Horizon Europe (HORIZON)

Complementary funding mechanisms in third countries

Version 1.0
01 March 2022
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<th>Publication date</th>
<th>Changes</th>
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1. Introduction

A number of non-EU/non-Associated Countries that are not automatically eligible for funding have made specific provisions for making funding available for their participants in Horizon Europe projects. This guide includes specific information for each of these countries.

2. Australia (AU)

Available national programmes or funds that could provide support to Australia Horizon Europe participants

The EU and Australia have signed two Implementing Arrangements in order to provide more opportunities for Australian researchers to team up with European research teams: one with the National Health and Medical Research Council (NHMRC) in 2018, and one with the Australian Research Council (ARC) in 2019. For more information, contact help@nhmrc.gov.au and info@arc.gov.au.

Useful links

- HE National Contact Point Australia: Sarah Brown, Counsellor (Europe) – Industry, Science, Energy and Resources, Australian Mission to the European Union and NATO, sarah.brown@industry.gov.au
- EU Delegation to Australia: https://eeas.europa.eu/delegations/australia_en; email: delegation-australia@eeas.europa.eu; phone: +61 2 6271 2777

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3. Japan (JP)

Available national programmes or funds that could provide support to Japanese Horizon Europe participants

Japan Society for the Promotion of Science (JSPS) may provide some support to research entities in Japan who participate in MSCA Staff Exchanges, in particular under JSPS Core-to-Core Program and bilateral programmes: https://www.jsps.go.jp/english/e-bilat/index.html; e-mail: nikokukan@jsps.go.jp; phone: +81 3 3263 1932

Useful links

- HE National Contact Point Japan: https://www.ncp-japan.jp/; phone: +81 3 6408 0281
- Details for participation: https://www.ncp-japan.jp/participation
- More information on Horizon Europe: https://drive.google.com/drive/folders/1M9kL-2fjre85vU7qjOoTQrDfdFmAF6S

Research funding organisations in Japan:

- Japan Science and Technology Agency (JST): https://www.jst.go.jp/inter/english/index.html; e-mail: intl@jst.go.jp or jointeu@jst.go.jp; phone: +81 3 5214 7375
• Japan Society for the Promotion of Science (JSPS): https://www.jsps.go.jp/
• Japan Agency for Medical Research and Development (AMED): https://www.amed.go.jp/en/program/list/20/index.html; e-mail: jimu-ask@amed.go.jp; phone: +81 3 6870 2200

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4. Mexico (MX)

Available national programmes or funds that could provide support to Mexico’s Horizon Europe participants

To support the participation of entities established in Mexico in Horizon 2020 projects, the National Council for Science and Technology of Mexico (CONACYT) has established in 2014 the "Conacyt-H2020 co-funding mechanism". This co-funding mechanism provided a source of financing "project-by-project participation" for Mexican partners in successful Horizon 2020 projects.

With regard to future cooperation, both sides have agreed on positioning marine research, health (including Covid-19), renewable energy, sustainable cities and human capital development at the centre of an enhanced R&I strategic cooperation between EU and Mexico. As to framework conditions, there is consensus to continue supporting gender balance issues, researchers' mobility and open access/open science.

Due to financial adjustments in the implementation of the co-financing mechanism for Horizon Europe, Conacyt will announce soon the conditions and implementation of the mechanism. As a result, both parties will continue to enhance cooperation in Research and Innovation in line with the commitment expressed during the last Joint Steering Committee in September 2020.

For specific questions regarding the co-funding mechanisms contact Sr. Horacio Tonatiuh Chavira encargado de de despacho de la Dirección de Cooperación Internacional; email: horacio.chavira@conacyt.mx.

Useful links

• HE National Contact Point Mexico: the Horizon Europe 2020 remain the main source for guidance, practical information and assistance on all aspects of participation in Horizon Europe: the list of Mexican NCPs will be published soon.

