**ANNEX 1 - LOGFRAME PLANNING MATRIX FOR PRIORITY E 2005**

<table>
<thead>
<tr>
<th>Programme name and number</th>
<th>Economic and Social Cohesion 2005</th>
</tr>
</thead>
<tbody>
<tr>
<td>Priority E: Building the Institutional Structures in order to Achieve, upon Accession, Sound and Efficient Management of EU Structural Funds, and Efficient Management of Programmes under EDIS</td>
<td>Contracting period expires: 30.11.2007 Disbursement period expires: 30.11.2008</td>
</tr>
<tr>
<td>Measure A: Development of administrative capacities for Structural Funds management</td>
<td>Total budget: 31.95 Meuro Phare budget: 30.60 Meuro</td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>Overall objective</th>
<th>Related Copenhagen criteria and acquis chapter</th>
<th>List of other projects with the same objective</th>
</tr>
</thead>
</table>
| To build the institutional, administrative, programming and implementation structures necessary to effectively manage EU Structural instruments after accession, in order to make significant progress in the negotiations on Chapter 21 of the General Acquis communautaire “Regional Policy and the Coordination of Structural Instruments”. The project will contribute to implementing the NDP priorities and preparation for Structural instruments. | Chapter 21 “Regional policy and coordination of structural instruments”  
- To strengthen the institutional capacity of the managing authorities and of the intermediate bodies.  
- To set up a partnership at the regional and national level, which has to include the local authorities, economic and social partners and relevant agencies.  
- To design an evaluation and monitoring system of the structural funds.  
Chapter 22 Environment | RO02/IB/FI-12 – “Set up of the Paying Agency within the MoPF to ensure efficient management and control of EU and public funds in compliance with EU Acquis”  
RO02/IB/SPP/01 – “Institution building to support regional development policy implementation”  
RO2002/IB/SPP/02 - "Support to the MoLSSF and to strengthen the administration capacity for implementation of European Social Fund (ESF)"  
RO 03/IB/OT 08 - Strengthening the Administrative Capacity of the Managing Authority for the Community Support Framework within the MoPF  
RO 03/IB/OT 07 - “Support to the Ministry of Economy and Trade to increase its capacity related to industrial policy co-ordination and management of EU Structural Funds for economic competitiveness improvement”  
PHARE ESC 2003: RO03/IB/EN/01 “Support to the Ministry of Environment and Water Management in Preparation of the Multi-Annual Programming Scheme under the Economic and Social Cohesion”  
PHARE ESC 2003: “Technical Assistance to
Support the Implementation of the Waste Management Investment/Infrastructure Projects under ESC”
PHARE 2004 – “Technical Assistance for training for Structural/Cohesion Fund management”
ISPA Measure No.: 2003 RO 16 P PA 013
TA for Project Preparation in the Environment Sector located in Romania
ISPA Measure No.: 2003 RO 16 P PA 012
TA for Institutional Capacity Strengthening of ISPA Final Beneficiaries in the Water and Wastewater Sector in Romania

<table>
<thead>
<tr>
<th>Project purpose</th>
<th>Objectively verifiable indicators</th>
<th>Sources of Verification</th>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project 1:</td>
<td>To assist the MACSF with the last</td>
<td>All necessary structures in place, with staff trained and retained, and able to properly manage the programmes under the Funds and all the systems are designed and corresponding procedures documented.</td>
<td>Project reports</td>
</tr>
<tr>
<td></td>
<td>preparations for the structural</td>
<td></td>
<td>CSF and OPs approved</td>
</tr>
<tr>
<td></td>
<td>funds start-up and with the</td>
<td></td>
<td>Management and Control Procedures notified</td>
</tr>
<tr>
<td></td>
<td>co-ordination activities in the</td>
<td></td>
<td>Annual implementation reports of the Funds</td>
</tr>
<tr>
<td></td>
<td>first year of structural funds</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>implementation.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project 2:</td>
<td>To provide key players within</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Romanian institutions involved in</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>managing EU Structural Funds with</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>basic skills and knowledge to</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>programme, implement and monitor</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>the programmes and projects.</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project3:</td>
<td>To expand the SMIS with a new</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>component which will include the</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>totality of information on</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>budgetary expenses at central,</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Regional and local level, as well</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>as the whole expenditures related</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>to measures and priorities of the</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>National Development Plan which</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>are not eligible under Structural</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>Instruments and improve the</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>existing software, IT infrastructure and written</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
procedures manuals for dealing with SMIS according to the progress in development of the implementation system of the Structural Funds.

