



2007-2013

TRANS-EUROPEAN TRANSPORT NETWORK PROGRAMME

Granting of EU financial aid in the field of the trans-European transport network

2013 CALLS FOR PROPOSALS

Guide for Applicants

About this Guide

This Guide, together with all information related to the TEN-T calls for proposals, can be downloaded from the following website:

http://tentea.ec.europa.eu/en/apply_for_funding/follow_the_funding_process/calls_for_proposals_2013.htm

This Guide applies only to the calls for proposals it refers to.

The Guide is based on the rules and conditions contained in the legal documents relating to TEN-T, which can also be consulted on the following website:

http://tentea.ec.europa.eu/en/about_us/mission_introduction/history_legal_framework.htm

The Guide does not in itself have legal value, and thus does not supersede those documents.

Contents

1	INTRODUCTION	5
2	GETTING STARTED	7
3	FUNDING UNDER 2013 WORK PROGRAMMES	9
4	FORMS OF SUPPORT	9
5	HOW TO APPLY	10
5.1	Presenting your proposal.....	10
5.2	Proposal language.....	11
5.3	Proposal submission.....	12
5.3.1	<i>What should I send?</i>	12
5.3.2	<i>Where should I send my application?</i>	13
5.3.3	<i>Instructions for sealing the envelope</i>	14
5.3.4	<i>Multi-applicant proposals</i>	15
5.4	Timetable.....	15
5.5	Correcting or revising your proposal.....	15
5.6	Further information.....	16
6	EVALUATION PROCEDURE	16
6.1	General	16
6.2	The evaluation process.....	17
6.3	Eligibility criteria	18
6.3.1	<i>Conditions for applicants</i>	18
6.3.2	<i>General conditions for the eligibility of projects</i>	19
6.4	Selection criteria.....	20
6.5	Award criteria.....	20
6.5.1	<i>Relevance</i>	21
6.5.2	<i>Maturity</i>	22
6.5.3	<i>Impact</i>	22
6.5.4	<i>Quality</i>	23
6.6	<i>Eligibility of costs</i>	24
6.7	Evaluation process: in detail.....	24
6.7.1.	<i>Step 1: Assessment of compliance with formal requirements, eligibility and selection criteria, and Union law</i>	24
6.7.2.	<i>Step 2: Assessment against the award criteria by external experts</i>	25
6.7.3.	<i>Step 3: Internal Evaluation Panel</i>	26
6.7.4.	<i>Step 4: Evaluation Committee</i>	27
6.7.5.	<i>Step 5: Approval by Programme Committee and European Parliament scrutiny</i>	27
6.7.6.	<i>Feedback to applicants</i>	28

7 CHECK LIST	28
7.1 Preparing your proposal.....	28
7.2 Final checks before submission.....	29
7.3 The deadline.....	29
ANNEXES	30
ANNEX 1: GUIDELINES TO COMPLETE APPLICATION FORM PART A	31
ANNEX 2: GUIDELINES TO COMPLETE APPLICATION FORM PART B1	39
ANNEX 3: GUIDELINES TO COMPLETE APPLICATION FORM PART B2	46
ANNEX 4: REIMBURSEMENT OF TRANSLATION COSTS	60
ANNEX 5: GLOSSARY AND ACRONYMS	63

1 Introduction

The purpose of this document is to provide guidance to those wishing to apply for financial support from the Trans-European Network Transport (TEN-T) programme under the 2013 calls for proposals (published in December 2013). It aims to support applicants in filling in the application forms and to familiarise them with the evaluation criteria and the process which will be applied in the assessment of proposals.

The main legal documents referred to in this guide are the following:

- TEN-T Guidelines¹
- TEN Regulation²
- Annual Work Programme for grants in the field of the TEN-T network and its amendment, both adopted in 2013³ (hereinafter referred to as 'AWP')
- Multiannual Work Programme for grants in the field of the TEN-T network and its amendment, both adopted in 2013⁴ (hereinafter referred to as 'MAWP')
- Calls for proposals for projects of common interest in the field of the trans-European transport network under the MAWP and the AWP (hereinafter referred to as 'call text')

Other documents referred to in this guide are:

- TENtec eSubmission User Manual
- Model text for an individual Decision granting financial aid (Model funding Decision)
- Note on the definition of cross-border sections

All above documents are available on the TEN-T EA website:

http://tentea.ec.europa.eu/en/apply_for_funding/follow_the_funding_process/calls_for_proposals_2013.htm

This document in its main part includes information on the procedures, priorities setting and legal background. It also details the different steps followed and criteria applied during the evaluation, in connection with the requirements for filling in the application forms. Annex 1 includes guidance for completing Part A of the application form. Annexes 2 and 3 provide more detailed guidance on the way form parts B1 and B2 have to be filled in. Annex 4 explains the procedure for requesting reimbursement of costs for translating a proposal into English. Finally, a glossary of terms and abbreviations used is provided in Annex 5.

¹ Decision n° 661/2010/EU of the European Parliament and of the Council of 7 July 2010 on Union guidelines for the development of trans-European Transport Network, OJL 204, 05.08.2010, p. 1

² Regulation (EC) n° 680/2007 of the European Parliament and of the Council of 20 June 2007, laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks, OJ L 162, 22.6.2007, p. 1 Amended by Regulation (EU) No 670/2012 of the European Parliament and of the Council of 11 July 2012 amending Decision No 1639/2006/EC establishing a Competitiveness and Innovation Framework Programme (2007-2013) and Regulation (EC) No 680/2007 laying down general rules for the granting of Community financial aid in the field of the trans-European transport and energy networks (OJ L 204, 31.07.2012, p.1)

³ Commission Implementing Decision C(2013)8744 of 10 December 2013 amending Commission Implementing Decision C(2013)1029 of 27 February 2013 on the adoption of a financing decision for granting financial aid in the field of trans-European Transport Network (TEN-T) for 2013

⁴ Commission Implementing Decision C(2013)8755 of 10 December 2013 amending Commission Implementing Decision C(2013)1675 of 31 March 2013 establishing a multi-annual work programme 2013 for grants in the field of trans-European Transport Network (TEN-T) for the period 2007-2013

This Guide is for information purposes only. It has no legal value and it does not supersede the rules and conditions laid out in the relevant legal documents, including the Financial Regulation applicable to the general budget of the European Union⁵ and the Rules of Application for the Financial Regulation⁶.

⁵ Regulation (EU) No 966/2012 of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1)

⁶ Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p.1)

2 Getting started

In the 2007-2013 TEN-T programme, funding decisions are made on the basis of proposals submitted following calls published by the TEN-T Executive Agency (hereafter 'TEN-T EA'). Proposals describe planned activities, information on who will carry them out, their deliverables and related milestones, how much they will cost, and why they should be supported financially by the European Union (hereafter 'the Union').

The entire selection process, from the publication of the work programmes through to the adoption of individual Decisions, is summarised in the diagram below.

This Guide for Applicants contains the essential information to guide applicants through the mechanics of preparing and submitting a proposal.

In preparing your proposal, you must refer to the relevant **work programme**, which describes the general and specific objectives, and the results expected, as well as the relevant **call text**, which describes technical details of the respective area as well as any specific eligibility, selection and award criteria.

The Work Programmes and call texts are adopted on an annual basis, so make sure you refer to the latest version applicable to the chosen call for proposals before preparing your proposal.

Information on the 2013 multiannual and annual work programmes and the corresponding call texts can be found on the website mentioned in the Introduction.

For each proposal, only the criteria and procedures appropriate to the specific TEN-T call under which it is submitted will be used.

DG MOVE	TEN-T EA	Applicant	Indicative timing
Finalisation of work programme(s)			
Adoption of work programme(s)			
Finalisation of Call(s) for Proposals			
Publication of WP(s) & call(s) for proposals			11 December 2013
	Info Day		13 December 2013
	Proposal reception	Prepare and send proposal	11 March 2014
	Opening and eligibility check	Acknowledgement of receipt	Late March 2014
	External evaluation	Feedback to applicants not meeting eligibility criteria or formal requirements	Early April 2014
Internal evaluation			
DG MOVE/ Cabinet consultation on proposed selection Decision			
ISC on proposed selection Decision			
Consultation of Financial Assistance Committee/ EP scrutiny			Late June 2014
Adoption of framework Decision on selected actions			
	Preparation of individual financing Decision	Prepare comments on draft Decision	As from August 2014
Adoption/ notification of individual financing Decisions			As from September 2014

3 Funding under 2013 Work Programmes

Two work programmes were adopted under the TEN-T programme in 2013:

- An **Annual Work Programme** (AWP) was adopted on 27 February 2013⁷ and amended on 10 December 2013⁸, under which a call was published on 11 December 2013 with a maximum indicative budget of €70 million.

This call includes the following priorities:

- Priority 1: The acceleration/facilitation of the implementation of TEN-T projects - with an indicative budget of €20 million
- Priority 2: Promote innovation and new technologies - with an indicative budget of €50 million

- A **Multiannual Work Programme** (MAWP) was adopted on 21 March 2013⁹ and amended on 10 December 2013¹⁰, under which calls for proposals in five fields were published on 11 December 2013 with a maximum indicative budget of €280 million.

These calls relate to the following fields:

- Actions on Priority Projects (PPs) - the indicative amount available for the selected proposals is €50 million;
- Motorways of the Sea (MoS) - the indicative amount available for the selected proposals is €80 million;
- European Rail Traffic Management Systems (ERTMS) - the indicative amount available for the selected proposals is €70 million;
- Air Traffic Management (ATM) - the indicative amount available for the selected proposals is €30 million; and
- Intelligent Transport Systems (ITS) - the indicative amount available for the selected proposals is €50 million.

This Guide concerns all the above mentioned calls.

4 Forms of support

Union financial aid takes the form of grants for works, studies or studies with physical interventions.

The maximum rate of the Union financial aid which can be awarded to an individual Action is defined in Article 6.2 of the TEN Regulation (EC) No 680/2007 as summarised in the table below. Within this threshold, additional limits (minimum and/or maximum) may be specified in individual calls.

Maximum co-financing rates (simplified)		
		Maximum co-financing rate of eligible costs
Studies		
	All projects of common interest	50%
Works		

⁷ C(2013)1029

⁸ C(2013)8744

⁹ C(2013)1675

¹⁰ C(2013)8755

	Priority Projects	20%
	Cross-border sections of Priority Projects	30%
	Road, rail, air, inland waterways, maritime and coastal traffic management systems and ITS	20%
	ERTMS, track-side and on-board equipment	50%
	Start-up aid related to capital costs for Motorways of the Sea projects	30% of depreciation of the eligible capital cost

Works can be defined as the purchase, supply and deployment of components, systems and services, and the carrying out of construction and installation works relating to the project, the acceptance of installations and the launching of the project.

Studies are activities needed to prepare project implementation (including preparatory, feasibility, evaluation and validation studies) and any other technical support measure, including prior action to define the project fully and decide on its financing, such as reconnaissance of the sites concerned and preparation of the financial package. This definition of studies may be further elaborated on in specific calls

Studies with physical interventions are projects in which the majority of the activities are studies, but where some physical intervention is undertaken, typically excavations for testing the ground.

Unless, otherwise stipulated in the Work Programme and/or the call text, a proposal must address either works or studies (including those with physical interventions), within the meaning of Article 2(8) and (9) of the TEN Regulation.

Please refer to Work Programmes and specific calls texts for details, as they may restrict forms of support (e.g. proposals for studies only may be eligible under certain priorities).

5 How to apply

5.1 Presenting your proposal

Applicants wishing to apply for funding under a TEN-T call must complete and submit the application forms provided on the TEN-T EA website, together with the supporting documents requested in the application forms (i.e. the annexes).

A complete application form consists of three parts:

Part A contains the administrative information about the proposal and the applicants. The information requested includes a brief description of the work, contact details and characteristics of the applicants, as well as information related to the funding requested. The applicant is considered to become the beneficiary if the proposal is selected for funding.

Note that for multi-applicant proposals, parts A2.1, A2.2, A2.3 and A2.4 must be completed, signed and stamped by each applicant. For these proposals a coordinator has to be designated in part A2.6. Unless specified otherwise by the applicant it is assumed that a proposal's coordinator becomes automatically the Action's coordinator

if the proposal is selected for funding. The coordinator will perform the tasks described in article I.4.2 of the Model funding Decision.

All proposals need to submit application form Part A (see also table below in this Section). If the applicant chooses to involve any of its affiliated entities in the implementation of the proposed action, he/she needs to provide the relevant information in part A2.5. This part has to be filled in as many times as the affiliated entities involved in the proposal.

Guidelines on how to complete application form Part A are provided in the Annex 1. For technical instructions on using TENtec eSubmission module see also TENtec eSubmission module User Manual (available on each call's web page).

Part B1 contains the administrative information used to demonstrate compliance with relevant Union policy and law, and when applicable¹¹ the financial and operational capacity.

The necessary documents and declarations, including financial statements certified by an external auditor for the two last financial years if applicable, as well as the filled Financial Capacity Check Form and the relevant annexes, must be attached to the application form. All proposals need to submit application form Part B1.

Guidelines on how to complete application form Part B1 are provided in Annex 2 to this Guide.

Part B2 contains technical information about the proposal such as detailed description of activities and milestones, as well as detailed justification on how the proposal addresses the award criteria, namely relevance, maturity, potential impact and quality. All proposals need to submit application form B2.

Guidelines on how to complete application form Part B2 are provided in Annex 3 to this Guide.

The information in these forms will be carefully analysed by the external experts and Commission staff during the evaluation process.

Applicants must provide only the supporting documents requested in the application forms. Any hyperlinks to other documents, embedded material, or company brochures, reports, audio, video, multimedia, etc. will not be considered.

Applicants must complete all parts and sections of the application form. If no answer is available for a particular section, the applicant should simply state this. Bear in mind that one of the award criteria on which proposals are assessed is 'quality', which includes completeness of the proposal.

5.2 Proposal language

As the working language of the external evaluators is English, it is strongly recommended that proposals are submitted in English. For proposals which are not in English, applicants must provide full translations in English of application forms Part A, Part B1 and Part B2. Applicants who need to provide their proposals translated need to specify in application form Part A4 all relevant details. Translations must be

¹¹ The demonstration of the financial and operational capacity does not apply to applicants which are: a Member State, a public sector body (regional or local authority, body governed by public law or association formed by one or several such authorities or one or several such bodies governed by public law, international organisation) or an European Economic Interest Grouping (EEIG). See more on these definitions in section 6.4.

submitted electronically at the latest on 18 March 2014 (17:00 Brussels time) using the TENtec eSubmission module. All parts of a proposal translated in English must be identical with the respective parts of proposal submitted in the original language. The English translation will be the only document to serve as a basis for the external evaluation.

The TEN-T EA will reimburse translation costs up to the maximum of €2500 per proposal, provided that the proposal has been submitted before the call deadline (regardless of whether or not the proposal is selected for funding)¹². For each translation reimbursement request, the request must be submitted in original hardcopy, accompanied by a copy of the official invoice for the translation services.

An information note on the reimbursement of translation expenses and a standard letter to request reimbursement can be found in Annex 4 to this Guide. The translation reimbursement requests have to be presented by 31 March 2014 (17:00 Brussels time) at the latest. These requests should be accompanied by completed Legal Entity Form and Financial Identification form of the entity to which the payment should be made (see Annex 4).

