Programme for the Environment and Climate Action (LIFE)

Call for proposals

LIFE Clean Energy Transition - European City Facility
LIFE-2021-CET-EUCF

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**CALL FOR PROPOSALS**

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**0. Introduction**

This is a call for proposals for EU **action grants** in the field of Clean Energy Transition under the **Programme for Environment and Climate Action (LIFE)**.

The regulatory framework for this EU Funding Programme is set out in:

- Regulation 2018/1046 ([EU Financial Regulation](#))
- the basic act (LIFE Regulation [2021/783](#)).

The call is launched in accordance with the 2021-2024 Multiannual Work Programme and will be managed by the **European Climate, Infrastructure and Environment Executive Agency (CINEA)** (‘Agency’).

The call covers the following **topic**:

- **LIFE-2021-CET-EUCF — European City Facility**

Each project application under the call must address only this topic.

We invite you to read the **call documentation** carefully, and in particular this Call Document, the Model Grant Agreement, the [EU Funding & Tenders Portal Online Manual](#) and the [EU Grants AGA — Annotated Grant Agreement](#).

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2 Commission Implementing Decision C(2021)4997 final of 9 July 2021 on the financing of the LIFE Programme and the adoption of the work programme for the years 2021 to 2024.
These documents provide clarifications and answers to questions you may have when preparing your application:

- the **Call Document** outlines the:
  - background, objectives, scope, activities that can be funded and the expected results (sections 1 and 2)
  - timetable and available budget (sections 3 and 4)
  - admissibility and eligibility conditions (including mandatory documents; sections 5 and 6)
  - criteria for financial and operational capacity and exclusion (section 7)
  - evaluation and award procedure (section 8)
  - award criteria (section 9)
  - legal and financial set-up of the Grant Agreements (section 10)
  - how to submit an application (section 11)

- the **Online Manual** outlines the:
  - procedures to register and submit proposals online via the EU Funding & Tenders Portal ("Portal")
  - recommendations for the preparation of the application

- the **AGA — Annotated Grant Agreement** contains:
  - detailed annotations on all the provisions in the Grant Agreement you will have to sign in order to obtain the grant (*including cost eligibility, payment schedule, accessory obligations, etc*).

You are also encouraged to visit the [LIFE database](https://www.lifedatabase.eu) to consult the list of projects funded previously. For the Clean Energy Transition sub-programme, projects funded previously (under the Horizon 2020 programme) can be found on the [CORDIS website](https://cordis.europa.eu).

### 1. Background

**What is the LIFE Programme?**

The LIFE Programme is the EU Programme for Environment and Climate Action.

As such, it is one of the key contributors to the European Green Deal\(^3\) which aims to:

- transform the EU into a fair and prosperous society, with a modern, resource-efficient and competitive economy where there are no net emissions of greenhouse gases in 2050 and where economic growth is decoupled from resource use and
- protect, conserve and enhance the EU's natural capital, and protect the health and well-being of citizens from environment and climate related risks and impacts.

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\(^3\) Communication from the Commission to the European Parliament, the European Council, the Council, the European Economic and Social Committee and the Committee of the Regions: The European Green Deal (COM [2019]648 final).
The LIFE Programme will contribute to these priorities through its four sub-programmes in particular by:

- boosting and integrating the implementation of the EU’s policy objectives for halting and reversing loss of wildlife habitats and species across all sectors
- supporting the transition to a circular economy and protecting and improving the quality of EU’s natural resources, including air, soil and water among others
- supporting implementation of the 2030 energy and climate policy framework, the EU’s climate neutrality objective by 2050, and the new EU strategy on adaptation to climate change and
- building capacity, stimulating investments and supporting implementation of policies focused on energy efficiency and small-scale renewables.

The LIFE Programme is structured in two fields and four sub-programmes (described in more detail below):

Environment:
- sub-programme Nature and Biodiversity
- sub-programme Circular Economy and Quality of Life

Climate Action:
- sub-programme Climate Change Mitigation and Adaptation
- sub-programme Clean Energy Transition.

**Nature and Biodiversity**

The specific objectives of the sub-programme ‘Nature and Biodiversity’ are the following:

- to develop, demonstrate, promote and stimulate scale up of innovative techniques, methods and approaches (including nature-based solutions and ecosystem approach) for reaching the objectives of the EU legislation and policy on nature and biodiversity, and to contribute to the knowledge base and to the application of best practices, including through the support of the Natura 2000
- to support the development, implementation, monitoring and enforcement of EU legislation and policy on nature and biodiversity, including by improving governance at all levels, in particular through enhancing capacities of public and private actors and the involvement of civil society, also taking into due consideration the possible contributions provided by citizen science
- to catalyse the large-scale deployment of successful solutions/approaches for implementing EU legislation and policy on nature and biodiversity, by replicating results, integrating related objectives into other policies and into public and private sector practices, mobilising investment and improving access to finance.

**Circular Economy and Quality of Life**

The specific objectives of the sub-programme ‘Circular Economy and Quality of Life’ are:

- to develop, demonstrate and promote innovative techniques, methods and approaches for reaching the objectives of the EU legislation and policy on
environment, and to contribute to the knowledge base and, where relevant, to the application of best practices

- to support the development, implementation, monitoring and enforcement of the EU legislation and policy on environment, including by improving governance at all levels, in particular through enhancing capacities of public and private actors and the involvement of civil society

- to catalyse the large-scale deployment of successful technical and policy-related solutions for implementing the EU legislation and policy on environment, by replicating results, integrating related objectives into other policies and into public and private sector practices, mobilising investment and improving access to finance.

This sub-programme aims at facilitating the transition toward a sustainable, circular, toxic-free, energy-efficient and climate-resilient economy and at protecting, restoring and improving the quality of the environment.

It will contribute to the EU priorities by:

- reducing resource consumption and facilitating the transition toward a sustainable, circular, toxic-free, energy-efficient and climate-resilient economy

- developing circular systems, in line with the new Circular Economy Action Plan and reflecting its focus on sustainable products, material and energy intensive sectors and circular business models for value retention

- bringing down waste generation in line with the Waste Framework Directive 2019/1004\(^4\) and the reduction of hazardous waste in view of the EU’s commitment under the Basel Convention\(^5\).

