessment data
   - performing automated risk assessment
   - communicate control decision and control result to relevant parties
   - development of the external message communication component between National Domain and External Domain, other domains taking into account the requirements for standardisation (messages, procedures, protocols)

2. Creation of a pilot and testing the updated
RCDPS and the interactions with the Summary Declaration Component, Control Management Component and Security Risk Assessment Component.


4. Design and delivery of the training program for customs officers and IT specialists (20 customs officers and 10 IT personnel)

5. Organisation of 2 (two) conferences sessions for the Trade Community representatives involved in import operations, in order to ensure the preparedness of the end users to use the new system.

6. Implementation of the AIS HelpDesk, three levels consolidated to solve the occurred problems during the system running.

1.3. “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices”

A. NCTS/TIR

1. Development of the NCTS/TIR in conformity with DGTAXUD functional and technical specifications;

2. Testing of NCTS/TIR and its integration into Romanian NCTS;

3. Implementation of Romanian NCTS with the newly developed NCTS/TIR, fully operational at the level of NCTS customs offices on 01.07.2008;

4. Design and delivery of Train-the-trainers programme for customs staff (40 customs officers and 20 IT personnel) and evaluation of the trained personnel;

5. Elaboration of corresponding manuals for the
6. Organization of 2 (two) conference sessions targeted to the participants to the customs operations;
7. Delivery of training for the NCTS HelpDesk personnel and evaluation.

B. NCTS – Security and Safety
2. Testing of NCTS – Security and Safety Aspects in order to cover all functionalities related to paperless transit document, including guarantee and enquire procedure;
3. Conformance Testing of NCTS – Security and Safety aspects in accordance with DGTAXUD testing requirements and with requirements of the CCN/CSI environment;
4. Implementation of Romanian NCTS with the newly developed Security and Safety Aspects, fully operational at the level of NCTS customs offices on 01.07.2009;
5. Design and delivery of Train the trainer programme for customs staff (30 customs officers and 10 IT personnel) and evaluation of the trained personnel;
6. Elaboration of the corresponding manuals for the customs staff and traders;
7. Organization of 2 (two) conference sessions targeted for the participants involved into the transit operations;
8. Delivery of training for the NCTS HelpDesk personnel and evaluation.

Component 2 – “Improving the competencies for the
custom staff both at central and territorial level.

The following activities are related to the result 1:

1.1 Assessment of the existing customs training system;
1.2. Identification of the training needs in order to ensure a uniform and unitary customs training system, both at central level and regional level;
1.3. Designing and delivering of training packages adapted to the NCA’s new structure and responsibilities (excises, own resources, customs debt, e-customs etc);
2. Designing of a programme in order to multiply the effects of the “core” training (cascade training);
3. Organisation of 3 workshops for selected target group of high, middle managers and executive level in the field of managing the process of changes (50 persons);
4. Organisation of 3 workshops for 40 selected managers on identifying individual and group training and development needs;
5. Designing a programme for evaluating the impact of the training programme already delivered and its integration into the customs training system;
6. Achieving the preparedness on the benefits of regular updated training to the customs officers as a solid base for future progression; in this respect 3 workshops will be organised by the end of the project for career development, dedicated to the customs personnel having between 2 and 10 years working experience.

The following activities are related to the result 7:

7.1. 3 Workshops for staff involved in e-learning system’s administration (for IT and training department/compartment staff);
7.2. Delivering and loading of new training materials and new modules on e-learning platform;
8. Establishing standards for evaluating the trainers performances and the efficiency of training activities and its integration into the customs training system;
9. Elaboration of methodology for selecting and train

12 months Twinning contract
the trainers and its integration into the customs training system.

**Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority”**

1. Amendment of the specific legislation (level 2 and 3) in order to adapt the existing provisions to the customs specific environment, in relation with the transfer of excise management to the NCA;

2. Improvement of the Fiscal Code updating procedures, applied on biannual basis, related to the excise field, and the synchronisation with the specific procedures applied at the level of Ministry of Public Finance;

3. Evaluation of the current situation and to recommend improvements in the organizational chart, the information flow, the methodologies and working procedures;

4. Evaluation of the current situation and to recommend improvements in the attributions and responsibilities for the specific structures in the field, at central and territorial level (including the authorisation of the economic agents);

5. Evaluation of the current situation and to recommend strengthening the operative capacity of ELO, and the subordinated units, in the field of excise;

6. Evaluation of the current situation and make recommendations to:
   - improve the methodologies and working procedures for ELO and for authorized units
   - adapt them to the customs environment;

7. Evaluation of the current situation and make recommendations to improve the procedures.
regarding the internal and international jurisprudence (appeals) in the excise field;

