



### HORIZON EUROPE

## Call for a prize — Rules of Contest

The European Social Innovation Competition: the Impact Prize 2022

HORIZON-EIC-2022- The European Social Innovation Impact Prize

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# EUROPEAN INNOVATION COUNCIL AND SMES EXECUTIVE AGENCY (EISMEA)

EISMEA I Innovation Ecosystems, SMP / Entrepreneurship and Consumers  ${f 01}$  EU and place-based Innovation Ecosystems

### **CALL FOR APPLICATIONS - RULES OF CONTEST**

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#### 0. Introduction

This is a call (contest)<sup>1</sup> for an EU recognition **prize** in the field of social innovation under the **Horizon Europe Framework Programme for Research and Innovation**.

The regulatory framework for this EU Funding Programme is set out in:

- Regulation 2018/1046 (<u>EU Financial Regulation</u>)
- the basic act (Horizon Europe Regulation (EU) 2021/695<sup>2</sup>)

The call is launched in accordance with the 2022 <u>European Innovation Council (EIC) Work Programme<sup>3</sup></u> and will be managed by the European Innovation Council and SMEs Executive Agency ('Agency').

We invite you to read the **call documentation** on the Funding & Tenders Portal Topic page carefully, and in particular these Rules of Contest and the <u>EU Funding & Tenders Portal Online Manual</u>.

These documents provide clarifications and answers to questions you may have when preparing your application:

- the <u>Call Document</u> (Rules of Contest) outlines the:
  - background, objectives, scope, activities that can be funded and the expected results (sections 1 and 2)
  - available budget and timetable (sections 3 and 4)
  - admissibility, eligibility (including mandatory documents; sections 5 and 6)
  - criteria for exclusion (section 7)
  - evaluation and award procedure (section 8)
  - award criteria (section 9)
  - other conditions (section 10)
  - how to submit an application (section 11)
- the <u>Online Manual</u> outlines the procedures to register and submit applications online via the EU Funding & Tenders Portal ('Portal').
- You are also encouraged to visit the official EUSIC <u>website</u> to consult the list of prizes funded previously.

For ease of reference and consistency on the Funding & Tenders Portal, the terms 'call', 'project' and 'participant' are used as equivalent to 'contest', 'application' or 'contestants/applicants'.

Regulation (EU) 2021/695 of the European Parliament and of the Council of 28 April 2021 establishing Horizon Europe – the Framework Programme for Research and Innovation, laying down its rules for participation and dissemination, and repealing Regulations (EU) No 1290/2013 and (EU) No 1291/2013 (OJ L 170/1 of 12.05.2021).

<sup>&</sup>lt;sup>3</sup> Commission Implementing Decision C(2022) 701 of 7 February 2022 on the financing of the European Innovation Council component of the Specific Programme implementing Horizon Europe – the Framework Programme for Research and Innovation (2021-2027) and on the adoption of the Work Programme for 2022.

#### 1. Background

The European Social Innovation Competition (EUSIC) aims at stimulating the potential of social innovation to provide solutions to societal challenges that we face and to foster sustainable and inclusive growth in Europe. It will directly support ideas best illustrating this rationale. It will engage people, businesses and start-ups in a large range of sectors, as well as universities, engineering schools and civil society organisations thereby creating new connections, sources of sustainable growth and meaningful job opportunities.

#### 2. Objectives — Themes and priorities — Activities— Expected results

#### **Objectives**

The European Social Innovation Impact Prize 2022 aims at recognizing the efforts made by the semi-finalists of the European Social innovation competition – the Challenge Prize 2021 edition to evolve their original applications, develop the best impact methodology, and demonstrate the best results among all participants.

The EUSIC – the Challenge Prize 2021 focused on "the skills for tomorrow". The Competition looked for scalable social innovations that would contribute to job creation, growth and European competitiveness by helping people, businesses and industries identify, develop and strengthen the skills that would power the European economy's green and digital future.

Semi-Finalists of the 2021 edition will be invited to submit an impact report with their application to show the progress of their idea presented to the European Social innovation competition 2021. On the basis of the impact reports received, the jury will propose the winner of the Impact Price (1st ranked) rewarded with EUR 50 000.

#### Expected results

The European Social Innovation Competition – the Impact Prize 2022 aims at raising awareness about social innovation across a wide audience, sparking the creation of new socially innovative ideas, creating a network of like-minded practitioners and supporting semi-finalists from the 2021 EUSIC – the Challenge Prize edition to transform early stage ideas into structured businesses.

#### 3. Available budget

The winner (ranked 1st) will receive EUR 50 000.

