1. Basic Information
   1.1. CRIS Number 2002/000-606.21
   1.2. Title: Technical Assistance and preparation of the joint Polish – German study
   1.3. Sector: Cross Border Co-operation / Technical Assistance
   1.4. Location: Polish - German border area

2. Objectives
   2.1. Wider Objectives:
      - The main objective of the project is to assure the proper implementation of the Phare Cross Border Co-operation Programmes 2002 according to the provision stated in the EC Regulation No 2760/98 of 18 December 1998.
      - Creation of a cohesive cross border area (economic and ecological) characterised by a sustainable development and growth of the development potential of the cross border areas.

   2.2. Immediate Objective:
      - In keeping with the requirements of the Financial and Phare Regulations notably as directed under the rules for tendering and contracting, part of the programme allocation is devoted to the professional checking of tender documentation related in particular to infrastructure. Additionally TA is provided for facilitating the smooth operations of the implementation (promotion of the CBC Programmes) and supporting the regional structures (RSC, JCC).
      - Strengthening the strategic orientation of the Phare, improvement of the managerial capacity of the CBC IA and its counterparts and partners, and assessment of the impact of projects.
      - Levelling out of peripheral aspects of the border with a complementary usage of the Polish and German border area potentials, integration of cross border spatial structures and achievement of conformity with the development goals of the both countries.

   2.3. Cross Border impact:
      - The Project will contribute to the removal of existing disparities between regions on both sides of the border and thus to the gradual alignment of economic and social development. This is particularly important in view of future integration into the European Union.
      - The second part of the project is a joint undertaking of Poland and Germany and is of twin character on both sides of the border. On the German side, the project is expected to be financed with the INTERREG funds. The basic expected effect of the project is achievement of cohesion of the targeted spatial planning on both sides of the state border. Mutual assistance will also be necessary in solving difficult problems connected with border area management. Moreover, the project expresses the support of good-neighbourly contacts of Poland and Germany, in particular the regions at both sides of the border.

3. Description:
   3.1. Background and justification:
      The Phare Cross Border Co-operation Programme was established for the first time in 1994. The success of the mechanism in addressing identified local needs, popularity with local/regional actors and relatively quick implementation record prompted the inclusion of more funds in the next Phare CBC Programmes and the transfer of this mechanism to other borders. From the beginning of the Programme the Technical Assistance has been provided to assist the Implementing Agency in the programming, implementation and monitoring process.
      Past experience has demonstrated that this kind of assistance is one of the important factors of the successful implementation of the Programme.
      The retrospective assessments of the PL/D CBC Programme since 1994 undertaken by the EU Court of Auditors, the Polish National Audit authorities and the Commission's own studies are to be taken into account.
      Moreover, since the year 2000 the Phare Programme has been changed and reoriented to further and stronger preparation of candidate countries to the future EU membership. Therefore all undertaken
operations, including those financed within Phare CBC programme, should be in line with such tendency. For that reason, within that project, it is foreseen to strengthen capacity of the programming and implementation structures such as regional secretariats, joint regional steering committees, and joint co-operation committee for the Phare CBC and Interreg IIIA programmes. In particular the costs of translations, preparation of documents, working – papers for the joint meetings, organisational aspects, conferences, training sessions and study visits would be co-financed. Strengthening of managerial skills of the people involved in the Phare CBC implementation processes should be also supported. Similar activities are eligible for co-financing within the Interreg IIIA programme. Additionally it is necessary to further develop and promote the integration process within the Polish society through organisation of cross-border events such as seminars, conferences, training sessions and printing of different publications. Additionally in the nearest future on the Polish side of the border it will be necessary to adapt the joint programming documents to new Interreg regulation and the assistance of experts would be highly expected.

To further assurance of the well-functioning implementation process of the Phare CBC projects indispensable is also to support the Implementing Agency as well as the beneficiaries in technical assistance related to preparation of tender dossiers according to the “Practical Guide for Phare, ISPA, SAPARD” and the Polish Procurement Law, participation in the evaluation committees and monitoring of the projects’ realisation. The needs are also related to evaluation of the projects proposals (formal, financial, technical and environmental evaluation).

Moreover, in the beginning of the 90-ties, the cross border co-operation between Poland and Germany in the field of spatial development was very intensive. As a result of the joint actions, a “The Guideline Study of Spatial Development Alongside the Polish-German Border” was elaborated in 1995. It was the first document of that type in Poland (and one of the first ones in the Central and Eastern Europe countries). It covered the Western part of the border area and at the same time became a model elaboration for cross border areas with other neighbouring states of Poland. In October 1995, the “The Guideline Study of Spatial Development Alongside the Polish-German Border” was approved by the ministers responsible for spatial development in Poland and in Germany. At present, the elaboration needs to be fully up-dated and widely supplemented. The decision in this respect has been jointly taken by the both Sides of the Spatial Management Committee acting in the framework of the Polish-German Inter-Governmental Commission for Regional and Cross-Border Co-operation. The change of conditions and the need to take into account presently existing problems of the Polish-German border area underlie the commencement of the up-dating works on the elaboration. The document will be of a special significance in the context of aspirations of the Polish Accession to EU, as a result of which the Western border of our country will become an internal border of EU.