- improving waste management with respect to collection and storage of waste, recovery options and end-of-life disposal, including in islands where waste management has to face specific challenges

- reducing emissions of pollutants to air and ensuring clean air for EU citizens in line with the EU legislation and the objectives of the Zero Pollution Action Plan

- achieving and maintaining a good status of the EU water bodies

- ensuring clean surface water and ground-water, in sufficient quantities for human and other species, including by increasing efficiency of water use

- reducing production, use and emissions of hazardous chemicals as well as reducing the exposure of humans and the environment to those chemicals

- promoting the development, commercialisation and uptake of safe and sustainable-by-design substances, materials and products

- diminishing exposure to harmful noise levels

- protecting the quality of EU soil, preventing soil degradation through sustainable practices of soil and land management, remediating from soil pollution and enhancing the capacity to improve water quality through reduced nitrate leakage and to reduce emissions through carbon storage.

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\(^5\) Basel Convention on the control of transboundary movements of hazardous wastes and their disposal.
Climate Change Mitigation and Adaptation

The specific objectives of the sub-programme ‘Climate Change Mitigation and Adaptation’ are:

- to develop, demonstrate and promote innovative techniques, methods and approaches for reaching the objectives of the EU legislation and policy on climate action and to contribute to the knowledge base and to the application of best practice
- to support the development, implementation, monitoring and enforcement of the EU legislation and policy on climate action, including by improving governance at all levels, in particular through enhancing capacities of public and private actors and the involvement of civil society
- to catalyse the large-scale deployment of successful technical and policy-related solutions for implementing the EU legislation and policy on climate action by replicating results, integrating related objectives into other policies and into public and private sector practices, mobilising investment and improving access to finance.

This sub-programme will contribute to the transformation of the EU into a climate-neutral and -resilient society, by supporting the implementation of the EU’s climate policy and preparing the EU for the climate action challenges in the coming years and decades.

Clean Energy Transition

The specific objectives of the sub-programme ‘Clean Energy Transition’ are the following:

- to develop, demonstrate and promote innovative techniques, methods and approaches for reaching the objectives of the EU legislation and policy on the transition to sustainable renewable energy and increased energy efficiency, and to contribute to the knowledge base and to the application of best practice
- to support the development, implementation, monitoring and enforcement of the EU legislation and policy on the transition to sustainable renewable energy or increased energy efficiency, including by improving governance at all levels, in particular through enhancing capacities of public and private actors and the involvement of civil society
- to catalyse the large-scale deployment of successful technical and policy-related solutions for implementing the EU legislation on the transition to renewable energy or increased energy efficiency by replicating results, integrating related objectives into other policies and into public and private sector practices, mobilising investment and improving access to finance.

This sub-programme aims at facilitating the transition toward an energy-efficient, renewable energy-based, climate-neutral and -resilient economy by funding coordination and support actions across Europe. These actions, of high EU added-value, aim at breaking market barriers that hamper the socio-economic transition to sustainable energy, typically engaging multiple small and medium-size stakeholders, multiple actors including local and regional public authorities and non-profit organisations, and involving consumers.

The sub-programme will contribute to the implementation of the energy-related actions of the Green Deal, including the ‘Renovation wave’ initiative for the building sector, and will give due consideration to territories not connected to the European grids such as the EU outermost regions. It will contribute to the Just Transition objectives by accompanying the territories and the groups of citizens negatively
affected by the transition from fossil fuels to clean energy, by building capacity of actors and fostering clean energy investments, mainly in energy efficiency and locally available, sustainable, renewable energy sources. Activities related to biofuels will not be included.

2. Type of action — Objectives — Themes and priorities — Activities that can be funded — Expected impact

Type of action

The topic under this call for proposals concerns LIFE Other Action Grants (OAGs).

Other Action Grants (OAGs) include:

- Coordination and Support Actions (CSA) for projects focusing on the transition to renewable energy and increased energy efficiency by breaking market barriers, through activities including capacity building, dissemination of information and knowledge, and awareness raising; they may include directly awarded grants to bodies designated by Member States.

- A Small Grant Facility on Biodiversity (BEST). Small grants (max 100 000 EUR) for projects in the EU Outermost Regions and the Overseas Countries and Territories on biodiversity conservation, ecosystem restoration and sustainable use of ecosystem services, including ecosystem-based approaches to climate change adaptation and mitigation.

- Projects developed in the framework of the EIT Knowledge and Innovation Communities (KICs), which contribute to the objectives and implementation of the EU Circular Economy Action Plan.

- Pilot projects in the framework of the ‘New European Bauhaus’ to identify and address some key challenges helping Europe’s transformation into the first climate-neutral continent in view of having better spaces in which form not only follows function, such as in the historical Bauhaus movement, but also contributes to preserve the resources of the planet.

- Action grants benefitting the organisations mentioned in Annex I of the LIFE Regulation.

- Additional projects responding to the EU legislative and policy priorities determined following a consultation with Member States (PLP).

- Other specific projects to identified beneficiaries (Article 195 EU Financial Regulation).

**LIFE-2021-CET-EUCF — European City Facility**

**Objectives**

Under this topic, a ‘European City Facility’ shall be run under the LIFE CET programme, which builds on the experiences gained from the current Horizon 2020 European City Facility6 (H2020 EUCF) and envisages an appropriate follow-up and upscale of its fundamental approach(es).

Significantly enhanced investments will be needed to attain the ambitious objectives of the EU climate and energy policy and, in particular, the dedicated targets set for

6 [https://www.eucityfacility.eu/home.html](https://www.eucityfacility.eu/home.html)
the years 2030 and 2050. This is also emphasized in the European Green Deal Investment Plan\(^7\), which aims at mobilising at least €1 trillion of sustainable investments over the course of 10 years for Europe to become the first climate-neutral continent by 2050.

