PHARE 2003
STANDARD SUMMARY PROJECT FICHE

1. Basic Information

1.1 CRIS Number: PHARE/2003/005-702.03
1.2 Title: Technical Assistance for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary
1.3 Sector: AA
1.4 Location: Romania
1.5 Beneficiary: Ministry of European Integration

2. Objectives

2.1 Overall Objective

Technical Assistance (TA) for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary will support the preparation of projects within the future Phare CBC programmes and as a consequence it will promote the key objectives of the Phare CBC Programme between Romania and Hungary, namely:

- to help the border regions to overcome specific development problems resulting from their relative isolation in the framework of national economies; and
- to establish and develop co-operative networks on both sides of the border.

2.2 Project purpose

- Support the relevant central and local authorities i) to prepare a pipeline of mature projects in the economic development, (local) infrastructure and environment area that will be proposed for the future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

2.3 Accession Partnership and NPAA priority

The proposed TA will play a key part in the process of preparation of Romania for the accession, in particular through the capacity building dimension of the involved institutions. The project represents thus an important element in the preparation of Romania for the adoption of Structural Funds. In addition, the project supports the social and economic integration between the border regions of the countries.

NPAA (Medium-term priority):
Promotion of cross-border co-operation

2.4. Contribution to the National Development Plan

NA

2.5 Cross Border Impact

The Technical Assistance will support the preparation of projects within the future Phare CBC programmes and as a consequence it will promote the key objectives of the Phare CBC Programme between Romania and Hungary. Special attention will be given to the preparation of joint projects and to
projects with a strong cross-border co-operation character which will be planned in close co-operation with the regional and local authorities in the border areas.

3. Description

3.1. Background and justification

The Phare CBC IA through the CBC Directorate within the Ministry of European Integration is responsible, at central level, for the overall co-ordination for the Phare CBC Programmes in Romania. In this position, the Phare CBC IA through the CBC Directorate operates as interlocutor between the different line Ministries and Governmental Organisations and the European Commission. As such, the Phare CBC Unit, on behalf of the Government of Romania, bears the final responsibility for the qualitative level of the various documentation and project proposals submitted on an annual basis to the European Commission.

In the short run, the current proposal focuses on allocating resources for future technical assistance to the CBC Directorate in preparation of mature projects for the future CBC Programmes and, indirectly, to provide assistance to line Ministries and Governmental Organisations with the preparation of relevant technical documentation indispensable for a proper project preparation.

An adequate and comprehensive preparation of solid investment projects is a prerequisite for a timely future accession of Romania into the EU. Complex investment projects require detailed and reliable data and background documentation to identify the best approach for achieving the objective(s). In-depth feasibility studies, systems design, impact analysis and other project background documents are critical for a successful implementation of projects financed through Phare CBC programmes.

Background studies provide, apart from the project identification, also an analysis of the current status, an identification of possible alternative solutions including a testing of their financial, technical and administrative feasibility and recommendations for the economically most advantageous solutions.

Under this project, the axes of development for the whole period of CBC will be reviewed. On a more practical level, the selected consultant will assist the relevant authorities in operating the Joint Small Projects Fund (JSPF) and will further elaborate the existing guidelines for the operation of the JSPF.

3.2. Linked activities
NA

3.3 Results

- Improved programming and implementation of CBC programmes
- Revised JSPF documents
- Pipeline of mature projects to be financed by future RO/HU CBC programmes (relevant background documentation, feasibility studies, project technical designs, terms of references, technical specifications and tender dossiers etc completed)
- Grant scheme(s) for implementing future programmes designed

3.4 Activities

The project will provide direct support to the Phare CBC Implementing Agency through the CBC Directorate and indirect support to the line Ministries, other Government bodies with competence in areas relevant to Phare CBC Programmes and any future beneficiaries.
Technical assistance provided to the Phare CBC Implementing Agency through the CBC Directorate within the Ministry of European Integration is basically focused on the preparation, completion and revision of:
1. feasibility studies
2. detailed designs of investment projects
3. tender dossiers including technical specifications, terms of reference, procurement plans and other relevant documentation
4. environmental impact assessments
5. JSPF documentation
6. Development, setting-up and implementation of Grant Schemes

3.5 Lessons learned

For the TA, the Interim EMS Evaluation Report no R/RO/CBC/03037 issued in July 2003 reveals as main findings related to these projects implementation:
- the lack of staff within the CBC Directorate, which restricts the absorption and dissemination of expertise.
- The delays in contract signature, which caused significantly delays in project implementation

Lately, following the restructuring of the ministries, the staff involved in CBC programs becomes significant larger and as a consequence the capacity of absorption and dissemination of the expertise is growing.

