All you need to know about the evaluation

#H2020GridsStorage

Krastio Preslavsky
Deputy Head of Programme Support, Coordination & Communication Unit, INEA
INEA in short

• **Turning policy into action:**
  - Organising **calls for proposals**
  - Monitoring the technical and financial **implementation of projects**
  - Supervised by 4 **Commission DGs**: ENER, MOVE, RTD, CNECT

• **Delegated programmes:** Horizon 2020 **Energy** and Transport, Connecting Europe Facility and 2007-2013 legacy programmes

• Managing €34 billion in 2014-2020; 2100+ projects expected by 2020
Submission of proposals

- **Submission via the Research & Innovation Participant Portal** (electronic submission only)
- **Unregistered user:** Search for funding opportunities, read manuals and legal documents, check FAQs etc.
- **Registered user:** Submit proposals, sign grant agreements, manage lifecycle etc.
- **Very important:** Start preparing the proposal as early as possible and submit on time
## Submission of proposals – Key dates

<table>
<thead>
<tr>
<th>2017 activities</th>
<th>Budget</th>
<th>Opening</th>
<th>Deadline</th>
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<tbody>
<tr>
<td><strong>LCE-01-2016-2017 (RIA)</strong> Smart grids: distribution</td>
<td>€19 million</td>
<td>20 September 2016</td>
<td>14 February 2017</td>
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<td>- Demand-response</td>
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<td>- Intelligent electricity distribution grid</td>
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<tr>
<td><strong>LCE-04-2017 (IA)</strong> Smart grids: transmission</td>
<td>€65 million</td>
<td>20 September 2016</td>
<td>14 February 2017</td>
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<td>- Large scale demonstration</td>
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<td>- Coordination and integration of the European energy system</td>
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Calls and Submission Service
(Available without login)

Login or register
Key steps of the evaluation

• Admissibility and eligibility checks
  • All forms submitted?
  • Call conditions met?

• Evaluation by external experts
  (minimum 3; up to 7 for complex proposals)
  • Proposals evaluated as submitted
  • Lower score if shortcomings are identified

*Only admissible and eligible proposals are evaluated*
External experts

- Selected from a **database of experts** - over 80,000 evaluators

- Main requirements:
  - **High-level of expertise** in the relevant fields of R&I
  - **Independence** (evaluating in a personal capacity), **Impartiality** (no conflict of interest), **objectivity**

- **Balanced composition of groups:**
  - Professional experience (e.g. academia, business), gender and geographical balance
  - Regular rotation and involvement of new experts
What do we ask them to do?

Understand Call Text
- Read briefing material
- Follow remote briefings

Evaluate individually
- A number of proposals
- Provide assessment against evaluation criteria

Come to a Consensus
- Discuss with other experts
- Reach consensus on comments and scores
- Calibrate with other evaluators.
## Against what do we ask them to evaluate?

<table>
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<tr>
<th>Excellence</th>
<th>Excellence of the approach, soundness of concept, clarity and pertinence of the objectives, extent of ambition, innovation potential</th>
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<tbody>
<tr>
<td>Impact</td>
<td>As explained in Work Programme, exploitation communication and dissemination plan</td>
</tr>
<tr>
<td>Quality &amp; Efficiency of Implementation</td>
<td>Coherence, effectiveness, complementarity in the consortium, risk and innovation management, appropriateness of management structures and procedures</td>
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Outcome

• **Ranked lists of proposals**: based on scores of experts
• Possible outcomes for applicants:
  - **Main list proposal** = top scores > start grant agreement preparation
  - **Reserve list proposal** = score above thresholds > if additional funding available in future will get invitation to start grant agreement preparation
  - **Rejection** = below threshold or above threshold but no available funding
• One or more **observers** (external experts) monitor the evaluations to verify **compliance with procedures**
• **Time to inform applicants**: max 5 months (after call deadline)
Grant agreement preparation

• After the evaluation, successful proposals get an invitation letter to start the Grant Agreement preparation

• Very **tight timeline**: time to grant of max 8 months (after call deadline)

• **New in H2020: no negotiation**
  • The proposal has been evaluated according to its merits
  • No substantial changes during the grant agreement preparation
Conclusions

- **Evaluation**: a transparent, fair, and efficient process
- Large demand and **strong competition**
- Pencil the **opening and deadline dates** in your calendars
- Start preparing your proposal **as early as possible**
- **Check FAQs** on the Participant portal
- **Don’t wait until the deadline** to submit your proposals
- And if you aren't submitting proposals, **we always need new external evaluators** – enrol via the Participant Portal

**Good luck!**
For more information

inea@ec.europa.eu

http://ec.europa.eu/inea

@inea_eu

Look for INEA!