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5. New Zealand (NZ)

Available national programmes or funds that could provide support to New
Zealand Horizon Europe participants

There are no co-funding mechanisms currently in place with New Zealand under the Horizon Europe programme. However New Zealand participants are encouraged to contact their research and innovation funding organisations to seek support for their participation in Horizon Europe. Information on international cooperation research funding opportunities offered by New Zealand may be found at: https://www.mbie.govt.nz/science-and-technology/science-and-innovation/funding-information-and-opportunities/ and https://www.mbie.govt.nz/science-and-technology/science-and-innovation/international-opportunities/

Useful links

- HE National Contact Point New Zealand: Peter Bartlett, Counsellor – Science and Innovation, Europe - New Zealand Mission to the EU, Peter.Bartlett@mbie.govt.nz
- EU Delegation to New Zealand: https://eeas.europa.eu/delegations/new-zealand_en; email: Delegation-Wellington@eeas.europa.eu; phone: +64 4-472 9145

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6. People’s Republic of China (CN)

Available national programmes or funds that could provide support to Horizon Europe participants from the People’s Republic of China

Flagship initiatives and priority areas for EU-China S&T cooperation

EU-China STI cooperation is carried out through joint research projects under specific flagship initiatives that address thematic priorities agreed in the Joint EU-China Roadmap for future STI cooperation. The EU and China intend to bring forth two flagship initiatives under Horizon Europe, namely:

- Food, Agriculture and Biotechnologies
- Climate Change and Biodiversity.

Through the future Co-Funding Mechanism (CFM), the Chinese Ministry of Science and Technology (MOST) will provide financial support to Chinese mainland participants in Horizon Europe projects under the flagship initiatives and potentially other priorities to be jointly defined. The exact terms and conditions of MOST CFM calls will be published by the Ministry of Science and Technology of the People’s Republic of China on its website when the specific details are finalized.

Food, Agriculture and Biotechnologies (FAB) flagship initiative

The EU China research and innovation flagship initiative on food, agriculture and biotechnologies (FAB) is a comprehensive research and innovation cooperation programme launched in 2013 to tackle sustainable agriculture, food security and safety in the EU and China. Common priorities and WP topics have been jointly identified and co-funded by the EU with CAAS and MOST. This has been translated into 16 Horizon 2020 topics dedicated to cooperation with China with a total EU budget of €122 M. As a result, 21 joint projects have been launched involving 125 Chinese organizations.

Given the good results achieved under H2020, it was agreed to continue the FAB flagship under Horizon Europe.

The Horizon Europe Work Programme 2021-2022 included four topics targeting Chinese participation, for a total EU contribution of €32 M:
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- EU-China international cooperation on integrated pest management in agriculture (opening date 22 June 2021; deadline date 06 October 2021)
- EU-China international cooperation on unlocking the potential of agricultural residues and wastes for circular and sustainable bio-based solutions (opening date 28 October 2021; deadline date 15 February 2022)
- EU-China international cooperation on nature-based solutions for nutrient management in agriculture (opening date 28 October 2021; deadline date 15 February 2022)
- EU-China international cooperation on increasing the resilience of forests (opening date 22 June 2021; deadline date 06 October 2021)

Discussion are currently underway to include additional FAB topics in the Horizon Europe Work Programme 2023-24.

**Climate Change and Biodiversity (CCB) flagship initiative**

The Climate Change and Biodiversity flagship with China was agreed by the European Commissioner for Innovation & Research, Mariya Gabriel, and the Chinese Minister of Science and Technology, Wang Zhigang, during the High Level Dialogue on Research and Innovation in January 2021. Discussions between the two sides on the formulation of the flagship are expected to be launched at the beginning of 2022.

**Other areas eligible for MOST Co-Funding Mechanism**

MOST Co-funding mechanism can also be used to promote Chinese participation in other topics, targeting low TRL projects (up to TRL4 = Technology validated in lab) and linked to the domains covered by the two flagship initiatives agreed, although not labelled as topics of the two flagship initiatives.

For more information, contact in MOST Ms. WANG Yingshi, Email: wangs@most.cn Tel. +86.10.58881357 or Ms. ZHANG Lengyang Email: lyzhang@most.cn Tel. +86.10.58881352; or in the China Science and Technology Exchange Centre (CSTEC) Mr. XIN Bingqing E-mail: xinbq@cstec.org.cn Tel: +86.10. 68598010 or Ms. LIN Xiyan Email: linxy@cstec.org.cn Tel: +86.10.68573441.

