European Interoperability Reference Architecture (EIRA) State of play

Making Standards Work® e-Government
The Open Group Conference
July 18th, 2017 Ottawa, Canada
Dr. Raul Abril, European Commission
DG DIGIT, ISA

Agenda

- Interoperability
- ISA^2 Programme – ISA unit
- EIRA

Interoperability (IOP)

"ability of organisations to interact towards mutually beneficial goals, involving the sharing of information and knowledge between these organisations, through the business processes they support, by means of the exchange of data between their ICT systems" (*)

(*) COM(2017) 134 final, Communication from the Commission to the European Parliament, the Council, the European Economic and Social Committee and the Committee of Regions. European Interoperability Framework – Implementation Strategy. Brussels, 23.03.2017.

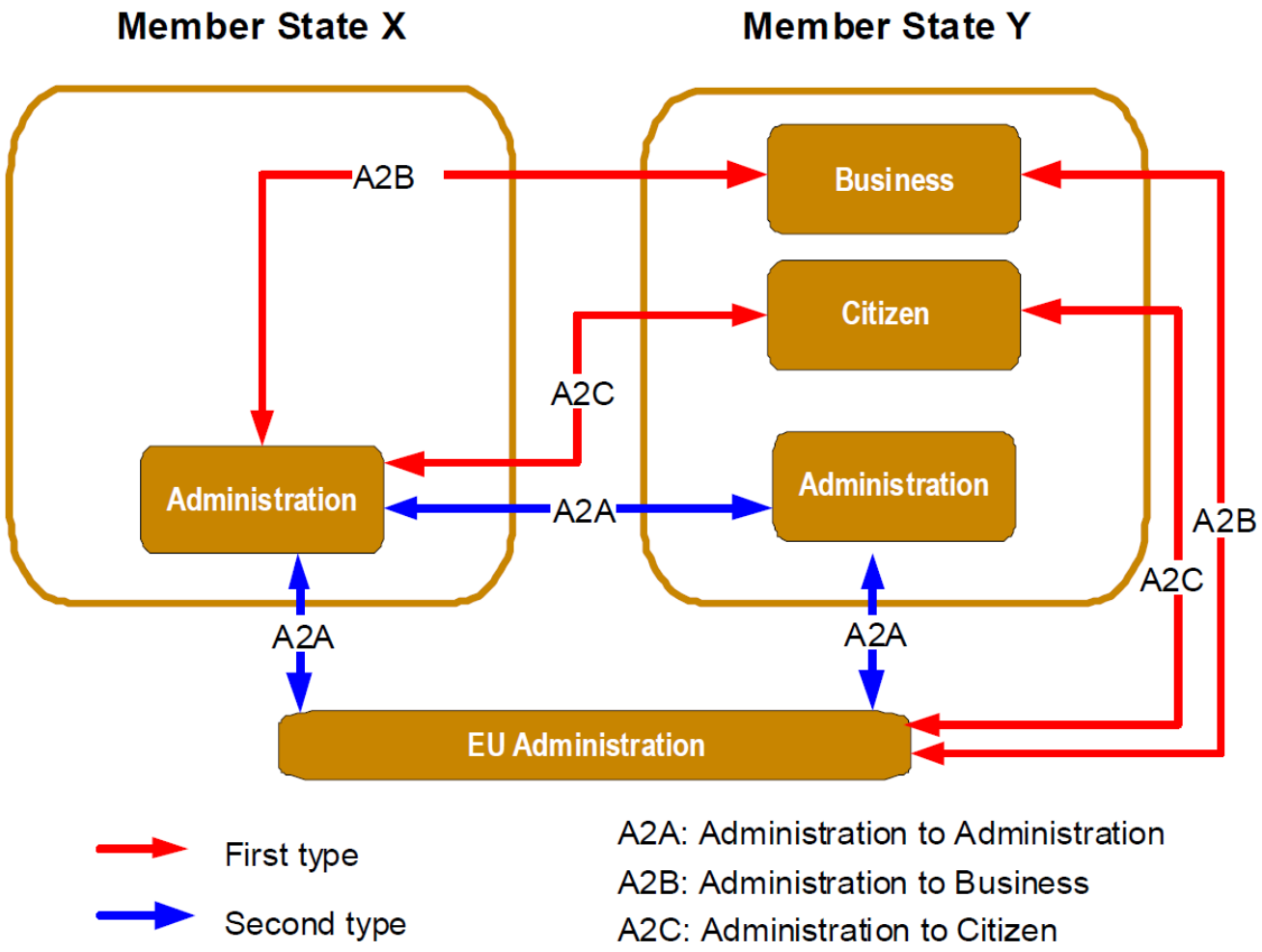
Examples of European Public Services

European Commission

Sector/Area	Service
Business development (A2B, A2A)	Start-up of a company Public procurement Registration of patents, trademarks, designs Consumer protection, labelling, packaging
Certificates and licenses (A2C)	Birth and marriage certificates Driving licences Passports, visas Residence and working permits Car registration
Education (A2C)	Enrolment in schools and universities Study grants
Taxes for citizens (A2C)	Online Tax

Sector/Area	Service
Social security (A2C)	Information service for social security systems Unemployment benefits Child allowances Pensions Public health insurance
Supply of statistical data (A2B, A2A)	Tax for businesses VAT refunding Information on tax incentives Declaration of excise goods
Work (A2C)	Recognition of qualifications and diplomas Job search
Customs (A2C, A2B, A2A)	Information on Customs duties Customs declarations

Scenarios



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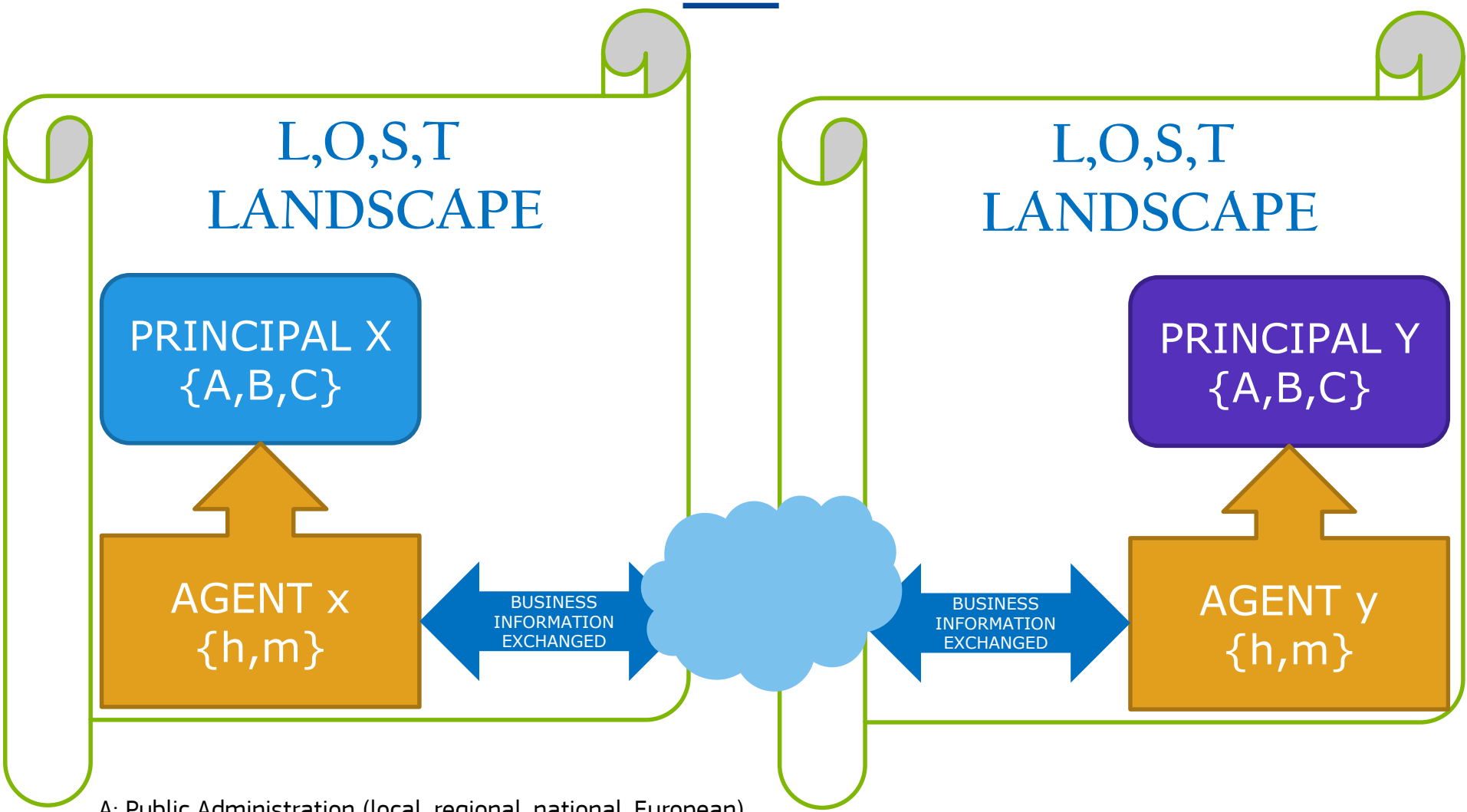
http://eur-lex.europa.eu/resource.html?uri=cellar:2c2f2554-0faf-11e7-8a35-01aa75ed71a1.0017.02/DOC_3&format=PDF