#### 4. Timetable and deadlines

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Call opening	12 April 2022	
Deadline for submission of applications	24 May 2022 - 17:00:00 CET (Brussels)	
Evaluation of application	May - June 2022	

mation on evaluation results	November 2022
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#### 5. Admissibility

Applications must be submitted before the **call deadline** (see timetable section 4).

Applications must be submitted **electronically** via the Funding & Tenders Portal Electronic Submission System (accessible via the Topic page in the <u>Search Funding & Tenders</u> section). Paper submissions are NOT possible.

Applications (including annexes and supporting documents) must be submitted using the forms provided *inside* the Submission System ( $^{\triangle}$  NOT the documents available on the Topic page — they are only for information).

Applications must be **complete** and contain all the requested information and all required annexes and supporting documents:

- Application Form Part A contains administrative information about the applicant organisations (to be filled in directly online)
- Application Form Part B contains the technical description of the application (to be downloaded from the Portal Submission System, completed and then assembled and re-uploaded as PDF in the system)
- Mandatory annex: Eligible applicants must submit an impact report with their application to show the progress of their idea presented to the European Social innovation competition – the Challenge Prize edition 2021.

Your application must be readable, accessible, and printable.

Applications are limited to **15 pages** (Part B). Evaluators will not consider any additional pages.

You may be asked at a later stage for further documents (for legal entity validation, bank account validation, ethics review, declaration of honour, etc.).

For more information about the submission process (including IT aspects), consult the Online Manual.

#### 6. Eligibility

#### Eligible participants

In order to be eligible, the applicant must

- be one of the 27 semi-finalists of the European Social Innovation Competition - the Challenge Prize 2021 edition.

- The applicant must be located in one of the Member States or Associated Countries.
- Winners of the Social Innovation Competition the Challenge Prize 2021 are not eligible.
- Applicants that have already received an EU or Euratom prize cannot receive a second prize for the same activities.

All applicants must register in the <u>Participant Register</u> — before the call deadline — and will have to be validated by the Central Validation Service (REA Validation). For the validation, you will be requested to upload documents showing legal status and origin.

#### Specific cases

Entities without legal personality — Entities which do not have legal personality under their national law may exceptionally participate, provided that their representatives have the capacity to undertake legal obligations on their behalf, and offer guarantees for the protection of the EU financial interests equivalent to that offered by legal persons<sup>4</sup>.

EU bodies — EU bodies (with the exception of the European Commission Joint Research Centre) can NOT apply.

Third countries currently negotiating association agreements to Horizon Europe — Applicants from countries with ongoing negotiations may participate in the call and can receive a prize if the Horizon Europe association agreement with the third country concerned applies at the time when the award decision is taken (see <u>General Annex B</u> to the Horizon Europe main Work Programme).

EU restrictive measures — Special rules apply for certain entities (e.g. entities subject to <u>EU restrictive measures</u> under Article 29 of the Treaty on the European Union (TEU) and Article 215 of the Treaty on the Functioning of the EU (TFEU)<sup>5</sup> and entities covered by Commission Guidelines No 2013/C 205/05<sup>6</sup>). Such entities are not eligible to participate in any capacity.

• For more information, see <u>Rules for Legal Entity Validation, LEAR Appointment</u> and Financial Capacity Assessment.

#### Eligible activities

Eligible activities are the ones set out in section 2 above.

#### Ethics and values

Activities must comply with the highest ethical standards and the applicable EU, international and national law on ethical principles.

See Article 197(2)(c) EU Financial Regulation 2018/1046.

Please note that the EU Official Journal contains the official list and, in case of conflict, its content prevails over that of the EU Sanctions Map.

Commission guidelines No 2013/C 205/05 on the eligibility of Israeli entities and their activities in the territories occupied by Israel since June 1967 for grants, prizes and financial instruments funded by the EU from 2014 onwards (OJEU C 205 of 19.07.2013, pp. 9-11).

Moreover, they must respect basic EU values (such as respect for human dignity, freedom, democracy, equality, the rule of law and human rights, including the rights of minorities).

The activities must comply with:

- ethical principles (including the highest standards of research integrity) and
- applicable international, EU and national law

#### and may not:

- aim at human cloning for reproductive purposes
- intend to modify the genetic heritage of human beings which could make such changes heritable (with the exception of research relating to cancer treatment of the gonads, which may be financed), or
- intend to create human embryos solely for the purpose of research or for the purpose of stem cell procurement, including by means of somatic cell nuclear transfer.

Moreover activities must have an exclusive focus on civil applications and no prize can be awarded for activities carried out outside the EU, if they are prohibited in all Member States.