In this context, the level of sustainable energy and, in particular, energy efficiency investments needs to be increased considerably by both public and private funding sources, with an overall emphasis on progressively maximising the leverage ratio of private to public finance. This is also embedded in the "Renovation Wave", which, in line with the European Green Deal\(^8\) and the Climate Target Plan, addresses the strategic priority to substantially enhance energy efficiency in buildings and related investments to ensure a larger contribution of this sector to the increased 2030 climate and energy targets. Along the priorities identified by the Smart Financing for Smart Buildings initiative\(^9\) and in order to achieve the Renovation Wave objectives of doubling building renovation rates and fostering deep energy renovation, EU decarbonisation efforts call for a more effective use of public funds, boosting project aggregation and building a substantial pipeline of energy efficiency investment projects across Europe.

Cities and municipalities play a key role in aggregating smaller projects into sizable packages and in mobilising the significant amount of finance needed for the energy transition. However, the degree of European cities and municipalities succeeding in developing and scaling up investment packages is still too limited in light of their overall potential and the challenges ahead. A key gap is the lack of capacity and/or resources of public authorities, especially of small and medium-sized municipalities, to transform their long-term climate and energy strategies, for instance Sustainable Energy and Climate Action Plans (SECAPs) or similar, into credible investment concepts enabling access to different finance sources. In particular, public authorities in many cases lack (access to) financial, technical and legal expertise needed to collect additional data, develop an investment programme of scale (for instance, pooling projects and/or bundling projects with neighbouring constituencies) or design finance strategies which demonstrate sufficient maturity.

Such investment concepts would allow a large number of European cities and municipalities to start or intensify the process of mobilising investments in sustainable energy in a clearly tailored and target-oriented way. Depending on the underlying investment portfolio and structure, such concepts could be used to directly approach investors and/or financiers for more in-depth investment discussions and negotiations, and/or, where relevant, envisage combination/blending with other EU financing streams and services to trigger the expected investment (e.g. Cohesion Policy Funds, InvestEU Fund\(^10\), National Recovery and Resilience Plans, PDA\(^11\), National Investment Platforms).

\(^11\) Project Development Assistance, e.g. ELENA-EIB, H2020 PDA
Scope — Activities that can be funded

Proposals should take into account experiences regarding the ongoing H2020 EUCF, which is addressing above issues, and envisage an appropriate follow-up and upscale of its fundamental approach(es). In this context, proposals are expected to run a 'European City Facility' under LIFE which offers financial support and services to cities and municipalities or their groupings:

- The European City Facility should offer financial support to European cities and municipalities to develop investment concepts for sustainable energy investments, with a dedicated focus on energy efficiency. These concepts should be developed within a limited period of time and cover, inter-alia: a clear identification of the potential project pipeline, a legal analysis, a governance analysis, a description of how the investments will be financed and a design of the process to launch the investments. In this context:
  - Proposals are expected to foresee financial support to third parties, in the form of grants including 'lump sums' (‘financial support scheme’), and around 70-75% of the budget should directly benefit cities, municipalities or their groupings in this context.
  - In accordance with the general call conditions on financial support to third parties, applicants should in particular also clearly specify why financial support to third parties is needed and how it will be managed, and provide a list of the different types of activities for which a third party may receive financial support. The process and criteria for allocation of financial support to third parties need to conform to EU standards concerning transparency, equal treatment, conflict of interest and confidentiality. The proposal must also clearly describe the results to be obtained.
  - Proposers should demonstrate the capacity to run a financial support scheme at large scale in accordance with LIFE CET standards and that they are able to select the most cost-efficient and appropriate applications taking into account, inter alia, the scale of the potential investment and energy savings, as well as the number of inhabitants covered in the specific context targeted.
- The European City Facility should additionally offer services at the national/regional level to support cities and municipalities in the application, investment concept development and investment implementation processes.
- The European City Facility should furthermore envisage appropriate replication measures and exchange of best practices among cities and municipalities, in particular also with a view to enabling the uptake and efficient operationalisation of the fundamental approaches of its scheme at the national/regional level.
- In this context, the European City Facility should establish an appropriate framework for comprehensive monitoring, analysis and capitalisation of results, notably the leverage factor achieved by the EUCF financial support with regard to envisaged investment concepts and related source(s) of funding.

Proposers should be deeply rooted in municipal sustainable energy/climate planning and financial engineering of sustainable energy and, in particular, energy efficiency investments.
Proposers should demonstrate a deep understanding of the strategic nature of this initiative, as regards, inter alia, the different challenges for upscaling finance for sustainable energy investments and, in particular, for mobilising private financing sources.

Proposers should also demonstrate that they are able to mobilise a critical mass of cities/municipalities or their groupings and have a sound and inclusive outreach strategy to cities and municipalities across Europe.

In order to qualify for support through the European City Facility, cities and municipalities or their groupings should, inter alia, provide proof of political commitment, clarify existing planning processes and resources, demonstrate an ambitious scale of potential investment and level of energy savings in the specific context targeted based on politically approved SECAPs or plans of similar ambition, describe the investment sectors targeted, the type of financial solutions envisaged and the governance to develop the investment concept, envisage a convincing plan on the engagement of key stakeholders in the relevant (technical and financial) segments, including citizens, as well as a plan for long-term capacity building within the public administration, and commit to a monitoring of investment implementation for 2 years.

The Commission considers that proposals requesting a contribution from the EU of up to EUR 16 million would allow the specific objectives to be addressed appropriately. Nonetheless, this does not preclude submission and selection of proposals requesting other amounts.

**Expected impact**

Proposals are expected to demonstrate the impacts listed below, using quantified indicators and targets, wherever possible:

- Increased, well-demonstrated and documented, leveraging of (private) finance into sustainable energy and, in particular, energy efficiency investments by public authorities
- Number of investment concepts delivered and number of investment concepts transformed into ambitious tangible investments as a result of the action
- Investments in sustainable energy triggered by the project (cumulative, in million Euro)
- Primary energy savings/Renewable energy generation triggered by the project (GWh/year)
- Number of public authority staff with increased capacity for developing investible sustainable energy and, in particular, energy efficiency projects
- Uptake by potential replicators on the national/regional level
- Reduction of greenhouse gases emissions (in tCO₂-eq/year)

**Funding rate**

Other Action Grants (OAGs) — 95% funding rate
3. Available budget

The available call budget is **EUR 16 000 000**.