8. Elaboration of the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase I);

9. Elaboration of the action plans referring to the specific activities and responsibilities related to the transfer to the NCA of the IT components related to the excise management and interoperability;

10. Elaboration the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase II);

11. Development of the training programmes for train the trainers, dedicated to the field of the specific legislation and best practice in surveillance of the excisable goods movement, targeted to the customs personnel;

12. Delivery of a training for trainers 30 customs staff based on the approved training for trainers programme;

13. Organisation and carrying on of 2 sessions of conference targeted to the representatives of the Trade Community, in order to inform them about all new procedures which are referring to their activity in excise field;

14. Evaluation of the annotations and requests for clarification received from the representatives of the Trade Community in order to improve the excise management by NCA.

15. Elaboration of the draft of terms of reference and technical specifications referring the related investments and technical assistance projects foreseen to continue the strengthening of the
16. Evaluation of the NCA appropriateness for managing the specific aspects of the excise management and elaboration of the related study.
## ANNEX 2 – DETAILED TIME IMPLEMENTATION CHART

<table>
<thead>
<tr>
<th>Calendar months</th>
<th>2007</th>
<th>2008</th>
<th>2009</th>
<th>2010</th>
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<tbody>
<tr>
<td>Component I - “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”</td>
<td>D D D D D D C C C C I I I I I I I</td>
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<td>1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level”</td>
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D = Design
C = Contracting
I = Implementation
ANNEX 3 - CUMULATIVE CONTRACTING AND DISBURSEMENT SCHEDULE

1. Component 1 – “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project” – 2.60 M€uro

1.1. “Continuing the development of IT system related to the interconnectivity with the EU systems” - 1.20 M€uro

<table>
<thead>
<tr>
<th>Annex 3 a – Cumulative Contracting Schedule</th>
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<tbody>
<tr>
<td>CONTRACTED Technical Assistance</td>
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NB: All contracting should normally be completed within 6-12 months and must be completed within 24 months of signature of the FA.

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<thead>
<tr>
<th>Annex 3 b – Cumulative Disbursement Schedule</th>
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NB: All disbursements must be completed within 36 months of signature of the FA.

1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level” – 0.70 M€uro

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<th>Annex 3 a – Cumulative Contracting Schedule</th>
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<td>CONTRACTED Technical Assistance</td>
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1.3. “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices” – 0.70 MEuro

Annex 3 a – Cumulative Contracting Schedule

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NB: All disbursements must be completed within 36 months of signature of the FA.

2. Component 2 – “Improving the competencies for the custom staff both at central and territorial level” – 0.80 MEuro

Annex 3 a – Cumulative Contracting Schedule

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NB: All disbursements must be completed within 36 months of signature of the FA.
3. Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority” - 0.80 MEuro

Annex 3 a – Cumulative Contracting Schedule

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| NB: All disbursements must be completed within 36 months of signature of the FA.
ANNEX 4 - REFERENCE LIST OF RELEVANT LAWS AND REGULATIONS

Commission’s Communication to the Council, the European Parliament and the European Economic and Social Committee on the creation of a more simple and paperless environment for customs of 24 April 2003 - [COM(2003) 452 final - Official Journal C 96/2004];

Council Resolution of December 5, 2003 for endorsing the Communication by the Commission on a simple and paperless environment for customs and trade, OJ No C 305/2003;

Commission’s proposal to the Council and the European Parliament for a Decision on the implementation of a paperless environment for customs and trade (Electronic Customs Decision), COM(2005) 609;

Electronic Customs Multi-annual Strategic Plan (MASP) of the Commission, Working document TAXUD/477/2004 Rev.7;


Government’s Decision no.1.552 of 01.11.2006 concerning the reorganisation and functioning of the National Customs Authority. O.J. Part I no.207 of 08.11.2006;


Council Directive 95/59/EC of 27 November 1995 on taxes other than turnover taxes which affect the consumption of manufactured tobacco (OJ L 291, 06.12.1995);


Modified through:


Law no.343/2006 for the modification and approval of Law no.571/2003 concerning the Fiscal Code (Official Journal of Romania no.662/01.08.2006)


Government Decision no.44/2004 for the approval of the Methodological Norms for applying the Fiscal Code (Official Journal of Romania no.112 of 06.02.2004)

Modified through:

Government Decision no.84/2005 for the modification and completion of the Methodological Norms for applying the Fiscal Code (Official Journal of Romania no.147/18.02.2005)

Government Decision no.610/2005 for the modification and completion of the Methodological Norms for applying the Fiscal Code (Official Journal of Romania no.147/18.02.2005)

Government Decision no.580/2006 for the modification and completion of the Methodological Norms for applying the Fiscal Code (Official Journal of Romania no.400 of 09.05.2006)