3.2. Linked activities:
The main part of the project (strengthening the strategic orientation of the Phare CBC programme and tender evaluation and monitoring) is continuation of the previous activities financed within the Phare CBC PL-G Programmes in the previous years.

As far as the joint planning document is concerned its elaboration is linked with projects concerning the topical scopes connected with anti-flood protection: Programme for Odra River 2006 (a programme adopted by the Polish Government), project ODERREGIO (a German project financed in the framework of INTERREG II C), “The Spatial Development Study for the Odra-River Area”, which is under preparation with participation of self-government voivodships situated on the Odra River (a part of which is a border river with Germany) together with The State Office for Housing and Urban Development, is of a big importance for the elaboration. The content of the Study with respect to reclamation of the land after brown coal mines is strictly related to decisions of international bodies, including the Polish-German Commission for Border Waters. The problems discussed in the elaboration also concern the spatial economy in the area around the Baltic Sea, which makes it necessary to link the activities to VASAB 2010 Committee (an international Committee responsible for spatial planning in the Baltic Sea region).

3.3. Results:
• creation of the base document for the future evaluation of the programme;
• build and develop the specialist resources of local and regional institutions involved in regional development in line with EU practice;
• stimulation and support to the creation of permanent structures among the local and regional organisations in the border region;
• promotion of the Phare CBC Programmes in Poland;

A result of the project will be also “The Guideline Study of Spatial Development Alongside the Polish-German Border”, prepared jointly with the German side, mutually agreed and up-to-date.

3.4. Activities:
- evaluation of projects proposals, tender documents etc.
- support to the Regional Steering Committees, Panel of Experts and Joint Co-operation Committee in their activities;
- monitoring and study visits;
- training and seminars for the beneficiary institutions;
- training for the IA CBC and its counterparts and partners;
- various publications.
- a full analysis of internal conditions of the border region, identification of opportunities and threats inside the studied area while indicating the development opportunities of the Polish-German border area,
- a thorough identification of external conditions (the interest of the state, international aspects) and indication of external factors determining the development of the border region. When analysing the external conditions, one expects a full knowledge connected with the external development limitations of the Polish-German border area,
- preparation of a system of priorities, undertakings and projects with an indication of the level of implementation.

4. Institutional Framework
The project will be implemented under the overall responsibility of the Implementing Authority: the Implementing Authority for the Phare Cross Border Co-operation Programme at the Ministry of Internal Affairs and Administration in Poland (the IA CBC Phare).

The leading unit for the implementation of the third part of the project (preparation of “The Guideline Study of Spatial Development Alongside the Polish-German Border”) will be the State Office for Housing and Urban Development, 2 Wspólna Street, 00-926 Warsaw.

5. Detailed Budget

<table>
<thead>
<tr>
<th>Budget</th>
<th>Phare CBC</th>
<th>Poland</th>
<th>Other Sources</th>
<th>Total</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Investment</td>
<td>Institution Building</td>
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<td>Tender evaluation, monitoring etc.</td>
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<td>Strengthening the strategic orientation of the Phare</td>
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<td>Preparation of the joint Polish – German study*</td>
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<td>0.09</td>
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</tbody>
</table>

* the project will be co-financed within Interreg III

Co-financing will be available.

6. Implementation Arrangements
6.1 The Implementing Agency is the Implementing Authority for the Phare CBC Programme within the Ministry of Internal Affairs and Administration, Phone: (+48-22) 695 99 10/11, fax (+48-22) 695 99 12/13, 36 Krucza Street, 00-522 Warsaw.

6.2 Non standard aspects:
The Practical Guide for the Phare, ISPA and SAPARD will be strictly obeyed.

6.3 Contracts:
Several TA contracts will be concluded, depending on the needs, for a total amount of 1.0 M€
7. Implementation Schedule

<table>
<thead>
<tr>
<th>Start of project</th>
<th>1st quarter of 2003</th>
</tr>
</thead>
<tbody>
<tr>
<td>Completion of project</td>
<td>3rd quarter of 2005</td>
</tr>
</tbody>
</table>

8. Equal Opportunity

During the implementation of the project, equal opportunities will be ensured for both women and men. The only criteria applied in the recruitment and evaluation of the staff will be the qualifications and professional experience they possess.