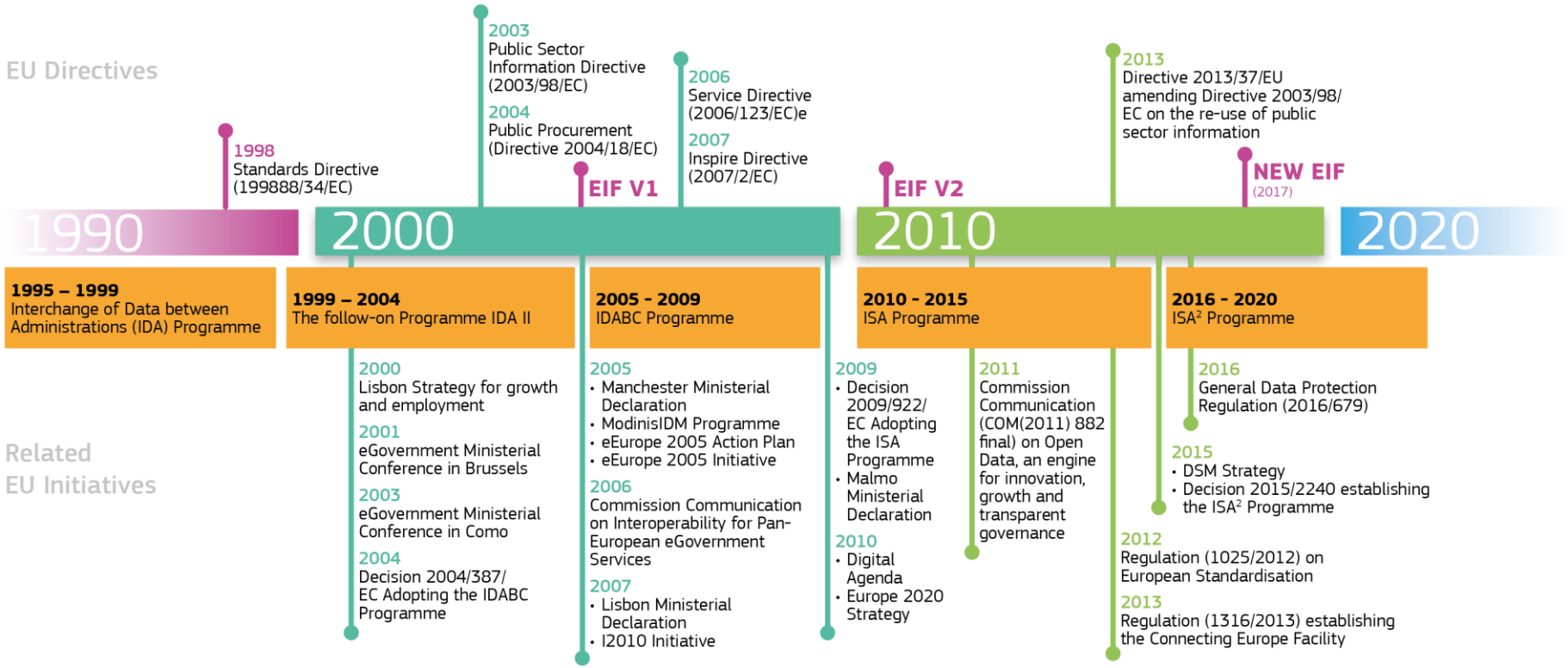
The IOP challenge: LOST landscapes of agents.



A: Public Administration (local, regional, national, European)
B: Business
C: Citizen

European Commission

Related IOP EU initiatives timeline



European Commission

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See www.computer.org/computer-multimedia for multimedia content related to the features in this issue.

IEEE's COMPUTER vol 47 issue 10
"Promoting Interoperability in Europe's e-Government"

What is EIRA?

- ✓ Architecture content metamodel
- ✓ Defining the most salient architectural building blocks (ABBs) needed to build interoperable solutions supporting public services
- ✓ Each ABB models a dcoupled functionality
- ✓ Result of a collaborative effort of MS
- ✓ As any RA
  - ✓ Has a focus: Interopeability
  - ✓ Has an Reference Model/Ontology: ArchiMate ©
  - ✓ Has an Architecture Style: SOA

Why EIRA?. ISA^2 legal bases (*)

"…. The ISA^{2}programme shall support and promote:

f) the mapping and analysis of the overall interoperability landscape in the Union ***through the establishment, maintenance and improvement of the EIRA and the EIC as instruments to facilitate the re-use of existing interoperability solutions and to identify the areas where such solutions are still lacking****;*

(g) ***the maintenance, updating, promotion and monitoring of the implementation of the EIS, the EIF and the EIRA****"*

(*) DECISION (EU) 2015/2240 OF THE EUROPEAN PARLIAMENT AND OF THE COUNCIL of 25 November 2015

Why EIRA? Alignment

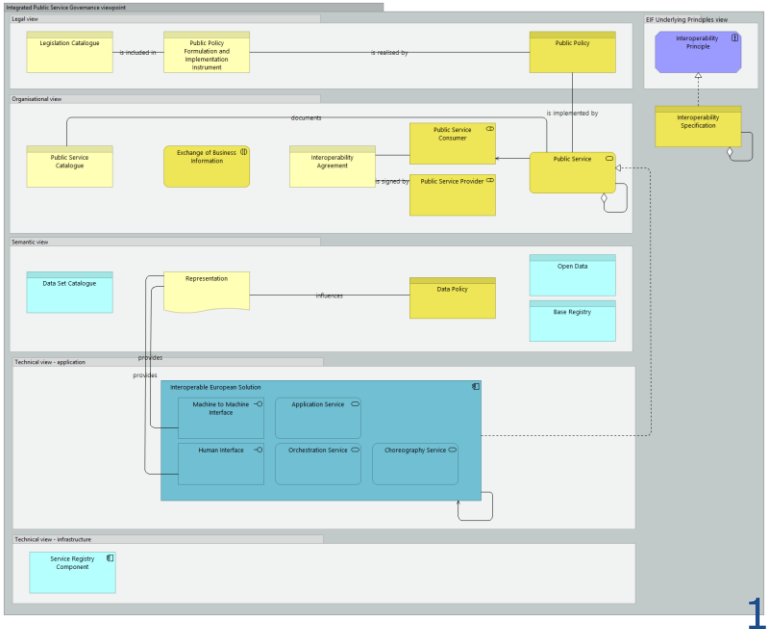
European Commission

EIF

Framework and principles

EIRA 2.0.0

Implementation guidelines and specifications



What is the CarTool?

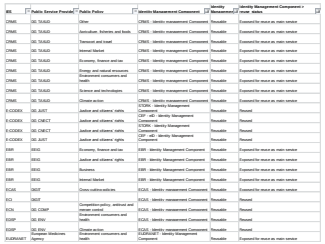
A solution owner or an service acting on his/her behalf uses the CarTool in any of the use cases. He/She might work on the basis on the documentation on an existing solution

The CarTool is the response of the EC to a request of the MS
In order to allow them to document solutions in compliance to EIRA
Iis an open SW pluggin developed for the ARCHI SW

Documentation on a Solution in production

CarTool
[EIRA]
CARTOGRAPHY TOOL

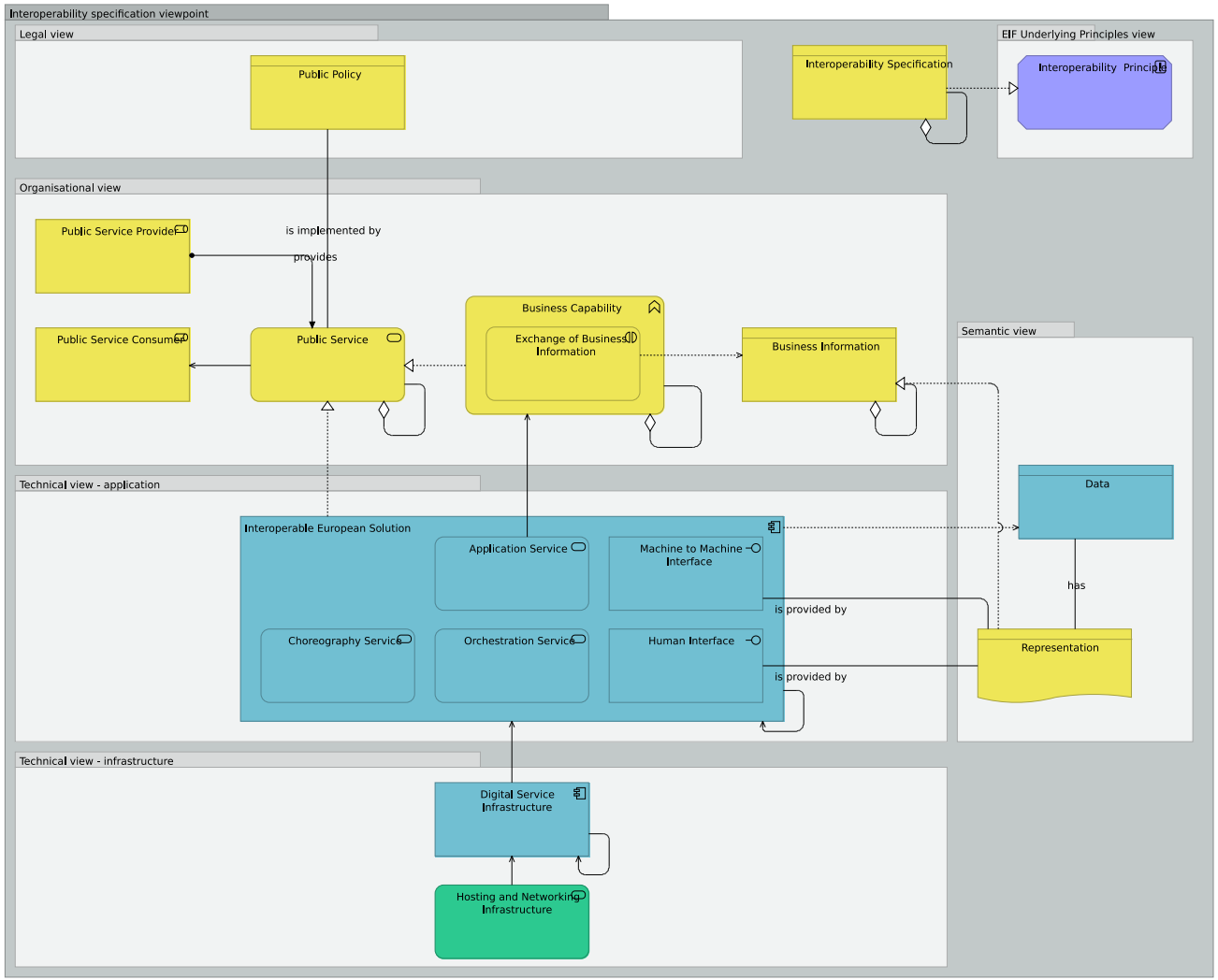
The CarTool supports 1) to design, document and search solutions according to EIRA and 2) to export descriptions of solutions in MEF standard format