5.3 Proposal submission

5.3.1 What should I send?

A **complete proposal** consists of:

✓ Application form Part A (must be generated in the TENtec eSubmission module, which is part of the TENtec Information System), and

✓ A package to be sent by courier service or delivered by hand, containing all of the following:

- The printout of application form Part A, generated by the TENtec eSubmission module after the electronic submission (*one signed original and four additional copies if the proposal is submitted in English. If the proposal still requires translation only one copy additional to the signed original is sufficient*). Please note that the unique proposal code which is automatically generated by the TENtec eSubmission module upon submitting a proposal must appear on the printout;

- The printout of application form Parts B1 and B2, as well as their annexes (*one signed original and, if submitted in English, four additional copies*);

Completeness of proposals (parts A, B1 and B2), as described in this section, is a formal requirement.

- CD-ROM or DVD-R disk (non-rewritable, therefore USB sticks are not acceptable) containing the complete proposal (i.e. application form Parts A, B1 and B2 and their annexes) in electronic format (PDF or formats readable by MS Office programmes).

The unique proposal code generated by submitting application form Part A must be clearly indicated in the space provided on the cover page of Parts B1 and B2 and their annexes.

It is strongly recommended to submit documents in black and white only. Photocopies of the documents will not be made in colour.

Please note that the originals of the application forms and annexes will not be returned to applicants at any point.

¹² The Agency reserves the right to carry out any checks that might be needed and / or to request any supporting documents to verify that the costs of translation are reasonably close to the market rates for translation work in the Member State.

Additional documents to those specified in any part of the application form may be included as separate annexes. However, all relevant information for assessing the proposal **must** be provided **in** the application form. The purpose of additional annexes - which are not systematically read through - is only to provide further information that supports, illustrates or provides evidence of an element described in the application form.

Please avoid sending extensive annexes; only reasonable amounts of information can be processed during the evaluation. Often executive summaries provide a good opportunity to relay information instead of sending entire documents. Any additional annexes included must be clearly referred to and their relevant parts summarised in the application forms. They do not need to be translated unless the applicant deems this necessary.

5.3.2 Where should I send my application?

The proposal must be submitted as follows:

a) Application form Part A must be submitted using the TENtec eSubmission module

For proposals under the AP and the MAP calls application form Part A must be completed and submitted using the TENtec eSubmission module before 17h00 (Brussels local time) on 11 March 2014.

The date and time of the electronic submission are generated automatically by the tool and are embedded in the submission. In order to prove authenticity, a unique proposal code is automatically generated.

After submitting the application via the TENtec eSubmission module, applicants should print out application form Part A using the TENtec eSubmission module. The reference number will automatically be included on each page of the printout (see Annex 1 for details).

Applicants must sign this printout in the space provided and send it to TEN-T EA together with Parts B1 and B2 and annexes, in line with point b) below.

Any electronic submission generated after the printout has been generated will not be accepted.

b) Application forms Parts B1 and B2, have to be downloaded from the call website

http://tentea.ec.europa.eu/en/apply_for_funding/follow_the_funding_process/calls_for_proposals_2013.htm and duly filled in. Once final it is strongly recommended that they are attached to application form A (via the TENtec eSubmission module) though this is not compulsory. The same applies to any annexes accompanying the proposal (preferably in PDF format).

The Financial Capacity Check form for entities having the obligation to demonstrate their financial capacity applies (e.g. private undertakings and bodies, etc...) shall be attached in Excel or equivalent format.

c) after completing steps a) and b), the complete proposal (including annexes) must then be sent (by registered courier or hand delivery) to the following address

European Commission
TEN-T Executive Agency
W910 - 01/38
Calls 2013
Avenue du Bourget, 1
B-1140 Bruxelles
Belgique

Proposals sent by registered courier have to be dispatched not later than 11 March 2014 (as evidenced by the postmark or deposit slip). Applicants must keep proof of the date of sending and be able to present it upon request. Failure to provide proof of the date of sending will exclude the proposal from being evaluated.

Proposals may also be delivered by hand to the Central Mail Service of the European Commission, **no later than 17h00** (Brussels local time) on 11 March 2014 (as evidenced by the signed and dated receipt provided by the Central Mail staff that must be requested). The Central Mail Service is open from 7.00 to 17.00 Monday to Thursday, and from 7.00 to 16.00 on Fridays. It is closed on Saturdays, Sundays and Commission holidays.

It is the sole responsibility of the applicant to ensure that the signed original of the complete proposal is identical to the electronic file submitted on the disk. If there is a difference, the signed paper copy prevails.

*It is also the responsibility of the applicant to ensure that proposals are sent to the address set out in this Guide for Applicants. The Commission cannot be held responsible for consignments which are not addressed correctly. Proposals sent in several parts which are not clearly marked so as to enable them to be put together will not be evaluated. **Applicants must be able to present proof of postage otherwise their proposal will not be evaluated.***

5.3.3 Instructions for sealing the envelope

The complete proposal must be placed inside **two sealed envelopes**, one inside the other. The inner envelope must bear the indication:

Call for proposals TEN-T EA Multi-annual 2013 - XXX (<i>please indicate the field, namely PPs, MoS, ERTMS, ATM or ITS</i>) OR Annual 2013 – Priority X (<i>please indicate 1 or 2</i>) - Not to be opened by the Postal Service of the Internal Mail Department –
--

Please ensure that you have specified the Call for Proposals you are applying for (i.e. Annual or Multi-annual) for each proposal submitted.

Applications which fail to comply with the formal requirements described in sections 5.3.1 to 5.3.3 shall not be evaluated.

5.3.4 *Multi-applicant proposals*

For proposals with more than one applicant, a **joint application** should be submitted. Instructions on how to prepare the electronic version of application form Part A are available in the Annex 1. For technical instructions on using TENtec eSubmission module see TENtec eSubmission module User Manual (available on each call's web page).

Parts A2.1, A2.2, A2.3, A2.4 and A2.5 must be completed, signed and stamped by each applicant and Part A2.5 must be completed, signed and stamped by each of its affiliated entities taking part in the proposal. For each multi-applicant proposal a coordinator has to be designated in Part A2.6. Unless specified otherwise by the applicant it is assumed that the proposal coordinator becomes automatically the action coordinator if the proposal is selected for funding. The coordinator will perform the tasks described in Article I.4.2 of the Model funding decision.

For the paper copies of application form Part A and Part B1, if three or more applicants are involved, it is not necessary that they sign the same form itself (i.e. it is not necessary to physically circulate the document between applicants), as long as the coordinating applicant (and not each applicant individually) sends all signed forms together with the complete proposal.

Any paper copies should be submitted as ordered packages of independent copies each following the order of the application form's elements: Part A, Part B1 and Part B2, as well as any annexes.

It is important to finalise application form Parts A and B1 sufficiently in advance of the call deadline in order to allow time for applicants to send their signed copy to the coordinating applicant. All physical copies should be sent by the coordinator in one package.

5.4 **Timetable**

The timing of the 2013 TEN-T calls for proposals is as follows:

Publication of the calls	11 December 2013
Deadline for submission of proposals	11 March 2014
Deadline for submission of translations (if applicable)	18 March 2014
Evaluations	April 2014 (indicative)
Consultation of Financial Assistance Committee European Parliament right of scrutiny	June 2014 (indicative)
Adoption of individual Decisions	As from September 2014 (indicative)

5.5 **Correcting or revising your proposal**

It is not possible to make changes to a proposal once it has been submitted. If you wish to make changes, you must submit a new proposal before the deadline, which

will replace the previous version. Instructions on how to do this are given in the TENtec eSubmission module User Manual, attached as Annex 1.

If more than one copy of the same proposal is received, only the most recent eligible version will be evaluated. If you re-submit a proposal, to avoid complications during the evaluation, please inform the TEN-T EA through the relevant helpdesk (see 5.6).

Applicants who have submitted a proposal must inform the TEN-T EA as soon as possible through the relevant helpdesk (see 5.6) of any change of an operational or financial nature that might affect them or the proposal.

5.6 Further information

Further information or clarifications on the 2013 calls for proposals may be published on the TEN-T EA website. In particular, a list of Frequently Asked Questions (FAQ) will be regularly updated as questions arise:

http://tentea.ec.europa.eu/en/apply_for_funding/follow_the_funding_process/faq_-_calls_for_proposals_2013.htm

Please note that the AP and MAP calls FAQ will be frozen on 4 March 2014, one week prior to submission deadline, to ensure equal treatment of applicants. Questions will therefore have to be submitted on 26 February 2014 at the latest in order to be considered.

Any additional specific questions related to TEN-T 2013 calls for proposals, including technical aspects of the electronic submission module, may be addressed to the TEN-T EA helpdesk:

Annual call helpdesk: tenea-helpdesk-call-annual-2013@ec.europa.eu

Multi-annual calls helpdesk: tenea-helpdesk-call-MAP-2013@ec.europa.eu

In particular for questions linked with the electronic submission tools you may also contact the Agency by phone at: +32 (0)2 295 17 43 (from 9:30 to 16:30 Brussels local time). Please note that the general TENtec support service (helpdesk) will not reply to call related questions.

The answers to questions which could be of interest to other applicants will be published in the FAQ list on the TEN-T EA website, to ensure equal treatment between all potential applicants. Questions which are specific to a particular proposal and where the answer of the TEN-T EA would provide a comparative advantage to the applicant will not be answered.

Applicants are strongly recommended to consult the FAQs for updates on a regular basis.

6 Evaluation procedure

6.1 General

The Commission, with the assistance of the TEN-T EA, carries out the evaluation and selection of proposals.

The process is supported by external experts, whose role is to ensure that only those proposals which best meet the award criteria as described in the relevant work programme and call text are selected for funding. The experts perform assessments

on their personal capacity, not as representatives of their employer, their country or any other entity. They are expected to be independent, impartial and objective, and to carry out their tasks in a professional manner throughout the evaluation process. They sign a contract, including a confidentiality and conflict of interest declaration before beginning their work. Confidentiality rules must be adhered to at all times before, during and after the evaluation.

The process for evaluating proposals submitted for funding by the TEN-T programme is based on two key principles:

- **Equal treatment:** All proposals are evaluated in the same manner against the same criteria.
- **Transparency:** Adequate feedback will be provided to applicants on the outcome of the evaluation of their proposals.

With a view to ensuring a high degree of transparency, the Agency may appoint an independent expert to act as observer of the evaluation process from the point of view of its procedures and execution. The observer's role is to give independent advice to the Agency on the conduct and fairness of all phases of the evaluation sessions, on ways in which the expert evaluators apply the evaluation criteria, and on ways in which the procedures could be improved. He/she will report the findings and make recommendations to the Agency. In the framework of their work, the observer may not express views on the proposals under evaluation nor on the experts' opinions on the proposals.

6.2 The evaluation process

For each proposal that fulfils the formal requirements described in sections 5.3.1 to 5.3.3 of this Guide, the eligibility criteria are checked. Additional information or clarifications may be requested during the evaluation process. Any contact with applicants shall be appropriately recorded. In case documents of substance are missing, the proposal shall be deemed ineligible. The evaluation process is based on the eligibility criteria, selection criteria and award criteria stipulated in the relevant work programme.

Proposals which meet the formal requirements and the eligibility criteria are then further assessed according to the selection criteria (i.e. operational and financial capacity): assessment of the financial capacity for applicants to which the demonstration of the financial capacity applies as described in section 6.4, within the proposal by means of risk analysis that takes into account the capacity of individual applicant and their share of participation in the proposal. Operational capacity of applicants is demonstrated by any evidence that can provide reasonable assurance that the applicant in question has the technical means and skills to fulfil its part in the implementation of the proposed project.

In a next step, proposals which meet the eligibility and selection criteria are evaluated by means of the following procedure:

- Assessment by external experts against all award criteria;
- Pre-evaluation by an Internal Evaluation Panel; and
- Evaluation by an Evaluation Committee.

The criteria and process are described in more detail in the following sections.

6.3 Eligibility criteria

All relevant information for the eligibility criteria is included in Parts A and B1 of the application form.

The basic eligibility criteria are the following (additional eligibility criteria may apply for specific calls, if stipulated in the respective call texts):

6.3.1 Conditions for applicants

Only written applications submitted by legal persons of private or public law legally constituted and registered in a Member State are eligible for Union financial support.

Applications must be presented by:

- one or more Member States, and / or
- with the agreement of the Member States concerned, by international organisations¹³, joint undertakings, or public or private undertakings.

All proposals in the areas of Motorways of the Sea (MoS) must include applicants from and be supported by a minimum of two different Member States.

All proposals for works projects in the area of Intelligent Transport Systems (ITS) must include applicants from and be supported by a minimum of three different Member States.

In practice, agreement of the Member State(s) concerned is confirmed by the stamp and signature of section A2.4 of application form Part A by the relevant Ministry(ies). It is not necessary to attach another declaration. Note that for multi-applicant proposals, section A2.4 must be signed for each applicant (even if there is more than one applicant from a single Member State). Complementary information can be provided in section 4.1 of application form Part B2.

For the purposes of the validation provided in section A2.4 of the application form Part A, as 'Member States concerned' have to be considered all Member States on the territory of which the action will be implemented.

For a proposal submitted by international organisations (as defined above) or European Economic Interests Groupings¹⁴ or other international bodies only one Member State would be enough to validate the participation in the proposal of this organisation/entity by signing and stamping section A2.4 of application form Part A. This Member State should be fully aware of the responsibility of all the proposal's activities that this entails, and should be prepared to sign and validate the project reports, in line with article 11 of TEN-T Regulation.

Applications are not eligible if submitted by:

- Natural persons (applicants other than a Member State must show that it exists as a legal person, by providing the form relative to legal entities as set out in the application form),

¹³ According to article 43 (1) of the Commission Delegated Regulation (EU) No 1268/2012 (on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union), international organisations are:

(a) international public sector organisations set up by intergovernmental agreements, and specialised agencies set up by such organisations;

(b) the International Committee of the Red Cross (ICRC);

(c) the International Federation of National Red Cross and Red Crescent Societies;

(d) other non-profit organisations assimilated to international organisations by a Commission decision.

¹⁴ Established in line with Council Regulation (EEC) N° 2137/85 of 25 July 1985.

- Non EU Member States or legal persons established outside Union countries, or
- International organisations, joint undertakings, or public or private undertakings without the agreement of the Member States concerned.

Designated affiliated entities must comply with the same eligibility criteria applicable to applicants. Only affiliated entities established in an EU Member States may be designated by the applicant in the application form (A2.5). Affiliated entities shall fill in and sign Annex IV to application form B1. If the proposal is selected for funding, the possibility to include affiliated entities will be approved only after verification of all legal requirements. If they fail to provide the requested information or if information provided is not satisfactory the respective affiliated entities will be excluded from the implementation of the action.

6.3.2 *General conditions for the eligibility of projects*

Overall, eligibility is subject to a **commitment** by the applicant for Union financial aid and, where appropriate, by the Member States concerned, to make a financial contribution to the project submitted, mobilising private funds if necessary.

- Proposals must relate to a **project of common interest**, as defined in Article 7 of the TEN Guidelines.

- **Non-cumulation of Union funding:** TEN-T financial aid shall not be assigned to projects or stages of projects which benefit from other sources of Union funding.

This means that expenditure within a project that is part of an operational programme receiving financial assistance from the Structural Funds and/or the Cohesion Fund cannot benefit from other Union funding. It follows that when expenditure, for example for ERTMS equipment or electrification of a railway line, is not receiving financial assistance from the Structural Funds and/or the Cohesion Fund, it could benefit from TEN-T funding. The actual construction of the railway line could be funded by the ERDF or the Cohesion Fund. Projects could also be divided into geographical sections, which could be co-financed either by ERDF/Cohesion or TEN-T funding. When granting TEN-T subsidies, the Commission will therefore check if the projects have received funding from the Structural Funds or the Cohesion Fund.

