



Key steps of the evaluation process

Horizon 2020

Smart Cities & Communities

Info Day

#H2020SCC1

Krastio Preslavsky

Deputy Head of Unit Support, Coordination
& Communication

INEA



Energy



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INEA in short



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- **Turning policy into action:**
 - ✓ Organising **calls for proposals**
 - ✓ Monitoring the technical and financial **implementation of projects**
 - ✓ Supervised by 4 **Commission DGs**: ENER, MOVE, RTD, CNECT
- **Delegated programmes: Horizon 2020 Energy and Transport, Connecting Europe Facility and 2007-2013 legacy programmes**
- Managing €34 billion in 2014-2020; 2100+ projects expected by 2020



Submission of proposals

- **Submission via the Research & Innovation Participant Portal** (electronic submission only)
- **Unregistered user:** Search for funding opportunities, read manuals and legal documents, check FAQs etc.
- **Registered user:** Submit proposals, sign grant agreements, manage lifecycle etc.
- **Very important:** Start preparing the proposal as early as possible and submit on time

Submission of proposals

	Budget	Opening	Deadline
SCC-1-2016-2017 (IA) Smart Cities and Communities lighthouse projects	€69.5 million	4 October 2016	14 February 2017



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RESEARCH & INNOVATION
Participant Portal

European Commission > Research & Innovation > Participant Portal > Home

HOME **FUNDING OPPORTUNITIES** HOW TO PARTICIPATE EXPERTS SUPPORT

LOGIN REGISTER

Horizon 2020 Funding

Starting from 1/1/2014

On this site you can find and secure **funding** for research & innovation projects under the following EU programmes:

- **2014-2020** Horizon 2020 - research and innovation framework programme
- **2007-2013** 7th research framework programme (FP7) and Competitiveness & Innovation Programme (CIP)

Non-registered users

- search for funding
- read the funding guide & download the legal documents
- check if an organisation is already registered
- contact our support services or check our FAQs

Registered users

- submit your proposal
- sign the grant
- manage your project throughout its lifecycle

WHAT'S NEW? FUNDING OPPORTUNITIES HOW TO PARTICIPATE WORK AS AN EXPERT MY PERSONAL AREA INFORMATION AND SUPPORT

RESEARCH ON EUROPA CORDIS OLAF

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Calls and Submission Service
(Available without login)

Login or register

Key steps of the evaluation

- **Admissibility and eligibility checks**
 - All forms submitted?
 - Call conditions met?
- **Evaluation by external experts**

(minimum 3; up to 7 for complex proposals, such as SCC)

 - Proposals evaluated as submitted
 - Lower score if shortcomings are identified

Only admissible and eligible proposals are evaluated

External experts

- Selected from a **database of experts** - over 80 000 evaluators
- Main requirements:
 - **High-level of expertise** in the relevant fields of R&I
 - **Independence** (evaluating in a personal capacity), **Impartiality** (no conflict of interest), **objectivity**
- **Balanced composition of groups:**
 - ✓ Professional experience (e.g. academia, business), gender and geographical balance
 - ✓ Regular rotation and involvement of new experts



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What do we ask them to do?

Understand Call Text

- Read briefing material
- Follow remote briefings

Evaluate individually

- A number of proposals
- Provide assessment against evaluation criteria

Come to a Consensus

- Discuss with other experts
- Reach consensus on comments and scores
- Calibrate with other evaluators.



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Against what do we ask them to evaluate?

Excellence

- Credibility of the approach, soundness of concept, clarity and pertinence of the objectives, extent of ambition, innovation potential

Impact

- As explained in Work Programme, exploitation communication and dissemination plan

Quality & Efficiency of Implementation

- Coherence, effectiveness, complementarity in the consortium, risk and innovation management, appropriateness of management structures and procedures

Outcome

- **Ranked lists of proposals:** based on scores of experts
- Possible outcomes for applicants:
 - **Main list proposal** = top scores > start grant agreement preparation
 - **Reserve list proposal** = score above thresholds > if additional funding available in future will get invitation to start grant agreement preparation
 - **Rejection** = below threshold or above threshold but no available funding
- One or more **observers** (external experts) monitor the evaluations to verify **compliance with procedures**
- **Time to inform applicants:** max 5 months

Grant agreement preparation

- After the evaluation, successful proposals get an invitation letter to **start the Grant Agreement preparation**
- Very **tight timeline**: time to grant of max 8 months (after call deadline)
- **New in H2020: no negotiation**
 - The proposal has been evaluated according to its merits
 - No substantial changes during the grant agreement preparation



Conclusions

- **Evaluation:** a transparent, fair, and efficient process
- Large demand and **strong competition**
- Pencil the **opening and deadline dates** in your calendars
- Start preparing your proposal **as early as possible**
- **Check FAQs** on the Participant portal
- **Don't wait until the deadline** to submit your proposals
- And if you aren't submitting proposals, **we always need new external evaluators** – enrol via the Participant Portal

Good luck!



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For more information



inea@ec.europa.eu



<http://ec.europa.eu/inea>



[@inea_eu](https://twitter.com/inea_eu)



Look for INEA!

Thank you!