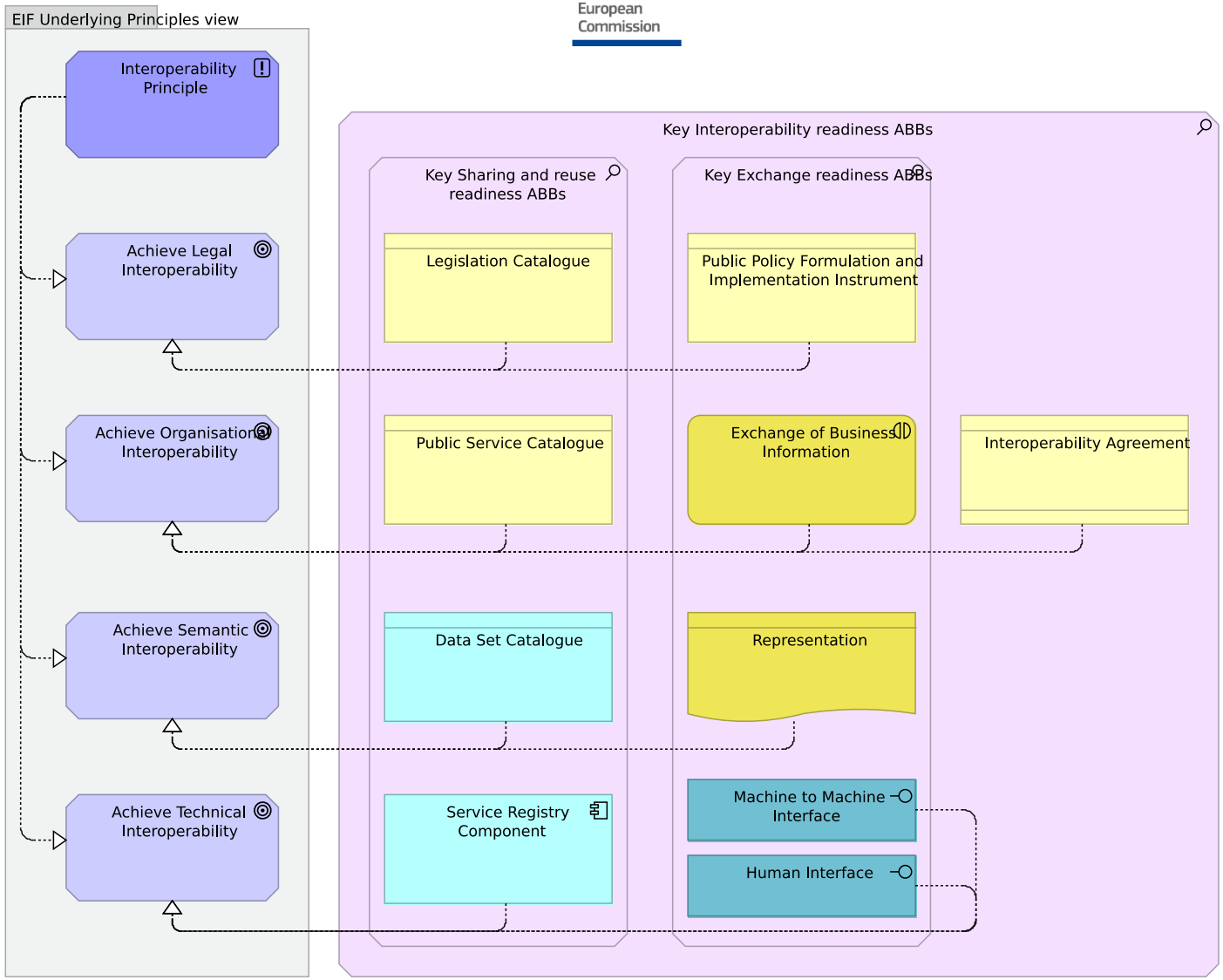
Cartography

A cartography is the outcome of mapping/decomposing existing solutions or documenting new ones against a taxonomy (EIRA in our case)