Modified through:

Government Decision no.710/1996 concerning the country entering, exit and transit of excised goods (Official Journal of Romania no.200 of 27.08.1996)

Modified through

Government Decision no.533/2005 for the completion of the annex to the Government Decision no.710/1996 concerning the country entering, exit and transit of excised goods (Official Journal of Romania no.521 of 20.06.2005)

Government Decision no.697/2006 for the completion of the annex to the Government Decision no.710/1996 concerning the country entering, exit and transit of excised goods (Official Journal of Romania no.486 of 05.06.2006)

Government Decision no.977/2004 for increasing the level of the excises for certain products (Official Journal of Romanian no.570 of 29.06.2004);

Order of the NCA no.217/2004 concerning the completion of the list of the customs office through which the importation of gasoline and Diesel fuel is permitted;

Order of the Minister of Public Finance no.1939/2006 for the approval of the procedure of compensating the fiscal debts resulted out of the customs judicial and of restitution of the possible differences, as following to the request for compensation/restitution lodged by the contributor owning the customs operation. (Official Journal of Romania no.936/20.11.2006).
### ANNEX 5 - LESSONS LEARNT FROM PREVIOUS YEARS

<table>
<thead>
<tr>
<th>Identified Gaps or Recommended courses of intervention</th>
<th>Action for covering the Gap or implement the recommended intervention</th>
<th>Phare Programming (Project Reference) 2004-2006</th>
<th>Transition Facility</th>
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<tbody>
<tr>
<td>May 2006 Comprehensive Monitoring Report Chapter 25</td>
<td>Development and implementation of the national IT modules to ensure the compatibility with the EU IT system associated to the 'paperless' principles (MASP)</td>
<td>RO04/IB/FI-07 “Assistance to the NCA for the improvement of Human Resources policies including training and ethics”.</td>
<td>Improving the operational and administrative capacity of the customs authority through the components:</td>
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<tr>
<td>Romania must maintain the pace of progress in preparing the remaining IT systems for interconnectivity upon accession.</td>
<td>Improving the competencies for the custom staff both at central and territorial level</td>
<td>Increasing the integration level of new IT and communication systems – TA and Supply under 2005 and 2006 Phare Programme</td>
<td>Component 1 – “Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project”</td>
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<td>Training at all levels within the customs administration should continue.</td>
<td>Taking over of the excise management activity</td>
<td>Development and implementation of the national control export system (ECS) – TA under Services 2005 Phare Programme</td>
<td>1.1. – “Continuing the development of IT system related to the interconnectivity with the EU systems” (technical assistance)</td>
</tr>
<tr>
<td>Romania should continue increasing its efforts to strengthen the collection and control capacity of the tax administration, with particular regard to excise duties and direct taxation.</td>
<td></td>
<td>Update of the Romanian Customs Declaration Processing system in accordance with the Commission Regulation (EC) No. 2286/2003 – Framework Phare 2004</td>
<td>1.2 – “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level” (technical assistance)</td>
</tr>
<tr>
<td></td>
<td></td>
<td>No previous PHARE assistance was granted in the field of excise duties exclusively.</td>
<td>1.3. – “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices” (technical assistance)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Component 2 - “Improving the competencies for the customs staff both at central and territorial level” (twinning)</td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td>Component 3 – “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority” (twinning)</td>
</tr>
</tbody>
</table>
ANNEX 6 – BUDGET BREAKDOWN ESTIMATION

1. Budget breakdown estimation for Component 1 – "Development of the ICIS in compliance to the EU/DG TAXUD IT Strategy in respect of the E-Customs Project" – 1.90 MEuro

1.1 "Continuing the development of IT system related to the interconnectivity with the EU systems" (technical assistance) – 1.20 MEuro