Specific budget information per topic can be found in the table below.

<table>
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<th>Topic</th>
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<th>Estimated number of projects to be funded</th>
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<tr>
<td>LIFE-CET-2021-EUCF</td>
<td>EUR 16 000 000</td>
<td>EUR 16 000 000</td>
<td>1 project</td>
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We reserve the right not to award all available funds or to redistribute them between the call priorities, depending on the proposals received and the results of the evaluation.

4. Timetable and deadlines

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<tr>
<td>Call opening:</td>
<td>2 December 2021</td>
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<td>Deadline for submission:</td>
<td>3 March 2022 – 17:00:00 CET (Brussels)</td>
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<tr>
<td>Information on evaluation results:</td>
<td>May - June 2022</td>
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<td>GA signature:</td>
<td>September - November 2022</td>
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5. Admissibility and documents

Proposals must be submitted before the **call deadline** (see timetable section 4).

Proposals must be submitted electronically via the Funding & Tenders Portal Electronic Submission System (accessible via the Topic page in the [Search Funding & Tenders](#) section). Paper submissions are NOT possible.

Proposals (including annexes and supporting documents) must be submitted using the forms provided inside the Submission System (⚠️ NOT the documents available on the Topic page — they are only for information).

Proposals must be complete and contain all the requested information and all required annexes and supporting documents:

- Application Form Part A — contains administrative information about the participants (future coordinator, beneficiaries and affiliated entities) and the summarised budget for the project (*to be filled in directly online*)
- Application Form Part B — contains the technical description of the project (*to be downloaded from the Portal Submission System, completed and then assembled and re-uploaded*)
- Part C (*to be filled in directly online*) containing additional project data
- **mandatory annexes and supporting documents** *(to be uploaded)*:
  - single stage:
    - detailed budget table *(mandatory excel template available in the Submission System)*
    - participant information
- **optional annexes:**
  - letters of support

Please note that the amounts entered into the summarised budget table (filled in directly online) must correspond to the amounts calculated in the detailed budget table. In case of discrepancies, the amounts in the online summarised budget table will prevail.

At proposal submission, you will have to confirm that you have the **mandate to act** for all applicants. Moreover you will have to confirm that the information in the application is correct and complete and that the participants comply with the conditions for receiving EU funding (especially eligibility, financial and operational capacity, exclusion, etc.). Before signing the grant, each beneficiary and affiliated entity will have to confirm this again by signing a declaration of honour (DoH). Proposals without full support will be rejected.

Your application must be **readable, accessible and printable**.

Proposals are limited to maximum **70 pages** (Part B). Evaluators will not consider any additional pages.

You may be asked at a later stage for further documents *(for legal entity validation, financial capacity check, bank account validation, etc.)*.

For more information about the submission process (including IT aspects), consult the **Online Manual**.

**6. Eligibility**

*Eligible participants (eligible countries)*

In order to be eligible, the applicants (beneficiaries and affiliated entities) must:
- be legal entities (public or private bodies)
- be established in one of the eligible countries, i.e.:
  - EU Member States (including overseas countries and territories (OCTs))
  - non-EU countries:
    - listed EEA countries and countries associated to the LIFE Programme *(associated countries)* or countries which are in ongoing negotiations for an association agreement and where the agreement enters into force before grant signature
  - the coordinator must be established in an EU Member State

Beneficiaries and affiliated entities must register in the **Participant Register** — before submitting the proposal — and will have to be validated by the Central Validation Service (REA Validation). For the validation, they will be requested to upload documents showing legal status and origin.
Other entities may participate in other consortium roles, such as associated partners, subcontractors, third parties giving in-kind contributions, etc. (see section 13).

**Specific cases**

Exceptional funding — Entities from other countries (not listed above) are exceptionally eligible, if the granting authority considers their participation essential for the implementation of the action (see work programme).

Natural persons — Natural persons are NOT eligible (with the exception of self-employed persons, i.e. sole traders, where the company does not have legal personality separate from that of the natural person).

International organisations — International organisations are eligible. The rules on eligible countries do not apply to them.

Entities without legal personality — Entities which do not have legal personality under their national law may exceptionally participate, provided that their representatives have the capacity to undertake legal obligations on their behalf, and offer guarantees for the protection of the EU financial interests equivalent to that offered by legal persons.

EU bodies — EU bodies (with the exception of the European Commission Joint Research Centre) can NOT be part of the consortium.

Associations and interest groupings — Entities composed of members may participate as ‘sole beneficiaries’ or ‘beneficiaries without legal personality’. Please note that if the action will be implemented by the members, they should also participate (either as beneficiaries or as affiliated entities, otherwise their costs will NOT be eligible).

Countries currently negotiating association agreements — Beneficiaries from countries with ongoing negotiations (see above) may participate in the call and can sign grants if the negotiations are concluded before grant signature (with retroactive effect, if provided in the agreement).

EU restrictive measures — Special rules apply for certain entities (e.g. entities subject to EU restrictive measures under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU) and entities covered by Commission Guidelines No 2013/C 205/05). Such entities are not eligible to participate in any capacity, including as beneficiaries, affiliated entities, associated partners, subcontractors or recipients of financial support to third parties (if any).

For more information, see Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment.

**Consortium composition**

Proposals must be submitted by:

for topic LIFE-2021-CET-EUCF:

- minimum 3 applicants (beneficiaries; not affiliated entities) from 3 different
eligible countries

**Eligible activities**

Eligible activities are the ones set out in section 2 above.

Projects must comply with EU policy interests and priorities *(such as environment, social, security, industrial and trade policy, etc.)*.

Financial support to third parties is allowed in topic LIFE-2021-CET-EUCF for grants under the following conditions:

- the calls must be open, published widely and conform to EU standards concerning transparency, equal treatment, conflict of interest and confidentiality
- the calls must remain open for at least two months
- the outcome of the call must be published on the participants’ websites, including a description of the selected projects, award dates, project durations, and final recipient legal names and countries
- the calls must have a clear European dimension.

Financial support to third parties will be accepted in projects which aim to assist entities outside the project partnership *(e.g. non-profit organisations, local authorities or citizens groups)* in the implementation or development of local initiatives that will contribute to the project’s objectives.

