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Scientific Committee on Consumer Safety

SCCS

OPINION ON

**Methoxypropylamino Cyclohexenylidene
Ethoxyethylcyanoacetate (S87)**

- Submission II

The SCCS adopted this Opinion
at its plenary meeting on 26 February 2019

1
2 **ACKNOWLEDGMENTS**
3
4 Members of the Working Group are acknowledged for their valuable contribution to this
5 Opinion. The members of the Working Group are:

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34 All Declarations of Working Group members are available on the following webpage:
35 http://ec.europa.eu/health/scientific_committees/experts/declarations/sccs_en.htm
36
37

1 **1. ABSTRACT**

2
3 **The SCCS concludes the following:**

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5
6 *(1) In light of the data provided, does the SCCS consider Methoxypropylamino*
7 *Cyclohexenylidene Ethoxyethylcyanoacetate (S87), safe when used as UV-filter in cosmetic*
8 *products up to a maximum concentration of 3%?*

9
10 Based on the data submitted, the SCCS concluded that the use of Methoxypropylamino
11 Cyclohexenylidene Ethoxyethylcyanoacetate (S87), as a UV-filter in cosmetic products
12 up to a maximum concentration of 3%, can be considered safe.

13
14 Inhalation toxicity was not assessed in this Opinion because no data were provided.
15 Hence, this Opinion is not applicable to any sprayable products that could lead to
16 exposure of the consumer's lung by inhalation.

17
18
19 *(2) If not, what is according to the SCCS, the maximum concentration considered safe*
20 *for Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) when used as*
21 *UV-filter in cosmetic products?*

22
23 /

24
25
26 *(3) Does the SCCS have any further scientific concerns with regard to the use of*
27 *Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) in cosmetic*
28 *products?*

29
30 As in the previous Submission, adequate studies on skin and eye irritation have not
31 been provided in this Submission. Hence, skin and eye irritation potential of S87 cannot
32 be excluded.

33
34 S87 is a secondary amine, and thus is prone to nitrosation and formation of
35 nitrosamines. It should not be used in combination with nitrosating substances. The
36 nitrosamine content should be < 50 ppb.

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40
41 Keywords: SCCS, scientific opinion, Methoxypropylamino Cyclohexenylidene
42 Ethoxyethylcyanoacetate (S87), UV-filter, Regulation 1223/2009, CAS 1419401-88-9, EC
43 700-860-3

44
45 Opinion to be cited as: SCCS (Scientific Committee on Consumer Safety), Opinion on
46 Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) - Submission II, 26
47 February 2019, SCCS/1605/19

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About the Scientific Committees

Two independent non-food Scientific Committees provide the Commission with the scientific advice it needs when preparing policy and proposals relating to consumer safety, public health and the environment. The Committees also draw the Commission's attention to the new or emerging problems which may pose an actual or potential threat.

They are: the Scientific Committee on Consumer Safety (SCCS), the Scientific Committee on Health, Environmental and Emerging Risks (SCHEER) and are made up of independent experts.

In addition, the Commission relies upon the work of the European Food Safety Authority (EFSA), the European Medicines Agency (EMA), the European Centre for Disease prevention and Control (ECDC) and the European Chemicals Agency (ECHA).

SCCS

The Committee shall provide Opinions on questions concerning health and safety risks (notably chemical, biological, mechanical and other physical risks) of non-food consumer products (for example cosmetic products and their ingredients, toys, textiles, clothing, personal care and household products such as detergents, etc.) and services (for example: tattooing, artificial sun tanning, etc.).

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ISSN ISBN

Doi: ND-

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1 **2. MANDATE FROM THE EUROPEAN COMMISSION**

2
3 **Background**

4
5 Submission I on the UV-filter Methoxypropylamino Cyclohexenylidene
6 Ethoxyethylcyanoacetate (S87) (CAS 1419401-88-9), with the chemical name 2-
7 Ethoxyethyl (2Z)-2-cyano-2-[3-(3-methoxypropylamino)cyclohex-2-en-1-ylidene]acetate,
8 was submitted by Cosmetics Europe in June 2016.
9

10 In July 2017 the SCCS adopted an Opinion on Methoxypropylamino Cyclohexenylidene
11 Ethoxyethylcyanoacetate (S87) (CAS 1419401-88-9) (SCCS/1587/17)¹, with the following
12 conclusion:
13