- The granting of Union financial aid to projects of common interest is conditional to **compliance with relevant Union law**. This includes legislation related to the environment (Environmental Impact Assessment (EIA) Directive¹⁵, Strategic Environmental Assessment (SEA) Directive¹⁶, Habitats Directive¹⁷, Birds Directive¹⁸, Water Framework Directive¹⁹), state aid and competition, award of public contracts²⁰ and, for railway actions, interoperability.

- **Interdependence of works/studies:** A proposal must address either works or studies. This applies also to projects in the area of Motorways of the Sea (MoS), unless it is clearly demonstrated that the undertaking of the works is not dependent on the execution and/or conclusion of the study.

¹⁵ Directive 2011/92/EU on the assessment of the effects of certain public and private projects on the environment

¹⁶ Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment

¹⁷ Directive 92/43/EEC on the conservation of natural habitats and of wild fauna and flora

¹⁸ Directive 2009/147/EC on the conservation of wild birds

¹⁹ Directive 2000/60/EC establishing a framework for Community action in the field of water policy

²⁰ Directive 2004/17/EC coordinating the procurement procedures of entities operating in the water, energy, transport and postal service sectors. Directive 2004/18/EC on the coordination of procedures for the award of public works contract, public supply contracts and public service contracts.

6.4 Selection criteria

The proposal shall show that the applicant has the financial and operational capacity to carry out the proposed action.

Affiliated entities shall also demonstrate their financial and operational capacity, in case the proposal is selected for TEN-T funding.

However, the demonstration of the financial and operational capacity does not apply to applicants which are: a Member State, a public sector body (regional or local authority, body governed by public law²¹ or association formed by one or several such authorities or one or several such bodies governed by public law²², international organisation) or an European Economic Interest Grouping (EEIG).

If applicable, an initial assessment of the technical and financial capacity of the applicant is performed by the Agency. Failure to comply with these criteria might result in the proposal not being retained for funding. Applicants may be asked to provide additional proof and/or to clarify the supporting documents related to the selection criteria within a specific time limit.

Financial Capacity

Applicants must have stable and sufficient sources of funding to maintain their activity throughout the period during which the Action is being carried out and to participate in its funding.

Applicants for which the demonstration of financial capacity applies (e.g. private undertakings and bodies, etc.) are asked to fill in the Financial Capacity Form (see Annex 2 for more details). Affiliated entities involved in the proposal will be requested to demonstrate their financial capacity only in case the proposal is selected for funding.

Operational Capacity

Applicants that need to demonstrate their operational capacity have to provide information and justification on their capacity to fulfil their respective tasks within the proposed project. Related information must provide reasonable assurance about their capacity to carry out the proposed work. Affiliated entities involved in the proposal will be requested to demonstrate their operational capacity only in case the proposal is selected for funding.

6.5 Award criteria

Each project proposal which meets the selection and eligibility criteria will be evaluated in an equal manner against the award criteria defined in the call text, applicable to all proposals. These award criteria are grouped in the following four blocks:

²¹ Body governed by public law is any body:

- (a) established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character; and
- (b) having legal personality; and
- (c) financed, for the most part by the State, or regional or local authorities, or other bodies governed by public law; or subject to management supervision by those bodies; or having an administrative, managerial or supervisory board, more than half of whose members are appointed by the State, regional or local authorities or by other bodies governed by public law

²² e.g. Joint Undertaking established under Article 187 of the Treaty on the Functioning of the European Union (ex Article 171 TCE)

- Relevance
- Maturity
- Impact
- Quality

Application form Part B2 includes a section for each of these blocks, in order to help guide the external experts in conducting their assessments.

In order to facilitate and ensure coherency in the assessment of proposals, a number of detailed issues (prompting points) are defined for each block, which the external expert must consider during the assessment. These prompting points are listed in sections 6.5.1 to 6.5.4.

These questions are the same for all calls for proposals. However, if a particular interpretation applies to a specific call, this will be indicated in the relevant call text.

It is important to note that when examining proposals, external experts may only apply the award criteria which are set out in the evaluation forms. No other factors shall be taken into consideration. Furthermore, only information included in the application form (and its annexes) will be considered by the experts.

6.5.1 *Relevance*

Relevance refers to the contribution of the Action to the TEN-T priorities (as laid out in the TEN-T Guidelines) and the objectives described in the call for proposals text, as well as to the macro socio-economic benefits at EU level and the need for TEN-T support.

This block of evaluation criteria shall assess the proposal if each of the following elements is met:

- The Action belongs to one of the 30 priority projects as defined in Annex III of the TEN Guidelines, or how it classifies as project of common interest as defined in Article 7 of the TEN Guidelines.
- The Action addresses TEN-T priorities as identified in Article 5 of the TEN Guidelines.
- The Action addresses the objectives, priorities and expected results of the related Call for proposals.

This block of evaluation criteria shall assess the proposal in terms of the extent to which:

- The Action contributes to the internal market, the cohesion policy and the Europe 2020 strategy.
- The Action generates socio-economic benefits (e.g. competition, jobs, social integration) at macro level (EU level).
- The Union funding of this proposal has a stimulating / leverage effect on public and private financing and the commitment of the different stakeholders, and avoids the negative impact the absence of TEN-T funding would have.

If a proposal is not relevant to the call text under which it is submitted, it can be transferred by the European Commission services and with the written agreement of the applicant, to another call/area/priority/sub-priority evaluated at the same time

provided it is relevant to the latter. In this case, the proposal will be entirely evaluated under the conditions of the call/area/priority/sub-priority it is transferred to.

6.5.2 *Maturity*

Maturity refers to the status of preparation of the activities, in particular the capacity to implement the Action in accordance with the foreseen time plan and technical specifications. In other words: is the project ready to go?

This block of evaluation criteria shall assess the proposal in terms of the extent to which:

- The proposal has received formal approval at governmental, regional, local level.
- Political commitments have been given to the Action and, if applicable, to the Global Project (including cross-border commitments where relevant).
- Public consultations have been positively accomplished and the plans to involve stakeholders throughout the Action are appropriate and well-developed.
- The project is ready to start from a technical point of view.
- The necessary building permits have been received / the procedures to receive them are well advanced.
- Procurement procedures are defined and well advanced.
- There are risks and factors of uncertainty of legal/administrative/technical/other nature which remain to be settled before activities can start.
- The proposal has secured or is at an advanced stage in the process of securing adequate financial resources to implement the planned activities, and the revenues foreseen are realistic.

6.5.3 *Impact*

Potential impact refers to the anticipated socio-economic effects of the Action (at the micro level) as well as the impact on the environment.

For proposals involving works, this block of evaluation criteria shall assess the proposal in terms of:

- Socio-economic effects (reflected in the results of ex-ante evaluation(s), socio-economic and/or cost/benefit analyses), and in particular the extent to which:
 - The Action would have positive direct and indirect socio-economic effects (in particular in relation to the results of the ex-ante evaluation(s), socio-economic and cost/benefit analyses).
 - The Action would have a positive impact on in terms of mitigating traffic growth consequences, improving multimodal split, enhancing inter-operability, regional or national development, service quality, safety and security.
 - The Action would have a positive impact on regional and / or local development and land use. Please assess also positive and negative impacts on neighbouring regions.

- The Action would have a positive impact on competition.
- Environmental impact (reflected in the expected impact of the Action on nature, emissions, noise, land use, etc. and in the measures to reduce or compensate any negative impacts), and in particular the extent to which:
 - The Action would contribute to the re-balancing of transport modes in favour of the most environmentally friendly ones.
 - The proposed Action would have positive and negative effects on the environment (only for works).
 - The Action, in case of possible environmental negative effects, has foreseen adequate measures of prevention, monitoring and mitigation.

For proposals involving studies, this block of evaluation criteria shall assess the proposal in terms of the:

- Impact of the study as a decision-making tool:
 - Level in the organisation/Member State which will use/rely on the output of the study for its decision-making, and period over which it will be used.
 - Extent to which the output of the study will be relied upon for decision-making, possibly in relation to other studies, and at what level: i.e. at the level of a future Action or national project, or at Global Project level.
 - Relevance and economic value of the study in terms of costs / benefits.
- Impact of the study in terms of policy-making and best practices:
 - Extent to which the study:
 - considers the policy context in which it will be undertaken
 - provides a sound basis for institutional and national policy-making
 - Degree to which elements of the study could be used to develop best practices
- Socio-economic effects (reflected in the results of ex-ante evaluation(s))

6.5.4 Quality

Quality of the Action refers to its completeness and clarity, in terms of the description of the planned activities, the soundness of the project management process and the coherence between its objectives and planned resources / activities.

This block of evaluation criteria shall assess the proposal in terms of the extent to which:

- The overall proposal is of good quality in terms of its logic, completeness and clarity.
- The proposed activities are coherent with the Action's objectives and are adequate to achieve them.
- The proposal is realistic and consistent from a technical point of view.
- The costs budgeted for each activity are realistic and reasonable.
- The organisational structure and the project management plan put in place for the Action are sound.
- A sound risk management plan has been prepared.
- Sound control procedures and quality management are in place.

- Sound arrangements for monitoring, internal / external audits and evaluations are in place or foreseen.
- A satisfactory level of publicity regarding the funding support requested from the TEN-T programme is planned.

6.6 Eligibility of costs

Detailed information on eligible costs are described in Article III, 3.16 of the Model funding Decision available on the call website:

http://tentea.ec.europa.eu/en/beneficiaries_info_point/useful_documents_forms

Costs in the application form must be presented in euros. In converting to euros, applicants should use the monthly accounting rate established by the Commission (ideally the rate of March 2014 and, if not published at the time of the submission of the proposal, the rate of January or February 2014) and published on the following website: <http://ec.europa.eu/budget/inforeuro/index.cfm?Language=en>. The exchange rate used when preparing the application should be specified in the application form's section A3.2.

If you are using the possibility of indirect costs, as described in Article III.3.16 points 3 and 4 of the Model funding Decision, then only a flat rate of up to 7% of the total direct costs is accepted and you must provide the calculation method in case your proposal is selected for funding.

More information and advice concerning this issue is available from the Good Practices Working Group documents at:

http://tentea.ec.europa.eu/en/beneficiaries_info_point/good_practice_working_group/good_practice_working_group_02.htm.

6.7 Evaluation process: in detail

6.7.1. Step 1: Assessment of compliance with formal requirements, eligibility and selection criteria, and Union law

Firstly, an Opening Committee shall verify the formal requirements set by the related Call for proposals, i.e. that proposals have been submitted before the call deadline and that the proposal is complete. Applicants are then informed by email ('acknowledgement of receipt') that their proposal has been successfully submitted (this does not, however, necessarily imply that it is eligible).

Next, each proposal is assessed against the eligibility criteria (Section 6.3) and the selection criteria (Section 6.4).

Any proposals which do not meet these criteria shall be rejected; applicants of these proposals shall be notified and informed of the reason. In the absence of such a notification within six weeks of the submission deadline, applicants can assume their proposal has successfully passed the assessment against the eligibility criteria.

As specified in the call text (Section "Prior information of applicants") the personal details of applicants and, if they are legal entities, persons who have powers of representation, decision-making or control over them, may be registered in the Early Warning System (EWS) or Central Exclusion Database (CED) if they are in one of the situations mentioned in

- The Commission Decision 2008/969 of 16.12.2008 on the Early Warning System, or
- The Commission Regulation 2008/1302 of 17.12.2008 on the Central Exclusion Database.

The EWS is a system of warning signs to inform the various services of the Commission and the Executive Agencies. It alerts them that third parties concerned by those warnings and with whom the Commission has or is likely to have financial relations:

- Are suspected of having committed fraud or serious administrative errors;
- Are subject to an attachment order ("saisie-arrêt" in French) which prevents the Commission to pay directly the third parties;
- Are subject to significant recovery orders issued by the Commission on which payment is significantly overdue, i.e. are "very bad debtors".

It incites the Commission services in these various situations to take the appropriate measures provided in the Union legislation to protect the financial interest of the Union.

Access to the EWS is restricted to a defined category of authorised users, who have to keep the information confidential, except in certain circumstances where they can disclose some information only to the third party directly concerned by the warning (but not to other persons).

For further information see: http://ec.europa.eu/budget/sound_fin_mgt/ews_en.htm

The CED was set up by the Commission at European level as from 1 January 2009, containing all the entities which are under an exclusion situation (Articles 106, 107 and 106 of the Financial Regulation).

For further information see: http://ec.europa.eu/budget/sound_fin_mgt/ced_en.htm

6.7.2. Step 2: Assessment against the award criteria by external experts

Proposals which meet the formal requirements and the eligibility and selection criteria are assessed by external experts against the award criteria.

All external experts are briefed before their assessment on the general assessment guidelines, the objectives of the TEN-T priorities for the different modes of transport, rules regarding conflicts of interest, logistical arrangements, etc.

All the key written documents (e.g. TEN Regulation, TEN Guidelines, work programmes, call texts) are sent to the external experts in advance of the evaluation. In addition, other documents dedicated to specific transport modes, and relevant for the evaluation, may be supplied.

In a first step, each application form is assessed independently against the applicable award criteria by a minimum of three external experts, each of which completes an Individual Assessment Form with marks and comments.

Marking proposals: External experts examine the prompting questions and, for each block of evaluation criteria, award a mark on a six-point scale from 0 to 5. Half marks may be given. In this scheme, the scores indicate the following with respect to the block under examination:

0 - The proposal fails to address the criterion under examination or cannot be judged due to missing or incomplete information.

- 1 - *Poor. The criterion is addressed in an inadequate manner, or there are serious inherent weaknesses.*
- 2 - *Fair. While the proposal broadly addresses the criterion, there are significant weaknesses.*
- 3 - *Good. The proposal addresses the criterion well, although improvements would be necessary.*
- 4 - *Very good. The proposal addresses the criterion very well, although certain improvements are still possible.*
- 5 - *Excellent. The proposal successfully addresses all relevant aspects of the criterion in question. Any shortcomings are minor.*

Unless indicated otherwise in the call text, in calculating the total score of a project, each block of criteria is considered to be of **equal weighting**.

The **minimum threshold** for an individual block of criteria is 3 points. In other words, external experts will not recommend for funding any proposal which does not obtain at least 3 points for one (or more) block(s). However, the Commission is not bound by the opinion of the experts and may deviate from their view.

External experts are required to provide comments to justify each of their scores. These comments must be consistent with any scores awarded and serve as input to the consensus discussions and related consensus reports.

The prompting questions for each block of criteria are not scored; external experts will only record their observations on them in the evaluation form. These questions are reminders to help the external expert in supporting his/her judgement on what score to assign to the criterion concerned when he/she has finished reading, and also to remind him/her of issues he/she may wish to raise later during the consensus meeting.

External experts may make recommendations regarding the amount of funding and/or the duration of an Action.

In a second step, a consensus meeting with a minimum of three external experts is convened when all experts assigned to a proposal have completed their individual assessment form. During this meeting, a consensus report is agreed and signed for each proposal, providing a score for each block of criteria and comments to justify these. TEN-T EA staff moderates these meetings.

If a common point of view on any particular aspect of the proposal cannot be agreed on, the moderator may ask an additional external expert to examine the proposal. If after his/her input consensus can still not be reached, the report sets out the majority view of the experts but also records any dissenting views from any particular external expert(s).