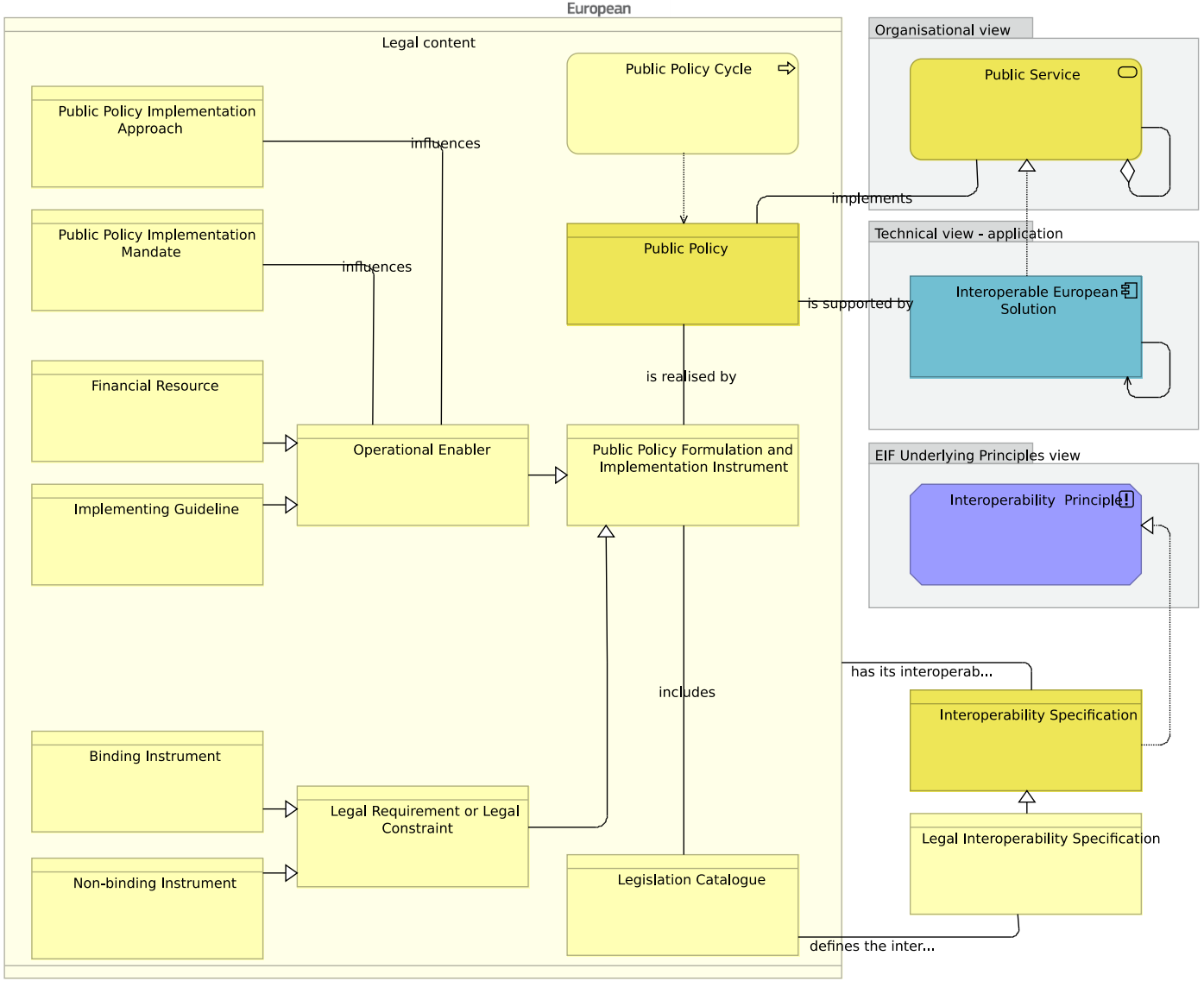
EIRA High-Level viewpoint



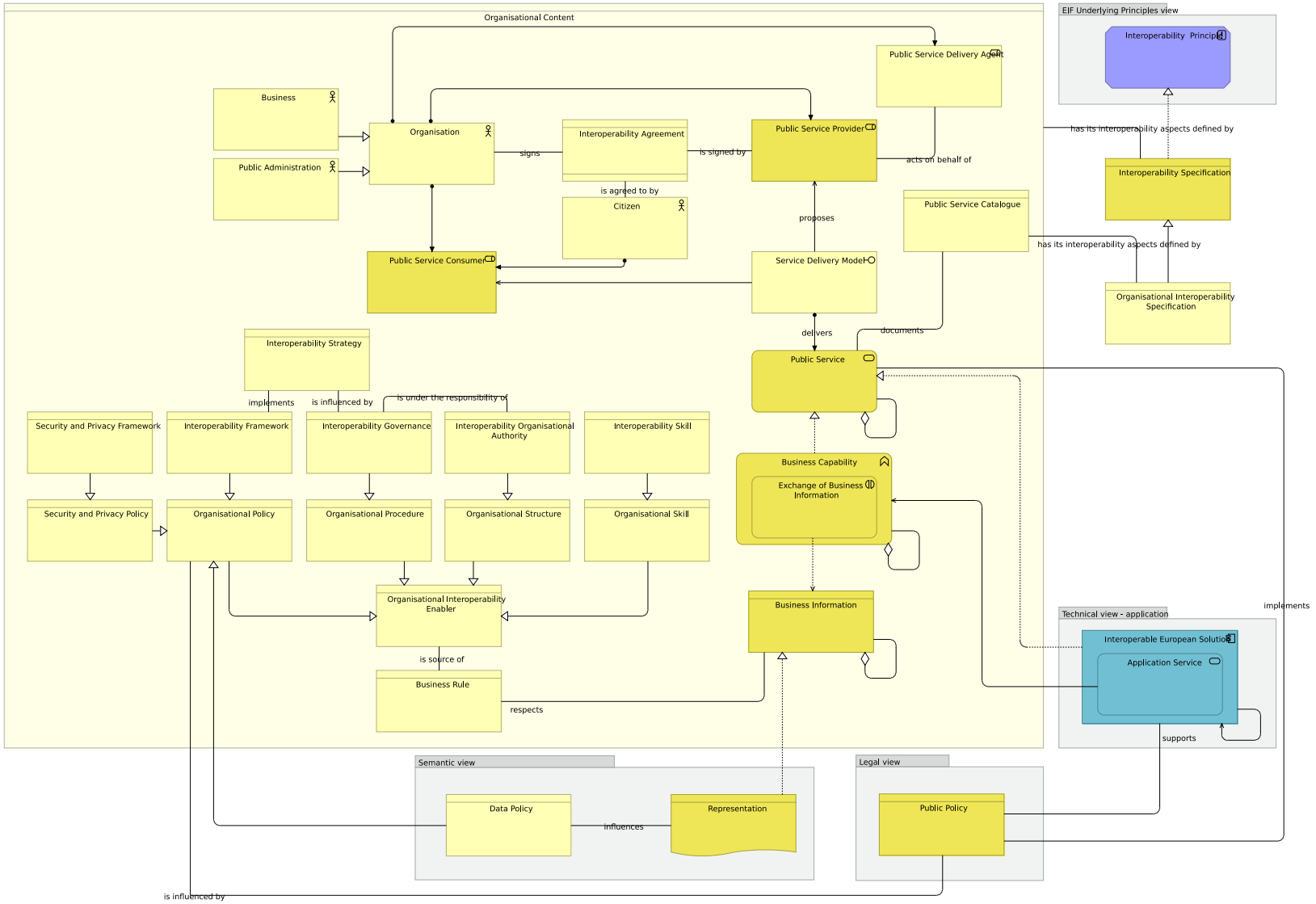
EIRA Integrated Public Service Governance viewpoint