<table>
<thead>
<tr>
<th>Activities</th>
<th>Estimated cost per activity (Euro)</th>
<th>Expert 1 - Team Leader (cat. II)</th>
<th>Expert 2 - Customs Expert (cat. II)</th>
<th>Expert 3 - IT Expert (cat. II)</th>
<th>Expert 4 - IT Expert (cat. II)</th>
<th>Expert 5 - Training Expert (cat. II)</th>
<th>Other Experts</th>
<th>Total man day per activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project management</td>
<td>210 000</td>
<td>300</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>300</td>
</tr>
<tr>
<td>Reports elaboration</td>
<td>99 400</td>
<td>52</td>
<td>25</td>
<td>25</td>
<td>20</td>
<td>20</td>
<td>0</td>
<td>142</td>
</tr>
<tr>
<td>1. Updating/development of the TARIC-RO, existing Tariff related systems in accordance with DG TAXUD technical and functional specification relating to TARIC 3, Quota 2, ECICS 2, EBTI 3, etc, interfacing between them and interfacing with CDPS and others ICIS components</td>
<td>91 000</td>
<td>0</td>
<td>30</td>
<td>50</td>
<td>20</td>
<td>0</td>
<td>30</td>
<td>130</td>
</tr>
<tr>
<td>2. Updating/development of the TARIC-RO, Tariff related systems, CDPS databases in conformity with of the TARIC 3, Quota 2, ECICS 2, EBTI 3, etc, databases structure</td>
<td>140 000</td>
<td>0</td>
<td>30</td>
<td>50</td>
<td>40</td>
<td>0</td>
<td>80</td>
<td>200</td>
</tr>
<tr>
<td>3. Updating/development of the Calculating module and its integration into updated TARIC-RO and CDPS</td>
<td>105 000</td>
<td>0</td>
<td>20</td>
<td>35</td>
<td>35</td>
<td>0</td>
<td>60</td>
<td>150</td>
</tr>
<tr>
<td>4. Testing of the updated TARIC-RO, updated Tariff related systems, of the interfaces between them and the interface with CDPS</td>
<td>126 000</td>
<td>0</td>
<td>20</td>
<td>50</td>
<td>50</td>
<td>0</td>
<td>60</td>
<td>180</td>
</tr>
<tr>
<td>5. Conformity updated TARIC-RO, updated Tariff related systems Testing in accordance with DG TAXUD testing requirements and with requirements of the CCN/CSI environment</td>
<td>91 000</td>
<td>0</td>
<td>40</td>
<td>50</td>
<td>20</td>
<td>0</td>
<td>20</td>
<td>130</td>
</tr>
<tr>
<td>6. Implementation of the updated/developed TARIC-RO, Tariff related systems, CDPS, Calculating module, deployment, and fully operational</td>
<td>133 000</td>
<td>0</td>
<td>40</td>
<td>60</td>
<td>50</td>
<td>0</td>
<td>40</td>
<td>190</td>
</tr>
<tr>
<td>7. Training for IT administrators and customs staff (50 customs officers and 20 IT personnel)</td>
<td>70 000</td>
<td>0</td>
<td>20</td>
<td>10</td>
<td>10</td>
<td>60</td>
<td>0</td>
<td>100</td>
</tr>
</tbody>
</table>

25/11/2007
<table>
<thead>
<tr>
<th>Activities</th>
<th>Estimated cost per activity (Euro)</th>
<th>Expert 1 - Team Leader (cat. II)</th>
<th>Expert 2 - Customs Expert (cat. II)</th>
<th>Expert 3 - IT Expert (cat. II)</th>
<th>Expert 4 - IT Expert (cat. II)</th>
<th>Expert 5 - Training Expert (cat. II)</th>
<th>Other Experts</th>
<th>Total man day per activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>8. Conference sessions for Trade Community</td>
<td>24 500</td>
<td>0</td>
<td>20</td>
<td>5</td>
<td>0</td>
<td>10</td>
<td>0</td>
<td>35</td>
</tr>
<tr>
<td>9 Elaboration of corresponding manuals for the customs staff and traders</td>
<td>63 000</td>
<td>0</td>
<td>20</td>
<td>10</td>
<td>0</td>
<td>60</td>
<td>0</td>
<td>90</td>
</tr>
<tr>
<td>10. Delivery of special training for the TARIC HelpDesk personnel and evaluation</td>
<td>42 000</td>
<td>0</td>
<td>10</td>
<td>0</td>
<td>10</td>
<td>40</td>
<td>0</td>
<td>60</td>
</tr>
<tr>
<td><strong>Total 1787 man days</strong></td>
<td><strong>1 194 900</strong></td>
<td><strong>352</strong></td>
<td><strong>275</strong></td>
<td><strong>345</strong></td>
<td><strong>255</strong></td>
<td><strong>190</strong></td>
<td><strong>290</strong></td>
<td><strong>1 707</strong></td>
</tr>
</tbody>
</table>

**Total activities: about 1,195,000 Euro (1707 man-days multiplied by about 700 Euro)**

The assignment requires the participation of 5 (five) key experts, respectively:
- Expert 1 – Team Leader (cat. II)
- Expert 2 – Customs Expert (cat. II)
- Expert 3 – IT Expert (cat. II)
- Expert 4 – IT Expert (cat. II)
- Expert 5 – Training Expert (cat. II)

Expenditure verification: about 5,000 Euro

**Total costs estimation = about 1,200,000 Euro**
1.2. “Updating the Romanian Customs Declaration Processing System (RCDPS) in accordance with the requirements of the AIS at the national level” (technical assistance) – 0.70 M€uro