6.7.3. Step 3: Internal Evaluation Panel

An Internal Evaluation Panel, composed of representatives from the Commission's Directorate General for Mobility and Transport (DG MOVE) and the TEN-T EA (and including representatives of Directorate General for Environment and other Directorates General, if appropriate), reviews all of the proposals, together with the consensus reports, and recommends proposals for funding. In addition to the award

criteria, during the final selection process, the following policy-related aspects will also be taken into consideration, as appropriate:

The extent to which:

- The proposal/project contributes to the balanced development of the network,
- The proposal/project is cross-border or whether it produces cross-border effects,
- The proposal/project addresses a bottleneck; where relevant, whether it offers a suitable remedy to the problem,
- The proposal/project addresses a missing link so that it maximises the impact of investments already made in the region/country/global project,
- The proposal/project is of comparative EU added value (High, Medium, Low),
- The proposal/project has an absorption or performance capacity, based on previous experience (where such exists),
- There is any identified/identifiable risk for double-funding from other EU sources.

The Panel examines all proposals on the basis of the consensus reports of the external experts and may, in duly justified circumstances, deviate from the consensus overall comments. Ultimately, the Panel prepares a list of proposals recommended for funding, which it submits to the Evaluation Committee. For each proposal, a recommendation for the level of funding and the duration of the Action is given, as well as any other recommendations considered appropriate.

A number of proposals may be suggested for a reserve list to allow for eventualities such as the failure of concluding a funding decision, the withdrawal of proposals, budget savings agreed during preparation of a funding decision, or the availability of additional budget from other sources.

6.7.4. Step 4: Evaluation Committee

The Evaluation Committee, composed of DG Mobility and Transport Directors, assesses the Internal Evaluation Panel's list of proposals recommended for funding and the list of those not to be funded. The Committee makes any modifications it considers appropriate, following which it presents the final list of projects to be proposed for funding and to be rejected to the Director-General of DG Mobility and Transport.

Once approved, DG Mobility and Transport launches an inter-service consultation to obtain comments from other services of the Commission (in particular DG Environment and DG Regional Development), to verify compatibility with other policies, and to ensure there is no risk of double financing for the same activity or project.

6.7.5. Step 5: Approval by Programme Committee and European Parliament scrutiny

The list of projects selected by the Evaluation Committee must then be approved by Member State representatives via the Financial Assistance Committee (FAC) and by the European Parliament, which has the right to oppose the list according to certain conditions. On this basis, a 'framework Decision' is adopted, listing the proposals selected for funding, indicating the respective amounts of funding as well as the co-funding rates to be awarded to each Action.

6.7.6. *Feedback to applicants*

Proposals which do not meet the formal requirements, eligibility or selection criteria and cases where non-compliance with Union law is detected will be rejected and the applicants will be notified of the grounds for such a decision after Step 1 of the evaluation process.

After the approval by the FAC and the European Parliament, each applicant whose proposal(s) is (are) selected for funding is then invited to finalise in cooperation with TEN-T EA the terms of the grant Decision²³, before it is adopted for each selected Action.

7 Check list

7.1 *Preparing your proposal*

- ✓ **Does your proposal fit in the scope of the call for proposals?** Check that your proposed activities do indeed address the objectives and results expected identified in the relevant call. It will only be evaluated against the criteria specified for the call, and if relevant the priority, under which the proposal is submitted.
- ✓ **Does your proposal satisfy all the formal requirements for submission?** Please check carefully the formal arrangements for the submission of proposals provided in the call. Proposals which do not meet these requirements will not be evaluated.
- ✓ **Is your proposal eligible?** The eligibility criteria are given in the call. Proposals which do not meet the eligibility requirements will be considered ineligible and will not be evaluated.
- ✓ **Is your proposal complete?** An application must include:
 - Application form Part A using the TENtec eSubmission module, and
 - A package containing:
 - * The printout of Part A, as generated by the TENtec eSubmission module at the time of the electronic submission (one signed original and four additional copies);
 - * Application form Parts B1 and B2, as applicable, (one signed original and four additional copies);
 - * Annexes (one original and four additional copies); and
 - * A CD-ROM or DVD-R containing the complete proposal in electronic format.
- ✓ **Does your proposal follow the required structure?** Proposals should be precise and should clearly respond to the questions posed. Omitting requested information will almost certainly lead to lower scores and could lead to exclusion. For large projects consider breaking down the scope of the Action in several activities to allow evaluation and possible future monitoring of the Action. Similarly, large activities consuming a disproportionate high share of the total estimated cost of the Action should be broken down to smaller distinct parts (activities or sub-activities). Milestones should be evenly spread all over the duration of the Action to allow a sound monitoring of the Action.

²³ The sole purpose of the preparation and clarification procedure is to clarify points of detail or to negotiate groupings into partnerships to facilitate subsequent management of the financial aid. Under no circumstances may it lead to any substantial amendments which would change the nature of the original application evaluated and selected.

✓ **Did you validate the correctness of your GIS data?** Proposals have to provide accurate GIS data to indicate without ambiguity where the activities of the proposal are located. You may use services such as GOOGLE maps to facilitate the validation.

✓ **Have you maximised your chances?** There will be strong competition. Therefore, edit your proposal tightly and see if you can strengthen weak points. Put yourself in the place of an expert evaluator by referring to the award criteria given in Section 6.5 of this Guide. Arrange for your draft to be reviewed by experienced colleagues and use their advice to improve it before submission.

7.2 Final checks before submission

✓ **Do you have the approvals** of all the Member States directly concerned by your proposal?

✓ **Have all the requested documents been completed** (e.g. Declarations of compliance with Union policy and law, financial identification form, legal entity form as required, Annex I) and signed by the competent authority(ies) and/or organisation(s)?

✓ **Have all the requested additional documents** (such as the Natura 2000 map, EIA, SEA and WFD documentation) **been attached** to the proposal?

7.3 The deadline

Make sure that the proposal is delivered before the deadline and that you keep proof of this. The evidence may be requested.

Annexes

- Annex 1: Guidelines to complete application form Part A
- Annex 2: Guidelines to complete application form Part B1
- Annex 3: Guidelines to complete application form Part B2
- Annex 4: Information note on reimbursement of translation costs
- Annex 5: Glossary and Acronyms

Annex 1: Guidelines to complete application form Part A

Application form Part A must be created and submitted using the TENtec eSubmission module. The link to the module can be found on each call's website. The applicants should then print application form Part A using the TENtec eSubmission module. The reference number of a proposal is generated during submission and will be automatically included on each page of the printout.

When completing the Part A of the application, please also refer to the TENtec eSubmission User Manual for the technical aspects of using the eSubmission module.

Application form Part A is composed of the following sections:

Section A1:	General information on the proposal
Section A2.1:	Information on the applicant
Section A2.2:	Contact points
Section A2.3:	Financial Identification Form
Section A2.4:	Implementing body and agreement of the Member State(s)
Section A2.5:	Affiliated Entities
Section A2.6:	Coordinating Applicant
Section A3.1:	Location, activities and milestones
Section A3.2:	Financial information
Section A3.3:	Estimated costs
Section A4:	Language of the proposal

Section A.1 General information on the proposal

In this section the applicant will indicate under which call for proposals or priority, or respective sub-priorities or transport modes the respective application is being submitted. The available choices correspond to the objectives and priorities of the calls being opened as stipulated in the call(s) for proposals.

Furthermore, in this section some key information concerning the proposed Action's title, start and end date as well as a summary have to be provided.

- If the application is being submitted under the Annual Call 2013:
 - √ Choose among two priority options:
 - Priority 1: Studies concerning the acceleration and facilitation of the implementation of TEN-T projects of common interest
 - Priority 2: Promote innovation and new technologies for transport infrastructure and facilities
 - √ For proposals addressing Priority 1, choose among the following transport modes:

- Airports
 - Rail Transport
 - Inland Waterways
 - Maritime transport
 - Road
 - Integrated and multi-modal transport system
- √ For proposals addressing Priority 2, choose among the following sub-priorities:
- Decarbonisation through oil substitution or reduction of environmental costs in general
 - Decarbonisation / Reduction of noise
 - Development and deployment of a new generation of smart/connected transport towards integrated traffic management and improved road safety
- √ In the drop down menus of priority projects and sections of priority projects, applications under the annual call have to choose options "00 - No Priority/Horizontal Project" and "0000 - No Priority/Horizontal Project. Actions addressing Priority Projects (PPs) must apply under the Multi-annual Call 2013.
- √ For proposals under Priority 2/Maritime transport, when choosing transport mode, choose by default "Ports" as the other displayed modes are not applicable.
- If the application is being submitted under the Multi-annual Calls 2013:
- √ Choose among the calls:
- European Rail Traffic Management Systems (ERTMS)
 - Actions on Priority Projects (PPs)
 - Air Traffic Management (ATM)
 - Motorways of the Sea (MoS)
 - Intelligent Transport Systems (ITS)
- √ For proposals submitted under Actions on Priority Projects call, choose among the following transport modes:
- Inland Waterway
 - Rail (Conventional)
 - Rail (High Speed)
 - Rail (Mixed High Speed and conventional)
 - Road
- √ For proposals submitted under Actions on Priority Projects, select the relevant Priority Project and Section of the Priority Project in the dropdown menus. Please note that proposals addressing Priority Project 21 must apply under the Motorways of the Sea (MoS) call and cannot apply under Actions on Priority Projects.
- √ For proposals entered under Actions on Priority Projects, choose also one of the following sub-priorities:
- Preparation of projects' portfolios to be implemented under the future Regulation establishing the Connecting Europe Facility

- Innovation and new technologies - Decarbonisation through oil substitution or reduction of environmental costs in general
 - Innovation and new technologies - Decarbonisation / Reduction of noise
 - Innovation and new technologies - Development and deployment of a new generation of smart/connected transport towards integrated traffic management and improved road safety
- √ For proposals under the ERTMS call, please choose among the following priority areas:
- Priority Area 1: ETCS track-side deployment on ERTMS Corridors:
 - Priority Area 2: Upgrade of lines to ETCS Baseline 2 Release 2.3.0d or vehicles to ETCS Baseline 2 Release 2.3.0d or Baseline 3
 - Priority Area 3: Retrofitting vehicles with ETCS Baseline 2 Release 2.3.0d or Baseline 3
 - Priority Area 4: Test campaigns for ETCS Baseline 3 on-board equipment
- √ For proposals under ERTMS, ATM and ITS, you will only have one default option for the transport mode, Priority Project and Section of Priority Project which will refer by default to the topic of the call.
- √ For proposals under MOS, you will only have one default option for the transport mode and Priority Project, but you have to choose Section which will clearly refer to the geographical area/topic of the project.
- Proposal type: For all proposals, regardless whether they are submitted under the annual or a multi-annual call, choose whether the proposal addresses works or studies (see Section 4 of the Guide for Applicants). In the exceptional case of a mixed project under the MoS call, applicants should choose the option "works" and clearly explain the mixed nature of the Action in the project summary field. The relevant proposals will be encoded as 'mixed' projects following their submission.
 - Title of the proposed action: It is strongly recommended that the title is entered in English, even if the rest of the proposal is submitted in another language. Please do not put in capital letters the entire title.
 - Start date of the proposed Action.
 - End date of the proposed Action.
 - Summary: Please enter a description of the proposed Action. Please note that this concise summary of the proposed Action will also be used in subsequent reporting on the results of the 2013 Calls for Proposals, as well as for individual funding Decision, if the proposal is selected. Do ensure a maximum of consistency between this summary and the information provided in the Application Form Part B.2, in particular its section 2.

Section A2.1 Information on the applicant

In this section an applicant will provide details on its title, general administrative data and in particular its legal status.

- √ Applicant's legal name.
- √ Administrative information: The legal address of the applicant.
- √ Please select the legal status of the applicant among the following options (see Section 6.3.1 of the Guide for Applicants):
 - Member State
 - Public sector body
 - European Economic Interest Grouping (EEIG)
 - Private undertaking or body
- √ Note that for multi-applicant proposals Section A2.1 must be completed, as many times as the number of applicants, in other words one A2.1 per applicant.

Section A2.2 Contact points

In this section an applicant will provide details on the contact point and the representative authorised to sign the application. The contact point is the first instance that will be contacted should this become necessary during or after the evaluation process. Do therefore fill this section completely and make sure that the contact details are up-to-date.

- √ Contact point: Enter contact information (name, title, address, email, phone number, etc.) for the person in charge, who will be contacted by the EU in the first instance about the proposal.
- √ Representative authorized to sign this application: Enter contact information (name, title, address, email, phone number, etc.) for the person at the entity applying who is authorized to sign the application.
- √ Note that for multi-applicant proposals Section A2.2 must be completed, signed and stamped as many times as the number of applicants, in other words one A2.2 per by applicant.

Section A2.3 Financial Identification Form

In this section, each applicant will first download the Financial Identification Form from the European Commission's website and subsequently upload a scan of the form after having filled and validated it.

- √ Financial Identification Form is available in all EU languages at: http://ec.europa.eu/budget/contracts_grants/info_contracts/financial_id/financial_id_en.cfm.
- √ Complete, sign and stamp and attach the form to the application, along with additional documents referred to in the Financial Identification Form.

- √ Note that for multi-applicant proposals Section A2.3 must be completed, signed and stamped as many times as the number of applicants, in other words one A2.3 per applicant.

Section A2.4 Implementing body and agreement of the Member State(s)

This section's first part applies only to applicants that are Member States. They have to provide details on each implementing body involved in the proposed Action's implementation. This section's second part applies only to applicants that are public or private undertakings or bodies. They have to provide the details of the Ministry and its representative that supports the application. By validating this section the Member State concerned by the proposed action endorses the application and accepts all the responsibilities described in art.I.2 and I.3 of the model funding Decision in case the proposal is selected for funding.

- √ If the applicant is a Member State, fill in the legal name and legal address for each implementing body involved in the proposed Action's implementation.
- √ If the applicant is a public or private undertaking or body, fill in the details for the Ministry approving the proposal (legal name and address of the Ministry as well as name, title and contact information of the person authorised to sign the application at the Ministry).
- √ Note that for multi-applicant proposals, Section A2.4 must be completed, signed and stamped for each applicant even if there is more than one applicant from a single Member State (see Section 6.3 of the Guide for Applicants).

Section A2.5 Affiliated Entities

This section should be completed if applicant(s) wish to involve any of its/their affiliated entities in the implementation of the proposed action. Applicants will complete the information about their affiliated entities. For more details on the concept of affiliated entities, you can see Guide for Applicants, Annex 5–Glossary and Acronyms.

- √ Affiliated entities information: If entities affiliated to the applicant(s) will be involved in the implementation of the proposal, enter information about them (legal name, legal address, etc.). If there is more than one affiliated entity per applicant, please introduce the same type of information for all of them.

Section A2.6 Coordinating Applicant

This section needs to be filled in only if there is more than one applicant. Applicants will define the coordinating applicant among the applicants of a multi-applicant proposal that will be the first instance for contact of this proposal. If the proposal is selected for funding the coordinating applicant

becomes automatically the coordinator of the action. The role of the coordinator is described in art. I.4.2 of the model funding Decision. In practical terms, the co-ordinator shall:

- Be the intermediary for all communication between the Action (for all beneficiaries) and the Agency
- Establish the payment requests on behalf of the Co-Beneficiaries (receive individual financial statements, provide consolidated overview and submit a single payment requests);
- Receive and distribute payments. If the financial capacity of the co-ordinator is 'weak' then a temporary guarantee for the whole pre-financing is necessary until the transfers have been made to the other beneficiaries

√ Coordinating applicant: For applications with more than one applicant the applicants entered in the eSubmission module will automatically listed. Do mark the check box for the entity that will act as a coordinating applicant. For the definition of the coordinating applicant, see Annex 5 – Glossary and Acronyms.

√ Contact point: Enter contact information (name, title, address, email, phone number, etc.) for the person in charge of the application (at the Coordinating Applicant entity), who will be contacted by the EU in the first instance about the proposal.