14 *Based on the data provided, the SCCS is of the opinion that genotoxic potential of*
15 *Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) cannot be*
16 *excluded. Therefore, the SCCS cannot conclude on the safety of S87.*

17 *More evidence is needed to exclude the genotoxicity concern regarding S87.*

18 *On the basis of the studies provided, skin and eye irritation potential of the test item cannot*
19 *be excluded. Dermal penetration data using 5% of the test material should also be*
20 *provided.*

21
22 Submission II on the UV-filter Methoxypropylamino Cyclohexenylidene
23 Ethoxyethylcyanoacetate (S87) (CAS 1419401-88-9), was transmitted by Cosmetics Europe
24 in July 2018.
25

26 According to the applicant the current Submission constitutes industry's response to the
27 request for further information in the first SCCS Opinion (SCCS/1587/17). In addition, the
28 current Submission is intended to support the use of Methoxypropylamino
29 Cyclohexenylidene Ethoxyethylcyanoacetate (S87) as UV-filter in cosmetic products up to a
30 maximum concentration of 3%.
31

32
33 **Terms of reference**

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35
36 *(1) In light of the data provided, does the SCCS consider Methoxypropylamino*
37 *Cyclohexenylidene Ethoxyethylcyanoacetate (S87), safe when used as UV-filter in*
38 *cosmetic products up to a maximum concentration of 3%?*
39

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41
42 *(2) If not, what is according to the SCCS, the maximum concentration considered safe*
43 *for Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) when used as*
44 *UV-filter in cosmetic products?*
45

46
47
48 *(3) Does the SCCS have any further scientific concerns with regard to the use of*
49 *Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) in cosmetic*
50 *products?*
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1

2 **3. OPINION**

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4 **3.1 Chemical and Physical Specifications**

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3.1.1 Chemical identity

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10 **3.1.1.1 Primary name and/or INCI name**

11

Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87)

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3.1.1.2 Chemical names

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IUPAC name: 2-ethoxyethyl (2Z)-2-cyano-2-[3-(3-methoxypropylamino) cyclohex-2-en-1-ylidene]acetate

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3.1.1.3 Trade names and abbreviations

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Colipa No. S 87

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C-1701 B_C_3

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C-1701 Merocyanine

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3.1.1.4 CAS / EC number

28

CAS: 1419401-88-9

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EC: 700-860-3

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Ref.: http://www.chemical-registry.org/Chemicals/EC_700-860-3_2-ethoxyethyl-2Z-2-cyano-2-3-3-methoxypropylamino-cyclohex-2-en-1-ylidene-acetate.html

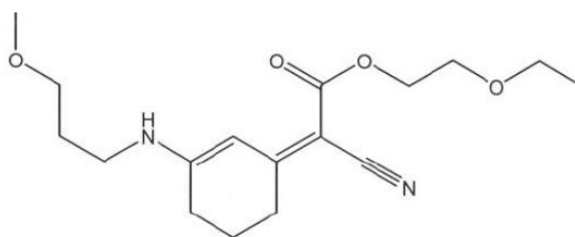
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3.1.1.5 Structural formula



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3.1.1.6 Empirical formula

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C₁₇H₂₆N₂O₄

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3.1.2 Physical form

The UV filter C-1701 B_C_3 is a yellow solid consisting in form of a powder or small chunks.