Project 4:
- To strengthen MEI’s role in the overall co-ordination of regional policy formulation and implementation, continuing with the development of decentralized, effective and broad partnerships, refining of programming documents required for Structural Funds, and to further develop the implementation capacities required for Extended Decentralized Implementation System (EDIS).
- To further develop the capacities of RDAs in each of the Romanian macro-regions as professional, legitimate and sustainable organisations that are capable to leading the socio-economic development process in their region.
- To support the general Directorate for Regional Development to prepare to take over its role as ROP Managing Authority under Structural Funds and of the Cross Border Cooperation Directorate within Ministry of European Integration to take over the role of National Supporting Unit for INTERREG programmes and Managing Authority for NEIGHBOURHOOD programmes, as well as of the IBs for these programmes.

Project 5:
To develop and enhance institutional and administrative capacity of the Managing Authority within the Ministry of Economy
and Trade and the identified Intermediate Bodies to effectively manage and implement
the SOP Competitiveness financial assistance provided under the Structural Instruments, including the preparation of
eligible projects for SF financing and the provision of IT equipments with a view to using the SMIS.

Project 6:
To prepare the Ministry of Transport, Constructions and Tourism to function as a
Managing Authority for the Sectoral Operational Programme for transport and as an Intermediate Body for the Cohesion Fund
in the transport sector, in order to increase its capacity for programming, management, evaluation and monitoring of projects and
programmes under EU Structural and Cohesion Funds and structural funds type programmes.

Project 7
Consolidation the institutional structures at central, regional and local levels, in order to
achieve, upon accession, efficient system of the ESF of all levels, HRD SOP management and implementation system appropriate,
respectively a properly ESF absorption capacity by continuing the preparation for ESF management and implementation.
Component A)
Improving the system for implementing HRD programs and continuing the preparations for ESF management and implementation;
Component C)
Supply of IT equipment for operating SMI system for HRD SOP
**Project 8:**
To support the preparation of the MEWM to function as Managing Authority for the Sectoral Operational Programme for Environment Infrastructure and as Intermediate Body for the Cohesion Fund in the environment sector in order to increase its capacity for programming, management, evaluation and monitoring of projects and programmes under EU Structural and Cohesion Funds, including the preparation of eligible projects for SF financing and the provision of IT equipments with a view to using the SMIS.

<table>
<thead>
<tr>
<th>Results</th>
<th>Objectively verifiable indicators</th>
<th>Sources of Verification</th>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project 1</td>
<td>No result foreseen for Phare 2005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project 2</td>
<td>No result foreseen for Phare 2005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project 3</td>
<td>No result foreseen for Phare 2005</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project 4</td>
<td></td>
<td></td>
<td>The institutional architecture is stable</td>
</tr>
<tr>
<td>Component D – Support to RDAs</td>
<td>• RDAs are able to take on the responsibility of IB’s for the ROP/SOP</td>
<td></td>
<td>Staff turnover rates not more than 20% per year</td>
</tr>
<tr>
<td></td>
<td>• Regional Partnerships actively involved in project identification and submission</td>
<td></td>
<td>Willingness of local and regional actors to take active role in project identification and implementation</td>
</tr>
<tr>
<td></td>
<td>• Regional Monitoring system for all SOPs and ROPs fully functional</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Component E – Supply of IT equipment</td>
<td>• IT equipment installed and operational for SMIS</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• personnel trained in using the equipment</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Project 4: Component D</td>
<td>• Staff development system in place for RDAs</td>
<td>Project 4: Project reports / evaluation</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Management and Control Procedures developed</td>
<td>Programme approval letters from EC</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Internal audit and financial departments established</td>
<td>Manuals with procedures</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Regional Co-ordination Committee in place</td>
<td>Minutes of regional partnership meetings</td>
<td></td>
</tr>
<tr>
<td></td>
<td>• No. of partnership meetings</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• No. of projects identified and submitted</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• Monitoring systems and procedures exist</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Component E</td>
<td>• 100 computers, 10 servers</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• National resource point for Multimedia - training</td>
<td></td>
<td></td>
</tr>
<tr>
<td></td>
<td>• VPN-hardware type network</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
Component F – Twinning for INTERREG and NEIGHBOURHOOD programmes

