Development and Implementation of the
International Guidelines on Urban and Territorial Planning (IG-UTP)

Milan Expo
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Overview

1. Mandate and Alignment with Global Agendas and UN-Habitat Strategic Plan 2014-2019


4. Draft Implementation and Monitoring Plan
1. Mandate and Alignment with Global Agendas and UN-Habitat Strategic Plan
Different types and approaches of urban and territorial planning exist and have been tested worldwide without universally agreed principles to guide decision makers towards sustainable urban development.

The International Guidelines on Urban and Territorial Planning (IG-UTP) will constitute a global framework for improving policies, plans and designs for more compact, socially inclusive, better integrated and connected cities and territories that foster sustainable urban development and are resilient to climate change.
The IG-UTP complement two sets of International Guidelines previously adopted by the Governing Council of UN-Habitat:

- Decentralisation and Strengthening of Local Authorities (2007);
- Access to Basic Services for All (2009).

These Guidelines have been used as a reference framework in a number of countries (at least 12) to catalyze policy and institutional reforms and leverage partnerships.
OP4. “Requests the Executive Director of UN-Habitat in consultation with the Committee of Permanent Representatives to initiate the elaboration of international guidelines on urban and territorial planning [...] and to present the draft guidelines to the Governing Council at its twenty-fifth session for approval”

OP8. “Requests the Executive Director of the UN-Habitat, in consultation with the Committee of Permanent Representatives, in the drafting of international guidelines on urban and territorial planning to engage in an inclusive consultative process [...] in order to inform the drafting process with best practices and lessons learned from different contexts and at different scales”
Alignment with Global Agendas

**The Future We Want** (para 134): “We recognize that, if they are well planned and developed, including through integrated planning and management approaches, cities can promote economically, socially and environmentally sustainable societies.”


**Habitat III:** GA Resolution A/C.2/69/L.62 (Dec. 2014)

**Other UN Agendas** (eg: 3rd World Conference on Disaster Risk Reduction)

If approved, the IG-UTP could inform and contribute to the preparatory process of **Habitat III** and the implementation of the **unfolding SDGs**
Make cities and human settlements inclusive, safe, resilient and sustainable

**GOAL**

**CORE TARGETS (OUTCOME-ORIENTED)**
- Housing/Slums/Services
- Transport (incl. Public Transport)
- Urban Planning
- Cultural Heritage
- Disaster Risk Reduction
- Environmental Impact
- Safe Public Space

**MOI TARGETS (PROCESS-ORIENTED)**
- Rural-Urban Linkages
- Policies/Plans (incl. Resilience)
- Financial/Tech Assistance
Alignment with UN-Habitat Strategic Plan 2014-2019

The draft IG-UTP covers the multi-scale continuum of planning and supporting the overall objective of UN-Habitat Sub-Programme 2 on Urban Planning and Design as well as UN-Habitat flagship initiatives such as:

- National Urban Policies and Planned City Extensions (Res. GC 24/5)
- Revitalization of Public Spaces (Res. GC 23/4)
- Cities and Climate Change Initiative (Res. GC 22/3)

The IG-UTP development process has received the technical and financial support of France and Japan.
Overview of the IG-UTP Development Process

**GC 24 (April 2013)**
Resolution 24/3 mandating the development of IG-UTP

- **Drafting Process**
  - Guidelines
  - Case Studies
- **Consultation Process**
  - Member States (CPR)
  - Other target groups

**GC 25 (April 2015)**
Approval of the IG-UTP
UN-Habitat established a **Group of Experts** to support and guide the IG-UTP drafting process.

Experts represent Africa, Asia, Europe and America and include nominees from:
- national governments;
- local authorities (eg: UCLG);
- development partners (eg: World Bank, OECD, UNCRD);
- associations of planners (eg: ISOCARP);
- research and academia;
- civil society organizations;
- UN-Habitat regional offices.
Drafting Process: Guidelines

Oct. 2013
Draft 0
UN-Habitat GC 24
Nairobi

EGM #1
Paris

April 2014
Draft 1/2
EGM #2
Medellin

Nov 2014
Pre-final Draft
EGM #3
Fukuoka

April 2015
Final Draft
UN-Habitat GC 25
Nairobi
50 short draft case studies have been produced by the Experts covering different regions and spatial scales
Consultation Process: Member States

Committee of Permanent Representatives (CPR)

- **Aug. 2013**: CPR information session and nomination of experts
- **May 2014**: CPR information session and presentation of the IG-UTP structure
- **Jan. 2015**: CPR discussion on the draft IG-UTP and the roadmap towards GC25

National Events

- **Oct. 2014 - Yaoundé, Cameroon**: 1st National Urban Forum
Consultation Process: other target groups

- **April 2014 - Medellin, Colombia**: WUF7 - UN High Level Inter-Agency Meeting (27 UN agencies)

- **May 2014 - New York, USA**: EcoSoc Integration Segment – Side Event

- **Nov. 2014 - Seoul, Korea**: 5th Asia Pacific Ministerial Conference on Housing and Urban Development (APMCHUD 5) – Working Group