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Project management</td>
<td>163 800</td>
<td>234</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>234</td>
</tr>
<tr>
<td>Reports elaboration</td>
<td>73 500</td>
<td>30</td>
<td>25</td>
<td>20</td>
<td>10</td>
<td>20</td>
<td>105</td>
</tr>
<tr>
<td>1. Update of the RCDPS with the new functionalities and improve the existing features in order to cover the National Domain functionalities related to the</td>
<td>196 000</td>
<td>0</td>
<td>100</td>
<td>110</td>
<td>70</td>
<td>0</td>
<td>280</td>
</tr>
<tr>
<td>Interaction of the RCDPS with Summary Declaration Component, Control Management Component and Security Risk Assessment Component</td>
<td>38 500</td>
<td>0</td>
<td>20</td>
<td>25</td>
<td>10</td>
<td>0</td>
<td>55</td>
</tr>
<tr>
<td>Consolidation of summary declaration data and extraction from the Common Domain in National Domain</td>
<td>28 000</td>
<td>0</td>
<td>15</td>
<td>15</td>
<td>10</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Consolidation of control and risk assessment data</td>
<td>28 000</td>
<td>0</td>
<td>15</td>
<td>15</td>
<td>10</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Performing automated risk assessment</td>
<td>28 000</td>
<td>0</td>
<td>15</td>
<td>15</td>
<td>10</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Communicate control decision and control result to relevant parties</td>
<td>28 000</td>
<td>0</td>
<td>15</td>
<td>15</td>
<td>10</td>
<td>0</td>
<td>40</td>
</tr>
<tr>
<td>Development of the external message communication component between National Domain and External Domain, other domains taking into account the requirements for standardization (messages, procedures, protocols)</td>
<td>45 500</td>
<td>0</td>
<td>20</td>
<td>25</td>
<td>20</td>
<td>0</td>
<td>65</td>
</tr>
<tr>
<td>2. Creation of a pilot and testing the updated RCDPS and the interactions with the Summary Declaration Component, Control Management Component and Security Risk Assessment Component</td>
<td>112 000</td>
<td>0</td>
<td>50</td>
<td>60</td>
<td>50</td>
<td>0</td>
<td>160</td>
</tr>
<tr>
<td>3. Elaboration of corresponding manuals for RNCA staff</td>
<td>49 000</td>
<td>0</td>
<td>20</td>
<td>10</td>
<td>0</td>
<td>40</td>
<td>70</td>
</tr>
<tr>
<td>4. Training for IT administrators and for customs staff (20 customs officers and 10 IT personnel)</td>
<td>45 500</td>
<td>0</td>
<td>15</td>
<td>5</td>
<td>5</td>
<td>40</td>
<td>65</td>
</tr>
</tbody>
</table>

29/11/2007
<table>
<thead>
<tr>
<th>Activities</th>
<th>Estimated cost per activity (Euro)</th>
<th>No. of man-days</th>
</tr>
</thead>
<tbody>
<tr>
<td>5. Conferences sessions for Trade Community (2 two)</td>
<td>20 300</td>
<td>Expert 1 – Team Leader (cat. II) 0</td>
</tr>
<tr>
<td>6. Implementation of AIS HelpDesk</td>
<td>35 000</td>
<td>264</td>
</tr>
<tr>
<td><strong>Total 993 man days</strong></td>
<td>695 100</td>
<td></td>
</tr>
</tbody>
</table>

Total activities: about 695,000 Euro (993 man-days multiplied by about 700 Euro)

The assignment requires the participation of 5 (five) key experts, respectively:
- Expert 1 – Team Leader (cat. II)
- Expert 2 – Customs Expert (cat. II)
- Expert 3 – IT Expert (cat. II)
- Expert 4 – IT Expert (cat. II)
- Expert 5 – Training Expert (cat. II)

Expenditure verification: about 5,000 Euro

Total costs estimation = about 700,000 Euro
1.3. “Development and implementation of the NCTS security and safety aspects and of the NCTS/TIR at the level of NCTS customs offices” – 0.70 MEuro

<table>
<thead>
<tr>
<th>Activities</th>
<th>Estimated cost per activity (Euro)</th>
<th>Expert 1 - Team Leader (cat. II)</th>
<th>Expert 2 - Customs Expert (cat. II)</th>
<th>Expert 3 - IT Expert (cat. II)</th>
<th>Expert 4 - IT Expert (cat. II)</th>
<th>Expert 5 - Training Expert (cat. II)</th>
<th>No. of man-days</th>
<th>Total man day per activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project management</td>
<td>210 000</td>
<td>300</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>300</td>
<td>300</td>
</tr>
<tr>
<td>Reports elaboration</td>
<td>67 900</td>
<td>52</td>
<td>20</td>
<td>20</td>
<td>0</td>
<td>5</td>
<td>97</td>
<td>97</td>
</tr>
</tbody>
</table>