Section A3.1 Location, activities and milestones

In this section applicants will provide key information on the proposed Action concerning its location, its geographical coordinates, its activities and its milestones. Some of this information is also addressed in more detailed and extensive form in the Application Form Part B.2, notably its section 2.5 "*Description of the activities of the proposed Action (including interdependencies)*", 2.7 "*Location of the proposed Action*" and 2.8 "*Overview of the proposed Action*".

It is strongly recommended that the text introduced in this section to describe the activities and the milestones is identical to this provided in Application Form Part B.2. In general, as a matter of principle, please ensure a maximum of consistency, both in terms of wording and content, between the information provided in this section and the information provided in the Application Form Part B.2, in particular the sections mentioned above. Further guidance on these sections is provided in the corresponding section of Annex 3 of this Guide for Applicants on the Application Form Part B.2.

√ Location of the Action: Select the Member State from the drop down menu and select the region(s) where the proposed Action will be implemented from a pre-defined list of regions. NUTS 2 nomenclature will be used for the regions.

√ Geographical coordinates: Enter the geographical coordinates of the start and end points (and interim points, if relevant) in Degrees Minutes Seconds (DMS) or Decimal Degrees (DD). Please note that further

location information should be provided in the Application Form B2, Section 2.7.

- √ Cross-border section: Please indicate whether or not the proposal addresses a cross-border section. For further information on cross-border sections, see the note on the definition of cross-border sections available at: http://tentea.ec.europa.eu/en/apply_for_funding/follow_the_funding_process/calls_for_proposals_2013.htm. Additional information related to cross-border sections has to be entered in the Application Form B2, Section 3.7.
- √ Milestones: Fill in the milestone number, name, end date and means of verification for all milestones related to the proposed Action. Milestones indicate how the activity and its associated expected result(s) are progressing. Each activity should relate to milestones indicating at least its start and end time and preferably also corresponding intermediate deliverables. For full definition of Milestone, see Annex 5: Glossary and Acronyms.
- √ Activities: Enter activity number, ISIC code, start and end date, and verifying milestone for activities of the proposed Action. Activity is a part of the Action that is distinct technically, financially or over time and which contributes to the completion of the proposed Action. For full definition of Activity, see also Annex 5: Glossary and Acronyms.
- √ Contribution of the proposed Action to the TEN-T policy objectives: If the proposed Action is part of a Global Project previously supported under the TEN-T budget, please specify the Decision number of the previously supported Action and its title.

Section A3.2 Financial information

In this section applicants will provide all relevant budgetary and funding information related to the proposed Action. Its first part addresses the Global Project, while the second part the proposed Action only. Proposed Actions, especially those under the multi-annual programme, are often part of a Global Project that is technically and financially far bigger than the proposed Action. In these cases the information on the Global Project is necessary to contextualise the proposed Action. However, it can also be the case that the proposed Action is a self-standing one-off action, not embedded in a larger project. In such cases, the financial information is expected to be identical in both tables, namely under "Global project" and "Proposed Action".

- √ Global project: Indicate the financial contribution to the Global Project from all sources of financing that apply (state budget(s), regional/local budget(s), project promoter, EIB loan, other loans, TEN-T financing, other EU funds (ERDF, CF, FPR), Public Private Partnerships, other sources). All amounts must be in euros. For multi-beneficiary projects, please give only the total amounts per category.
- √ Proposed Action: Indicate the financial contribution to eligible costs only per year in the period 2013-2015 from all the sources of financing that

apply to the proposed Action (state budget(s), regional/local budget(s), project promoter, EIB loan, other loans, TEN-T financing, other EU funds (ERDF, CF, FPR), Public Private Partnerships, other sources). For multi-beneficiary actions, please split state, regional budgets and applicant's self-financing by applicant. All amounts must be in euros.

- √ Exchange rate: If applicable, please indicate the exchange rate used for preparing the application. For further information, see Section 6.6. of the Guide for Applicants.

Section A3.3: Estimated costs

In this section, applicants will enter an indicative breakdown of the estimated eligible costs of the proposed Action (i.e. the action for which a financial contribution from the TEN-T Programme is requested in this proposal) per activity (as defined in section A3.1 of the Application Form) and year. All amounts must be in euros. The grand total eligible cost should match the total of funding sources of section A3.2. For additional information on the eligible costs, see Section 6.6 of the Guide for Applicants.

Section A4: Language of the proposal

In this section applicants will indicate in which language the application is submitted and whether translations will be provided for the Application Form, if submitted in a language rather than English. However, it is strongly recommended that the submitted proposal is in English as this facilitates the planning of the evaluation exercise, which is performed in English. Proposals not submitted in English need to provide a translation in English before the deadline specified in section 6.3.2. of this Guide.

- √ Project summary language: Select from a dropdown menu of 24 official languages.
- √ Indicate whether you will submit an English translation of the project summary before the deadline specified in the Guide for Applicants (Section 6.3.2 of the Guide for Applicants).
- √ Application Form Part B1 language: Select from a dropdown menu of 24 official languages.
- √ Indicate whether you will submit an English translation of the Application Form B1 before the deadline specified in the Guide for Applicants (Section 6.3.2 of the Guide for Applicants).
- √ Application Form Part B2 language: Select from a dropdown menu of 24 official languages.
- √ Indicate whether you will submit an English translation of the Application Form B2 before the deadline specified in the Guide for Applicants (Section 6.3.2 of the Guide for Applicants).
- √ Translation cost: Give an estimate of the total cost of the translation, if you intend to submit translation before the deadline specified in the Guide for Applicants (Section 6.3.2 of the Guide for Applicants).

Annex 2: Guidelines to complete application form Part B1

Cover page

The following information is requested on the cover page:

- The title of the proposed Action, which must be the same as the title indicated in application form Part A1
- The unique project code of the proposal, as automatically generated by TENtec

Application form Part B1 is composed of the following sections:

Section 1: Administrative information on applicants

1. Legal entity
2. Additional formal requirements on the financial and operational capacity of the applicant

Section 2: Compliance with Union policy and law

1. Compliance with Union policy on environmental protection
2. Compatibility with Union policy on interoperability (Railway actions only)
3. Compatibility with Union law on state aids
4. Compatibility with Union law on road charging (for road actions only)
5. Compatibility with Union law on public procurement
6. Compatibility with TEN Guidelines (MoS works proposals only)
7. Annex I: Declaration form of the public or private undertakings or bodies applying for the Union financial aid
8. Annex II-A: Declaration by the authority responsible for Natura 2000
9. Annex II-B: Information on actions likely to have significant negative effects on Natura 2000 sites
10. Annex II-C: Declaration by the authority responsible for water management
11. Annex III: Financial Capacity Check
12. Declaration for designated affiliated entities.

Note: for multi-applicant proposals, application form Part B1 [only section 1 and Annex I as well as Annex III if applicable, as the rest of section 2 rather relates to the Action submitted for co-financing] must be completed for each applicant

SECTION 1: ADMINISTRATIVE INFORMATION ON APPLICANTS

1. Legal Entity

- √ All applicants except Member States, Regions and Provinces – i.e. all public and private undertakings or bodies, international organisations and European Economic Interest Grouping (EEIGs) and designated affiliated entities- need to provide the Legal Entities form.
- √ The editable form can be downloaded in all Union languages at the following Internet address:
 - http://ec.europa.eu/budget/execution/legal_entities_en.htm

- √ Several additional documents referred to in the legal entity form must be attached to the proposal.

2. Additional formal requirements on the financial and operational capacity of the applicant

- √ Applicants must select their legal status among the following categories:
 - Member State
 - public sector body (regional or local authority, body governed by public law²⁴ or association formed by one or several such authorities or one or several such bodies governed by public law²⁵, international organisation²⁶)
 - European Economic Interest Grouping (EEIG) established in line with Council Regulation (EEC) N° 2137/85 of 25 July 1985.
 - Private undertaking or body (by default, all other organisations)
- √ Applicants which are NOT a Member State, a public sector body or an EEIG (i.e. applicants that qualify as private undertakings or bodies) have to prove that they have the financial and operational capacity to carry-out the proposed action.
- √ For proving the financial capacity the application form's Part B1 Annex III needs to be completed and submitted together with the supporting financial statements (balance sheet, income statement and cash flow statement) certified by an external auditor for the two last financial years for which the accounts have been closed
- √ For proving the operational capacity the appropriate documents attesting that they have the technical and operational capacity to complete the Action for which the grant is sought (e.g. adequate professional qualifications of the team responsible for implementing the Action, proof of recent experience in carrying out similar or related projects, previous cooperation with European or international bodies...) should be provided
- √ For multi-applicants proposals, complete the table in application form Part B1, confirming, for each applicant that is not a Member State, a public sector body or an EEIG, that the documentation required to prove the financial and operational capacity is provided with this proposal. Rows may be added as required. If the documentation proving the financial and operational capacity is missing for one or several applicants, explain. For joint proposals, co-applicants must send their documentation to the coordinating applicant, who will compile a complete proposal.
- √ It should be noted that successful applicants which are NOT a Member State, a public sector body or an EEIG (i.e. applicants that qualify as private undertakings or bodies) will have to lodge an advance guarantee for up to the same amount as

²⁴ Body governed by public law: any body:

(a) established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character; and

(b) having legal personality; and

(c) financed, for the most part by the State, or regional or local authorities, or other bodies governed by public law; or subject to management supervision by those bodies; or having an administrative, managerial or supervisory board, more than half of whose members are appointed by the State, regional or local authorities or by other bodies governed by public law

²⁵ e.g. Joint Undertaking established under Article 187 of the Treaty on the Functioning of the European Union (ex Article 171 TCE)

²⁶ According to article 43 (2) of the Regulation 2342/2002, international organisations are:

(a) international public sector organisations set up by intergovernmental agreements, and specialised agencies set up by such organisations;

(b) the International Committee of the Red Cross (ICRC);

(c) the International Federation of National Red Cross and Red Crescent Societies.

the pre-financing, as per Article 134 of the Financial Regulation and Article 206 of the Rules of Application.

- √ All Applicants (and their designated affiliated entities) except Member States, Regions, Provinces and Municipalities must also comply with Article 131(3) of the Financial Regulation²⁷, be aware of Article 145 of its Rules of Application²⁸ and complete and sign the declaration form in Annex I or Annex IV of application form B1, as applicable.

SECTION 2: COMPLIANCE WITH UNION POLICY AND LAW

- √ Indicate if the proposal is for study(ies), study involving some physical intervention (e.g. excavation, testing), or work (see "study" and "work" definitions in the glossary).
- √ Studies and works may not be combined in a single proposal. Exceptions may be accepted for MoS under certain conditions (see section 6.2.4 of the MAWP Work Programme).

2.1. Compliance with Union policy on environmental protection

- √ All construction activities and studies implying physical interventions (destructive tests, excavations, any activity related to a Natura 2000 site...) are required to demonstrate their compatibility with Union policy on environment, meaning they have to fill in this section of application form Part B1. In particular, applicants must state that all relevant environmental, nature conservation and water bodies have been consulted, and that the project complies with the environment-related European Directives.
- √ Proposals for studies not involving physical interventions do not need to demonstrate their compliance with Union environmental law. In this case, it must clearly be stated that no physical intervention will take place as part of this Action.
- √ ERTMS, ATM and ITS proposals only need to fill in Section 1 "Compliance with EU policy on environmental protection" if the proposed action includes physical works (e.g. installation of antennas) affecting a site designated as protection zone under the "Habitats" (92/43/EC) and Birds (2009/147/EC) Directives. Section 1.6 "Actions with a potential impact on water - Water Framework Directive 2000/60/EC" needs to be completed only if these physical works are located in protected waters as defined in Article 1 of this Directive.

2.1.1 Environmental Assessment

- √ An environmental assessment is a procedure that ensures that the environmental implications of decisions are taken into account before the decisions are made. More information can be found at: <http://ec.europa.eu/environment/eia/home.htm>

2.1.1.1 Application of Directive 2011/92/EU on Environmental Impact Assessment

- √ Detailed information on the Directive 2011/92/EU of the European Parliament and the Council on the assessment of the effect of certain public and private projects (also called the Environmental Impact Assessment, or EIA, Directive) as amended

²⁷ Regulation (EU) No 966/2012 of 25 October 2012 on the financial rules applicable to the general budget of the Union and repealing Council Regulation (EC, Euratom) No 1605/2002 (OJ L 298, 26.10.2012, p. 1)

²⁸ Commission Delegated Regulation (EU) No 1268/2012 of 29 October 2012 on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union (OJ L 362, 31.12.2012, p.1)

can be found at (see codified version): <http://ec.europa.eu/environment/eia/eia-legalcontext.htm>

- √ If the Action is covered by the Annex I of the EIA Directive, provide the following documents:
- √ the non-technical summary of the Environmental Impact Assessment carried out for the Action
- √ the information referred to in Article 9 (1) of the Directive: content of the decision (on the granting of the development consent) and any conditions attached thereto; main reasons and considerations on which the decision is based; description, where necessary, of the main measures to avoid, reduce and, if possible, offset the major adverse effects
- √ information on consultations with environmental authorities, the public concerned and, if applicable, with other Member States.
- √ If the Action is covered by the Annex II of the Directive, either (i) provide the information required in section 1.3.2.2 of part B1 or (ii) explain why the Environmental Impact assessment has not been carried out and give the thresholds, criteria or case by case examination carried out to reach the conclusion that the Action has no significant environmental effects
- √ The signature of the Competent Environmental Authorities is required to certify that they agree with the information the applicants have provided. Therefore, this signature is compulsory also in case the Action is not included in Annex I or II.

2.1.1.2 Application of the Council Directive 2001/42/EC on Strategic Environmental Assessment

- √ The text of the Council Directive 2001/42/EC on the assessment of the effects of certain plans and programmes on the environment, known as the Strategic Environmental Assessment Directive (also called the SEA Directive) can be found at: <http://ec.europa.eu/environment/eia/sea-legalcontext.htm>
- √ If the Action results from a plan or programme falling within the scope of the SEA Directive, provide the documentation as required by Article 9 (b) of the SEA Directive, the non-technical summary of the Environmental Report carried out as required by Article 5 of the SEA Directive, and information on the public consultations carried out with the competent authorities and the public.
- √ If it does not result from such a plan or programme, explain.

2.1.2. Natura 2000 (Directive 92/43/EEC and Directive 2009/147/EC):

- √ Information on the Natura 2000 network can be found at: http://ec.europa.eu/environment/nature/natura2000/index_en.htm
- √ The text of Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora, known as the Habitats Directive, can be found at: http://ec.europa.eu/environment/nature/legislation/habitatsdirective/index_en.htm
- √ The text of Council Directive 2009/147/EC on the conservation of wild bird, commonly referred to as the Birds Directive can be found at: http://ec.europa.eu/environment/nature/legislation/birdsdirective/index_en.htm
- √ If the Action is not likely to have a significant effect on sites included or intended to be included in the Natura 2000 network:
 - Attach a completed Natura 2000 declaration (Annex II-A) filled, signed, stamped and dated by the relevant authority and enclose a map of the area showing the Action and Natura 2000 sites. **NB.** The support (by signature and stamp) provided by a Member State to the proposal (in application form Part A2.4) does not substitute the signature of the

relevant environmental authority under this section. Therefore, this form, if applicable, needs to be signed separately.