Your project application must clearly specify why financial support to third parties is needed, how it will be managed and provide a list of the different types of activities for which a third party may receive financial support. The proposal must also clearly describe the results to be obtained.

**Geographic location (target countries)**

Proposals must relate to activities taking place in the eligible countries *(see above)*. Projects with activities outside the EU must be necessary to reach the project objectives within the eligible countries.

**7. Financial and operational capacity and exclusion**

**Financial capacity**

Applicants must have **stable and sufficient resources** to successfully implement the projects and contribute their share. Organisations participating in several projects must have sufficient capacity to implement all these projects.

The financial capacity check will be carried out on the basis of the documents you will be requested to upload in the Participant Register during grant preparation *(e.g. profit and loss account and balance sheet, business plan, audit report produced by an approved external auditor, certifying the accounts for the last closed financial year, etc.)*. The analysis will be based on neutral financial indicators, but will also take into account other aspects, such as dependency on EU funding and deficit and revenue in previous years.

The check will normally be done for all coordinators except:

- public bodies (entities established as public body under national law, including local, regional or national authorities) or international organisations
– if the project requested grant amount is not more than EUR 60 000.

If needed, it may also be done for affiliated entities.

If we consider that your financial capacity is not satisfactory, we may require:

– further information
– an enhanced financial responsibility regime, i.e. joint and several responsibility for all beneficiaries or joint and several liability of affiliated entities (see below, section 10)
– prefinancing paid in instalments
– (one or more) prefinancing guarantees (see below, section 10)
or
– propose no prefinancing
– request that you are replaced or, if needed, reject the entire proposal.

For more information, see Rules for Legal Entity Validation, LEAR Appointment and Financial Capacity Assessment.

Operational capacity

Applicants must have the know-how, qualifications and resources to successfully implement the projects and contribute their share (including sufficient experience in projects of comparable size and nature).

This capacity will be assessed together with the 'Resources' award criterion, on the basis of the competence and experience of the applicants and their project teams, including operational resources (human, technical and other) or, exceptionally, the measures proposed to obtain it by the time the task implementation starts.

If the evaluation of the award criterion is positive, the applicants are considered to have sufficient operational capacity.

Applicants will have to show their capacity via the following:

– general profiles (qualifications and experiences) of the staff responsible for managing and implementing the project
– description of the consortium participants (and previous projects, if any)

Additional supporting documents may be requested, if needed to confirm the operational capacity of any applicant.

Exclusion

Applicants which are subject to an EU exclusion decision or in one of the following exclusion situations that bar them from receiving EU funding can NOT participate:16

– bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant’s debts)
– in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant’s debts)

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16 See Articles 136 and 141 of EU Financial Regulation 2018/1046.
– guilty of grave professional misconduct\textsuperscript{17} (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

– committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human trafficking (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

– shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement, prize, expert contract, or similar (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

– guilty of irregularities within the meaning of Article 1(2) of Regulation No 2988/95 (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant)

– created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the grant).

Applicants will also be refused if it turns out that\textsuperscript{18}:

– during the award procedure they misrepresented information required as a condition for participating or failed to supply that information

– they were previously involved in the preparation of the call and this entails a distortion of competition that cannot be remedied otherwise (conflict of interest).

8. Evaluation and award procedure

The proposals will have to follow the standard submission and evaluation procedure (one-stage submission + one-step evaluation).

An evaluation committee (assisted by independent outside experts) will assess all applications. Proposals will first be checked for formal requirements (admissibility, and eligibility, see sections 5 and 6). Proposals found admissible and eligible will be evaluated against the operational capacity and award criteria (see sections 7 and 9) and then ranked according to their scores.

All proposals will be informed about the evaluation result (evaluation result letter). The successful proposal will be invited for grant preparation; the other ones will be put on the reserve list or rejected.

\textsuperscript{17} Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain advantage.

\textsuperscript{18} See Article 141 EU Financial Regulation 2018/1046.
No commitment for funding — Invitation to grant preparation does NOT constitute a formal commitment for funding. We will still need to make various legal checks before grant award: *legal entity validation, financial capacity, exclusion check, etc.*

**Grant preparation** will involve a dialogue in order to fine-tune technical or financial aspects of the project and may require extra information from your side. It may also include adjustments to the proposal to address recommendations of the evaluation committee or other concerns. Compliance will be a pre-condition for signing the grant.

If you believe that the evaluation procedure was flawed, you can submit a **complaint** (following the deadlines and procedures set out in the evaluation result letter). Please note that notifications which have not been opened within 10 days after sending are considered to have been accessed and that deadlines will be counted from opening/access (see also Funding & Tenders Portal Terms and Conditions). Please also be aware that for complaints submitted electronically, there may be character limitations.

**9. Award criteria**

The **award criteria** for this call are as follows:

For single stage:

1. **Relevance** (0-20 points)
   - Relevance of the contribution to one or several of the specific objectives of the LIFE Programme and the targeted sub-programme
   - Extent to which the proposal is in line with the description included in the call for proposals, including, where relevant, its specific priorities
   - Concept and methodology: soundness of the overall intervention logic
   - Extent to which the proposal offers co-benefits and promotes synergies with other policy areas relevant for achieving environment and climate policy objectives

2. **Impact** (0-20 points)
   - Ambition and credibility of impacts expected during and/or after the project due to the activities, including ensuring that no substantial harm is done to the other specific objectives of the LIFE Programme
   - Sustainability of the project results after the end of the project
   - Quality of the measures for the exploitation of project results
   - Potential for the project results to be replicated in the same or other sectors or places, or to be up-scaled by public or private actors or through mobilising larger investments or financial resources (catalytic potential).