From the date the Memorandum of Financing is signed, the course of many activities could be changed and consequently the tasks of the TA. Thus, the CBC Directorate considers that it is better to contract the TA in the shorter possible time. Taking into account the relatively small funds required for TA, as well as the above comments, it was found that the most appropriate type of contracting is the FWC, as a less consuming time and simplified acquisition procedures.

Considering the previous gained experience the CBC Directorate considers that the TA is to be used for JSPF projects, grant scheme systems and environmental assessment programming documents.

Also in the TA for the best overall coordination are to be used as long term experts foreign experts and for the specific activities (infrastructure, environment) the efficient way is to use, as short term experts, local experts both from Romania and the counterpart, having in view the better knowledge about the national/local legislation, reglementations, rules, procedures.

4. Institutional framework

Beneficiary Institution: Ministry of European Integration, Romania
Contracting Authority: Ministry of European Integration, Romania.

5. Detailed Budget (in M€)

<table>
<thead>
<tr>
<th>Component/Sub-Project</th>
<th>Phare Support (in MEURO)</th>
<th>National Co-financing</th>
<th>IFI</th>
<th>TOTAL</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Investment Support (I)</td>
<td>Institution Building (IB)</td>
<td>Total Phare (I+IB)</td>
<td></td>
</tr>
<tr>
<td>Project Preparation Facility (PPF)</td>
<td>0.550</td>
<td>0.550</td>
<td>0</td>
<td>0</td>
</tr>
<tr>
<td>Total</td>
<td>0.550</td>
<td>0.550</td>
<td>0</td>
<td>0</td>
</tr>
</tbody>
</table>

6. Implementation Arrangements
6.1. Implementing Agency

The Implementing Agency will be the Ministry of European Integration, through its Cross Border Co-operation Directorate, which will retain overall responsibility for the implementation of the programme, including: approval of tender documents, evaluation criteria, evaluation of offers, signature of contracts, authorisation of invoices. The Payments Directorate within the same ministry will make the payments of invoices.

The CBC Directorate will support the beneficiaries in their activities concerning the preparation of tender dossiers and related documents.

6.2. Twinning Arrangement

Not Applicable

6.3. Non standard aspects

There are no non-standard contracts or tender procedures envisaged within this project. "Practical Guide to contract procedures financed from the general Budget of the European Communities in the context of external actions" will be strictly followed.

6.4 Contracts

One Service Contract will be concluded under "Project Preparation Facility" - MEURO 0,550

7 Implementation Schedule

Start of tendering/call for proposals
ToRs ready by October 2003
Announcement for tender launched in November 2003

Start of project activity
January 2004

Project completion
November 2005

8. Equal Opportunity

The project implementation procedures will ensure that the project brings benefits to men and women, and to ethnic groups in equal measure. Special attention will be paid to ensure that women and members of ethnic groups are included in the projects activities.

9. Environment

Not Applicable

10 Rates of return

Not Applicable

11. Investment criteria

11.1. Catalytic effect:

Without PHARE assistance, the project would not be implemented in the near future, because of the lack of funds.

11.2. Co-financing
There is no co-financing.

11.3. Additionality
No other financing sources from the private sector or from IFIs were available for financing this project.

11.4. Project readiness and Size
The project can be launched according to the implementation chart (Annex 2).

11.5. Sustainability
The development of the border region is part of both governments’ strategy of maintaining good relations with their neighbours.

11.6. Compliance with state aids provisions
The project respects the state aids provisions.

12. Conditionality and sequencing
The MEI undertakes to finance any additional costs, which may arise in order to ensure timely completion and implementation of this project

Annexes to project Fiche
Logical framework matrix in standard format
Detailed implementation chart
Contracting and execution of contracts schedule by quarter for full duration of programme (including execution of contracts period)
# ANNEX 1 – LOGFRAME PLANNING MATRIX

## Technical Assistance for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary

<table>
<thead>
<tr>
<th>Wider Objectives</th>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
</tr>
</thead>
<tbody>
<tr>
<td>The Technical Assistance will support the preparation of projects within the future Phare CBC programmes and as a consequence it will promote the key objectives of the Phare CBC Programme, namely: to help the border regions to overcome specific development problems resulting from their relative isolation in the framework of national economies; and to establish and develop co-operative networks on both sides of the border.</td>
<td>Increased Romanian and foreign investment in the region. Improvement of the bilateral relations between Romania and Hungary.</td>
<td>Government and international statistics Protocols of cooperation signed between the two countries Reports from local authorities and NGOs</td>
</tr>
</tbody>
</table>

## Purpose of the Project

<table>
<thead>
<tr>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
<th>Assumptions and Risks</th>
</tr>
</thead>
</table>
| Increased Romanian and foreign investment in the region. Improvement of the bilateral relations between Romania and Hungary. | Government and international statistics Protocols of cooperation signed between the two countries Reports from local authorities and NGOs | }

**Total Budget:** 0.550 Meuro

| Contracting period expires: | 30.11.2005 |
| Execution of contracts period expires: | 30.11.2006 |
Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