- √ If the Action is likely to have a significant effect on sites included or intended to be included in the Natura 2000 network:
 - Provide a summary of the conclusions of the assessment carried out in accordance with Article 6(3) of Directive 92/43/EEC²⁹ and a map of the area showing the Action and Natura 2000 sites at a scale of 1:100.000.
 - If the assessment carried out in accordance with Article 6 (3) has led the competent national authorities to ascertain that the project will not adversely affect the integrity of the Natura 2000 sites, attach a completed Annex II-A form, signed, dated and stamped by the relevant authority.
 - If the conclusion of the assessment carried out in accordance with Article 6(3) is negative or uncertain, then Article 6(4) applies. Attach a completed Annex II-B form, signed, dated and stamped by the relevant authority. **NB.** The support (by signature and stamp) provided by a Member State to the proposal (in application form Part A2.4) does not substitute the signature of the relevant authority under this section. Therefore, this form, if applicable, needs to be signed separately.

2.1.3. Additional environmental integration measures

(e.g. environmental audit, environmental management, specific environmental monitoring)

2.1.4. Water Framework Directive

- √ The text of the Water Framework Directive 2000/60/EC can be found at: http://ec.europa.eu/environment/water/water-framework/index_en.html
- √ If the Action is not expected to lead to a deterioration or failure to achieve good water status:
 - Provide Annex II-C declaration, completed, signed, stamped and dated by the competent authority. **NB.** The support (by signature and stamp) provided by a Member State to the proposal (in application form Part A2.4) does not substitute the signature of the relevant authority under this section. Therefore, this form, if applicable, needs to be signed separately.
- √ If the Action is likely to lead to a deterioration or failure to achieve good water status:
 - Answer two questions on mitigating measures and on alternative means to achieve the objectives of the Action
- √ The form must bear the stamp and signature of the appropriate authority.

3. Compatibility with Union policy on Interoperability (Railway Actions only)

- √ This section must be filled in for all proposals including construction of rail infrastructure or deployment of ERTMS, whether on conventional or high speed lines. All railway Actions must comply with Union Directives related to interoperability and with relevant Technical Specifications for Interoperability (TSIs).
- √ Studies must anticipate carrying out the works in line with Union legislation.

²⁹ Council Directive 92/43/EEC of 21 May 1992 on the conservation of natural habitats and of wild fauna and flora

- √ Compliance with the technical specifications for interoperability is compulsory. Should certain provisions of a TSI not be respected, the applicant must provide information regarding any notification sent to the Commission with a request to derogate from the TSI and, if applicable, of the outcome of the procedure for derogation, which is set out in the applicable Directive. Any proposal including a possible derogation from a TSI will be closely assessed by Commission services.
- √ In case some elements of the interoperability specifications are not respected, include information on derogations agreed with the Commission services or pending exemption request(s).
- √ [Directive 2008/57/EC of 17 June 2008](#) will repeal with effect from 19 July 2010 [Council Directive 96/48/EC](#) of 23 July 1996 (as amended by [Directive 2004/50/EC](#) of 24 April 2004) on the interoperability of the trans European high-speed rail system as well as [Council Directive 2001/16/EC](#) on the interoperability of the European conventional rail system. Detailed information can be found at:
http://ec.europa.eu/transport/rail/interoperability/interoperability_en.htm
- √ The form must bear the stamp and signature of the appropriate authority.

4. Compatibility with Union law on State Aids

- √ All Actions must comply with Articles 107 – 109 of the Treaty on the Functioning of the European Union,
- √ In particular, save as otherwise provided in this Treaty any aid granted by a Member State or through State resources in any form whatsoever which distorts or threatens to distort competition by favouring certain undertakings or the production of certain goods shall, in so far as it affects trade between Member States, be incompatible with the common market.
- √ In order to be compliant to the Treaty, the applicant shall put into evidence that such aid is granted without discrimination. The following aids, amongst others, may be considered to be compatible with the common market:
 - Aid to promote the economic development of areas where the standard of living is abnormally low or where there is serious underemployment;
 - Aid to promote the execution of an important project of common European interest or to remedy a serious disturbance in the economy of a Member State;
 - Aid to facilitate the development of certain economic activities or of certain economic areas, where such aid does not adversely affect trading conditions to an extent contrary to the common interest.

5. Compatibility with Union law on Road charging (for road actions only)

- √ The question refers to Article 7(10) of the Directive 1999/62/EC of 17 June 1999 on the charging of the heavy goods vehicles for the use of certain infrastructures, as amended which can be found at:
http://ec.europa.eu/transport/modes/road/road_charging/

6. Compatibility with Union law on public procurement

- √ The question refers to the compliance of the Action with Union Law on public procurement, especially with (i) Directive 2004/18/EC of the European Parliament and of the Council of 31 March 2004 on the coordination of procedures for the award of public works contracts, public supply contracts and public service contracts (OJ L 134, 30.4.2004, p. 114), and (ii) Directive 2004/17/EC of the European Parliament and of the Council of 31 March 2004 coordinating the procurement procedures of entities operating in the water, energy, transport and postal services sectors (OJ L 134, 30.4.2004, p. 1)

<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:32004L0018:EN:NOT>
<http://eur-lex.europa.eu/LexUriServ/LexUriServ.do?uri=CELEX:32004L0017:EN:NOT>

Annex 3: Guidelines to complete application form Part B2

Cover page

The following information is requested on the cover page:

- The title of the proposed Action, which must be the same as the title indicated in application form Part A1
- The unique proposal code of the proposal, as automatically generated by TENtec

Application form Part B2 is composed of the following sections:

1. General description of the Global Project
2. Description of the Action
3. Relevance: Contribution of the Action to the TEN-T policy objectives and Union dimension
4. Maturity of the Action
5. Impact of the Action
6. Quality of the Action
7. Annexes

Each section consists of several questions, the answers to which will provide the basis for the evaluation. The structure of the application forms is the same as the structure of the Evaluation Forms used by the evaluators. It is therefore very important to answer all of the questions in this application form, as the absence of an answer(s) will result in a lower score given by the evaluators for the relevant criteria, thus diminishing the chance that the proposal will be selected. If an answer is not available / not applicable for a particular section for a duly justified reason, this should be stated in the application form.

1. GENERAL DESCRIPTION OF THE GLOBAL PROJECT

Fill in this section only if the Action for which support is requested is part of a larger project (hereinafter referred to as "the Global Project") – see *the Glossary (Annex I) for detailed definitions*.

1.1. General outline of the Global Project

- √ Give a general outline of the Global Project, including a technical description (type, size, main features, services to be provided, etc.)
- √ Give an indication of the current status of the Global Project, including past and future achievements.

1.2. Current situation and main needs addressed by the Global Project

- √ Describe the current situation and the main needs for an intervention, which will be addressed by the Global Project

1.3. Main objectives of the Global Project

- √ Describe the main objectives of the Global Project

2. DESCRIPTION OF THE PROPOSED ACTION

The proposed Action must be presented as a self-contained project, even if it is part of a larger Global Project. It should be based on a well-defined starting situation and deliver well-defined results and achievements.

2.1. General outline and context of the proposed Action

- √ Give a general outline of the Action, including a technical description (type, size, main features, services to be provided, etc.)
- √ Identify the main stakeholders of the Action: beneficiary(ies), designated affiliated entities, coordinator, promoters, sponsors, implementing body, supervising body, etc.

2.2 Main objectives of the proposed Action

- √ Describe the main objectives of the Action, which should be general and represent what should be achieved by implementing the Action, or the desired results. Please make sure this is consistent with the summary description of the Action provided in Application Form A.
- √ Non-exhaustive list of examples of main objectives:
 - Development and implementation of innovative safety technology
 - Introduction of new services
 - Increased speed of railway link
 - Improvement of navigability of water ways
 - Increased capacity of locks
 - Harmonisation of signalisation
 - Increased inter-operability
 - Increased co-modality
 - Improved safety
 - Completion of a study
 - Development of analytical framework for assessment of PPP arrangements
 - Completion of feasibility study to assess PPP as an alternative financing mechanism
- √ If applicable, explain the importance of the Action for the physical development of the network (e.g. add a key missing link, support modal shift).
- √ It is strongly recommended that the texts describing the objectives of the action are identical to those introduced for the same purpose in application form A3.1.

2.3. Contribution of the proposed Action to the Global Project

- √ If applicable, describe how the Action will contribute to the Global Project. If the Action represents a necessary step for the realisation of the Global Project, please explain.
- √ Make a clear distinction between the Action, for which Union financial aid is requested by this application, and the Global Project to which the Action belongs.

2.4. Indicators on proposed Action's implementation

- √ Specify the indicators (different from the milestones) which will be used to assess the extent to which the main objectives are being / have been achieved, and their sources of verification.
- √ Indicators should be SMART:
 - **S**pecific (an observable action or achievement is described)
 - **M**asurable, quantifiable (a reliable system is in place to measure progress towards the achievement of the objective)

- **Achievable** (can be reached/achieved within the framework of the Action)
 - **Relevant** (is important/relevant for the achievement of the main objectives)
 - **Time bound** (can be measured within the framework of the Action).
- √ Possible indicators might include:
- Increase in traffic capacity of at least x%,
 - Decrease in traffic congestion of at least y%,
 - Travel / transport time reduced by at least x%
 - Passengers carried increased by at least x M people
 - Freight tonnage increased by at least x M tons
 - Reduction in CO2 emission of at least z%,
 - Capacity of locks increased by at least x%
- √ Sources of verification:
- For each indicator, define the source(s) of verification that will be used to assess the extent to which the indicator is being achieved, and, for quantitative indicators, to calculate them (e.g. ex-ante evaluation(s), institution or organization collecting statistical information, database).
 - If relevant, the methods used to collect the information should be described.

2.5. Description of the activities of the proposed Action (including interdependencies)

- √ Give a detailed description including all relevant technical details of each activity listed in the table "Activities of the Action" (Application Form Part A, Section A3.1).
- √ If one activity is disproportionately large compared to the others in the same Action, consider to split it into different activities or sub-activities, depending on the very nature of the Action.
- √ All activities upon which the success of the Action will depend have to be described in this application form, including those which started before the start date of this Action and for which no Union funding is being requested.
- √ Financing can be requested only for activities carried out during the eligibility period (i.e. annual call: starting no earlier than the date of the submission of the proposal; multi-annual calls: starting no earlier than 1 January 2013).
- √ Each activity must be clearly detailed from both the technical and organisational point of view. In particular for proposals submitted under the multi-annual call for proposals, separating clearly the different activities – with specific milestones, implementation period and costs (as indicated in application form Part A, Section A3.3) - will help the Commission to focus its support on activities for which Union funding could bring greater benefits.
- √ Include in this description any activities essential for the completion of the Action but which would not be funded by the Union.
- √ It is strongly recommended that the texts describing the activities are identical to those introduced for the same purpose in application form A3.1.

2.6. Action plan (graphic representations)

- √ Include a GANTT chart of the Action (and the Global Project if applicable) detailing the critical path and including the milestones of the Action and their interdependencies (*insert in the box in the application form or attach as an annex*).

2.7. Location of the proposed Action

- √ Reporting of text based coordinates
This is the obligatory minimum requirement corresponding to section A 3.1 of Part A of the application form. You should provide relevant coordinates (only points) by means of X, Y coordinates there. These coordinates
- can be reported in any text format (MS Word, Excel, Text file, CSV, XML)
 - must clearly mention which reference system is applicable (ETRS89 or WGS84 see above)
 - must be reported either in Degrees Minutes Seconds (DMS) or Decimal Degrees (DD). For DD, the value of minutes and seconds must never exceed 59 (e.g. 51° 23' 94" is NOT a valid coordinate).

In addition, you are strongly encouraged to use the following means to explain the location of the Action you are proposing:

- √ Cartographic representation
Attach a map (i.e. JPEG or pdf format) with the geographic location of the proposal at a scale of 1:100.000 (or the nearest possible scale to fit the project on a page size of minimum A4). Such a map should also contain identifiable landmarks or a geographic grid to allow precise localisation of the project.
- √ Vector datasets
In addition to a map, GIS data of adequate quality should be delivered as one or more vector datasets.
- If the proposal concerns a specific geographic location, a point vector file should be supplied or, alternatively, a text file containing a comprehensive list of coordinates (see 2.8.3)
 - If the proposal concerns a linear segment or section of a road, rail or waterway, a line vector should be supplied.
 - If the proposal concerns an area, a polygon vector file should be supplied.
 - A conclusive list of NUTS codes corresponding to the proposed action's geographical coverage can be supplied as an alternative to a polygon vector file.

The following requirements apply for all vector datasets

I. Vector File format

- ESRI open format (shapefile)
- ESRI proprietary format (personal geodatabase, file geodatabase)
- OpenGIS format (Google KML)

Please note that the ESRI formats are the preferred option.

II. Scale

The source scale of line and polygon vector data must be of adequate quality to yield good display results at a cartographic scale of 1 / 100 000. It is therefore recommended to digitize vector data at this scale.

III. Reference system

All geographic vector data must be referenced in the spatial reference system ETRS89 (EPSG code 4258 – ESRI GCS_ETRS_1989)

GEOGCS["ETRS89",

```

DATUM["European_Terrestrial_Reference_System_1989",
SPHEROID["GRS_1980",6378137,298.257222101,
AUTHORITY["EPSG","7019"]],
AUTHORITY["EPSG","6258"]],
PRIMEM["Greenwich",0,
AUTHORITY["EPSG","8901"]],
UNIT["degree",0.01745329251994328,
AUTHORITY["EPSG","9122"]],
AUTHORITY["EPSG","4258"]]

```

If you are unable to produce data in this reference system, the only other accepted reference systems is WGS84 (EPSG code 4326).

```

GEOGCS["WGS_84",
DATUM["WGS_1984",
SPHEROID["WGS_84",6378137,298.257223563,
AUTHORITY["EPSG","7030"]],
AUTHORITY["EPSG","6326"]],
PRIMEM["Greenwich",0,
AUTHORITY["EPSG","8901"]],
UNIT["degree",0.01745329251994328,
AUTHORITY["EPSG","9122"]],
AUTHORITY["EPSG","4326"]]

```

IV. Spatial Feature Attributes

Any spatial feature attributes are optional for vector files, but if they are supplied, they must be adequately documented, meaning at least a comprehensive description of attribute fields and explanation of possible attribute code values (if applicable).

2.8. Overview of the proposed Action

The purpose of this table, which essentially summarises information provided in earlier sections, is to **have an overall view of the Action and its logic**: from its main objectives (achievement of which is assessed through measurable indicators) to its

activities (progress of which is measured through milestones). This table can be on more than one page if needed.

Activities

- √ List (in bullet point format) the activities (and sub-activities if relevant) which will be carried out in the framework of the Action (this must be in line with Section 2.6).

Results

- √ The implementation of each activity should lead to the completion of an expected result, or an output. List (in bullet point format) these results.
- √ Non-exhaustive list of examples of results:
 - Completion of design study
 - Completion of lot x
 - Renovation of structure x completed
 - x kilometres of road / rail with specific standards completed
 - Completion of certification
 - Acceptance of the work x
 - Innovative safety technology fully developed and ready to be implemented
 - Decision made on whether or not to implement a project (after feasibility study)

Milestones

- √ Define (in bullet point format) the milestones associated with each (sub)activity and expected result(s) (this has to be in line with the table "Milestones of the Action" in application form Part A Section A3.1).
- √ The number of milestones per activity will depend on the complexity of each activity, but normally there should be at least two milestones per activity marking the start and the completion of this activity.
- √ One milestone of each (sub)activity will represent the "end event" of the (sub)activity and indicate whether or not the (sub) activity and its expected result have been successfully achieved.
- √ Milestones have to be evenly distributed all over the duration of the proposed Action. In this respect, concentration of milestones towards the end of the Action must be avoided because it would give limited means for monitoring the implementation of the Action.
- √ Milestones have to be verifiable and precise.
- √ Non-exhaustive list of examples of milestones:
 - Approval or submission of a final design plan
 - Publication of a tender
 - Signature of contract
 - Starting / end of construction works (certificate of acceptance)
 - Road / railway / river section open for traffic
- √ Intermediate milestones are particularly relevant for multi-annual projects, as they facilitate intermediate payments.
- √ The start-up event (which constitutes the start of the implementation period) and the end event (what constitutes the end of the implementation period) should be clearly identified. NB. Normally there should be only one start and one end event.