**A. NCTS/TIR**

1. Development of the NCTS/TIR                                            | 38 500                             | 0                                 | 20                                 | 35                             | 0                              | 0                                   | 55             | 11                      |

2. Testing of NCTS/TIR and its integration into the Romanian NCTS           | 21 000                             | 0                                 | 10                                 | 20                             | 0                              | 0                                   | 30             | 10                      |

3. Implementation of the Romanian NCTS with the newly NCTS/TIR             | 28 000                             | 0                                 | 15                                 | 25                             | 0                              | 0                                   | 40             | 10                      |

4. Design and delivery of Train the Trainer programmes and evaluation of the trained personnel (40 customs officers and 20 IT personnel) | 17 500                             | 0                                 | 5                                  | 5                              | 0                              | 15                                  | 25             | 1                      |

5. Elaboration of corresponding manuals for the customs staff and traders   | 21 000                             | 0                                 | 10                                 | 5                              | 0                              | 15                                  | 30             | 1                        |

6. Conference sessions for Trade Community                                 | 14 000                             | 0                                 | 5                                  | 5                              | 0                              | 10                                  | 20             | 1                        |

7. Delivery of training for the NCTS HelpDesk personnel and evaluation     | 21 000                             | 0                                 | 5                                  | 5                              | 0                              | 20                                  | 30             | 1                        |

**B. NCTS – Security and Safety**

1. Development of NCTS – Security and Safety Aspects                       | 56 000                             | 0                                 | 30                                 | 50                             | 0                              | 0                                   | 80             | 1                        |

2. Testing of NCTS – Security and Safety Aspects (130 man days)            | 42 000                             | 0                                 | 20                                 | 40                             | 0                              | 0                                   | 60             | 5                        |

3. Conformance Testing of NCTS – Security and Safety Aspects              | 31 500                             | 0                                 | 20                                 | 25                             | 0                              | 0                                   | 45             | 2                        |

4. Implementation of Romanian NCTS with the newly developed Security and Safety Aspects at the level of NCTS customs offices | 52 500                             | 0                                 | 30                                 | 45                             | 0                              | 0                                   | 75             | 3                        |

5. Design and delivery of Train the Trainer programmes and evaluation of personnel (for 30 customs staff and for 10 IT administrators) | 21 000                             | 0                                 | 5                                  | 5                              | 0                              | 20                                  | 30             | 1                        |

6. Elaboration of corresponding manuals for the customs staff and traders   | 21 000                             | 0                                 | 10                                 | 5                              | 0                              | 15                                  | 30             | 1                        |
<table>
<thead>
<tr>
<th>Activities</th>
<th>Estimated cost per activity (Euro)</th>
<th>Expert 1 - Team Leader (cat. II)</th>
<th>Expert 2 - Customs Expert (cat. II)</th>
<th>Expert 3 - IT Expert (cat. II)</th>
<th>Expert 4 - IT Expert (cat. II)</th>
<th>Expert 5 - Training Expert (cat. II)</th>
<th>Total man day per activity</th>
</tr>
</thead>
<tbody>
<tr>
<td>7. Conference sessions for Trade Community (two)</td>
<td>14 000</td>
<td>0</td>
<td>5</td>
<td>5</td>
<td>0</td>
<td>10</td>
<td>20</td>
</tr>
<tr>
<td>8. Delivery of training for the NCTS HelpDesk personnel and evaluation</td>
<td>18 200</td>
<td>0</td>
<td>5</td>
<td>5</td>
<td>0</td>
<td>16</td>
<td>26</td>
</tr>
<tr>
<td><strong>Total 993 man days</strong></td>
<td><strong>695 100</strong></td>
<td><strong>352</strong></td>
<td><strong>215</strong></td>
<td><strong>300</strong></td>
<td><strong>0</strong></td>
<td><strong>126</strong></td>
<td><strong>993</strong></td>
</tr>
</tbody>
</table>

**Total activities: about 695,000 Euro (993 man-days multiplied by about 700 Euro)**

The assignment requires the participation of 5 (five) key experts, respectively:

- Expert 1 - Team Leader (cat. II)
- Expert 2 - Customs Expert (cat. II)
- Expert 3 - IT Expert (cat. II)
- Expert 4 - IT Expert (cat. II)
- Expert 5 - Training Expert (cat. II)

Expenditure verification: about 5,000 Euro

**Total costs estimation = about 700,000 Euro**
2. Budget breakdown estimation for Component 2 – “Improving the competencies for the customs staff both at central and territorial level” – 0.80 M Euro