- **Dec. 2014 - Geneva, Switzerland**: 1\(^{st}\) meeting of the UNECE Task Group on Urban Planning

- Prepcom2 and GC25: side events

- **Continuous internal consultations** within UN-Habitat (HQ and Regional Offices)
Promote **key Urban and Territorial Planning (UTP) principles** organized along 4 main pillars:

1. **URBAN POLICY AND GOVERNANCE**

2. **UTP FOR SUSTAINABLE DEVELOPMENT**
   - 2.a UTP and Social Development
   - 2.b UTP and Sustained Economic Growth
   - 2.c UTP and the Environment

3. **UTP COMPONENTS**

4. **IMPLEMENTATION OF UTP**

Include **action-oriented recommendations** for stakeholders involved in urban and territorial planning (national and local authorities, CSOs, professionals…)

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UN-Habitat

FOR A BETTER URBAN FUTURE
1. Urban and Territorial Planning is more than a technical tool; it is an integrative and participatory decision-making process that addresses competing interests and is linked to a shared vision, an overall development strategy as well as national, regional and local urban policies.

2. Urban and Territorial Planning represents a core component of the renewed urban governance paradigm which aims at promoting local democracy, participation and inclusion, transparency and accountability, with a view to ensuring sustainable urbanization and spatial quality.

3. Urban and Territorial Planning primarily aims at realizing adequate standards of living and working conditions of all segments of present and future society and at ensuring equitable distribution of the costs, opportunities and benefits of urban development, and particularly at promoting social inclusion and cohesion.

4. Urban and Territorial Planning constitutes an essential investment in the future and a pre-condition to better quality of life and successful globalization processes that have to respect cultural heritages and cultural diversity and to recognize the distinct needs of various groups.
5. Urban and Territorial Planning is a catalyst for sustained and inclusive economic growth through providing a framework for new economic opportunities, regulation of land and housing markets and timely provision of adequate infrastructure and basic services.

6. Urban and Territorial Planning constitutes a powerful decision-making mechanism to ensure that sustained economic growth, social development and environmental sustainability go hand in hand and to promote better connectivity at all territorial levels.

7. Urban and Territorial Planning provides a spatial framework to protect and manage the natural and built environment of cities and territories, including biodiversity, land and natural resources, and to ensure integrated and sustainable development.

8. Urban and Territorial Planning contributes to increase human security by strengthening environmental and socio-economic resilience, enhancing mitigation and adaptation to climate change, and improving the management of natural and environmental risks and hazards.
9. Urban and Territorial Planning combines several spatial, institutional and financial dimensions over a variety of time horizons and geographical scales. It is a continuous and iterative process, grounded in enforceable regulations, that aims at promoting more compact cities and synergies between territories.

10. Urban and Territorial Planning includes spatial planning which aims at facilitating and articulating political decisions based on different scenarios. It translates these decisions into actions that will transform the physical and social space and support the development of integrated cities and territories.

11. Adequate implementation of urban and territorial plans in all their dimensions requires political leadership, appropriate legal and institutional frameworks, efficient urban management, improved coordination, and consensus-building approaches to respond coherently and effectively to current and future challenges;

12. Effective Urban and Territorial Planning implementation and evaluation requires in particular continuous monitoring and periodic adjustments and sufficient capacities at all levels, as well as sustainable financial mechanisms and technologies.
3. IG-UTP at the 25th session of UN-Habitat Governing Council

And Mainstreaming into Habitat III process
Key Milestones

Jan. 2015:
- Transmission by the ED of ‘Thank You’ letters to the Experts (cc Embassies)
- Submission and presentation of the draft Guidelines to the CPR

Feb. 2015:
- Editing of the Guidelines by UNON Conference Services
- Submission of the edited Guidelines to the GC Secretariat (English version)
- Translation of the Guidelines in the 6 UN official languages
- Drafting and sponsoring of the Resolution for the 25th session of the GC

March 2015:
- Submission of the edited Guidelines to the GC Secretariat (6 languages)
- Submission of the draft Resolution to the GC Secretariat
- Submission of an information document to the GC Secretariat (English)

April 2015:
- Briefing and side events during the GC 25 / Prepcom 2 of Habitat III
- Adoption of the Resolution on the IG-UTP
DEVELOPMENT OF THE COMPENDIUM OF INSPIRING PRACTICES: THE PROCESS & SELECTION

• The cases were drafted by international experts who have been nominated by Member States and international organizations and associations.
• A total of 46 cases were received.
• Selection based on:
  1. A historical perspective
  2. Concrete Impacts
  3. An integrated planning approach.
  4. Demonstrate the principles of the guidelines.
  5. Thematically linked to the UN Qualifiers
  6. Overall compendium to be regionally balanced.
ENCOMPASSING THE PILLARS OF THE GUIDELINES

1. Progressive policies and governance

2. Integrative spatial strategies with sustainable development goals

3. Combining planning dimensions (spatial, institutional, financial) for an iterative, enforceable approach

4. Coordinated Implementation
EMERGING LESSONS FROM THE 46 GLOBAL CASES

1. Integrated Policy Formulation and Implementation
2. Transformative Renewal Strategies
3. Environment Planning and Management
4. Planning Compact, Integrated and Connected Cities and Regions
5. Inclusive and Participatory Planning
MELBOURNE, AUSTRALIA integration of land use processes with the natural environment.