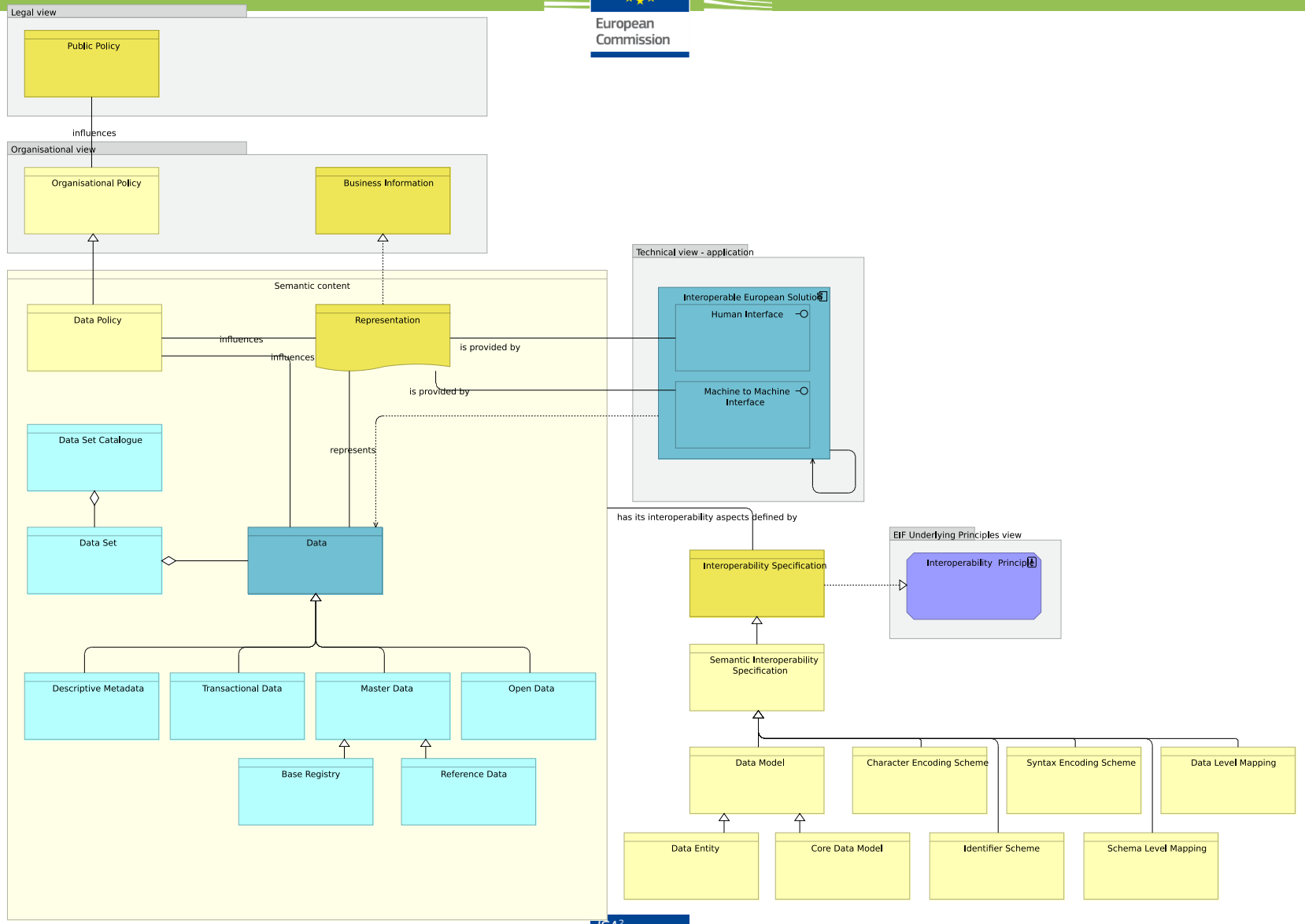
EIRA Legal view



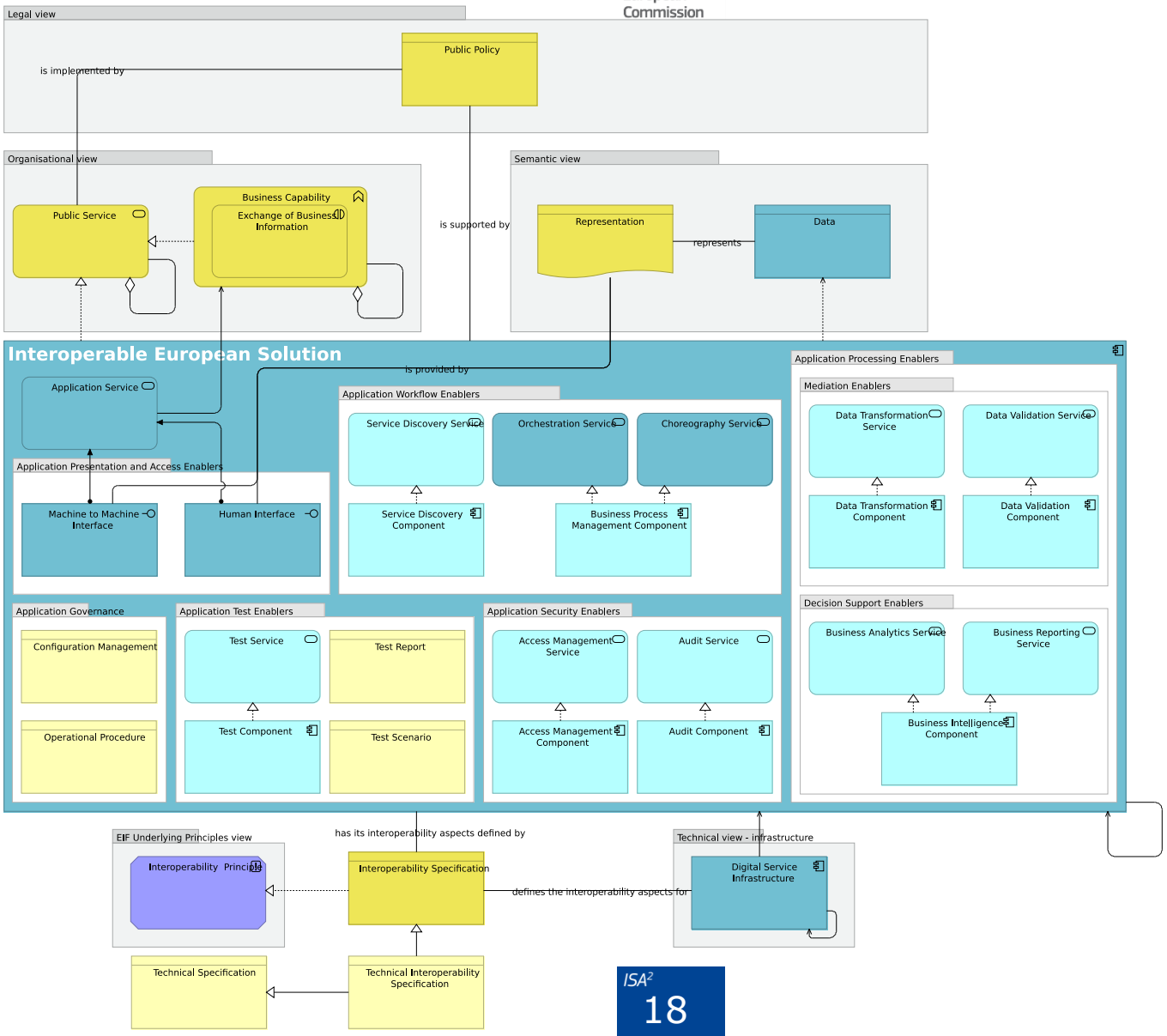
EIRA Organizational view



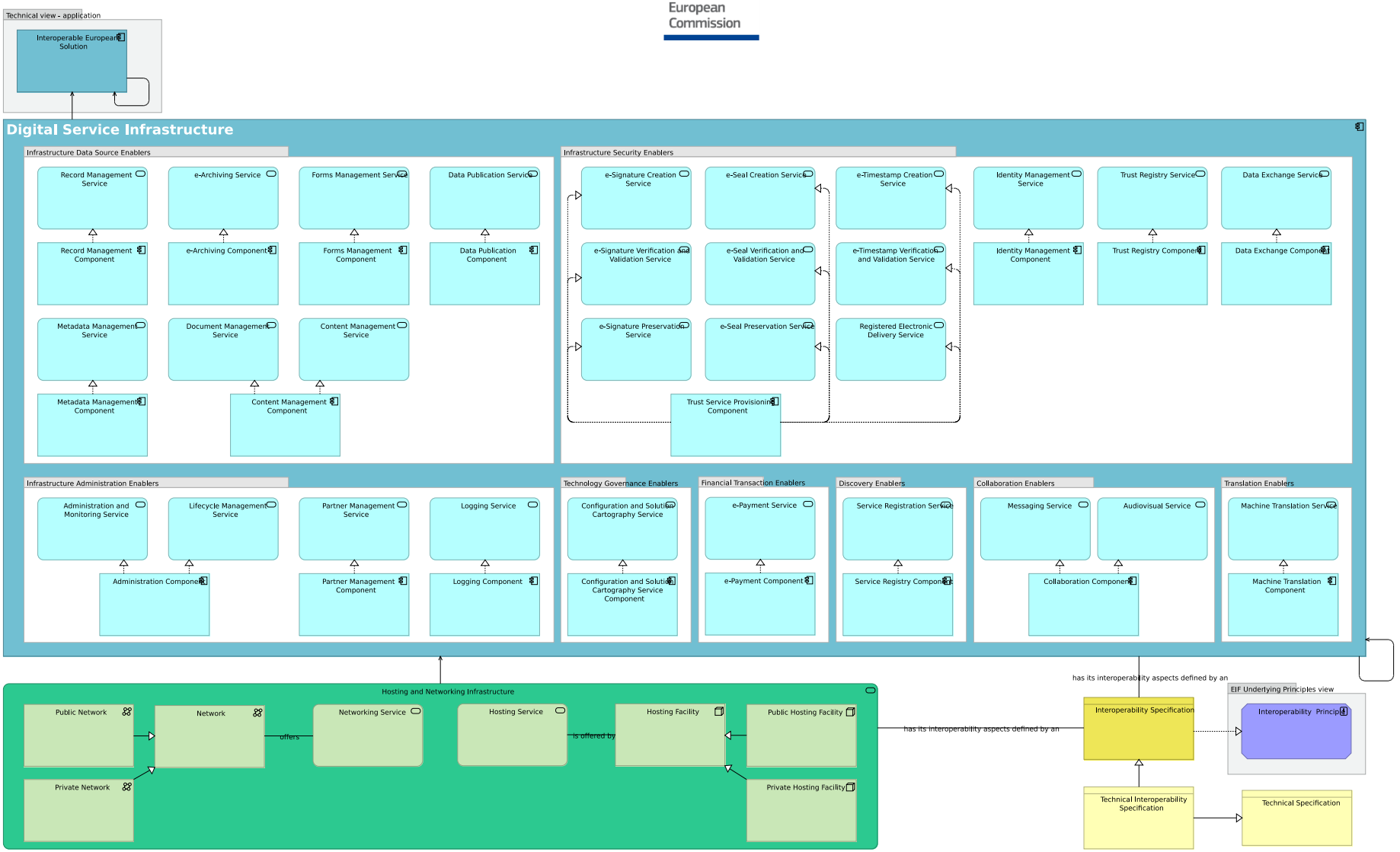
EIRA Semantic view



EIRA Technological – Application part view



EIRA Technological – Infrastructure part view



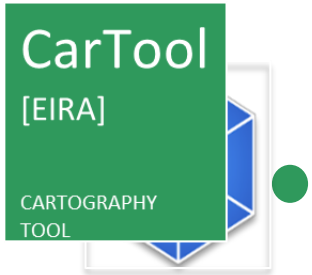
Benefits of the EIRA & CarTool

European Commission

Designing	Accelerate the design of systems that support the delivery of interoperable digital public services (across borders and sectors).
Assessing	Provide a reference model for comparing existing architectures in different policy domains and thematic areas, to identify focal points for convergence and reuse.
Communicating and Sharing	Help documenting the most salient interoperability elements of complex systems and facilitate the sharing of reusable solutions.
Discovering and Reusing	Ease the discovery and reuse of interoperability solutions through the TES Cartography using the CarTool.

EIRA & CarTool Use Cases

European Commission



Designing

- Design solution architectures
- Create Cartography

Assessing

- Assessing solution architectures
- Portfolio mgmt decision support
- Support Impact Assessment on ICT

Communicating and Sharing

- Document interoperability solutions

Discovering and Reusing

- Search for interoperability solutions

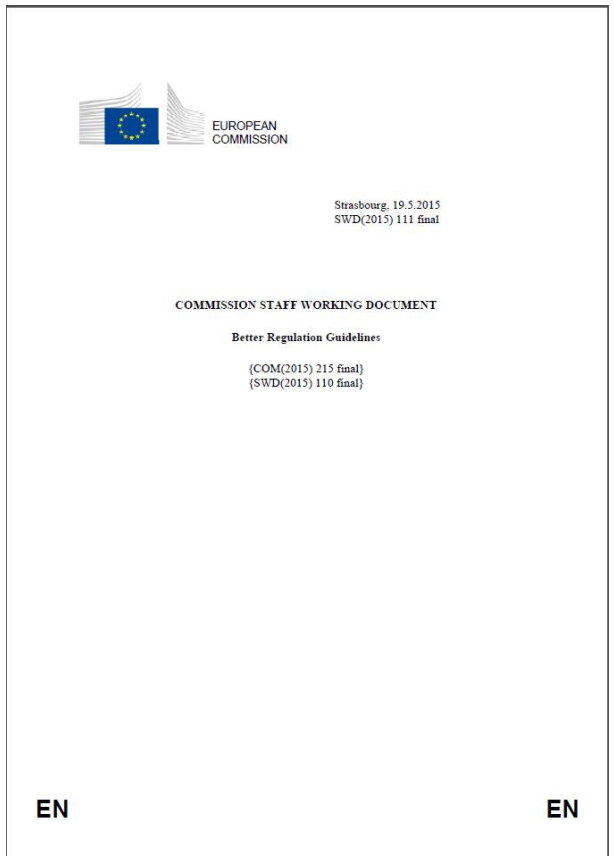
How EIRA & CarTool support IOP in e-Government?