Applications involving ethics issues will have to undergo an ethics review to authorise funding. Applications that did not respect the above ethical principles and standards cannot be awarded a prize.

#### **Security**

Applications involving EU classified information must comply with Decision 2015/444. Applications that are too security-sensitive cannot be awarded a prize.

#### 7. Exclusion

Applicants which are subject to an **EU exclusion decision** or in one of the following **exclusion situations** that bar them from receiving EU funding can NOT participate<sup>7</sup>:

- bankruptcy, winding up, affairs administered by the courts, arrangement with creditors, suspended business activities or other similar procedures (including procedures for persons with unlimited liability for the applicant's debts)
- in breach of social security or tax obligations (including if done by persons with unlimited liability for the applicant's debts)
- guilty of grave professional misconduct<sup>8</sup> (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- committed fraud, corruption, links to a criminal organisation, money laundering, terrorism-related crimes (including terrorism financing), child labour or human

<sup>&</sup>lt;sup>7</sup> See Articles 136 and 141 EU Financial Regulation 2018/1046

Professional misconduct includes: violation of ethical standards of the profession, wrongful conduct with impact on professional credibility, false declarations/misrepresentation of information, participation in a cartel or other agreement distorting competition, violation of IPR, attempting to influence decision-making processes or obtain confidential information from public authorities to gain advantage.

trafficking (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)

- shown significant deficiencies in complying with main obligations under an EU procurement contract, grant agreement, prize, expert contract, or similar (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- guilty of irregularities within the meaning of Article 1(2) of Regulation No <u>2988/95</u> (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize)
- created under a different jurisdiction with the intent to circumvent fiscal, social or other legal obligations in the country of origin or created another entity with this purpose (including if done by persons having powers of representation, decision-making or control, beneficial owners or persons who are essential for the award/implementation of the prize).

Applicants will also be refused if it turns out that<sup>9</sup>:

- during the award procedure they misrepresented information required as a condition for participating or failed to supply that information
- they were previously involved in the preparation of the call and this entails a distortion of competition that cannot be remedied otherwise (conflict of interest).

#### 8. Evaluation and award procedure

Applications will be subject to a formal evaluation by a **jury**.

For applications with the same score, /jury will determine a **priority order** according to the following approach: The score for the criterion No 1 will be given a weight of 2 and the score for criterion No 2 will be given a weight of 1.5. If two or more applications still tie for any rank, the prize will be equally divided and awarded to all applications with the same score.

All applications will pass directly to jury review.

The jury will evaluate each eligible application against the award criteria.

On the basis of the evaluation by the jury (and after the mandatory checks: *ethics* review, security scrutiny, legal entity validation, non-exclusion, double funding and plagiarism, etc.), the awarding authority will decide on the award of the prize.

All applications will be informed about the evaluation result (**evaluation result letter**). Successful application will be awarded the prize; the not successful ones will be rejected.

If you believe that the evaluation procedure was flawed, you can submit a **complaint** (following the deadlines and procedures set out in the evaluation result letter). Please note that notifications are deemed to have been accessed (and received) 10 days after sending and that deadlines will be counted from then (see also <u>Funding & Tenders Portal Terms and Conditions</u>)

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<sup>&</sup>lt;sup>9</sup> See Article 141(1) EU Financial Regulation <u>2018/1046</u>.

#### 9. Award criteria

If admissible and eligible, the applications will be evaluated and ranked against the following **award criteria.** 

(Applicants are expected to provide in the Application Form part B for each criterion clear, measurable and demonstrable results via examples, KPIs, etc.):

**Award criterion 1: Quality and clarity** of the theory of change and of the impact measurement methodology with relevant indicators developed by the applicant;

**Award criterion 2: Demonstrated results** over the previous year, on the basis of the chosen indicators.

Award criteria	Minimum pass score	Maximum score
Quality and clarity	6	10
Demonstrated results	6	10
Overall (pass) scores	12	20

Maximum points: 20 points.

Individual thresholds: 6/10 points.

Overall threshold: 12 points.

Applications must pass both the individual thresholds AND the overall threshold. The prize will be awarded to the application with the best score. Other applications will be rejected.