NORWAY urban policy to integrate climate mitigation measures into different scales of planning

SURABAYA, INDONESIA green, sustainable development strategy through
MEDELLIN, COLOMBIA fixed, public infrastructure interventions to reclaim the city.

RHINE-RUHR, GERMANY reimagined a decaying industrial centre through ecological revitalisation.

IMBABA, EGYPT, urban regeneration of brownfields, territorial cohesion.
REGIONAL AND METROPOLITAN PLANNING

SENGWE-TSHIPISE WILDERNESS CORRIDOR, transboundary collaboration, preservation of biodiversity

SHENZHEN, CHINA, special economic zones and master planning.

DOUALA, CAMEROON city development strategy, public participation and a shared vision.
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<th>Media</th>
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<th>Title of article</th>
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<td>Citiscope</td>
<td>International</td>
<td>Global guidelines provide participatory tool for urban planners</td>
<td><a href="http://citiscope.org/habitatIII/news/2015/05/global-guidelines-provide-participatory-tool-urban-planners">http://citiscope.org/habitatIII/news/2015/05/global-guidelines-provide-participatory-tool-urban-planners</a></td>
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<td>Housing News</td>
<td>Nigeria</td>
<td>UN council approves guidelines on urban, territorial planning</td>
<td><a href="http://www.housingnews.org.ng/un-council-approves-guidelines-on-urban-territorial-planning/">http://www.housingnews.org.ng/un-council-approves-guidelines-on-urban-territorial-planning/</a></td>
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<td><a href="http://www.ngguardiannews.com/2015/05/un-council-approves-guidelines-on-urban-territorial-planning/">http://www.ngguardiannews.com/2015/05/un-council-approves-guidelines-on-urban-territorial-planning/</a></td>
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<td>Urbamente</td>
<td>Mexico</td>
<td>ONU HABITAT PUBLICA NUEVAS DIRECTRICES DE PLANIFICACIÓN URBANA</td>
<td><a href="http://urbanamente.mx/2015/05/oun-habitat-publica-nuevas-directrices-de-planificacion-urbana/">http://urbanamente.mx/2015/05/oun-habitat-publica-nuevas-directrices-de-planificacion-urbana/</a></td>
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THE WAY FORWARD

• The Compendium is an ongoing, open initiative.

• Currently 9 more cases being finalised for inclusion.

• As uptake and engagement with the IG-UTP grows, more cases will be submitted.

• Aim to grow a database of innovative, inspiring and progressive approaches for sustainable development.

• Opportunity to share cases from within the unit to support the branch and the ongoing implementation and support of the Guidelines.
4. Proposed Implementation and Monitoring Plan
1. Providing inputs and contributing to the preparatory process of Habitat III

2. Promoting the Guidelines in international, regional and national meetings and events

3. Finalising and expanding the Compendium of Inspiring Practices (open database/publication)

4. Finalising and Launching the IG-UTP Programme (subject to funding)

5. Sustain the Community of Practices that has emerged from the Group of Experts
How are we planning to implement and monitor the IG-UTP?

A potential IG-UTP Programme could support a set of complementary activities at 3 levels:

- At the **global** level, it would focus on knowledge management, exchange of experience, tool development, monitoring and reporting;

- At the **regional** level, it would focus on awareness raising, comparative assessment, adaptation, policy dialogue and capacity development;

- At the **country/local** level, it would focus on the national adaptation of the IG-UTP, review and adjustment of legal and regulatory frameworks, and application of the adapted guidelines to concrete city and territory situations.
Objectives:

- Develop and disseminate a diversity of planning approaches adapted to different contexts and scales;
- Mainstream urban and territorial issues and principles in the development agendas of national, regional and local governments strategies;
- Deliver a set of concrete outputs at global, regional and national/local levels.

Expected Accomplishments (EA):

- **EA1**: Collective international knowledge enhanced and new global tools developed on Urban and Territorial Planning (global level);
- **EA2**: Policy dialogue activated and capacities strengthened at regional level;
- **EA3**: Guidelines adapted and under monitored implementation in at least ten developing countries by 2018 (country level).
Potential IG-UTP Programme at Glance (2)

**Partners:**
- National Governments;
- Local Authorities and their associations (eg: UCLG);
- UN agencies (UNCRD, Regional Commissions…);
- Professional associations (eg: ISOCARP);
- Civil Society Organizations.

**Timeframe:** 2 years (2015-2017)

**Estimated Budget:** USD 1,700,000
- EA1: USD 300,000 (global level)
- EA2: USD 400,000 (regional level)
- EA3: USD 1,000,000 (country level)