EIRA
EUROPEAN INTEROPERABILITY REFERENCE ARCHITECTURE

CarTool
[EIRA]
CARTOGRAPHY TOOL

	Designing	Assessing	Communicating and Sharing	Discovering and Reusing
Providing a controlled vocabulary	● ●	● ●	● ●	● ●
Decoupling functionalities in Architectural Building Blocks	● ●	● ●	● ●	● ●
Facilitating the identification of IOP specifications	● ●	● ●	● ●	● ●
Holistique L,O,S,T IOP guidance	● ●	● ●	● ●	● ●
Accelerating development cycle	● ●	○ ○	○ ○	● ●
Enabling cartographies	○ ●	○ ●	○ ●	○ ●
Promoting discovery/reusability	● ●	● ●	● ●	● ●
Supporting Portfolio Mgmt Decision Making	○ ●	○ ●	○ ●	○ ●
Supporting Public Policy formulation	○ ●	○ ●	○ ●	○ ●

How EIRA supports IOP in e-Government?. Impact on ICT



EUROPEAN COMMISSION

Strasbourg, 19.5.2015
SWD(2015) 111 final

COMMISSION STAFF WORKING DOCUMENT

Better Regulation Guidelines

{COM(2015) 215 final}
{SWD(2015) 110 final}

EN EN

Chapter VIII
The content of the Better Regulation Toolbox

The different chapters in this Better Regulation guideline are complemented by the following tools[117] which provide more detailed information.

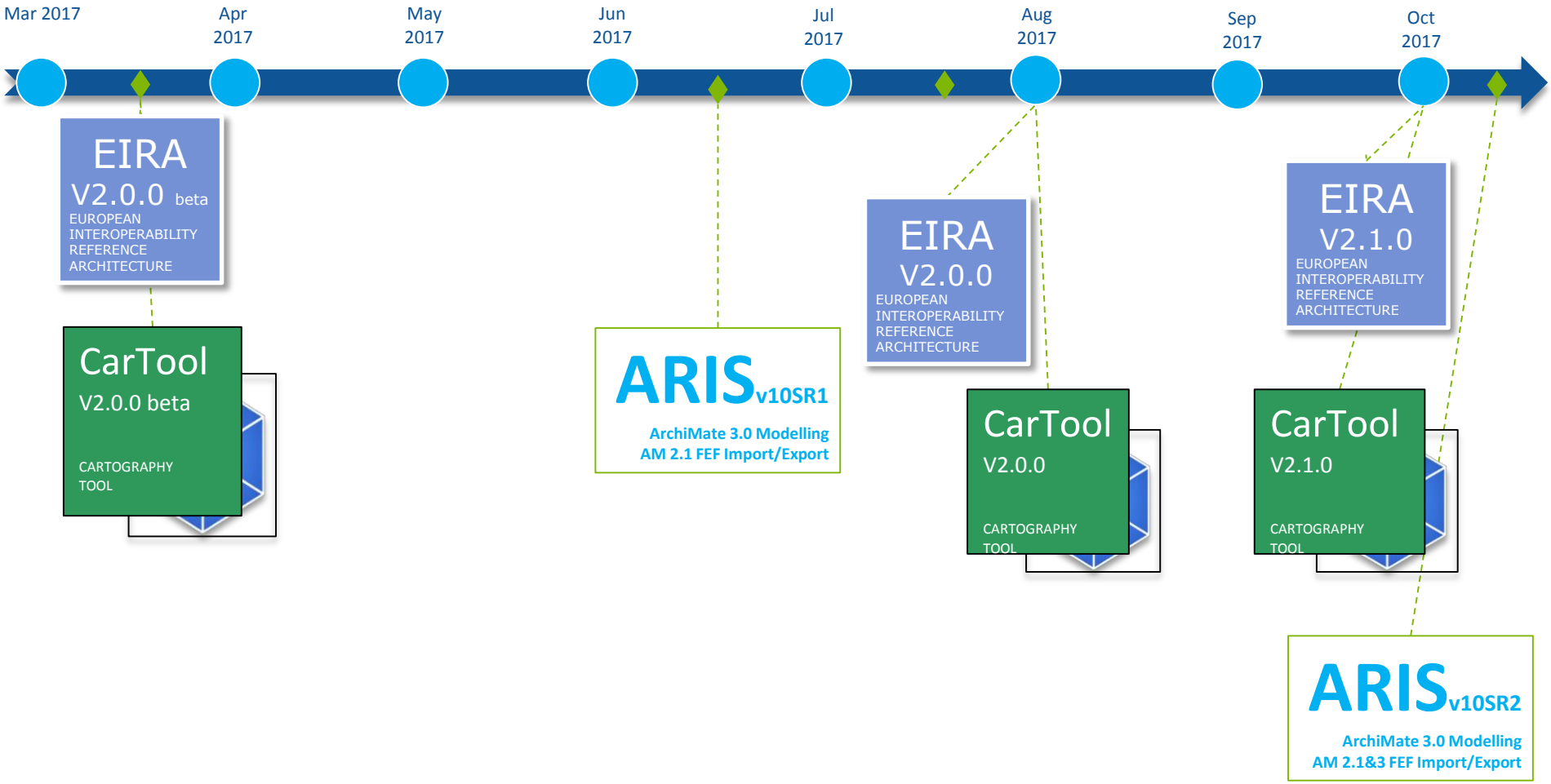
General principles of Better Regulation	
1	Principles of Better regulation
2	Evidence gathering
3	Subsidiarity and proportionality
How to carry out an impact assessment	
4	When is an impact necessary?
5	What steps should I follow for an IA?
6	IA for spending programmes and financial instruments
7	IA requirements for social partner agreements
8	Format of the IA report
9	How to undertake a proportionate IA?
10	Stakeholder consultation in the context of an IA
11	How to analyse problems
12	Risk assessment and management
13	How to set objectives
14	How to identify policy options
15	The choice of policy instrument
How to identify impacts in Impact Assessments, evaluations and Fitness Checks	
16	Identification/Screening of Impacts
17	Impacts on sectoral competitiveness
18	Impacts on Research and Innovation
19	The SME test
20	Impacts on Competition
21	Impacts on the Internal market
22	Impacts on external trade and investment
23	Impacts on ICT, the digital economy and society
24	Fundamental Rights & Human Rights

[117] http://ec.europa.eu/smart-regulation/index_en.htm

How EIRA supports IOP in e-Government?. Impact on ICT

https://www.youtube.com/watch?v=RWhoy3ilYEA

Roadmap key milestones



Questions?

ISA² programme

You click, we link.

Stay in touch

ec.europa.eu/isa2

@EU_isa2 **isa@ec.europa.eu**

Run by the ISA Unit at DIGIT (European Commission) with 131€M budget, the ISA² programme provides public administrations, businesses and citizens with specifications and standards, software and services to reduce administrative burdens.

Contact us

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Raul Abril

DIGIT-EIRA@ec.europa.eu

For more information

A Reference Architecture for Interoperability in the European Union: A Conversation with Raul Abril

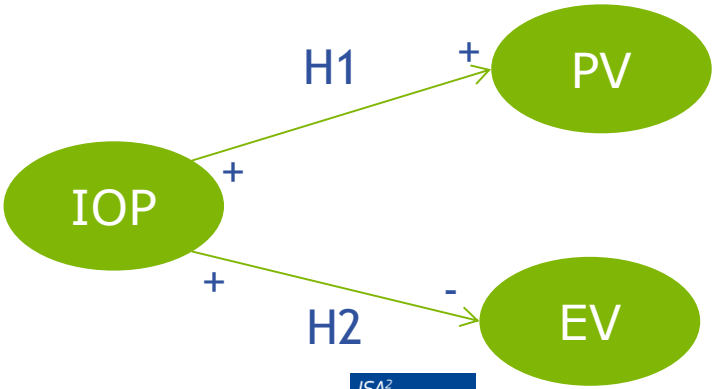
EIA Joinup community	https://joinup.ec.europa.eu/asset/eia/description
ISA website	http://ec.europa.eu/isa/index_en.htm
ISA FAQ	http://ec.europa.eu/isa/faq/faq_en.htm

Business case for IOP in e-Government

IOP is the independent variable [of performance/economic cost variables]

H1: "There is a positive correlation between IOP and [performance variable] (i.e. # requests/hr) of ***public service delivery*** to public administrations citizens and businesses"

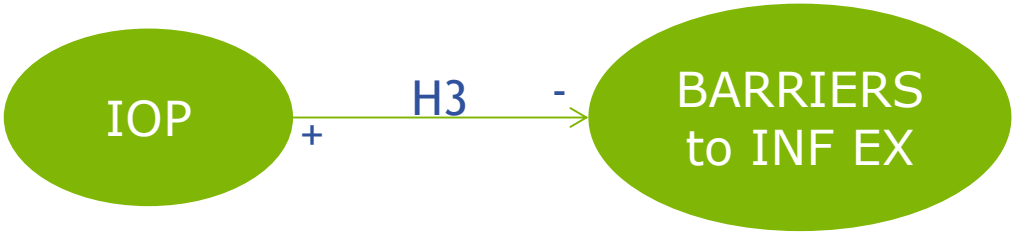
H2:"There is a negative correlation between IOP and [economic cost variable] (i.e. # rejection cost) of ***public service delivery*** for public administrations, businesses and citizens"



Business case for IOP in e-Government

IOP is a means to eliminating barriers in business information exchange (it is not the goal)

H3: ”There is a negative correlation between IOP and barriers to business information exchange”



Why EIRA? Alignment

COM(2017) 134 &
ANNEX 1

Focus areas?
Objectives?
When?

Interoperability Implementation Strategy

Governance and strategy

ANNEX 2

What?

European Interoperability Framework (EIF)

Framework and principles

How?