9. Environment

Non investment project

10. Rates of return

Non investment project

11. Investment criteria

Non investment project

12. Conditionality and sequencing

APPENDIXES TO PROJECT FICHE

1. Logical framework matrix in standard format
2-4. Implementation, contracting and disbursement schedules
### LOGFRAME PLANNING MATRIX FOR PROJECT (CBC in Poland)

- **Date of Drafting:** 04.2002
- **Planning Period:** 01.03 – 09.05
- **Project Number:** TA
- **Total Budget:** 1.03 1.0 MEUR

#### General Objectives

<table>
<thead>
<tr>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
<th>Assumptions and Risks</th>
</tr>
</thead>
<tbody>
<tr>
<td>The amount of funds committed and disbursed</td>
<td>data base of the Implementing Agency</td>
<td>continued commitment of the Government towards the priorities of the programme</td>
</tr>
<tr>
<td>Number and effectiveness of jointly undertaken projects having a cross-border effect</td>
<td>TWG elaboration and reports</td>
<td></td>
</tr>
<tr>
<td>Level of economic activity in the area covered with the project</td>
<td>Regional elaboration and CSO (Central Statistical Office) statistics</td>
<td></td>
</tr>
</tbody>
</table>

#### Specific Objectives

<table>
<thead>
<tr>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
<th>Assumptions and Risks</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number of projects implemented; Number of project proposals evaluated. Number of jointly prepared development plans of border towns Number of newly prepared local plans as an expression of rational management of space</td>
<td>IA CBC reports; Project completion reports; Monitoring and assessment reports; Programme evaluation. GUS statistics National spatial economy information system – CSO (under preparation) Report on the state of spatial development of the country – SOHUD Monitoring of the spatial management - SOHUD</td>
<td>Availability of qualified experts and contractors; well functioning co-operation and co-ordination between IA CBC, Steering Committee, Secretariat, beneficiaries and other involved parties; Continuation of implementation of the adopted tasks by entities of the central, regional, sub-regional and local level</td>
</tr>
</tbody>
</table>

#### Results

<table>
<thead>
<tr>
<th>Indicators of Achievement</th>
<th>Sources of Information</th>
<th>Assumptions and Risks</th>
</tr>
</thead>
<tbody>
<tr>
<td>regional authorities’ personnel trained, regional institutions qualified; Degree of taking into account of all the local and regional level development strategies and sector oriented national strategies Degree of taking into account of planning elaboration of the sub-regional, regional, national levels as well as international guidelines in the field of spatial planning</td>
<td>IA CBC reports; Project completion reports; Reports of Secretariats Monitoring and assessment reports; National spatial management information system – CSO (under preparation) Report on the state of spatial development of the country – SOHUD Monitoring of the spatial economy - SOHUD</td>
<td>Availability of qualified experts; well functioning co-operation and co-ordination between IA CBC, Steering Committee, Secretariat and local governments; Free access to sources of information on the local, sub-regional, regional, national and international level</td>
</tr>
</tbody>
</table>

#### Activities

**Indicators of Achievement**

**Means**

**Assumptions**
<table>
<thead>
<tr>
<th>Evaluation of tender documents etc.</th>
<th>Access to data bases, geographic and spatial information systems</th>
<th>Total budget: 1’030 ’000 EUR Phare budget: 1’000 ’000 EUR</th>
<th>Gathering of a team of highly qualified experts Effective co-operation of the Polish and German team of experts and local and regional authorities, governmental and non-governmental organisations</th>
</tr>
</thead>
<tbody>
<tr>
<td>Support to the Regional Steering Committees, Panel of Experts and Joint Co-operation Committee in their activities; monitoring and study visits; Training and seminars for the beneficiary institutions; Training for the IA CBC and its counterparts and partners; various publications; Analysis of internal conditions of the border region and obtaining of an exact picture of development opportunities of the Polish-German border area Identification of external conditions (the interest of the state, international aspects) in order to gain knowledge connected with development limitations of the Polish-German border area</td>
<td>Access to source information</td>
<td></td>
<td>Preconditions Creation of the Phare CBC Programmes Creation of the PRAG Undertaking of implementation of the arrangements made by the Polish-German Spatial Management Committee</td>
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</table>
## Annex 2-4: Implementation, contracting and disbursement schedules

<table>
<thead>
<tr>
<th></th>
<th>(Date of Drafting)</th>
<th>(Planning Period)</th>
<th>(Budget Allocation Cost Estimate) (in MEUR)</th>
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<tbody>
<tr>
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<table>
<thead>
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<tbody>
<tr>
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<td>C/I</td>
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<td></td>
<td></td>
<td></td>
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<td></td>
</tr>
</tbody>
</table>

*D = design of sub-projects / C = tendering and contracting / I = contract implementation and payment*