3. **Quality** (0-20 points)
   - Clarity, relevance and feasibility of the work plan
   - Identification and mobilisation of the relevant stakeholders
   - Appropriate geographic focus of the activities
   - Quality of the plan to monitor and report impacts
   - Appropriateness and quality of the measures to communicate and disseminate the project and its results to different target groups
4. **Resources** (0-20 points)

- Composition of the project team - in terms of expertise, skills and responsibilities and appropriateness of the management structure
- Appropriateness of the budget and resources and their consistency with the work plan
- Transparency of the budget, i.e. the cost items should be sufficiently described
- Extent to which the project environmental impact is considered and mitigated, including through the use of green procurement. The use of recognised methods for the calculation of the project environmental footprint (e.g. PEF or OEF methods or similar ones such as PEFCRs/OEFSRs) or environmental management systems (e.g. EMAS) would be an asset
- Value for money of the proposal

<table>
<thead>
<tr>
<th>Award criteria</th>
<th>Minimum pass score</th>
<th>Maximum score</th>
<th>Weighting</th>
</tr>
</thead>
<tbody>
<tr>
<td>Relevance</td>
<td>10</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>Impact</td>
<td>10</td>
<td>20</td>
<td>1.5</td>
</tr>
<tr>
<td>Quality</td>
<td>10</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>Resources</td>
<td>10</td>
<td>20</td>
<td>1</td>
</tr>
<tr>
<td>Overall weighted (pass) score (without bonus)</td>
<td><strong>55</strong></td>
<td><strong>90</strong></td>
<td>N/A</td>
</tr>
</tbody>
</table>

Maximum points (single stage): 90 points.

Individual thresholds per criterion (single stage): 10 points.

Overall threshold (single stage): 55 points.

Proposals that pass the individual thresholds AND the overall threshold will be considered for funding — within the limits of the available call budget. Other proposals will be rejected.

10. **Legal and financial set-up of the Grant Agreements**

If you pass evaluation, your project will be invited for grant preparation, where you will be asked to prepare the Grant Agreement together with the EU Project Officer.

This Grant Agreement will set the framework for your grant and its terms and conditions, in particular concerning deliverables, reporting and payments.

The Model Grant Agreement that will be used (and all other relevant templates and guidance documents) can be found on [Portal Reference Documents](#).

**Starting date and project duration**

The project starting date and duration will be fixed in the Grant Agreement (*Data Sheet, point 1*). Normally the starting date will be after grant signature. Retroactive
application can be granted exceptionally for duly justified reasons — but never earlier than the proposal submission date.

**Milestones and deliverables**

The milestones and deliverables for each project will be managed through the Portal Grant Management System and will be reflected in Annex 1 of the Grant Agreement.

**Form of grant, funding rate and maximum grant amount**

The grant parameters *(maximum grant amount, funding rate, total eligible costs, etc.)* will be fixed in the Grant Agreement *(Data Sheet, point 3 and art 5)*.

Project budget (maximum grant amount): see section 3 above. The grant awarded may be lower than the amount requested.

The grant will be a budget-based mixed actual cost grant (actual costs, with unit cost and flat-rate elements). This means that it will reimburse ONLY certain types of costs (eligible costs) and costs that were *actually* incurred for your project (NOT the *budgeted* costs). For unit costs and flat-rates, you can charge the amounts calculated as explained in the Grant Agreement *(see art 6 and Annex 2 and 2a)*.

The costs will be reimbursed at the funding rate fixed in the Grant Agreement *(95%)*.

Grants may NOT produce a profit (i.e. surplus of revenues + EU grant over costs). For-profit organisations must declare their revenues and, if there is a profit, we will deduct it from the final grant amount *(see art 22.3)*.

Moreover, please be aware that the final grant amount may be reduced in case of non-compliance with the Grant Agreement *(e.g. improper implementation, breach of obligations, etc.)*.

**Budget categories and cost eligibility rules**

The budget categories and cost eligibility rules are fixed in the Grant Agreement *(Data Sheet, point 3, art 6 and Annex 2)*.

**Budget categories for this call:**

- A. Personnel costs
  - A.1 Employees, A.2 Natural persons under direct contract, A.3 Seconded persons
  - A.4 SME owners and natural person beneficiaries
  - A.5 Volunteers
- B. Subcontracting costs
- C. Purchase costs
  - C.1 Travel and subsistence
  - C.2 Equipment
  - C.3 Other goods, works and services
- D. Other cost categories
  - D.1 Financial support to third parties
- E. Indirect costs
Specific cost eligibility conditions for this call:

- personnel costs:
  - SME owner/natural person unit cost\(^{19}\): Yes
  - volunteers unit cost\(^{20}\): Yes (without indirect costs)
- travel and subsistence unit cost\(^{21}\): No (only actual costs)
- equipment costs: depreciation
- other cost categories:
  - costs for financial support to third parties: allowed for grants; maximum amount per third party EUR 60 000
  - land purchase costs: not applicable
- indirect cost flat-rate: 7% of the eligible direct costs (categories A-D, except volunteers costs and exempted specific cost categories (land purchase), if any)
- VAT: non-deductible VAT is eligible (but please note that since 2013 VAT paid by beneficiaries that are public bodies acting as public authority is NOT eligible)
- other:
  - in-kind contributions for free are allowed, but cost-neutral, i.e. they cannot be declared as cost
  - project websites: communication costs for presenting the project on the participants’ websites or social media accounts are eligible; costs for separate project websites are eligible if duly justified by the project objectives
  - common information and dissemination activities: foresee resources to increase synergies between, and the visibility of LIFE and EU supported actions (for example 1 travel/year, 5 days)
- other ineligible costs:
  - land purchase costs are not eligible

⚠ Volunteers costs — Volunteers costs are not a classic cost category. There are no costs because volunteers work for free, but they may nonetheless be added to the budget in the form of a prefixed unit cost (per volunteer) and thus allow you to benefit from the volunteers’ work for the grant (by increasing the amount of reimbursement up to 100% of the normal costs, i.e. cost categories other than volunteers). More information is available in the AGA — Annotated Grant Agreement, art 6.2.A.5.

\(^{19}\) Commission Decision of 20 October 2020 authorising the use of unit costs for the personnel costs of the owners of small and medium-sized enterprises and beneficiaries that are natural persons not receiving a salary for the work carried out by themselves under an action or work programme (C(2020)7715).

\(^{20}\) Commission Decision of 10 April 2019 authorising the use of unit costs for declaring personnel costs for the work carried out by volunteers under an action or a work programme (C(2019)2646).