3.1.3 Molecular weight

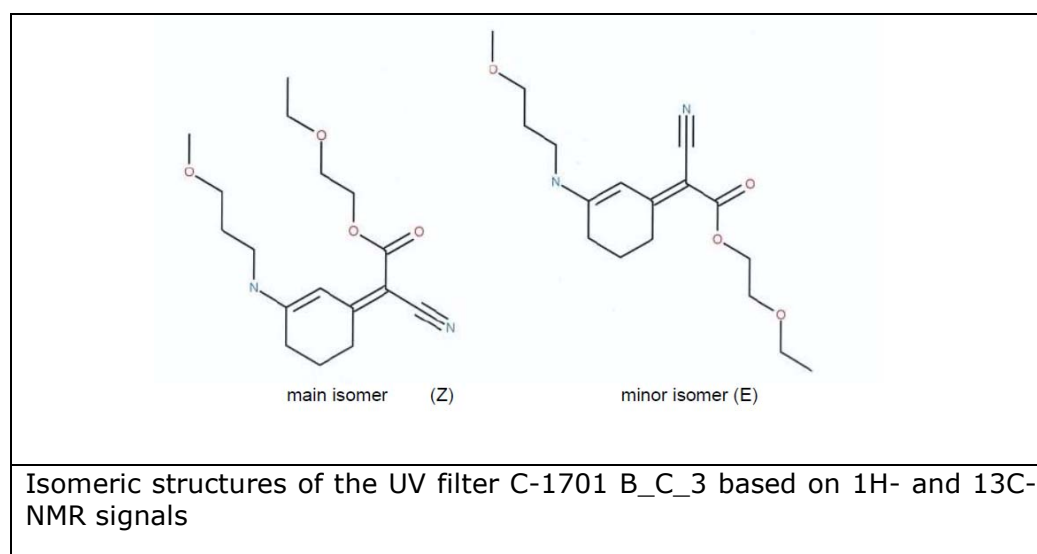
Molecular weight: 322.41 g/mol

3.1.4 Purity, composition and substance codes

Batch/Lot:
1442/3+4
C-1701/8
0009511412

Chemical characterisation was performed by UV, FTIR and ^1H - and ^{13}C -NMR spectroscopy on the batches 1442/3+4 and C-1701/8. The ^{13}C -spectra showed the expected signals for the given structure. The ^1H -NMR results, however, showed the presence of an isomeric mixture. The non-GLP results obtained from different NMR experiments revealed a time-dependent isomerisation of the test item (Z-isomer) to the corresponding E-isomer upon dissolution. The time-dependent investigation yielded equilibrium after ca. 5 hours of an isomeric mixture with a ratio of 1.98: 1.00 for Z-isomer to E-isomer.

The UV filter C-1701 B_C_3 is synthesised as Z-isomer and upon dissolution it isomerises within 5 hours to approximately 60% Z-isomer and ca. 40% E-isomer.



Purity of UV filter C-1701 B_C_3 was determined by quantitative ^1H -NMR spectroscopy with internal standard on the batches 1442/3+4 and C-1701/8.

The following table summarises the analytical profile of the three batches used in toxicological studies.

Table 1.

1

Comparative table of the main analytical results for the three batches 1442/3+4, C-1701/8 and 0009511412			
	Batches tested in toxicological studies		
	batch 1442/3+4	batch C 1701/8	batch 0009511412
Aspect	Yellow powder		
Purity / Content of Main component B_C_3 by HPLC UV (%)	96.2	97.8	98.7
¹ H-NMR spectroscopy (% w/w)	98.8	96.3	Not provided
Impurities content by HPLC UV			
Content of B_C (area %)	2.22	1.52	1.02
Sum of other impurities greater than 0,1% (area %)	1.41	0.53	0
Sum of other impurities lower than 0,1% (area %)	0.2	0.19	0.25
Other impurities			
Water content (% w/w)	0.13	0.09	0.07
2-Ethoxyethanol (ppm)	120	12	<10
3-methoxypropylamine (ppm)	<500	<500	<500
Diethylsulfate (ppm)	<1	<1	<1

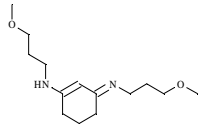
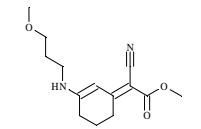
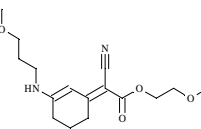
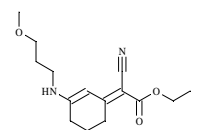
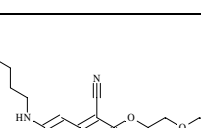
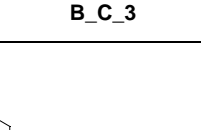
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Ref.:Meyer L. (2012) BASF SE study number 12L00108,
Meyer L. (2011) BASF SE study number 11L00388
Fux P. (2016) BASF Schweiz AG study number 16S01253**SCCS comment**

NMR peak purity for the batch 0009511412 was not provided.

The applicant should provide the accurate content of 2-ethoxyethanol, diethylsulfate and 3-methoxypropylamine for all the three batches.