- INTERREG/NEIGHBOURHOOD Programming documents negotiated and agreed with the European Commission;
- Management and control structures of INTERREG/NEIGHBOURHOOD programmes in place, functional and operational;
- A management and control system for INTERREG and NEIGHBOURHOOD programmes and projects developed, including manuals and guidelines for monitoring, payment, audit elaborated;
- Improved list of eligible expenditures;
- Certifying body and Internal Audit unit prepared to carry out the specific tasks for INTERREG/NEIGHBOURHOOD programmes needs established;
- Staff trained on management and implementation of the INTERREG/NEIGHBOURHOOD programmes and projects;
- Staff trained in the financial control and audit of the SF assistance issues.

Component G – Support for monitoring by RDAs

- Improved quality of applications submitted for financing;
- Improved projects appraisal, selection and contracting process;
- Improved programming and programme management skills of RDAs staff;
- SMSC and JMC implementation reports;
- RDA statistics and reporting;
- Programme documents approval letters for EC;
- Modification of legal acts for establishing structures involved in managing Neighbourhood Programmes;
- Adequate staff and financial resources allocated for MAs.
- Improved and effective ex-ante evaluation, monitoring and control process
- Better reports provided to MEI

**Project 5:**
- the “project pipeline” eligible for financing under SOP “Increase of the Economic Competitiveness” from ERDF developed
  - the guidelines for preparation of projects ready,
  - the checklists concerning projects to be financed under SOP “Increase of the Economic Competitiveness” prepared,
  - a best for value number of projects identified,
  - a sufficient number of projects fully prepared to be granted by ERDF assistance
- trained staff, especially final beneficiaries, in evaluation and implementation of the projects
- equipment purchased under supply contract, installation and training of the staff in equipment operation

**Project 6:**
- Professional and highly trained personnel within the MTCT – Cohesion Fund Intermediate Body & SOP Managing Authority, as well as from the CF designated Implementing Agencies, SOP Intermediate Body and SOP main Final Beneficiaries; accounting personnel adequately trained.

- No. of site visits
- Existence of an effective monitoring, evaluation and control system leads to early identification of potential problems in project implementation
- Reports are structured and submitted in time

**Project 5:**
- Number of eligible projects for SOP identified and prepared for implementation (2007-2009)
- Number of trained staff
- Equipment installed and operational
- Number of trained staff in utilisation of equipment supplied

**Project 6:**
- No. of trained personnel;
- Training curricula and materials curricula and materials produced and distributed to participants;
- Equipment installed and operational;
- No. of staff trained in using the equipment

**Project 5:**
- Project reports / evaluation
- Investment project documentation
- Annual SF implementation reports

**Project 6:**
- Project reports / evaluation
- Investment project documentation
- Annual SF implementation reports
- Acceptance certificated for the purchased equipment
- Training programme implemented
- Equipment installed and operational, users trained;
- MIS implemented and staff able to operate the system
- Pipeline of programming documents and projects prepared, with a view to absorb the first wave of Structural assistance after accession.

**Project 7**

**Component A)**

- Strengthened capacity of the SOP HRD MA to coordinate the SOP HRD implementation and to ensure the coherence with the EES
- Strengthened capacity of the IBs and FBs to support the SOP HRD implementation
- Enhanced pipe-line projects prepared to be financed under ESF
- Strengthened capacity of the partnerships under ESF to promote and support pipe-line projects
- Verified and tested implementation of the procedures concerning financial control units and internal audit at the level of MA, IBs, FBs
- Verified and tested implementation of the procedures concerning monitoring the ESF projects at national, regional and local level
- Enhanced capacity of the MA to carry out the publicity concerning ESF implementation, preparing and delivering printed publication and materials, newsletters
- Training programs delivered on ESF programmes and projects

- Number of eligible projects prepared for funding under Transport OP;

**Project 7**

**Component A)**

- Ex-ante evaluation of the pipe-line projects and coherence with EES conducted
- Prepared launch of the call for proposal for ESF projects at MA level
- Prepared launch of the call for proposal for ESF projects, prepared ESF projects evaluation and approval at IBs and FBs level
- Pipe-line projects prepared in all regions to be submitted for approval
- Partnerships actively involved in the promotion and supporting the ESF pipe-line projects
- Financial control and internal audit procedures tested for ESF
- Monitoring procedures of ESF projects tested
- Publicity campaign prepared for ESF projects launching
- 50 persons from MA and IBs (new staff) trained
- 200 persons from FBs (new staff) trained
- 3000 persons (new identified potential project promoters-final recipients) trained
- 200 persons (new staff) from MA, IBs, FBs trained on financial matters, audit