- RTA costs (remuneration, allowances, training) - about 270,000 Euros
- RTA Assistant and Interpreter costs – about 22,000 Euros
- PL costs (participation in SC and coordination meetings) - about 27,500 Euros
- Final evaluation of the project - about 7,000 Euros
- Audit certificate - about 5,000 Euros
- Provision for changes in prices (max. 2.5 % of sub-total) - about 19,500 Euros
- Cost for the inputs of short term experts - about 448,500 Euros,

detailed as follows:

<table>
<thead>
<tr>
<th>Activity</th>
<th>No. of Short Term experts per mission</th>
<th>Estimated cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Activity 1</td>
<td>2</td>
<td>29.900</td>
</tr>
<tr>
<td>Assessment of the existing customs training system</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 2</td>
<td>2</td>
<td>44.850</td>
</tr>
<tr>
<td>Identification of the training needs in order to ensure a uniform and unitary customs training system, both at central level and regional level</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 3</td>
<td>2</td>
<td>44.850</td>
</tr>
<tr>
<td>Designing and delivering of training packages adapted to the NCA’s new structure and responsibilities (excises, own resources, customs debt, e-customs, Common Agricultural Policy, etc)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 4</td>
<td>2</td>
<td>44.850</td>
</tr>
<tr>
<td>Designing of a programme for a wider benefit of the RCA training function in order to multiply the effects of the “core” training (cascade training)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 5</td>
<td>2</td>
<td>44.850</td>
</tr>
<tr>
<td>Organisation of 3 workshops for selected target group of high, middle managers and executive level in the field of managing the process of changes (50 persons)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 6</td>
<td>2</td>
<td>44.850</td>
</tr>
<tr>
<td>Organisation of 3 workshops for 40 selected managers on identifying individual and group training and development</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

20/11/2007
<table>
<thead>
<tr>
<th>Activity</th>
<th>Description</th>
<th>2</th>
<th>29.900</th>
</tr>
</thead>
<tbody>
<tr>
<td>7</td>
<td>Designing a programme for evaluating the impact of the training programme already delivered and its integration into the customs training system</td>
<td></td>
<td></td>
</tr>
<tr>
<td>8</td>
<td>Achieving the preparedness on the benefits of regular updated training to the customs officers as a solid base for future progression</td>
<td></td>
<td></td>
</tr>
<tr>
<td>9</td>
<td>3 Workshops for staff involved in e-learning system’s administration (for IT and training department/compartment staff)</td>
<td></td>
<td>44.850</td>
</tr>
<tr>
<td>10</td>
<td>Delivering and loading of new training materials and new modules on e-learning platform</td>
<td></td>
<td>29.900</td>
</tr>
<tr>
<td>11</td>
<td>Establishing standards for evaluating the trainers performances and the efficiency of training activities and its integration into the customs training system</td>
<td></td>
<td>29.900</td>
</tr>
<tr>
<td>12</td>
<td>Elaboration of methodology for selecting and train the trainers and its integration into the customs training system</td>
<td></td>
<td>29.900</td>
</tr>
<tr>
<td><strong>Total</strong></td>
<td></td>
<td></td>
<td><strong>About 448.500</strong></td>
</tr>
</tbody>
</table>

The estimated cost for each activity (mentioned in the 3rd column) will cover: travel costs for ST experts, per-diem in Romania for ST experts, accommodation for ST experts, interpretation, translation, experts’ fees. Each activity includes more missions.

All the amounts mentioned are indicative.

The estimated average fee per day for experts was considered about 350 Euro.

**Total cost estimation = about 800,000 Euros**

The BC co-financing for effective implementation of the twinning will be further detailed in the twinning contract. To ensure smooth implementation of the project, the beneficiary will provide adequately equipped office space with telephone, PC (Internet) and fax. Photocopier and access to the necessary information as well as secretarial support will be ensured during the project life-time. In addition, the beneficiary will provide space and facilities for workshops (training), consultations and seminars.
3. Budget breakdown estimation for Component 3 - “Assistance to the Romanian Customs Authority for strengthening the administrative and operational capacity to ensure the transfer of the excise management to the Customs Authority” – 0.80 MEuro

RTA costs (remuneration, total costs of the local travels, costs of training) – about 270,000 Euros
RTA Assistant and Interpreter costs – about 22,000 Euros
PL costs (participation in SC and coordination meetings) – about 27,500 Euros
Final evaluation of the project – about 7,000 Euros
Audit certificate – about 5,000 Euros
 Provision for changes in prices (max. 2.5 % of sub-total) – about 20,000 Euros
Cost for the inputs of short and medium term experts – about 448,000 Euros,
detailed as follows:

<table>
<thead>
<tr>
<th>Activity</th>
<th>No. of experts per mission</th>
<th>Estimated cost</th>
</tr>
</thead>
<tbody>
<tr>
<td>Activity 1</td>
<td>2</td>
<td>38.400 (about 12.800 per mission)</td>
</tr>
<tr>
<td>Amendment of the specific legislation (level 2 and 3) in order to adapt the existing provisions to the customs specific environment, in relation with the transfer of excise management to the NCA (2 short term experts)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 2</td>
<td>2</td>
<td>38.400</td>
</tr>
<tr>
<td>Improvement of the Fiscal Code updating procedures, applied on biannual basis, related to the excise field, and the synchronisation with the specific procedures applied at the level of Ministry of Public Finance (2 short term experts)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 3</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td>Evaluation of the current situation and to recommend improvements in the organizational chart, the information flow, the methodologies and working procedures (medium term expert and 1 short term expert)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 4</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td>Evaluation of the current situation and to recommend improvements in the attributions and responsibilities for the specific structures in the field, at central and territorial level (including the authorisation of the economic agents) (2 short term experts)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity 5</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td>Evaluation of the current situation and to recommend strengthening the operative capacity of ELO, and the subordinated units, in the field of excise (medium term expert and 1 short term expert)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Activity</td>
<td>No. of term experts per mission</td>
<td>Estimated cost</td>
</tr>
<tr>
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<tr>
<td><strong>Activity 6</strong>&lt;br&gt;Evaluation of the current situation and make recommendations to:&lt;br&gt;• improve the methodologies and working procedures for ELO and for authorized units&lt;br&gt;• adapt them to the customs environment (medium term expert and 1 short term expert)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 7</strong>&lt;br&gt;Evaluation of the current situation and make recommendations to improve the procedures regarding the internal and international jurisprudence (appeals) in the excise field (2 short term experts)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 8</strong>&lt;br&gt;Elaboration of the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase I) (medium term expert and 1 short term expert)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 9</strong>&lt;br&gt;Elaboration of the action plans referring to the specific activities and responsibilities related to the transfer to the NCA of the IT components related to the excise management and interoperability (2 short term experts)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 10</strong>&lt;br&gt;Elaboration the action plans referring the specific activities, responsibilities, milestones and the estimated deadlines related to the transfer of excise management to the NCA (phase II) (medium term expert and 1 short term expert)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 11</strong>&lt;br&gt;Development of the training programmes for train the trainers, dedicated to the field of the specific legislation and best practice in surveillance of the excisable goods movement, targeted to the customs personnel (RTA + 2 short term experts)</td>
<td>2</td>
<td>38.400</td>
</tr>
<tr>
<td><strong>Activity 12</strong>&lt;br&gt;Delivery of a training for trainers 30 customs staff based on the approved training for trainers programme (RTA + 2 short term experts)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 13</strong>&lt;br&gt;Organisation and carrying on 2 sessions of conference targeted to the representatives of the Trade Community, in order to inform them about all new procedures which are referring to their activity in excise field (RTA + 2 term experts)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 14</strong>&lt;br&gt;Evaluation of the annotations and requests for clarification received from the representatives of the Trade Community in order to improve the excise management by NCA (2 short term experts)</td>
<td>2</td>
<td>25.600</td>
</tr>
<tr>
<td><strong>Activity 15</strong>&lt;br&gt;Elaboration of the draft of terms of reference and technical specifications referring the related investments and technical assistance projects foreseen to continue the strengthening of the excise management (2 short term experts)</td>
<td>2</td>
<td>25.600</td>
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<td>Activity 16</td>
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<td>2</td>
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<tr>
<td>Evaluation of the NCA appropriateness for managing the specific aspects of the excise management and elaboration of the related study (medium term expert + 1 short term expert)</td>
<td></td>
<td></td>
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<tr>
<td><strong>Total</strong></td>
<td></td>
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</tbody>
</table>

The estimated cost for each activity (mentioned in the 3rd column) will cover: travel costs for experts, per-diem in Romania for MT and ST experts, accommodation for MT and ST experts, interpretation, translation, experts’ fees. Each activity includes more missions.

All the amounts mentioned are indicative.

The estimated average fee per day for experts was considered about 350 Euro.

**Total cost estimation = about 800,000 Euros**

The BC co-financing for effective implementation of the twinning will be further detailed in the twinning contract. To ensure smooth implementation of the project, the beneficiary will provide adequately equipped office space with telephone, PC (Internet) and fax. Photocopier and access to the necessary information as well as secretarial support will be ensured during the project life-time. In addition the beneficiary will provide space and facilities for workshops (training), consultations and seminars.