3.1.5 Impurities / accompanying contaminantsThe impurity determinations were performed by the use of an HPLC-PDA analytical method at λ_{\max} with LOD 0.05%. The structure elucidation was done by HPLC-MS. Table 2 contains quantitative information on the main component and impurities above 0.1% and their structure proposals for the three C-1701 B_C_3 samples, which had been derived from HPLC-MS. The contents of 2-ethoxyethanol and 3-methoxypropylamine were determined by means of GC/FID using standard addition method. Diethylsulfate was quantified by means of headspace GC/MS using the standard addition method.

1 **Table 2.**

Quantitative information on the main component and impurities above 0.1% and their structure proposals for the three C-1701 B_C_3 samples						
Retention Time (min)		Approx. Content* (%area@380nm)			MW (Da)	Proposed Structure (and/or isomer)
LC/MS	HPLC-DAD	1442/3+4	C-1701/8	0009511412		
8.7	8.0	**	**	**	254	
21.8	21.4	<0.05	0.11	<0.05	264	
22.6	22.3	<0.05	0.18	<0.05	308	
26.1	25.9	2.20	1.57	0.99	278	 B_C
26.5	26.3	97.66	98.14	98.92	322	 B_C_3
27.5	27.4	0.12	-	-	380	

2 * By-product contents are calculated as described in chapter 3. Methodology

3 ** no UV-detection @380nm, detectable at UV range 280-480 nm and by MS

4 - not detected by UV and by MS (<0.001)

5

Ref.: BASF Schweiz AG study number 13S01712,
 BASF Schweiz AG study number 16S01253,
 BASF Schweiz AG study number 12Y57811,
 BASF Schweiz AG study number 11B00011,
 BASF Schweiz AG study number 16S01253
 Meyer L 2012
 Specker W. (2017) Analytical Report, 27 April
 2017

SCCS comment

The applicant provided HPLC-PDA chromatograms for all three batches: peak purity and impurities have been quantified at λ_{\max} of the test substance. According to the applicant, these impurities have been chemically characterised by LC-MS. All area-% results for the impurities in the data tables were calculated from the HPLC-DAD data using a 7 mg/mL test solution. However, the quantification based on HPLC-DAD data has been carried out by calculating the results obtained for the concentrated solutions (7 mg/mL) relative to the peak area of compound B_C of the diluted solution which is not accepted. In addition, the applicant should clearly explain the dilution factor used for the calculation and the linearity range (concentrations) of the test substance.

3.1.6 Solubility

Water solubility: 0.45 g/L at 20° ((flask method OECD 105)

Solubility in mineral oil: 0.01 g/L

Solubility in Phenoxyethanol: 318 g/L

For the determination of the solubility of C-1701 B_C_3 in different solvents used for cosmetics the UV filter was weighed in glass vessels and dissolved in the respective cosmetic oil. The mixtures were stirred for 7 days at 25 °C. The solubility data for the UV filter C-1701 B_C_3 in solvents used for cosmetics are summarised in Table 3:

Table 3.

Solubility of UV filter C-1701 B_C_3 (batch: C-1701 B_C_3/10) in cosmetic ingredients at 25 °C		
Solvent	INCI	Solubility (% w/w)
Protectol PE	Phenoxyethanol	31.8
Spectrasolv DMDA	Dimethyl Capramide	18.6
Transcutol CG	Ethoxydiglycol	18.3
Dottisol	Dimethyl Isosorbide	13.9
Ethanol	Alcohol	13.0
Pelemol BIP-PC	Butylphthalimide and Isopropylphthalimide	9.7
X-Tend 226	Phenethyl Benzoate	7.8
Eldew SL-205	Isopropyl Lauroyl Sarcosinate	7.2
Ronacare AP	Bis-ethylhexyl Hydroxydimethoxy Benzylmalonate	5.2
Uvinul N 539 T	Octocrylene	3.7
1,2-Propandiol	Propylene Glycol	3.3
Oxynex ST	Diethylhexyl Syringylidenemalonate	2.7