**Project 7**

- Project reports / evaluation
- EC approval letter
- Annual SF implementation reports
- Training evaluation reports
implementation in relation to all policy fields of ESF assisted

- Training program on financial assistance (tailored on correspondent structures) implemented
- Training program on audit and control system, reporting on irregularities implemented
- Training program to promote and sustain the partnerships for ESF pipeline projects, to promote and mainstream equal opportunities as horizontal dimension of the ESF projects

Component C)
IT equipment for the SMIS system for HRD SOP operational and in use by trained users

Project 8:
- a sufficient number of projects under SOP Environment fully prepared to be financing under Structural Funds and Cohesion Fund, starting with 2007;
- trained staff of the institutions responsible for the project preparation/implementation
- IT equipment for effective management of EU funds through the Single Management Information System purchased, installed and fully operational.

Component C)
- implemented and tested HRD MIS.
- high availability clusters of 6 servers
- computers
- printers
- users trained

Project 8:
- Number of eligible projects for SOP identified and prepared for implementation (2007-2009)
- Number of trained staff
- Equipment installed and operational

Component C) Project evaluation

Project 8:
Investment project documentation
Reports of the TA project

Appropriate communication and collaboration between project stakeholders;

<table>
<thead>
<tr>
<th>Activities</th>
<th>Means</th>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Project 4</td>
<td>Project 4</td>
<td></td>
</tr>
<tr>
<td>Develop ToRs for service contract</td>
<td>Service contract, TA to RDAs of 4 Meuro</td>
<td></td>
</tr>
</tbody>
</table>
The activities will focus on creating and strengthening the capacities of the RDAs, in partnership with all stakeholders at regional level.

Draw up Technical specifications
 Tendering and contracting the supplier of IT equipments

Selection and developing of the twinning contract with selected twinning partner
The activities will consist of strengthening capacities of structures involved in INTERREG and NEIGHBOURHOOD programmes

Service contract with RDAs aimed at enabling the RDAs to carry out the programming, selection, contracting and monitoring tasks properly and take over their responsibilities, as IBs for ROP under SF.

Implementation and monitoring of project activities

**Project 5**
The Ministry of Economy and Trade will be assisted in terms of:
- Preparing a pipeline of projects including training of the final beneficiaries
- Purchasing the IT and office equipment.

**Project 6**
The MTCT will be assisted in:
- Training the staff (training on the job, tailor-made training, workshops, seminars, study tours)
- Purchasing the IT and office equipment.

**Equipment supply contract:** 0.75 Meuro

**Twinning for INTERREG and NEIGHBOURHOOD structures of 0.6 Meuro**

**Framework agreement with RDAs from national co-financing – 2.20 Meuro**

**Project 5**
Service contract, TA – 5 MEUR

Supply contract – 0.5 MEUR

**Project 6**
Service contract, TA – MEUR 0.15

Supply contract – 0.7 MEUR
Service contract, TA – 9.90 MEUR
Preparing a pipeline of projects.

**Project 7**
The activities consist of TA aimed at strengthening MoLSSF, MoER and NAE administrative capacity by continuing the process started before, to enhance the national and regional responsibility and capability in order to prepare for ESF, including training
- Prepare Technical Specifications and supply of equipment, its installation and training of the users

**Project 8**
To build the capacity of MoEWM to act as Managing Authority for SOP Environment and IB for Cohesion Fund.
- To assist in preparing eligible projects for financing under structural instruments;
- To procure the equipment enabling the MEWM to use the SMIS.

<table>
<thead>
<tr>
<th>Project 7</th>
<th>Project 8</th>
</tr>
</thead>
<tbody>
<tr>
<td>Service contract, TA – 2.00 MEUR</td>
<td>Service contract, TA – 5.5 MEUR</td>
</tr>
<tr>
<td>Supply contract – 0.75 Meuro</td>
<td>Supply contract – 0.75 MEUR</td>
</tr>
</tbody>
</table>

**Preconditions**