**ERC-NSFC Implementing arrangement**

In line with what already done for Horizon 2020, the European Research Council (ERC) and the National Natural Science Foundation of China (NSFC) will continue the cooperation to boost excellence-based and bottom-up collaboration in frontier research based on the Implementing Arrangement signed in June 2015 between the European Commission and the NSFC to facilitate the use of the ERC-funded projects to host Chinese researchers, and holders of NSFC grants.

Selected Chinese researchers will be incorporated in the research teams of the European Principal Investigators who are already supported through the ERC grants and who have expressed an interest in hosting Chinese researchers in their research teams. Potential Chinese participants are encouraged to contact NSFC to seek support for their participation in Horizon Europe.

For more information, contact in the NSFC Ms. FAN Yingjie, Email: fanyj@nsfc.gov.cn, Tel: +86.10.62325309 or Ms. SHEN Jie, Email: shenjie@nsfc.gov.cn, Tel: +86.10.62327017 or write to the ERC at ERC-IMPLEMENTING-ARRANGEMENTS@ec.europa.eu. General information is also available on the ERC website.

**Useful links**

- Ministry of Science and Technology of the People’s Republic of China (MOST)
7. Republic of Korea (KR)

Available national programmes or funds that could provide support to the Republic of Korea Horizon Europe participants

For the general opening calls, the Korean research entities who successfully joined the Horizon Europe projects can ask matching funds to the Korean governments regardless of any thematic restriction. Two Ministries (The Ministry of Science and ICT (MSIT) and the Ministry of Trade, Industry, and Energy (MoTIE) provide the fixed budget for the beneficiaries who are successful in the domestic competition via their funding agencies (the National Research Foundation of Korea (NRF) and the Korea Institute of Advancement for Technology (KIAT)). The domestic calls for proposals open twice a year by the funding agencies.

Useful links

- NRF for entities in basic and applied research:
  https://www.nrf.re.kr/biz/notice/view?menu_no=363&page=1&nts_no=158359&biz_no=52&target=&biz_not_qubn=notice&search_type=NTS_TITLE&search_eyword1=%ED%95%9C-EU; contact: Mr. Chan-Seob SHIN / Researcher; tel: +82-2-3460-5727 / email: manchu6d@nrf.re.kr
- KIAT for entities in industrial research and technology:
  https://www.kiat.or.kr/site/contents/yearInfo/index2.jsp?menuID=001001002&projectIdx=322&groupIdx=322; contact: Ms. Jung soo Hwang, Researcher; tel: +82-2-6009-3211; email: hjs0327@kiat.or.kr
- HE National Contact Points Korea: https://ec.europa.eu/info/funding-tenders/opportunities/portal/screen/support/ncp;sortQuery=country;countryGroups=3C;countries=20000933;functions=All%20Functions,2,3,4,5,6,7,8,9,10,11,12,13,14,15,16,17,18
- EU Delegation to the Republic of Korea:
  https://eeas.europa.eu/delegations/south-korea_ko
  contacts: Ms. Ju Young Kim, Policy Officer in Science, Technology, and Innovation Delegation of the European Union to the Public of Korea; Tel: +82-2-3704-1755; email: Juyoung.kim@eeas.europa.eu; Dr. Tomasz Wierzbowski, EURAXESS South Korea Representative; Email: tomasz.wierzbowski@euraxess.net

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8. Russia (RU)

Available national programmes or funds that could provide support to Russian Horizon Europe participants

Horizon Europe offers numerous opportunities for the participation of entities from across the world, and some topics may be marked as being specifically relevant for
cooperation with partners from outside Europe. Russian scientists, universities, research organisations and enterprises are able to team up with their European partners to participate in projects under Horizon Europe and make the best use of Europe's excellent opportunities in research and innovation.

Russian researchers and organisations are encouraged to participate in actions of Horizon Europe as consortium members and to take part in the proposal submission to the European Commission.

**Russian co-funding for research and innovation projects**

To support Russian participation in Horizon Europe actions and in view of the fact that participants from the Russian Federation are not automatically funded by the EU, the Ministry of Science and Higher Education of the Russian Federation publishes dedicated calls to offer funding support for Russian Horizon Europe participants in accordance with its own call procedures of the State Programme «Scientific and Technological Development of the Russian Federation» for 2019-2030. Russian applicants to these calls will have to provide a document acknowledging their participation in the consortium of the joint Horizon Europe project or proposal submitted under the Horizon Europe call.