On critical path

- √ Indicate for each activity as 'yes' or 'no' whether the activity is located on the critical path of the Action. This should be linked with the associated milestones.

Means of verification

- √ For each milestone, establish which means of verification will be used to verify that the milestone has been reached / completed (NB. By definition the milestones are one-off events and therefore the means of verification should be easy, concrete and verifiable – for example publication of the notice of the tender to the appropriate media, the issuing of a certificate of acceptance, the signature of a contract by the last contractual party etc. date in an order notice to commence the works, etc.)

2.9 Risk Assessment Grid by activities

- √ Provide information concerning the risk management plan for the Action. The plan shall include description of:
 - The risks (linked to specific activities)
 - Their potential impact
 - Their likelihood of occurrence
 - The level of control of these risks and
 - The mitigation actions undertaken or planned

3. RELEVANCE: CONTRIBUTION OF THE PROPOSED ACTION TO THE TEN-T POLICY OBJECTIVES AND UNION DIMENSION

The following questions essentially relate to the relevance of the proposed Action to TEN-T policy objectives and its Union dimension (relevance of the Global Project of which the Action may be part, should be already addressed in section 2.2).

3.1 Contribution of the proposed Action to TEN-T priority projects, or classification as a project of common interest

- √ Clarify if the Action belongs to one of the 30 TEN-T priority projects, as described in Annex III of the TEN Guidelines. If so, indicate precisely which section of the priority project this Action will target. If the Action does not belong to a priority project but contributes or links to it, explain how.
- √ If the Action does not belong to a priority project, clarify how it classifies as a project of common interest, as defined in Article 7 of the TEN Guidelines. In particular, explain how the Action (i) contributes to an element of the network described in Articles 9 to 18 of the TEN Guidelines, (ii) relates to the routes identified on the maps in Annex I of the TEN Guidelines (adding the network map with the indication of the Action is then strongly recommended), and/or (iii) corresponds to the specifications or criteria in Annex II of the TEN Guidelines.
- √ Describe any "network effect" produced by the Action (or the Global Project) by linking with or complementing other TEN-T actions.

3.2 Contribution of the proposed Action to TEN-T priorities

- √ Specify how the Action addresses the TEN-T priorities, as defined in Article 5 of the TEN Guidelines (e.g. interoperability, intermodality, elimination of bottlenecks).

3.3 Contribution of the proposed Action to the objectives of the Call for proposals.

For proposals submitted in areas/fields with more than one sub-priority, such as ERTMS, PPs as well as applications submitted under the Annual Call for proposals: contribution of the proposed Action to the objectives of the priority under which the proposal is submitted.

- √ Explain how the Action addresses the objectives, priorities and expected results as specified in the relevant parts of the call for proposals.
- √ For ERTMS applications: Explain why this priority has been chosen against the others. Then explain how the Action will contribute to its objectives and expected results as specified in the call for proposals. It should be pointed out if the proposal also addresses the other priorities of the call for proposals.

3.4. Contribution of the proposed Action to the internal market, the cohesion policy and the Europe 2020 strategy

- √ Explain the expected contribution of the Action (making reference to the Global Project if necessary) to the internal market³⁰, the cohesion policy³¹ and the Europe 2020³².
- √ Explain the expected network benefit at the European level (not at local level).

3.5. Socio-economic benefits of the proposed Action at macro level

- √ Explain the expected socio-economic benefits at the macro level (e.g. competition, jobs, social integration). Provide the results of demand forecast studies (scenarios with and without the Action if possible), including an outline of the overall context and scope of the study(-ies) concerned, the methodology chosen and the assumptions made.

3.6. Added value of EU funding on the financing of the proposed Action and the commitment of the different stakeholders

- √ Describe how the potential granting of Union financial assistance under the TEN-T budget would have a stimulating/leverage effect on the financing of the Action and the commitment of the different stakeholders and, in contrast, the negative impact the absence of TEN-T funding would have.
- √ Examples could include: attraction of other funding, acceleration of the works, lower interest rates from the banks, higher quality standards and stability at national level.

3.7. Cross-border section

- √ This section aims at verifying whether or not any section of the proposed Action corresponds to the definition of cross-border sections of priority projects³³, as detailed at the following link:
http://tentea.ec.europa.eu/download/calls_2009/definition_cross_border_sections_en.pdf
- √ Projects involving cross-border sections of priority projects may receive Union financial aid at a maximum financial aid rate of 30% (Article 6 (2)(b)(i) of the TEN Regulation). Eligibility, as spelled out in Article 3(3) of the TEN Regulation, is subject to a written agreement between the Member States concerned, or the Member States and the third countries concerned

³⁰ The single market is about bringing down barriers and simplifying existing rules to enable everyone in the EU - individuals, consumers and businesses - to make the most of the opportunities offered to them by having direct access to 27 countries and 480 million people. Its cornerstones are often said to be the 'four freedoms' - the free movement of people, goods, services and capital.

³¹ The economic and social cohesion policy is an expression of solidarity between the EU Member States and regions, aimed at balancing development throughout the EU, reducing structural disparities between regions and promoting equal opportunities for all

³² Europe 2020 is the EU's growth strategy for the coming decade. The Union has set five ambitious objectives - on employment, innovation, education, social inclusion and climate/energy - to be reached by 2020. See http://ec.europa.eu/europe2020/index_en.htm for more details.

³³ As endorsed on 25 April 2007 by the Committee for Monitoring Guidelines and the Exchange of Information, set up in accordance with Article 18 (2) of the TEN-T Guidelines

relating to the completion of the cross-border section. Exceptionally, when a project is necessary to link to the network of a neighbouring Member State or a third country but does not actually cross the border, such written agreement may not be required (Art. 3(3) TEN Regulation).

- √ The Member States involved in a cross-border section of a priority project must give the Commission all necessary guarantees regarding the financial viability of the project and the timetable for carrying it out and show that they have committed themselves jointly to the project and to putting in place a common structure for it.
- √ The written agreement has to be signed by representatives of each Member State at an appropriate level (in general at the level of the ministers concerned) to ensure the Commission that the agreement will be implemented on both sides.
- √ A joint application is required for proposals with cross-border sections, as a demonstration of the good coordination between the parties concerned.

4. MATURITY OF THE PROPOSED ACTION

4.1. Approval of the proposed Action

- √ Clarify if the proposal has received the approvals necessary to commence the Action and planned activities - at governmental, regional, local level -, including environmental approvals.

4.2 Political commitments to the proposed Action (and Global Project)

- √ Give information on the political commitments regarding the implementation of the Action and, if relevant, the Global Project (including cross-border commitments where relevant).
- √ List and briefly describe all formal and informal documents demonstrating these political commitments – such as decisions of Government, Parliament, or Regions, as well as Memorandums of understanding, written agreements, inclusion of the Action in National Master Plans or in sectoral strategies.

4.3 Public consultation

- √ Describe the public consultations (to be) carried out and the feedback received. Provide information on the plans to involve stakeholders throughout the Action.

4.4 Readiness / technical maturity of the proposed Action

- √ For every activity, describe the precise state of preparation or implementation at the time of the submission of the application (e.g. Terms of Reference ready, tendered, contract signed, started, etc.), and how it will contribute to the subsequent physical implementation of a project.
- √ Describe possible dependencies on the results of any previous or ongoing feasibility or technical studies undertaken for the Action (and, if applicable, for the Global Project)
- √ For projects with high technological value (such as infrastructure crossing natural barriers, intelligent traffic management systems or aerospace initiatives), provide additional information on the foreseen technology and materials.
- √ If the Action has already started, indicate the current status of the implementation of each ongoing activity.

4.5. Building permits

- √ Provide a full list of sections/parts of the Action, as described in section 2.5, for which a separate building permit procedure must be obtained. Indicate the risk that already obtained permits can still be challenged (e.g. indicate deadlines until when an appeal could be filed).
- √ Complete the table, indicating in the first column the subject of each individual procedure and a concise description of the geographical extension and/or the kind of works concerned. Code numbers must be avoided.

4.6 Procurement

4.6.1 Procurement in general

- √ Specify which procurement method has been selected for which part of the Action.

4.6.2 Contracts already awarded and procedure(s) applied

- √ The status of the contract preparation is a good measure of the Action's maturity. Explain the contracts already awarded and their typology.

4.6.3 Procurements planned during implementation

- √ Provide information on the planned tendering and procurement procedures during the implementation period of the Action and the status of the procurement process per activity.

4.7. Pending legal/administrative/technical issues

- √ Describe any problems or issues of a legal/administrative/technical/other nature which remain to be settled before activities can start (e.g. legal proceedings against the building permit, appeal against a contract award etc.). Links with the risk management plan.

4.8 Information on funding sources (state budget(s), regional/ local budget(s), applicant's self-financing, EIB loan(s), other loans)

- √ For each funding source listed in the tables in application form Part A section A3.2, describe the nature and legal status of the funds. Specify the relevant legal basis, the modalities of budget allocation and the timing of the funds availability.
- √ Specify if the financing is secured and explain. For any sources which are not fully secured, describe in detail the current status.
- √ If a specific financing scheme exists (for example for on-board ERTMS equipment), describe its modalities and in which way it is compatible with State aid rules.
- √ All applications must be submitted in euros. However, for applications for which the funding sources / budget have been calculated using another currency, the exchange rate used to translate these currencies in euros for the purpose of this application for TEN-T funding must be indicated in section A 3.2. Applicants should use the monthly accounting rate established by the Commission (ideally the rate of March 2014 for proposals under the AP and the MAP calls, and, if not published at the time of the submission of the proposal, the rate of January or February 2014) and published on the following website:
- √ <http://ec.europa.eu/budget/infocentre/index.cfm?Language=en>.

4.9 Other sources of Union funding

- √ Refer to the Commission information note concerning combination of Union funding:
http://ec.europa.eu/ten/temp/doc/draft_information_note_on_combination_of_community_funding_en.pdf
- √ If the Action (or the Global Project) benefits from any other source of Union funding, provide detailed information on: financial instrument concerned (e.g. European Regional Development Fund, Cohesion Fund, Research budget), amount allocated, references of relevant decisions, activities to be supported, beneficiary(-ies), etc.
- √ Clarify if the Action (or the Global Project) has also applied for any Union financial aid other than TEN-T. If so, explain the status of the application and provide information on the financial instrument concerned, amount requested, activities to be supported, beneficiary(-ies), etc.
- √ If applicable, explain if further Union support will be applied for in the future and which financial instruments will be targeted.

4.10 Public-private partnership (PPP)

- √ If the Action will only receive public financing, describe the underlying reasons. Explain whether a Public-Private Partnership was considered, and, if so, why it was rejected.
- √ If the Action is a PPP:
 - Explain why a decision has been taken to explore a PPP and how the project was determined to be a suitable candidate.
 - Has the affordability of the project been determined under a conventional procurement model?
 - Are there any major legal or regulatory issues that would need to be addressed before the PPP could be implemented, i.e. enabling legislation?

4.11 Revenues of the proposed Action

- √ Indicate if the Action is expected to generate any revenue. If this is the case please specify the source(s) and the expected amount.

5. IMPACT OF THE PROPOSED ACTION

For proposals for studies only, complete sections 5.1 to 5.3 and, where appropriate, other sections.

For proposals involving works only, complete sections 5.3 to 5.9.

5.1. Impact of the study as a decision-making tool

- √ Indicate the level in the organisation/Member State which will use/rely on the output of the study for its decision-making, and the period over which it will be used.
- √ Describe the extent to which the output of the study will be relied upon for decision-making, possibly in relation to other studies, and at what level: at the level of a future TEN-T Action or national project, or at Global Project level.
- √ Describe the relevance and economic value of the study in terms of costs / benefits.

5.2. Impact of the study in terms of policy making and best practices

- √ Describe the extent to which the study:
 - considers the policy context in which it will be undertaken
 - provides a sound basis for institutional and national policy-making

- √ Explain the degree to which elements of the study could be used to develop best practices.

5.3 Ex-ante evaluation(s)

- √ With the exception of feasibility studies including amongst their activities an evaluation, all proposals must have previously been subject to an ex-ante evaluation.
- √ Provide information on the ex-ante evaluation(s) of the Action and summarize the main results (*attach in Annex the ex-ante evaluation(s)*). In particular, describe the objectives, activities and policy options taken into account. Describe the main indicators used in the ex-ante evaluation and make reference to the appropriate statistical base.
- √ Outline the alternative options considered.
- √ Provide the results of any demand / traffic forecast study – which should ideally include one scenario with the project being implemented and one "status quo" scenario. Give an outline of the overall context and scope of the study, explain the methodology chosen and the assumptions made regarding the demand growth rate and the utilisation rate on completion of the Action.
- √ Provide the list of indicators and their sources of verification (institution or organization collecting statistical information, website, database, etc.).
- √ If the evaluation carried out goes beyond the scope of the Action (i.e. the Action is part of a Global Project), give an overview of the ex-ante evaluation of the Global Project and explain how it is linked to the Action.
- √ If an ex-ante evaluation has been conducted at European level (e.g. ERTMS, ITS), describe it.
- √ Clarify if TEN-T or other EU financing is included in the financing of the evaluation(s). Quantify this EU funding.

5.4. Financial analysis

- √ Provide the main results of any financial analysis conducted – including the cost benefit analysis.
- √ Provide as a minimum the following financial information on the Action:
 - Net Present Value
 - Internal Rate of Return
 - Discount rate used
 - Reference period (years)
- √ Provide the main results of any sensitivity analysis.
- √ If the analysis has been carried out at the Global Project level, describe its main findings and explain how it links to the Action, drawing as much as possible concrete conclusions for the Action.

5.5. Social and economic impact

- √ Describe the findings and results of the socio-economic analysis concerning the Action (making reference to the Global Project, if necessary). Indicate the main hypotheses and parameters used for this analysis.
- √ Explain on which main hypothesis and parameters the analysis is based, describe the methodology used.

5.6. Impact of the proposed Action on traffic management, congestion, modal split, inter-operability, service quality, safety and security

- √ Describe the expected positive and / or negative impact of the Action on traffic management, congestion, modal split, optimization of existing capacity, inter-operability, service quality, safety and security.

5.7. Impact of the proposed Action on regional and / or local development, and land use

- √ Describe the expected positive and / or negative impact of the Action on regional and / or local development, and land use. Assess also the positive and / or negative impacts on neighbouring regions
- √ Explain if the Action is linked to urban development plans, or if it will contribute to increase the land value.

5.8. Impact on competition

- √ If this is the case, describe the expected positive and / or negative impacts of the Action on regional and national competition.

5.9. Impact on the environment

- √ In addition to the information already provided in application form Part B1 on Environment, provide the results and conclusions of any environmental assessment(s) or study(-ies).
- √ List in detail the expected positive and negative environmental impacts of the Action (and the Global Project if applicable): contribution to the re-balancing of transport modes in favour of the most environmentally friendly ones, reduction of fuel consumption or greenhouse gas / CO₂ emissions, etc.
- √ Describe in detail the measures that are foreseen to monitor, prevent and mitigate a negative impact on the environment, and provide an estimation of the associated costs.