\(^{21}\) Commission Decision of 12 January 2021 authorising the use of unit costs for travel, accommodation and subsistence costs under an action or work programme under the 2021-2027 multi-annual financial framework (C(2021)35).
Reporting and payment arrangements

The reporting and payment arrangements are fixed in the Grant Agreement (Data Sheet, point 4 and art 21 and 22).

After grant signature, you will normally receive a prefinancing to start working on the project. The prefinancing will be paid 30 days from entry into force/financial guarantee (if required) — whichever is the latest.

There will be one or more additional prefinancing payments linked to a prefinancing report.

In addition, for longer or more complex projects, you may be expected to submit one or more progress reports not linked to payments.

Payment of the balance: At the end of the project, we will calculate your final grant amount. If the total of earlier payments is higher than the final grant amount, we will ask you (your coordinator) to pay back the difference (recovery).

All payments will be made to the coordinator.

⚠️ Please be aware that payments will be automatically lowered if one of your consortium members has outstanding debts towards the EU (granting authority or other EU bodies). Such debts will be offset by us — in line with the conditions set out in the Grant Agreement (see art 22).

Please also note that you are responsible for keeping records on all the work done and the costs declared. The Grant Agreement contains additional record-keeping rules (Data Sheet, point 3 and art 20).

Prefinancing guarantees

If a prefinancing guarantee is required, it will be fixed in the Grant Agreement (Data Sheet, point 4). The amount will be set during grant preparation and it will normally be equal or lower than the prefinancing for your grant.

The guarantee should be in euro and issued by an approved bank/financial institution established in an EU Member State. If you are established in a non-EU country and would like to provide a guarantee from a bank/financial institution in your country, please contact us (this may be exceptionally accepted, if it offers equivalent security).

Amounts blocked in bank accounts will NOT be accepted as financial guarantees.

Prefinancing guarantees are formally NOT linked to individual consortium members, which means that you are free to organise how to provide the guarantee amount (by one or several beneficiaries, for the overall amount or several guarantees for partial amounts, by the beneficiary concerned or by another beneficiary, etc.). It is however important that the requested amount is covered and that the guarantee(s) are sent to us in time to make the prefinancing (scanned copy via Portal AND original by post).

If agreed with us, the bank guarantee may be replaced by a guarantee from a third party.

The guarantee will be released at the end of the grant, in accordance with the conditions laid down in the Grant Agreement.

Certificates

Depending on the type of action, size of grant amount and type of beneficiaries, you may be requested to submit different certificates. The types, schedules and
thresholds for each certificate are fixed in the Grant Agreement (*Data Sheet, point 4 and art 24*).

**Liability regime for recoveries**

The liability regime for recoveries will be fixed in the Grant Agreement (*Data Sheet point 4.4 and art 22*).

For beneficiaries, it is one of the following:

- limited joint and several liability with individual ceilings — *each beneficiary up to their maximum grant amount*
- unconditional joint and several liability — *each beneficiary up to the maximum grant amount for the action*

or

- individual financial responsibility — *each beneficiary only for their own debts*.

In addition, the granting authority may require joint and several liability of affiliated entities (with their beneficiary).

**Provisions concerning the project implementation**

IPR rules: *see Model Grant Agreement (art 16 and Annex 5)*:

- rights of use on results: Yes

Communication, dissemination and visibility of funding: *see Model Grant Agreement (art 17 and Annex 5)*:

- communication and dissemination plan: Yes
- additional communication and dissemination activities: Yes
- special logos: Yes

Specific rules for carrying out the action: *see Model Grant Agreement (art 18 and Annex 5)*:

- durability: No
- specific rules for blending operations: No

**Other specificities**

n/a

**Non-compliance and breach of contract**

The Grant Agreement (chapter 5) provides for the measures we may take in case of breach of contract (and other non-compliance issues).

For more information, see [AGA — Annotated Grant Agreement](#).

**11. How to submit an application**

All proposals must be submitted directly online via the Funding & Tenders Portal Electronic Submission System. Paper applications are NOT accepted.
Submission is a **2-step process:**

**a) create a user account and register your organisation**

To use the Submission System (the only way to apply), all participants need to create an EU Login user account.

Once you have an EULogin account, you can register your organisation in the Participant Register. When your registration is finalised, you will receive a 9-digit participant identification code (PIC).

**b) submit the proposal**

Access the Electronic Submission System via the Topic page in the Search Funding & Tenders section (or, for calls sent by invitation to submit a proposal, through the link provided in the invitation letter).

Submit your proposal in 4 parts, as follows:

- **Part A** includes administrative information about the applicant organisations (future coordinator, beneficiaries, affiliated entities and associated partners) and the summarised budget for the proposal. Fill it in directly online.
- **Part B** (description of the action) covers the technical content of the proposal. Download the mandatory word template from the Submission System, fill it in and upload it as a PDF file.
- **Part C** containing additional project data. To be filled in directly online.
- **Annexes (see section 5).** Upload them as PDF file (single or multiple depending on the slots). Excel upload is sometimes possible, depending on the file type.

The proposal must keep to the **page limits (see section 5);** excess pages will be disregarded.

Documents must be uploaded to the **right category** in the Submission System otherwise the proposal might be considered incomplete and thus inadmissible.

The proposal must be submitted **before the call deadline (see section 4).** After this deadline, the system is closed and proposals can no longer be submitted.

Once the proposal is submitted, you will receive a **confirmation e-mail** (with date and time of your application). If you do not receive this confirmation e-mail, it means your proposal has NOT been submitted. If you believe this is due to a fault in the Submission System, you should immediately file a complaint via the IT Helpdesk webform, explaining the circumstances and attaching a copy of the proposal (and, if possible, screenshots to show what happened).

Details on processes and procedures are described in the **Online Manual.** The Online Manual also contains the links to FAQs and detailed instructions regarding the Portal Electronic Exchange System.

**12. Help**

As far as possible, **please try to find the answers you need yourself,** in this and the other documentation (we have limited resources for handling direct enquiries):

- **Online Manual**
- FAQs on the Topic page (for call-specific questions in open calls)
– **Portal FAQ** (for general questions).

– **EUCF Information Session**

Please also consult the Topic page regularly, since we will use it to publish call updates. (For invitations, we will contact you directly in case of a call update).