European Interoperability Reference Architecture (EIRA)

Implementation Guidelines and Specifications

http://eur-lex.europa.eu/resource.html?uri=cellar:2c2f2554-0faf-11e7-8a35-01aa75ed71a1.0017.02/DOC_1&format=PDF
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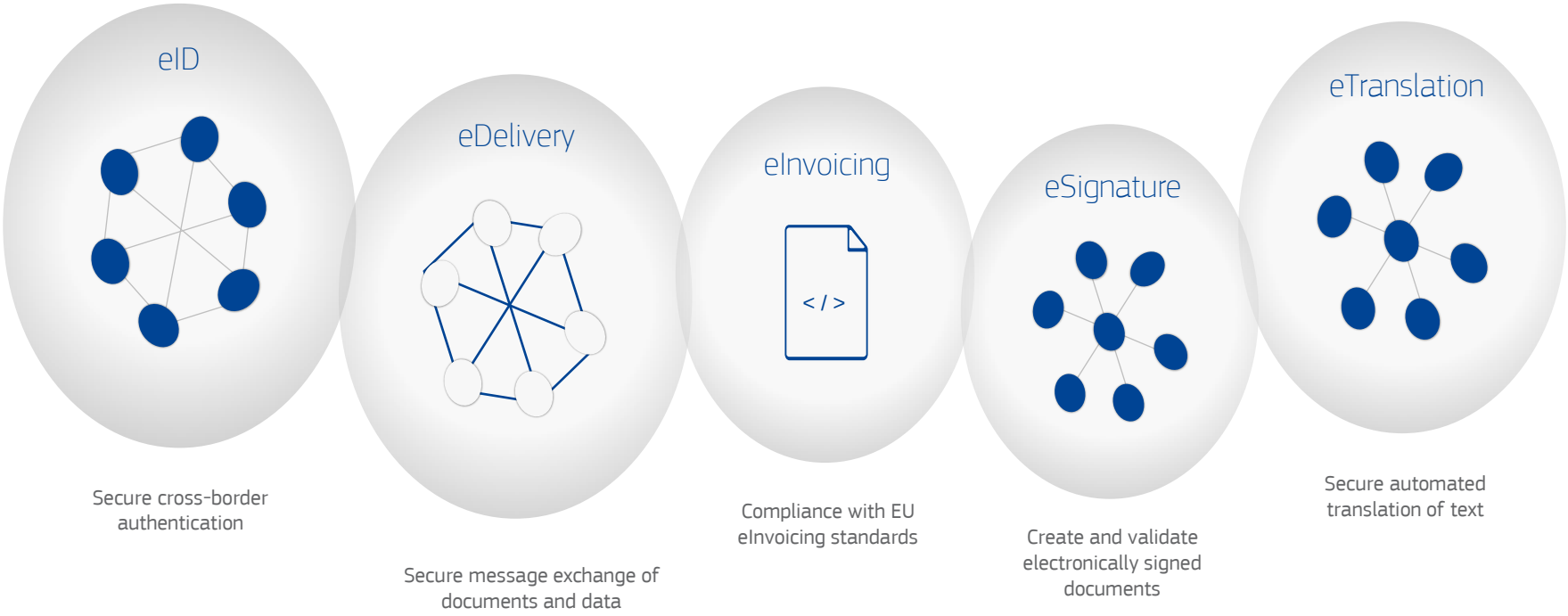
http://eur-lex.europa.eu/resource.html?uri=cellar:2c2f2554-0faf-11e7-8a35-01aa75ed71a1.0017.02/DOC_3&format=PDF

Connected Europe Facility. Grants

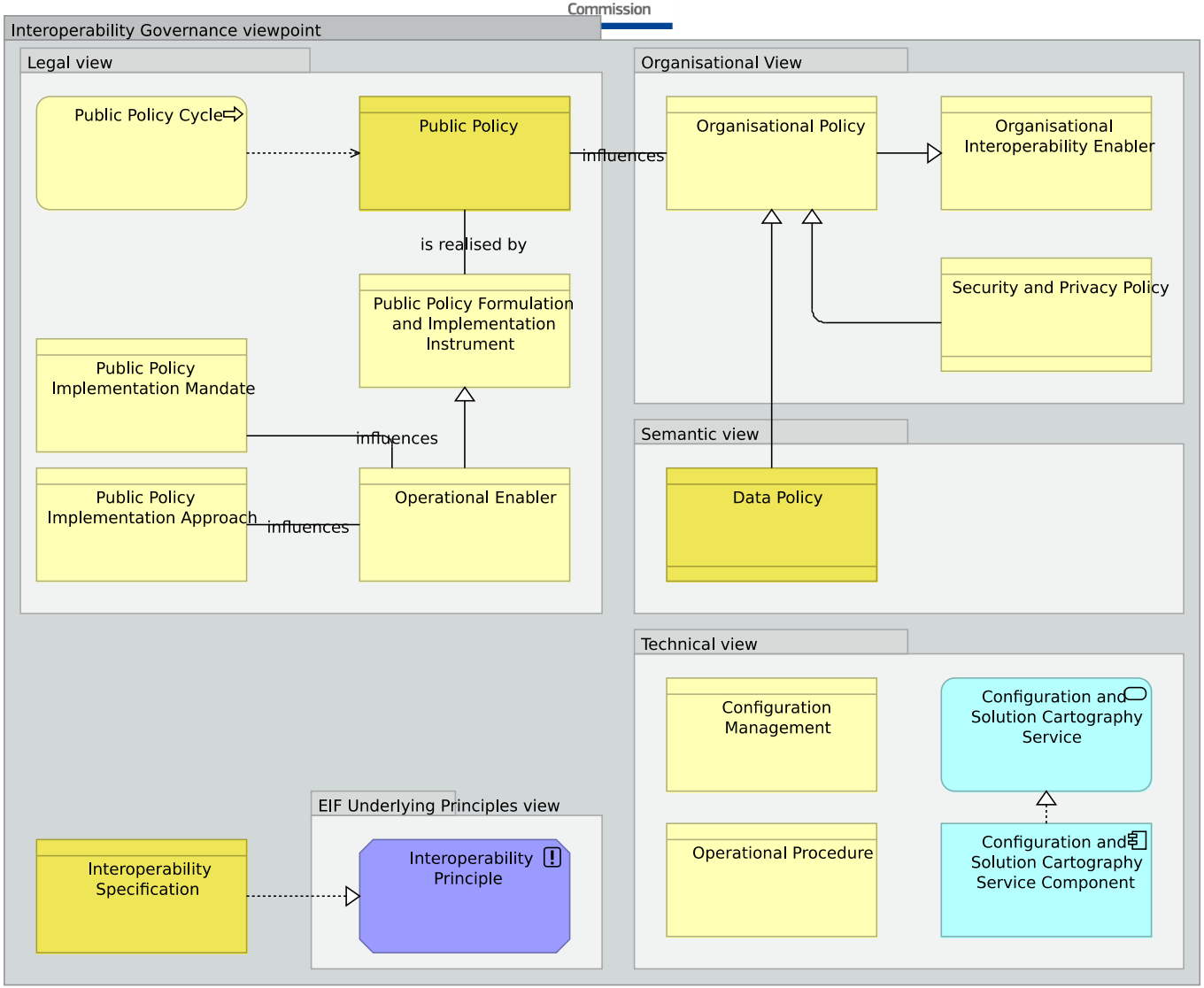
Building block Digital Service Infrastructures provide basic functionality and are reusable by sector-specific DSIs

Examples are:

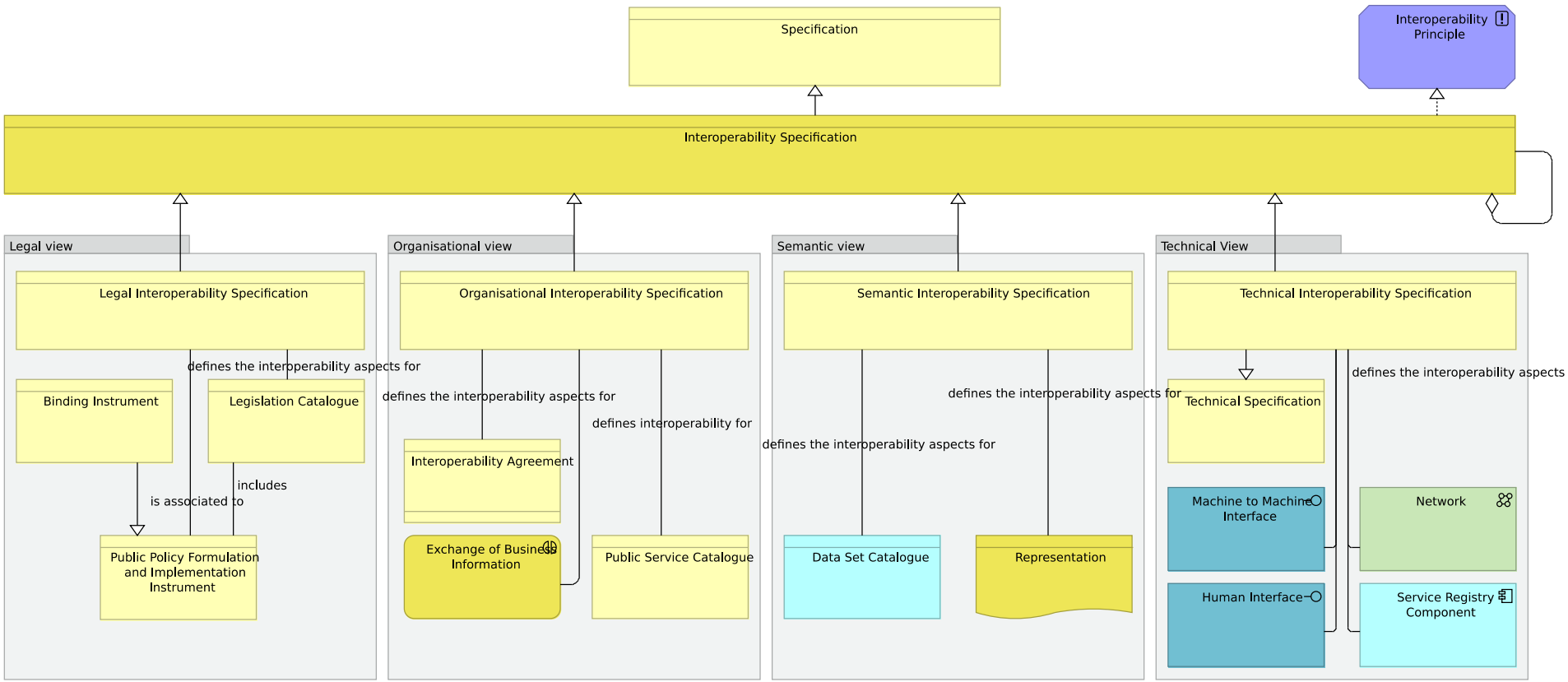


European Commission

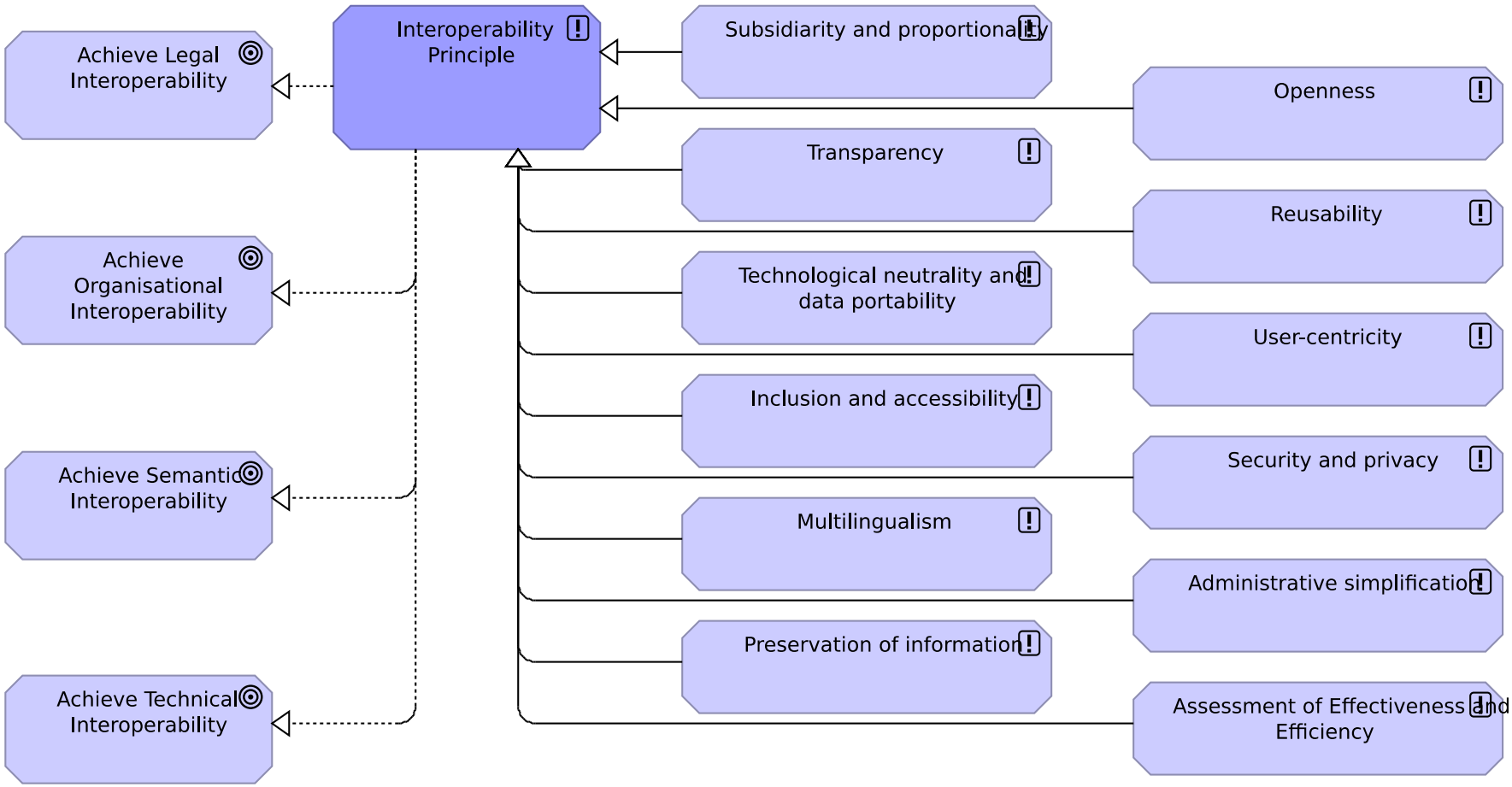
EIRA IOP Governance viewpoint



EIRA IOP specification underpinning viewpoint



EIRA EIF IOP Principles



How EIRA supports IOP in e-Government?. Reusability analyses

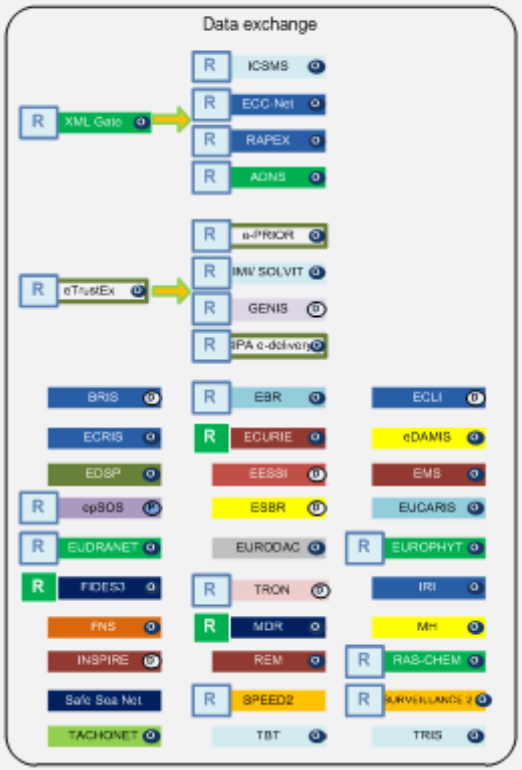
European Commission

Building block: Data Exchange Service

Architectural Building Block

The Data Exchange Service enables the secure exchange of messages, records, forms and other kinds of data between different ICT systems.

Solution Building Blocks



Findings

40 solutions rely on data exchange services functionalities. Out of 40 solutions, 32 are operational, 7 are under development and epSOS is a pilot.

32 solutions rely on native modules/components. ECC-Net, ICMS, RAPEX and ADNS reuses the data exchange services of XML Gate which is a Web service application that can be reused by solutions within health and consumers policy (previously under DG SANTE). ePrior, IMI/SOLVIT, GENIS and CIPA e-Delivery reuses the data exchange services of eTrustEx, a generic tool developed by DIGIT to support data exchange.

22 solutions rely on potentially reusable data exchange services. 19 rely on data exchange services that can be potentially reused by other solutions both as a service and as a component, while FIDES 3, RAS-CHEM and MDR data validation services can be potentially reused as a service.

How EIRA supports IOP in e-Government?. Portfolio mgmt support

Rapid Alert and Notification

- ADNS
- CECIS
- CRMS
- DUES
- ECURIE
- EMS
- EUROPHYT
- EWRS
- RAPEX
- RAS-CHEM
- Safe Sea Net
- TBT
- TRIS

Cross-border access to authentic data sources

- AFIS
- BRIS
- CISE
- CPCS
- EBR
- ECLI
- e-Justice Portal
- ELRA
- ERRU
- EUCARIS
- EULIS
- EURODAC
- REGPROF
- SIS II
- EUROSUR
- FIDES3
- ICSMS
- Inspire
- IRI
- RESPER
- SIGL2
- TACHONET
- VIES
- VIS
- TRON

Basic Digital Services

- CIPA e-delivery
- DSS/TSL-Manager
- ECAS
- EESSI
- e-Prior
- e-SENS e-ID (STORK)
- ESSI
- eTrustEx
- MT@EC
- SPEED2
- XMLGate

Handling statistical information

- AMECO
- DW
- eDAMIS
- EDSP
- ESBR
- ESDEN
- FDMS+
- ICT
- MDR
- MH
- SERV
- SIMSTAT

Request for Information and Assistance

- ECC-Net
- E-CODEX
- ECRIS
- epSOS
- eQuestionnaire
- EUDRANET
- IMI/SOLVIT

Reporting to the Commission

- FNS
- GENIS
- MARS
- REM
- SARI
- SURVEILLANCE 2

Information Based Collaboration

- ECN
- EURES
- SINAPSE

Networking Services

- CCN2
- Géant
- sTESTA