

ANNEX 1

SPECIAL MEASURE ON FLOOD RECOVERY AND FLOOD RISK MANAGEMENT

1 IDENTIFICATION

Beneficiaries	Albania, Bosnia and Herzegovina (BiH), the former Yugoslav Republic of Macedonia, Kosovo ¹ , Montenegro, the Republic of Serbia, Turkey
CRIS/ABAC Commitment references	BiH: 2014/037-703, EUR 41 000 000, 22.02 01 02 Serbia: 2014/037-788, EUR 62 000 000, 22.02 01 02
Total cost	Multi-country (all IPA II beneficiaries except Iceland)
Union Contribution	2014/037-703 and 037-788, EUR 20 000 000 on 22.02.01.02
Budget line	2014/037-853 EUR 4 000 000 on 22.02 04 01 Total Union contribution: EUR 127 000 000
Management mode/ Entrusted Entity	Direct management by the Commission Action 9 only: EUR 4 000 000 cross-subdelegation to ECHO Indirect management by the following entrusted entities: Deutsche Gesellschaft für Internationale Zusammenarbeit (GIZ) for Action 3 "Strengthening SME's competitiveness" The World Bank (WB) for the Component 1 of the Action 6 "Flood recovery and prevention" Austrian Development Agency (ADA) for the Component 2 of Action 6 "Flood recovery and prevention" United Nations Operations and Services (UNOPS) for the component 3 of Action 6 "Flood recovery and prevention" UNOPS for the Component 4 of the Action 6 "Flood recovery and prevention"
Final date for concluding Financing Agreements with the IPA II beneficiaries	At the latest by 31 December 2015 Not applicable for Action 9 "Prevention, preparedness and response to floods in the Western Balkans and Turkey, Lot2"
Final date for concluding delegation agreements under indirect management	At the latest by 31 December 2015
Final date for concluding procurement and grant contracts	3 years following the date of conclusion of the Financing Agreement, with the exception of the cases listed under Article 189(2) Financial Regulation At the latest by 31 December 2015 for Action 9 "Prevention, preparedness and response to floods in the Western Balkans"

¹ This designation is without prejudice to positions on status, and is in line with UNSCR 1244/1999 and the ICJ Opinion on the Kosovo declaration of independence.

	and Turkey, Lot2".
Final date for operational implementation	6 years following the date of conclusion of the Financing Agreement. At the latest by 31 December 2018 for Action 9 "Prevention, preparedness and response to floods in the Western Balkans and Turkey, Lot2".
Final date for implementing the Financing Agreement	12 years following the conclusion of the Financing Agreement.
Programming Unit	DG ELARG, Units for Bosnia and Herzegovina, Serbia and Multi-Country programmes
Implementing Unit or Union delegation	Union delegations to Bosnia and Herzegovina and Serbia, DG ECHO, Unit A5

2 DESCRIPTION OF THE SPECIAL MEASURE

2.1 PROBLEM ANALYSIS AND PAST AND ON-GOING SUPPORT

In May 2014, severe floods hit entire regions of Bosnia and Herzegovina and the Republic of Serbia, resulting in several dozens of casualties in both countries, causing the destruction of public and private infrastructure, as well as hitting hundreds of thousands of households. Damages and economic losses amount to around EUR 2 billion in Bosnia and Herzegovina and EUR 1.5 billion in Serbia. Bosnia and Herzegovina and Serbia have entered the reconstruction phase, which aims at quickly recovering from the disaster, preventing further threats and mitigating the negative effects of the floods on their already fragile economies.

At the donors' conference for Bosnia and Herzegovina and Serbia organised by the Commission together with France and Slovenia in Brussels on 16 July 2014 the European Commission pledged EUR 85 million for Bosnia and Herzegovina, EUR 80 million for Serbia and EUR 30 million for measures with a regional or cross-border dimension. For Serbia the amount could in the meantime be increased by EUR 12 million.

EU-financed flood recovery programmes of EUR 42 million in Bosnia and Herzegovina and of EUR 30 million in Serbia started already under previous IPA programmes in July and August 2014. This special measure will continue the support to reconstruction and recovery and will also put special emphasis on flood prevention and flood risk management aspects in the Western Balkans and Turkey in order to reduce the impact of severe weather conditions in the future.

At the donors' conference both countries committed to implementing flood protection, to work on flood defences and to enhance climate resilient infrastructure. The two countries also committed to cooperating regionally for river basin management. Bosnia and Herzegovina and Serbia belong to the same river basin, which requires efficient regional cooperation. Inland waterway infrastructure needs substantial improvements and clear linkages with climate-resilient flood prevention and overall river basin management. River restoration and dredge activities need to be carried out in order to support also the natural capacity of rivers and floodplains to retain water. Embankments, dykes, and dams will need to be reconstructed and upgraded using internationally agreed norms for reconstruction.