Uvinul MC 80	Ethylhexyl Methoxycinnamate	2.1
Tegosoft XC	Phenoxyethyl Caprylate	2.0
Cetiol B	Dibutyl Adipate	1.9
Finsolv EB	Ethylhexyl Benzoate	1.7
Dermofeel TC-7	Triheptanoin	0.58
Dermofeel BGC	Butylene Glycol Dicaprylate/Dicaprate	0.38
Cetiol AB	C12-15 Alkyl Benzoate	0.35
Tegosoft CT	Caprylic/Capric Triglyceride	0.31
Cetiol CC	Dicaprylyl Carbonate	0.15
Lanol 99	Isononyl Isononanoate	0.12
Isopropylpalmitate	Isopropyl Palmitate	0.12
Jojoba Oil	Jojoba Oil	0.03
Cetiol OE	Dicaprylyl Ether	0.02
Cyclomethicone DC345	Cyclomethicone	0.002
Paraffin oil	Mineral Oil	0.002
Nexbase 2006 FG	Hydrogenated Polydecene	0.001

Ref.: BASF Grenzach GmbH Data sheet Winkler S. (2013) Siemens AG study number 20120207.06 draft

SCCS comment

Solubility in PEG 300 should be provided as this was used for toxicological tests.

3.1.7 Partition coefficient (Log P_{ow})

Log P_{ow}: 1.7 under neutral and alkaline conditions (OECD 117, EEC A.8, GLP)

Ref.: Winkler S. (2013), Siemens AG study number 20120207.02

3.1.8 Additional physical and chemical specifications

Melting point: 85 -120 °C.

Boiling point: 306- 315 °C

Flash point: 394 °C

Flammability: not flammable

Explosive properties: not explosive

Particle size: D_{0.1}= 0.858 µm, D_{0.5}= 1.236 µm, D_{0.9}= 2.942 µm. The test substance does not contain nanomaterial.

Thermal stability: Decomposition at 390 °C

Vapour pressure: /

Density: /

Viscosity: /

pKa:13.3

Refractive index: /

pH: 5.8/5.9 in a 1 % of Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate solution in water

UV-vis: λ_{max} = 385 nm

Ref.: Giesinger J. (2013), BASF Grenzach GmbH study number GIJ-Malv-Rec610
Winkler S. (2013), Siemens AG study number 20120207.01
Winkler S. (2013), Siemens AG study number 20120207.02

Winkler S. (2013), Siemens AG study number 20120207.04
Kuchta (2012), BASF SE study number SIK 12/1391
Fux P. (2013), BASF Schweiz AG study number 12B020282b

3.1.9 Stability

The characterisation of the batches used for toxicological studies showed the homogeneity of test items.

Batch C-1701 B_C_3 Lot 0009511412 was stable after being stored for 1 year at 40 °C. Neither active ingredient content nor the content and identity of impurities changed over the considered time interval.

Table 4.

Content of C-1701 B_C_3 Lot 0009511412 initially and after one-year storage at 40°C			
Test point	Measurements performed after synthesis ("time zero")	Measurements performed after 1 year storage at 40°C	Principle of Analytical Method
Content of main component B_C_3	98.83 area%	98.73 area%	HPLC/UV
Content of B_C (Mw = 278)	0.93 area%	1.02 area%	HPLC/UV

Homogeneity and stability of C-1701 B_C_3 in toxicological test systems (PEG 300) were confirmed in dose formulation analyses conducted as part of e.g. the repeated dose toxicity studies.

Ref.: Meyer, L., BASF SE (2011), 11L00388;
Meyer, L., BASF SE (2012), 12L00108;
Fux, P. BASF Schweiz GmbH, 16S01253, 2016;
Carlson M.B. (2013), Charles River Laboratories, 20027338

SCCS comments on physicochemical characterisation

Impurities should be quantified for all the batches at λ_{max} , retention times and HPLC-PDA chromatograms should be provided.

HPLC-MS chromatograms showing the retention time of the main compound and all the impurities, along with information on the % content and retention times of these impurities should be provided.

The applicant should provide the accurate content of 2-ethoxyethanol and 3-methoxypropylamine of all the three batches.

S87 is a secondary amine, and thus is prone to nitrosation and formation of nitrosamines. It should not be used in combination with nitrosating substances. The nitrosamine content should be < 50 ppb.

Solubility in PEG 300 should be provided.

3.2 Function and uses

S87 is proposed to be used as a UV filter in personal care products, including sun care cosmetic formulations at a maximum concentration of 3% w/w

3.3 Toxicological Evaluation

3.3.1 Acute toxicity

No acute toxicity study was performed on C-1701 B_C_3. However, in the existing 14-day and 90-day oral toxicity studies where C-1701 B_C_3 was administered at dose levels of 100, 300 and 1000 mg/kg/d in rats, C-1701 B_C_3 did not induce any deaths. Hence, it can be assumed that the oral LD50 would be higher than 1,000 mg/kg/d (i.e. the substance is of low acute oral toxicity).