6. QUALITY OF THE PROPOSED ACTION

6.1 Organisational structure

- √ Describe the organisational structure of the Action.
- √ In particular, explain the distribution of roles and responsibilities between the different stakeholders in the Action, the lines of communication and decision-making processes.
- √ Describe the main contractual arrangements.

6.2 Control procedures and Quality Management during implementation

- √ Describe the control procedures to be put in place in the Action.
- √ Explain the main features of the quality assurance plan and quality control system to be used during the implementation of the Action.

6.3 Risk management methods and procedures

- √ Is there a risk management plan? If there is none foreseen, what is your general approach to risk management?
- √ Explain the main features of the risk management plan. Describe the main risks / factors of uncertainty / major elements of complexity of the Action / externalities which may affect the implementation of the Action, whether political, institutional, financial, organisational, social and/or, technical.
- √ Explain how these risks will be mitigated. Explain the main features of any contingency plan available.

6.4 Ex-post monitoring and audit(s)

- √ Describe in detail the arrangements for monitoring, internal and external audit and evaluation applied to the Action, in particular to ensure the conformity of the expenditures incurred by the Action.

6.5 Communication and visibility given to the TEN-T co-financing

- √ Article 17(2) of the TEN Regulation states: *"The Member States concerned and, where appropriate, beneficiaries shall ensure that suitable publicity is given to aid granted under this Regulation in order to inform the public of the role of the EU in the implementation of the projects"*.
- √ Describe the communication plan and strategy developed to provide visibility to the (possible) TEN co-financing (e.g. billboards, reports, websites, brochures, information leaflets, reports, factsheets, newsletters, press articles, presentations).
- √ Consult the webpage "Publicity guidelines and logos":
[TEN-T Executive Agency || Publicity guidelines & logos](#)

6.6. Other information

- √ Provide any additional information which could be useful or should be taken into consideration during the evaluation. In particular, indicate if a proposal for this Action has already been submitted to the European Commission in a previous call.

7. ANNEXES

- √ List and number all the annexes attached to the application form.
- √ All relevant information for assessing the proposal must be provided in the application form. The purpose of annexes – which are not systematically read through – is to provide additional information that supports, illustrates or provides evidence of an element described in the application form.
- √ Please avoid sending extensive annexes; only reasonable amounts of information can be processed during the evaluation. Often executive summaries provide a good opportunity to relay information instead of sending entire documents.
- √ Any annexes included must be clearly referred to and the relevant parts summarized in the application forms.

Annex 4: Reimbursement of translation costs

For proposals submitted in response to the 2013 TEN-T calls for proposals, the Commission will reimburse to the applicant the translation costs resulting from the translation of their proposal (project summary in application form Part A, application form Part B1 and/ or application form Part B2) into English.

The English translations of the documents for the 2013 AP and the MAP calls for proposals must be submitted electronically at the latest on 18 March 2014 (17:00 Brussels time) using the TENtec eSubmission module.

The maximum amount of reimbursement is €2,500 per proposal, provided that the proposal was submitted before the deadline specified in the relevant call text in an official Community language. Please note that VAT is not considered eligible for reimbursement under these calls for proposals, and as such only the net amount of the translations costs will be reimbursed.

In order to be reimbursed, the applicant must provide the following documents:

- i) The original reimbursement request (paper copy) to be sent to the address given in section 5.3.2 of this Guide (the template can be found at the end of this Annex)
- ii) A copy of the original invoice, which must include the following elements:
 - a) Full name and address of the applicant,
 - b) The proposal reference number (i.e. the unique proposal code which is automatically generated by the TENtec eSub tool upon submitting a proposal),
 - c) Exact title of the proposal,
 - d) Name and address of the translator/ translation services company, including its/his/her official registration number, and
 - e) The unit price applied per word/line/page.
- iii) A copy of the original letter requesting the translation, including:
 - a) Full name and address of the applicant,
 - b) The proposal reference number (i.e. the unique proposal code which is automatically generated by the TENtec eSub tool upon submitting a proposal),
 - c) Exact title of the proposal, and
 - d) Name and address of the translator/ translation services company, including its/his/her official registration number

This will be considered as evidence that the translation has been performed by a registered translation services company or individual translator. Furthermore, the Agency reserves the right to carry out any checks that might be needed and/ or to request any supporting documents to verify that the costs of translation are reasonably close to the market rates for translation work in the respective Member State. On this basis, if it considers justified, the Agency reserves the right to restrict the reimbursement accordingly.

In exceptional cases, if the payment of translation costs is to be made to an entity different from the applicant (or coordinator in case of a multi-applicant proposal) listed in application form Part A, further to the documents above-mentioned, the request for reimbursement of translation costs must be accompanied by:

- i) A Legal Entity Form of the entity to which the payment should be made (available at: http://ec.europa.eu/budget/contracts_grants/info_contracts/financial_id/financial_id_en.cfm) and

- ii) A Financial Identification Form of the entity to which the payment should be made (available at: http://ec.europa.eu/budget/execution/legal_entities_en.htm) duly filled in, together with the related supporting documents.
- iii) A letter of agreement issued by the applicant, duly dated and signed (or coordinator in case of a multi-applicant proposal)

The request for reimbursement together with the evidence (i.e. copy of the letter requesting translation and of the official invoice) shall be sent by post or registered courier to the address mentioned in section 5.3.2. of this Guide, at the latest on 31 March 2014 (as evidenced by the postmark). Applicants must keep proof of the date of sending and be able to present it up on request. Any document sent after the deadline may not be considered for reimbursement.

Any questions relating to reimbursement of translations need to be addressed to the email address: tenea-translations-calls-2013@ec.europa.eu.

TEMPLATE FOR REIMBURSEMENT REQUESTS

Place
Date

Full name of the applicant:
Bank account:
Contact person:
Phone number:
Email address:
Unique proposal code:
Complete title of the proposal:

Subject: Reimbursement of translation expenses

Under the terms foreseen in your 2013 Calls for Proposals under the Annual and Multi-Annual Work Programmes, I would like to be reimbursed for the translation costs incurred for the submission of my proposal in its English version, which has been performed by a registered translation services

- company
 individual.

The translation costs (excluding VAT) are of an amount of € (please write the number in full) as stated in the invoice provided by the translation services, the original of which is attached to this letter.

The full name and address of the translation services is

Its national registration number is

Signature of the applicant

Annex 5: Glossary and Acronyms

The following explanations are provided for clarity and ease of reference. They have no legal authority and they do not replace any official definitions.

A

Acknowledgement of receipt: Email sent to (coordinating) applicants shortly after the call deadline, confirming that their proposal has been successfully submitted (but not, however, that it is necessarily eligible)

Action: The set of activities for which Union funding is requested/agreed, by means of an individual funding Decision established following a call for proposals

Activity: A part of the Action that is distinct technically, financially or over time and which contributes to the completion of the Action. The implementation of an activity leads to the completion of an expected result or output.

Affiliated Entity:

"Affiliated entity" means any legal entity that is under the direct or indirect control of an applicant, or under the same direct or indirect control as the applicant, or directly or indirectly controls an applicant. Control may take any of the following forms:

(a) the direct or indirect holding of more than 50% of the nominal value of the issued share capital in the legal entity concerned, or of a majority of the voting rights of the shareholders or associates of that entity; or

(b) the direct or indirect holding, in fact or in law, of decision-making powers in the legal entity concerned.

Designated affiliated entity(ies) has(ve) to comply with the eligibility and selection criteria defined in the relevant Work Programme.

Applicant(s): The organisation submitting a proposal to a call for proposals. It is assumed that applicant(s) become(s) automatically the Action's beneficiary(ies), if the proposal is selected for funding.

ATM: Air Traffic Management

Availability payment schemes: financing schemes for infrastructure projects built and operated by a private investor who receives periodic payments after the construction phase for the infrastructure service provided. The payment level depends on the degree of achievement of the contractually agreed performance levels. The availability payments are made during the duration of the contract between the contract awarding authority and the project promoter and serves to cover the construction costs, the financing costs, the maintenance costs and the operational costs

AWP: Annual Work Programme

B

Bank account: Applicants have to specify the bank account for the possible transfer of the EU assistance by the mean of the Financial Identification Form (see below). Furthermore, applicants classified as European Economic Interest Groups (EEIG) or private undertakings or bodies in section A 2.1 of the application form have to provide a bank account bearing interests (to be stated in section A 2.3).

Beneficiary(ies): One or more Member States, international organisations or joint undertakings (within the meaning of Article 187 of the Treaty on the Functioning of the European Union), or public or private undertakings or bodies, having complete responsibility for an Action and proposing to invest their own resources or funds provided by third parties with a view to its completion. It is assumed that a proposal's applicant(s) becomes automatically the Action's beneficiary(ies) if the proposal is selected for funding.

Bottleneck: Obstacles, in terms of speed and/or capacity, which may on a temporary or permanent basis undermine the continuity of transport flows

Building permit: An official document issued by the relevant authority authorizing the holder to proceed, in accordance with the approved plans, with the construction or alteration of a specific structure at a specific location

C

Coordinating applicant: For multi-applicant proposals, the coordinating applicant leads and represents all of the applicants. He / she acts as the point of contact with the proposal's coordinator Commission/Agency in submitting the proposal. Unless specified otherwise by the applicant it is assumed that he becomes automatically the Action's coordinator if the proposal is selected for funding. The coordinator will perform the tasks described in article I.4.2 of the Model funding Decision.

CPM: Critical Path Method (a project management method)

Critical Path: Longest sequence of activities in a project plan which must be completed on time for the project to complete on due date. An activity on the critical path cannot be started until its predecessor activity is complete. If an activity on the critical path is delayed, the entire project will be delayed for the same amount of time unless the activity following the delayed activity is completed earlier.

D

Direct costs: All eligible costs which can be attributed directly to the Action and are identified by the participant as such, in accordance with its accounting principles and its usual internal rules (for a detailed description, see the Model funding Decision on the TEN-T EA website)

E

Eligible costs: For a detailed description, see the Model funding Decision on the TEN-T EA website. Essentially, it refers to the part of the Action's costs taken into consideration by the Commission for the calculation of the Union financial aid. For Actions supported under the 2013 MAWP, expenditure is eligible as from 1 January 2013. For actions supported under the 2013 AWP, expenditure is eligible from the date on which the proposal is submitted (postmark or proof of deposit) (see also: Start date)

ERTMS: European Rail Traffic Management System

Europe 2020: Europe 2020 is the EU's growth strategy for the coming decade. The Union has set five ambitious objectives - on employment, innovation, education, social inclusion and climate/energy - to be reached by 2020. See http://ec.europa.eu/europe2020/index_en.htm for more details.

F

FAC: Financial Assistance Committee – a group of Member State representatives which assists the Commission in implementing the TEN-T programme and which has regulatory status under the comitology procedure (Decision 1999/468/EC)

Financial Identification Form: Applicants must provide the Financial Identification Form used by the European Commission's services which can be downloaded here: http://ec.europa.eu/budget/info_contract/ftiers_en.htm.

G

GANTT: A project planning tool used to represent the timing of tasks required to complete a project. GANTT diagrams are used by most project managers for all but the most complex projects

GIS: Geographic Information System. It is a collection of computer hardware, software, and geographic data for capturing, managing, analysing and displaying all forms of geographically referenced information

Global Project: where several technically and financially separated parts (including one or more Actions) contribute to the completion of a high, indivisible objective, this common objective is considered as the 'global project'. For example, a railway line connecting two or more metropolitan areas can be composed of several sections which are technically and financially identifiable, but the line (i.e. the global project) cannot start operations until all of the sections are complete

I

Indirect costs: Costs which are **not** identifiable as direct costs, but which have nevertheless been incurred in connection with the eligible direct costs of the Action. Indirect costs shall satisfy the general criteria specified at Article III.3.16.3 and 4 of the General Conditions annexed to the model funding Decision and may be eligible for flat rate funding fixed at not more than 7% of the total direct eligible costs.

Applicants will be asked to explain the methodology used in determining the percentage of the flat rate (between 0 and 7%) before concluding the individual funding Decision in case the proposal should be selected. Costs included in the calculation method of indirect costs cannot be claimed otherwise as direct costs.

L

Loan guarantee instrument: a guarantee issued by the European Investment Bank (EIB) in favour of a stand-by liquidity facility provided to projects of common interest in the field of transport. It covers the debt service risks due to demand shortfalls and the resulting unforeseen loss of revenue during the initial operating period of the project. The loan guarantee instrument is used only for projects whose financial viability is based, in whole or in part, on revenues, tolls or other income paid by or on behalf of the users or beneficiaries.

M

Milestones: Milestones indicate how the (sub-)activity and its associated expected result(s) are progressing. Each activity should relate to milestones indicating at least its start and end time and preferably also corresponding intermediate deliverables.

Multi-applicant proposals: Proposals may be submitted by more than one applicant for TEN-T assistance. By default, a coordinator should be indicated (see also 'coordinating applicant'). In case the partnership is not proposing one for the action the management structure should be thoroughly explained.

MAWP: Multi-Annual Work Programme

MoS: Motorways of the Sea

P

Priority Project: A project listed in Annex III of the TEN-T Guidelines

Project of Common Interest: A project or part of a project identified as being of common interest for the Union in the field of transport in the framework of Article 7 of the TEN-T Guidelines

Proposal: This refers to the application as a complete package, i.e. it includes the application form parts A, B1 and B2 and their annexes, both in electronic and paper copy as required

Public sector body: regional or local authorities, body governed by public law³⁴ or association formed by one or several such authorities or one or several such bodies governed by public law³⁵, international organizations³⁶

R

RIS: River Information Services

S

Start date: The day on which the implementation of an Action actually begins, as stated in the Decision granting financial aid. It must be the same as the date from which costs are eligible (see also: Eligible costs)

Study: Activities needed to prepare project implementation, including preparatory, feasibility, evaluation and validation studies, and any other technical support measure, including prior action to define the Global Project or Action fully and decide on its financing, such as reconnaissance of the sites concerned and preparation of the financial package

T

TEN-T EA: Trans-European Transport Network Executive Agency

TENtec: Interactive geographical Information System for the Trans-European Transport network

TENtec eSub tool: the TENtec eSubmission module ('eSub tool') allows the electronic submission of Part A of the application form

³⁴ Body governed by public law: any body:

(a) established for the specific purpose of meeting needs in the general interest, not having an industrial or commercial character; and

(b) having legal personality; and

(c) financed, for the most part by the State, or regional or local authorities, or other bodies governed by public law; or subject to management supervision by those bodies; or having an administrative, managerial or supervisory board, more than half of whose members are appointed by the State, regional or local authorities or by other bodies governed by public law

³⁵ e.g. Joint Undertaking established under Article 187 of the Treaty on the Functioning of the European Union (ex Article 171 TCE)

³⁶ According to article 43 (1) of the Commission Delegated Regulation (EU) No 1268/2012 (on the rules of application of Regulation (EU, Euratom) No 966/2012 of the European Parliament and of the Council on the financial rules applicable to the general budget of the Union), international organisations are:

(a) international public sector organisations set up by intergovernmental agreements, and specialised agencies set up by such organisations;

(b) the International Committee of the Red Cross (ICRC);

(c) the International Federation of National Red Cross and Red Crescent Societies;

(d) other non-profit organisations assimilated to international organisations by a Commission decision.

U

Unique proposal code: The proposal code will be automatically generated by TENtec. This code must also be indicated on Parts B1 and B2 of the application form. It will be based on a sequential number of already submitted proposals.

W

Works: The purchase, supply and deployment of components, systems and services, and the carrying out of construction and installation works relating to the project, the acceptance of installations and the launching of the project

Work Programme: A formal Commission document adopted on an annual basis for the implementation of a specific programme that sets out the objectives and results expected