List of foreseen actions:

BOSNIA AND HERZEGOVINA

Action No + Title	Implementation modality	EUR
1 Support to flood protection and water management	Direct management	15 000 000
2 Housing interventions	Direct management	12 500 000
3 Strengthening SMEs' competitiveness	Indirect management GIZ	9 000 000
4 Support to civil protection	Direct management	2 500 000
5 Support to civil society in awareness raising, advocacy and recovery assistance to flood affected areas	Direct management	2 000 000
TOTAL		41 000 000

2.2 DESCRIPTION AND IMPLEMENTATION OF THE ACTIONS

BOSNIA AND HERZEGOVINA

Action 4	Support to civil protection	Direct management	EUR 2 500 000
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1 Description of the action, objective, expected results and key performance indicators

This action will support the development of effective civil protection through a series of large-scale practical exercises, provide the relevant institutions with necessary specialised equipment, assist the country in the accession to the Union Civil Protection Mechanism (UCPM) and improve the disaster risk reduction programmes in the field of civil protection.

The objective of this action is to improve the civil protection capacities in Bosnia and Herzegovina for emergency prevention, preparedness and response to natural and man-made disasters observing the best regional and European practices.

Expected results:

- Bosnia and Herzegovina competent institutions developed capacities needed for becoming Participating State of the Union Civil Protection Mechanism;
- civil protection authorities and intervention teams are capable to effectively respond in the event of major emergency in Bosnia and Herzegovina;
- disaster risk reduction approach through integrated risk assessment and preparedness planning is established in civil protection sector in Bosnia and Herzegovina.

Key performance indicators:

- level of readiness of Bosnia and Herzegovina for Union Civil Protection Mechanism membership including inter alia the establishment and effective implementation of single point of contact, national-wide procedures for cooperation with the Mechanism and participation in Mechanism activities, connection to CECIS and EU monitoring tools and early warning systems; evidence for the practical implementation of the Protocol of Cooperation and Establishment of Focal Point for Cooperation with the Union Civil Protection Mechanism;
- level of readiness / responsiveness of civil protection intervention teams for effective emergency relief operations; number of equipped civil protection teams;

- c) number of developed or updated disaster risk reduction plans.

This action will be closely coordinated with the related call for proposals on civil protection managed by DG ECHO.

2 Assumptions and conditions

The action requires close co-ordination of all relevant stakeholders to ensure effective civil protection. Failure to comply with this requirement may lead to a recovery of funds under this programme or the re-allocation of future funding, or both.

3 Implementation arrangements for the action

The action is implemented by direct management by the Union delegation to Bosnia and Herzegovina in consultation with DG ECHO.

4 Essential elements of the action

Procurement:

- a) the global budgetary envelope reserved for procurement is EUR 1 000 000
- b) the indicative number and type of contracts is one supply contract;
- c) the indicative time-frame for launching the procurement procedure is Q3/2017

Grant – Call for proposal "Support to Civil Protection" (EUR 1 500 000)

- a) objectives and foreseen results: see the results of the action under point 1;
- b) the essential eligibility criteria are as follows:

the Lead applicant

- (i) be a legal person,
 - (ii) be non-profit-making,
 - (iii) national level institutions, authorities or administration of the Member States of the European Union responsible for civil protection and / or emergency disaster management
- c) the essential selection criteria are the financial and operational capacity of the applicant.
 - d) the essential award criteria are the relevance, effectiveness and feasibility, sustainability and cost-effectiveness of the action. Following the call for proposals one proposal covering the set of activities described below will be awarded.
 - e) the following types of activities are to be covered:
 - provide trainings and exercises within the scope of targeted domain, including a focus on cross-entities joint activities;
 - support the practical implementation of the Protocol on cooperation and the establishment of points of contact for cooperation with the Union Civil Protection Mechanism (signed July 2014) in order to ensure the effective participation of the country in the Mechanism;
 - provide information and clarification sessions on the EU civil protection legislation and implementing rules. Identify and implement concrete actions to support the country in meeting the requirements and obligations under the EU civil protection legislation;

- provide technical advice on the set-up of the 24 hour a day emergency communication contact point of Bosnia and Herzegovina with the Emergency Response Coordination Centre (ERCC) of the European Commission in order to ensure smooth and continuous communication during disaster response operations;
 - support the establishment of a connection with the Common Emergency Communication and Information System (CECIS) to enable communication and sharing of information with ERCC and the civil protection authorities of the EU Member States;
 - support the linking of Bosnia and Herzegovina with the EU monitoring tools and early warning systems, where necessary in coordination with the Joint Research Centre of the European Commission, and their effective utilization;
 - provide capacity building activities which will prepare the country to effectively participate in Mechanism activities such as call for proposals, exercises, voluntary pool of European Emergency Response Capacity, transport co-funding, etc.
- f) the maximum possible rate of Union co-financing may be up to 100% of the total cost of the action in accordance with Article 192 of the Financial Regulation if full funding is essential for the action to be carried out, and the necessity for full Union funding will be justified by the responsible authorising officer in the award decision, in respect of the principles of equal treatment and sound financial management;
- g) the indicative amount of the call is EUR 1 500 000
- h) the indicative timetable for launching the call for proposals is Q4 / 2017.