#### 10. Other conditions

#### Payment arrangements

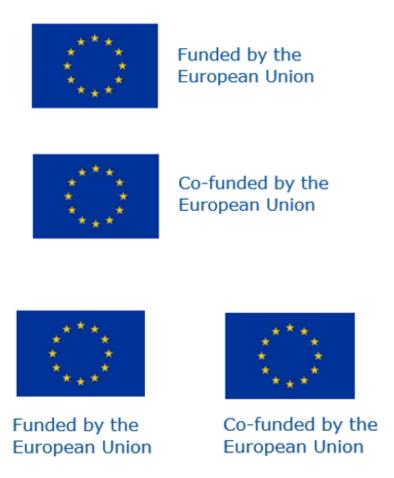
The prize money will be paid to the prize winners after the award ceremony, provided all the requested documents have been submitted. In case of a group of winners, the payment will be made to the lead applicant.

### <u>Communication — Dissemination — Visibility of funding</u>

Prize winners must promote the prize and its results, by providing targeted information to multiple audiences (including the media and the public) in a strategic and effective manner.

Communication activities related to the prize (including media interviews, press statements, presentations, etc., in electronic form, via traditional or social media, etc.), must acknowledge EU support and display the European flag (emblem) and funding

#### statement (translated into local languages, where appropriate):



The emblem must remain distinct and separate and cannot be modified by adding other visual marks, brands or text.

Apart from the emblem, no other visual identity or logo may be used to highlight the EU support.

When displayed in association with other logos (e.g. of winners or sponsors), the emblem must be displayed at least as prominently and visibly as the other logos.

For the purposes of these obligations, the winners may use the emblem without first obtaining approval from the awarding authority. This does not, however, give them the right to exclusive use. Moreover, they may not appropriate the emblem or any similar trademark or logo, either by registration or by any other means.

Any communication or dissemination activity related to the prize must use factually accurate information.

Moreover, it must indicate the following disclaimer (translated into local languages where appropriate):

"Funded by the European Union. Views and opinions expressed are however those of the author(s) only and do not necessarily reflect those of the European Union or the European Innovation Council and SMEs Executive Agency. Neither the European Union nor the awarding authority can be held responsible for them."

#### *IPR* — *Rights of use*

The awarding authority does not obtain ownership of the results produced in the context of the prize.

The awarding authority has the right to use non-sensitive information relating to the prize and materials and documents received from the winners (such as pictures or audiovisual material, in paper or electronic form) for information, communication, dissemination and publicity purposes.

Photos and videos taken by the awarding authority either in preparation of the award ceremony or during the award ceremony are the sole property of the awarding authority.

#### Checks, audits and investigations

The awarding authority, the European Commission, the European Anti-Fraud Office (OLAF), the European Public Prosecutor's Office (EPPO) and the European Court of Auditors (ECA) may carry out checks, audits and investigations in relation to the prize.<sup>10</sup>

#### Withdrawal of the prize — Recovery of undue amounts

The awarding authority may withdraw the prize after its award and recover all payments made, if it finds out that:

- false information, fraud or corruption was used to obtain it
- the prize winners were not eligible or should have been excluded or
- the prize winners are in serious breach of their obligations under these Rules of Contest.

#### 11. How to submit an application

All applications must be submitted directly online via the Funding & Tenders Portal Electronic Submission System. Paper applications are NOT accepted.

You can access the Portal here, or by clicking 'Apply now' on the official prize webpage.

Submission is a 2-step process:

#### a) create a user account and register your organisation

To use the Submission System (the only way to apply), all participants need to <u>create an EULogin user account</u>.

Once you have an EULogin account, you can <u>register your organisation</u> in the Participant Register. When your registration is finalised, you will receive a 9-digit participant identification code (PIC).

For the powers of OLAF, EPPO and ECA, see Regulation (EU, Euratom) No 883/2013 of the European Parliament and of the Council of 11 September 2013 concerning investigations conducted by the European Anti-Fraud Office (OLAF) and repealing Regulation (EC) No 1073/1999 of the European Parliament and of the Council and Council Regulation (Euratom) No 1074/1999 (OJ L 248, 18/09/2013, p. 1), Council Regulation (Euratom, EC) No 2185/1996 of 11 November 1996 concerning on-the-spot checks and inspections carried out by the Commission in order to protect the European Communities' financial interests against fraud and other irregularities (OJ L 292, 15/11/1996, p. 2), Council Regulation (EU) 2017/1939 of 12 October 2017 implementing enhanced cooperation on the establishment of the European Public Prosecutor's Office ('the EPPO') and Article 287 of the Treaty on the Functioning of the EU (TFEU) and Article 257 of EU Financial Regulation 2018/1046.

#### b) submit the application

Access the Electronic Submission System via the Topic page in the  $\underline{\text{Search Funding \& Tenders}}$  section.

