



Proposal selection process & outcome of the 2015 call

Information Day on
Smart Cities & Communities

Horizon 2020

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INEA



INEA in short



- **Turning policy into action:**
 - Organising **calls for proposals**
 - Monitoring the **technical and financial implementation** of projects
- Managing **€34 billion** in 2014-2020
- **2100+ projects** expected by **2020**
- Supervised by 4 **Commission DGs**: ENER, MOVE, RTD, CNECT



INEA's delegated programmes

Horizon 2020 Energy & Transport



- CEF Transport
- CEF Energy
- CEF Telecom

Marco Polo legacy from
2007-2013 period
(previously managed by
EACI – now EASME)



TEN-T legacy from
2007-2013 period

Submission of proposals – key dates

Topic code	Opening	Deadline	Budget € million
SCC-01-2016 Smart Cities & Communities	8 December 2015	5 April 2016	60
SCC-02-2016* (1st Stage) SCC-03-2016* SCC-04-2016* Sustainable cities through nature-based solutions	10 November 2015	8 March 2016	40 15 5
SCC-01-2017 Smart Cities & Communities	4 October 2016	14 February 2017	71.5
SCC-02-2017* (1st Stage) Sustainable cities through nature-based solutions	8 November 2016	7 March 2017	40

* Evaluated & Implemented by EASME



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Horizon 2020 Funding

Starting from 1/1/2014

On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle

Calls and Submission Service
(Available without login)

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Key steps of the evaluation

- **Admissibility and eligibility checks**
 - ✓ Against standard Admissibility and Eligibility criteria
 - ✓ In line with call specific conditions

Only properly submitted, admissible and eligible proposals are evaluated

- **Evaluation by at least 3 external experts**
 - ✓ Proposals evaluated as submitted
 - ✓ Lower score if shortcomings are identified

Role of external experts

- Selected from a **database of experts** - over 77 000 evaluators
- **Main requirements:**
 - ✓ **High-level of expertise** in the relevant fields of R&I
 - ✓ **Independence** (evaluating in a personal capacity), **impartiality, objectivity**
- **Balanced composition of groups:**
 - ✓ Professional experience / gender / geographic balance
 - ✓ For SCC1 – balance between 3 sectors: ICT, mobility, energy, with at least 2 experts from each
 - ✓ Regular rotation and involvement of new experts

Evaluation process (1)

- Evaluation in **three phases**:
 - ✓ **Individual** evaluation by external experts
 - ✓ **Consensus** meeting between experts
 - ✓ **Consistency check** and equal treatment of proposals
- **Award criteria**:
 - ✓ Excellence (relevant to the description of the call or topic)
 - ✓ Impact
 - ✓ Quality and efficiency of the implementation
- **Scores** (from 0 to 5) for each criterion
- **Ranked lists** of proposals: based on scores of experts

Evaluation process (2)

- Possible outcomes for applicants:
 - ✓ **Main list proposal** = best scoring > invitation to start grant agreement preparation
 - ✓ **Reserve list proposal** = score above thresholds > if additional funding available in future will get invitation to start grant agreement preparation
 - ✓ **Rejection** = below threshold or above threshold, but no available funding
- One or more observers (external experts) monitor the evaluations
 - ✓ **Objective:** to verify compliance with the procedure
- Time to **inform applicants:** max 5 months*

*3 months for first-stage proposals

Final step for successful applicants: Grant agreement preparation

- After the evaluation, successful proposals get an invitation letter to **start the Grant Agreement preparation**
- Very **tight timeline**: time-to-grant of max 8 months
- **New in H2020: no negotiation**
 - ✓ The proposal has been evaluated according to its merits
 - ✓ No changes during the grant agreement preparation

Outcome of the 2015 SCC-01 call

- Indicative budget of **€106.18 million**
- **37 eligible proposals** evaluated
- **96** *lighthouse* cities and **113** *follower* cities
- Total budget requested **€844.2 million**
- 9 proposals scored above all thresholds
- **4 projects** to be funded: 10.8 % success rate

Final Remarks

- **Evaluations:** a transparent, fair, and efficient process
- Large demand, **strong competition, demanding call**
- Pencil the **opening and deadline** dates in your calendars
- **Start preparing** your proposal as early as possible
- **Don't wait until the deadline** to submit your proposals
- **Check FAQs** on the Participant portal
- And if you aren't submitting proposals, we always need **new external evaluators** – enrol via the Participant Portal

Good luck!





For more information



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Look for INEA!

Thank you!