The Ministry of Science and Higher Education of the Russian Federation has established a functional mailbox horizoneurope@minobrnauki.gov.ru to which the Russian scientific community may send enquiries about support available in Russia for participation in Horizon Europe.

For examples of the Russian national call documentation, rules and requirements of the State Programme «Scientific and Technological Development of the Russian Federation» for 2019-2030, please refer to the website of the Ministry of Science and Higher Education of the Russian Federation.

Depending on the nature of their proposed research, Russian participants of Horizon Europe research and innovation projects are also encouraged to apply to the regular calls of the Russian Science Foundation (www.rscf.ru), which may be able to provide funding support in accordance with their own funding rules.

In addition, the Russian Foundation for Assistance to Small Innovative Enterprises (www.fasie.ru) may be able to support the participation of small innovative Russian enterprises in Horizon Europe projects on a case-by-case basis in accordance with its own funding rules.

**Current priorities for EU-Russia S&T cooperation**

From the list of calls in the Horizon Europe Work Programme 2021-2022, the Ministry of Science and Higher Education of the Russian Federation will highlight the subjects of priority interest for the Russian Federation. This will be announced separately.

**Useful links**

- HE National Contact Points Russia: The Ministry of Science and Higher Education of the Russian Federation is currently in the process of selecting organisations to represent Russian National Contact Points (NCPs) for Horizon Europe. The comprehensive list of National Contact Points can be found at the Funding and Tender Opportunities portal, by country, thematic areas and/or function: [http://ec.europa.eu/research/participants/portal/desktop/en/support/national_conta ct_points.html](http://ec.europa.eu/research/participants/portal/desktop/en/support/national_contact_points.html)
- EU Delegation to the Russian Federation: [www.EUinRussia.ru](http://www.EUinRussia.ru) and [https://eeas.europa.eu/delegations/russia/35939/node/35939_en#Science+and+te chnology](https://eeas.europa.eu/delegations/russia/35939/node/35939_en#Science+and+technology); contact [delegation-russia-science@eeas.europa.eu](mailto:delegation-russia-science@eeas.europa.eu)
9. Switzerland (CH)

Available national programmes or funds that could provide support to Swiss Horizon Europe participants

As Switzerland is currently not associated to Horizon Europe and is not considered by the European Union as candidate to association, the country was not covered by the transitional arrangement allowing entities from other countries formerly associated to Horizon 2020 to be considered as eligible from the beginning of Horizon Europe, in 2021. Swiss entities’ eligibility under Horizon Europe is therefore determined by the rules applicable to non-associated third countries.

In this situation, the Swiss government has put in place a number of schemes and procedures:

- a specific financial guarantee for Swiss participants in ERC Starting and Consolidator Grants 2021;
- a financial guarantee for Swiss participants as associated partners in collaborative projects for Horizon Europe 2021 calls
- a similar financial guarantee for Swiss participants as associated partners in collaborative projects for Horizon Europe 2022 calls
- direct funding mechanisms for mono-beneficiary projects (transfer grants and direct funding).

This link provides access to the webpage of the Swiss State Secretariat for Education, Research and Innovation (SERI), where all relevant information is available in the format of targeted factsheets covering the different guarantees and mechanisms listed above. SERI's contact information: europrogram@sbfi.admin.ch; hotline +41 58 463 50 50.

Useful links

- Switzerland has appointed 17 National Contact Points covering all areas of Horizon Europe. The NCP coordinators of Switzerland are:
  - Ms. Sybilla Martinelli, EURESEARCH, Belpstrasse 11 – 3007 Bern, Switzerland, +41 31 380 6011, sybilla.martinelli@euresearch.ch
  - Ms. Cornelia Spycher, EURESEARCH, Belpstrasse 11 – 3007 Bern, Switzerland, +41 31 380 6005, cornelia.spycher@euresearch.ch

- EU Delegation to Switzerland: https://eeas.europa.eu/delegations/switzerland_de; contact: Political, press and information Section.

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