**Contact**

For individual questions on the Portal Submission System, please contact the [IT Helpdesk](mailto:).

Non-IT related questions should be sent to the following email address: [CINEA-LIFE-ENQUIRIES@ec.europa.eu](mailto:CINEA-LIFE-ENQUIRIES@ec.europa.eu).

⚠️ Please:

– send your questions at the latest 7 days before the submission deadline (*see section 4*)

– indicate clearly the reference of the call and topic to which your question relates (*see cover page*).
13. Important

**IMPORTANT**

- **Don’t wait until the end** — Complete your application sufficiently in advance of the deadline to avoid any last minute **technical problems**. Problems due to last minute submissions (*e.g.* congestion, etc.) will be entirely at your risk. Call deadlines can NOT be extended.

- **Consult** the Portal Topic page regularly. We will use it to publish updates and additional information on the call (call and topic updates).

- **Funding & Tenders Portal Electronic Exchange System** — By submitting the application, all participants accept to use the electronic exchange system in accordance with the [Portal Terms & Conditions](#).

- **Registration** — Before submitting the application, all beneficiaries, affiliated entities and associated partners must be registered in the [Participant Register](#). The participant identification code (PIC) (one per participant) is mandatory for the Application Form.

- **Consortium roles** — When setting up your consortium, you should think of organisations that help you reach objectives and solve problems.

  The roles should be attributed according to the level of participation in the project. Main participants should participate as beneficiaries or affiliated entities; other entities can participate as associated partners, subcontractors, third parties giving in-kind contributions. Associated partners and third parties giving in-kind contributions should bear their own costs (they will not become formal recipients of EU funding). Subcontracting should normally constitute a limited part and must be performed by third parties (not by one of the beneficiaries/affiliated entities).

- **Coordinator** — In multi-beneficiary grants, the beneficiaries participate as consortium (group of beneficiaries). They will have to choose a coordinator, who will take care of the project management and coordination and will represent the consortium towards the granting authority. In mono-beneficiary grants, the single beneficiary will automatically be coordinator.

- **Affiliated entities** — Applicants may participate with affiliated entities (i.e. entities linked to a beneficiary which participate in the action with similar rights and obligations as the beneficiaries, but do not sign the grant and therefore do not become beneficiaries themselves). They will get a part of the grant money and must therefore comply with all the call conditions and be validated (just like beneficiaries); but they do not count towards the minimum eligibility criteria for consortium composition (if any).

- **Associated partners** — Applicants may participate with associated partners (i.e. partner organisations which participate in the action but without the right to get grant money). They participate without funding and therefore do not need to be validated.

- **Consortium agreement** — For practical and legal reasons it is recommended to set up internal arrangements that allow you to deal with exceptional or unforeseen circumstances (in all cases, even if not mandatory under the Grant Agreement). The consortium agreement also gives you the possibility to redistribute the grant money according to your own consortium-internal principles and parameters (for instance, one beneficiary can reattribute its grant money to another beneficiary). The consortium agreement thus allows you to customise the EU grant to the needs inside your consortium and can also help to protect you in case of disputes.
• **Balanced project budget** — Grant applications must ensure a balanced project budget and sufficient other resources to implement the project successfully (*e.g.* own contributions, income generated by the action, financial contributions from third parties, *etc.*). You may be requested to lower your estimated costs, if they are ineligible (including excessive).

• **No-profit rule** — Grants may NOT give a profit (i.e. surplus of revenues + EU grant over costs). This will be checked by us at the end of the project.

• **No double funding** — There is a strict prohibition of double funding from the EU budget (except under EU Synergies actions). Outside such Synergies actions, any given action may receive only ONE grant from the EU budget and cost items may under NO circumstances declared to two different EU actions.

• **Completed/ongoing projects** — Proposals for projects that have already been completed will be rejected; proposals for projects that have already started will be assessed on a case-by-case basis (in this case, no costs can be reimbursed for activities that took place before the project starting date/proposal submission).

• **Combination with EU operating grants** — Combination with EU operating grants is possible, if the project remains outside the operating grant work programme and you make sure that cost items are clearly separated in your accounting and NOT declared twice (*see AGA — Annotated Model Grant Agreement, art 6.2.E*).

• **Multiple proposals** — Applicants may submit more than one proposal for *different* projects under the same call (and be awarded a funding for them). Organisations may participate in several proposals. BUT: if there are several proposals for *very similar* projects, only one application will be accepted and evaluated; the applicants will be asked to withdraw one of them (or it will be rejected).

• **Resubmission** — Proposals may be changed and re-submitted until the deadline for submission.

• **Rejection** — By submitting the application, all applicants accept the call conditions set out in this this Call Document (and the documents it refers to). Proposals that do not comply with all the call conditions will be **rejected**. This applies also to applicants: All applicants need to fulfil the criteria; if any one of them doesn’t, they must be replaced or the entire proposal will be rejected.

• **Cancellation** — There may be circumstances which may require the cancellation of the call. In this case, you will be informed via a call or topic update. Please note that cancellations are without entitlement to compensation.

• **Language** — You can submit your proposal in any official EU language (project abstract/summary should however always be in English). For reasons of efficiency, we strongly advise you to use English for the entire application.
• **Transparency** — In accordance with Article 38 of the [EU Financial Regulation](https://eur-lex.europa.eu), information about EU grants awarded is published each year on the [Europa website](https://ec.europa.eu/). This includes:
  - beneficiary names
  - beneficiary addresses
  - the purpose for which the grant was awarded
  - the maximum amount awarded.

The publication can exceptionally be waived (on reasoned and duly substantiated request), if there is a risk that the disclosure could jeopardise your rights and freedoms under the EU Charter of Fundamental Rights or harm your commercial interests.

• **Data protection** — The submission of a proposal under this call involves the collection, use and processing of personal data. This data will be processed in accordance with the applicable legal framework. It will be processed solely for the purpose of evaluating your proposal, subsequent management of your grant and, if needed, programme monitoring, evaluation and communication. Details are explained in the [Funding & Tenders Portal Privacy Statement](https://ec.europa.eu/).