<table>
<thead>
<tr>
<th>Results of Project</th>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
<th>Assumptions and Risks</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Improved programming and implementation of CBC programmes</td>
<td>One improved JPD</td>
<td>CBC IA reports; project completion reports; monitoring and assessment reports; Financing proposals</td>
<td>Availability of adequate funds through the next Phare CBC Programmes to finance the prepared projects</td>
</tr>
<tr>
<td>2. Revised JSPF documents</td>
<td>One revised JSPF package</td>
<td></td>
<td>Availability of co-financing for the prepared projects from the Hungarian authorities</td>
</tr>
<tr>
<td>3. Pipeline of mature projects to be financed by future RO/HU CBC programmes (relevant background documentation, feasibility studies, project technical designs, terms of references, technical specifications and tender dossiers etc completed)</td>
<td>At least of 5 mature projects for the fields identified under the revised JPD</td>
<td></td>
<td></td>
</tr>
<tr>
<td>4. Grant scheme(s) for implementing future programmes designed</td>
<td>Two Grand Scheme packages in the fields as identified under the revised JPD</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

Activities | Means | Sources | Preconditions |
---|---|---|---|

Increase of the number of experts within the Romanian authorities able to prepare new projects
Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

Monitoring and assessment reports; reports of the Phare IA (RO).
Programme evaluation

Efficient programme management (implementation, monitoring assessment);
Continued commitment of the Government towards the priorities of the programme and regional development;
availability of qualified staff and institutional support;
sustained interest of the border region population in development and co-operation.

Activities

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.

- Support the relevant authorities to prepare a pipeline of mature projects in both infrastructure and environmental sectors to be proposed for future Phare CBC programmes, as well as ii) to identify the (local) needs to develop, set-up and prepare a Grant Scheme system.

- Increase of the number of experts within the Romanian authorities able to prepare new projects
- Well prepared Financing Proposals for future programmes including mature projects ready to start implementation after signing of the relevant Financing Memoranda.

- Efficient programme management (implementation, monitoring assessment);
- Continued commitment of the Government towards the priorities of the programme and regional development;
- Availability of qualified staff and institutional support;
- Sustained interest of the border region population in development and co-operation.
| 1.1/3.1: TA will provide preparation/revision of: feasibility studies. | 1.2/3.1: detailed designs of investment projects. | 1.3/3.3: tender dossiers, including technical specifications, terms of reference, procurement plans and other relevant documents. | 1.4/3.4: Environmental impact assessments | 1.5/2.1: JSPF documentation | 1.6/4.1: Development, setting-up and implementation of Grant Schemes | 5.00 MEURO contracted and disbursed | Adequate team of consultants | reports issued by MINISTRY OF EUROPEAN INTEGRATION and consultants MINISTRY OF EUROPEAN INTEGRATION bank statements | Well functioning co-operation and co-ordination between Romanian and Hungarian Authorities; Continuity of staff and policy in MINISTRY OF EUROPEAN INTEGRATION and other involved institutions enabling preparation and implementation of the projects without delays. Availability of necessary information to prepare good quality documents for the preparation of the projects |
Annex 2 – Detailed implementation chart

Project title: RO2003XXXX - Technical Assistance for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary

<table>
<thead>
<tr>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
<th></th>
</tr>
</thead>
<tbody>
<tr>
<td>Technical Assistance for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary</td>
<td>T</td>
<td>C</td>
<td>C</td>
<td>C/I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
<td>I</td>
</tr>
</tbody>
</table>

T: Tender Procedures  
C: Contracting  
I: Implementation
### Annex 3 – Contracting and execution of contracts schedule by quarter

*Project title: RO2003xx.xx- Technical Assistance for Multi Annual Programming and Implementation of Phare CBC Programmes Romania-Hungary*

#### Cumulative contracting schedule by quarter in Meuro (planned)

<table>
<thead>
<tr>
<th>Components</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
<th>2006</th>
<th>Total Phare Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>III</td>
<td>IV</td>
<td>I</td>
<td>II</td>
<td>III</td>
</tr>
<tr>
<td>Technical Assistance</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.550</td>
</tr>
<tr>
<td>Total contracting</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.550</td>
</tr>
</tbody>
</table>

#### Cumulative execution of contracts schedule by quarter in Meuro (planned)

<table>
<thead>
<tr>
<th>Components</th>
<th>2003</th>
<th>2004</th>
<th>2005</th>
<th>2006</th>
<th>Total Phare Allocation</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>III</td>
<td>IV</td>
<td>I</td>
<td>II</td>
<td>III</td>
</tr>
<tr>
<td>Technical Assistance</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.165</td>
</tr>
<tr>
<td>Total execution of contracts:</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0</td>
<td>0.165</td>
</tr>
</tbody>
</table>