Submit your application in 3 parts, as follows:

- Part A includes administrative information about the applicant organisations. Fill it in directly online
- Part B (description of the action) covers the technical content of the application.
  Download the mandatory word template from the Submission System, fill it in and upload it as a PDF file
- Annexes (if any; see section 5). Upload them as PDF files.

The application must keep to the **page limits** (see section 5); excess pages will be disregarded.

Documents must be uploaded to the **right category** in the Submission System otherwise the application might be considered incomplete and thus inadmissible.

The application must be submitted **before the call deadline** (see section 4). After this deadline, the system is closed and applications can no longer be submitted.

Once the application is submitted, you will receive a **confirmation e-mail** (with date and time of your application). If you do not receive this confirmation e-mail, it means your application has NOT been submitted. If you believe this is due to a fault in the Submission System, you should immediately file a complaint via the <a href="IT Helpdesk webform">IT Helpdesk webform</a>, explaining the circumstances and attaching a copy of the application (and, if possible, screenshots to show what happened).

Details on processes and procedures are described in the <u>Online Manual</u>. The Online Manual also contains the links to FAQs and detailed instructions regarding the Portal Electronic Exchange System.

#### 12. Help

Additional information can be found:

- Online Manual
- The European Innovation Council website
- FAQs on the Topic page
- Portal FAQ (for general questions).

Please also consult the Topic page regularly, since we will use it to publish call updates.

#### Contact

For individual questions on the Portal Submission System, please contact the  ${\color{red} {\rm IT}}$  Helpdesk.

Non-IT related questions should be sent to the following email address: EISMEA-EUSIC@ec.europa.eu

Please indicate clearly the reference of the call and topic to which your question relates (see cover page).

#### 13. Important

### IMPORTANT

- **Don't wait until the end** Complete your application sufficiently in advance of the deadline to avoid any last minute technical problems. Problems due to last minute submissions (e.g. congestion, etc.) will be entirely at your risk. Call deadlines can NOT be extended.
- Consult the Portal Topic page regularly. We will use it to publish updates and additional information on the call (call updates).
- Funding & Tenders Portal Electronic Exchange System By submitting the application, all applicants accept to use the electronic exchange system in accordance with the Portal Terms & Conditions.
- **Registration** Before submitting the application, all applicants must be registered in the Participant Register. The participant identification code (PIC) (one per applicant) is mandatory for the Application Form.
- **Joint applications** Joint applications by a group of applicants are admitted. In this case, you must appoint a lead applicant (coordinator) to submit the application and represent you towards the awarding authority. All applicants will be jointly responsible and must all fulfil and respect the conditions set out in these Rules of Contest.
- No double funding There is a strict prohibition of double funding from the EU budget. Applications that have already received an EU prize cannot receive a second prize for the same activities.
- **Resubmission** Applications may be changed and re-submitted until the deadline for submission. For first past the post prizes with cut-off dates, applications can be changed and re-submitted until the cut-off date; the re-submission may however let you use your position as first in place.
- **Rejection** By submitting the application, all applicants accept the call conditions set out in this these Rules of Contest (and the documents they refer to). Applications that do not comply with all the call conditions will be rejected. This applies also to applicants: All applicants need to fulfil the criteria; if any one of them doesn't, they must be replaced or the entire application will be rejected.
- Cancellation The awarding authority may cancel the contest or decide not to award the prize — without any obligation to compensate participants (e.g. no applications, jury cannot determine winner, winner is not eligible or must be excluded, objectives have already been achieved, etc.). In this case, you will be informed via a call update.
- Language You can submit your application in any official EU language. However, for reasons of efficiency, we strongly advise you to use English. If you need the call documentation in another official EU language, please submit a request within 10 days after call publication (for the contact information, see section 12).

#### HORIZON-EIC-2022- The European Social Innovation Impact Prize

EU Prizes: The European Social Innovation Competition – the Impact Prize (Rules of Contest) V1.0-12.04.2022

• **Transparency** — In accordance with Article 38 of the <u>EU Financial Regulation</u>, information about EU prizes awarded and the winners (name, address and amount awarded) is published each year on the <u>Europa website</u>.

The publication can exceptionally be waived (on reasoned and duly substantiated request), if there is a risk that the disclosure could jeopardise your rights and freedoms under the EU Charter of Fundamental Rights or harm your commercial interests.

• **Data protection** — Any processing of personal data in the context of this prize will be done in accordance with Regulation 2018/1725. It will be processed solely for the purpose of evaluating your application (and subsequent management of your prize and, if needed, programme monitoring, evaluation and communication). Details are explained in the <u>Funding & Tenders Portal Privacy Statement</u>.

By submitting the application, all applicants accept that the awarding authority will publish information on the finalists and winners.