3.3.2 Irritation and corrosivity

3.3.2.1 Skin irritation

EpiDerm™ Skin Irritation Test

Guideline:	OECD 439 (2010), Commission Regulation (EC) No 761/2009, B.46
Test system:	EpiDerm™ model (0.6 cm ²)
Replicates:	3 tissues per condition
Test substance:	C-1701 B_C_3 No. 11/0473-3
Test batch:	C-1701/8
Purity:	96.3% (HPLC)
Dose:	25 µl bulk volume (approximately 7 mg) of neat test substance upon tissue wetted with 25 µl phosphate-buffered saline (PBS)
Treatment period:	60 minutes
Post-treatment incubation time:	42 hours
Positive control:	5% (w/v) SDS in deionised water
Negative control:	PBS
Direct interaction with MTT:	Negative
Colouring of tissue:	Yes
GLP:	In compliance
Study period:	July - August 2012

Methods

A bulk volume of 25µl of the solid test material (about 7 mg) was applied onto each of three tissues, wetted with 25µL of PBS, and homogenously distributed. Control tissues were treated with 30 µl of either the negative control (PBS) or positive control (5% w/v SDS). After 60-minutes treatment (25 minutes at room temperature and 35 minutes in the incubator), the tissues were rinsed with PBS. Following a 42-hour post-treatment incubation period, cell viability was assessed by the MTT assay in which 300 µl of MTT solution was added to the tissues. After a 3-hour incubation period, the MTT solution was removed and the tissues were washed with PBS. The formed formazan was extracted by incubation of the tissues in isopropanol. The optical density was determined spectrophotometrically at 570 nm (OD570).

Results

1 The mean viability of the test item-treated tissues was 101%. Yellow discoloration of the
2 tissues was observed after washing. The positive control item demonstrated appropriate
3 sensitivity (relative viability $\leq 20\%$) of the tissues used under test conditions.
4
5

6 **Table 5.**

Relative viability of EpiDerm™ tissue samples	
Group	Relative viability (mean \pm SD, n = 3), [% NC]
NC (PBS)	100 \pm 1.31
C-1701 B_C_3 (batch: C-1701/8)	101 \pm 20.85 ^a
PC (5% w/v SDS)	3 \pm 0.25

7 n: number of samples, NC: negative control, PBS: Phosphate buffered saline, PC: positive control, SD: standard
8 deviation, SDS: Sodium dodecyl sulfate

9 a: This SD was out of the acceptance limit of ≤ 20 . Since all other quality criteria of the test were met
10 and the viability values were well above the cut off for skin irritation, *i.e.* $\leq 50\%$, this deviation was
11 not considered to adversely affect the results of this study.
12
13

14 **Conclusion**

15 The study authors conclude that, under the conditions of this *in vitro* study, C-1701 B_C_3
16 did not show a skin irritation potential in the EpiDerm™ skin irritation test. On the basis of
17 this validated stand-alone *in vitro* test, C 1701 B_C_3 is not expected to be irritating to skin
18 at the use concentration and undiluted.
19

20 Ref.: Wareing B. (2012), BASF SE study number 61V0473/11A562
21
22

23 **SCCS comment**

24 According to OECD TG 439 (2010) a minimum of 25 mg/cm² should be used in case of solid
25 chemicals. In the study provided, an amount of 7 mg/0.6 cm² or 11.67 mg/cm² of test
26 substance was used *i.e.* far below the recommended 25 mg/cm². In addition, a high
27 variability between sample tissues was observed with a standard deviation between tissue
28 replicates of 20.85, exceeding the recommended maximum acceptable variability of SD<18.
29 Due to these shortcomings, SCCS considers that a skin irritation potential of C 1701 B_C_3
30 cannot be excluded.
31
32

33 **3.3.2.2 Mucous membrane irritation / Eye irritation**

34 **Bovine corneal opacity and permeability test (BCOP test)**

35
36
37 Guideline: OECD 437 (2009), Commission Regulation (EU) No 1152/2010, B.47
38 Test system: Fresh bovine corneas
39 Replicates: 3 Corneae per test condition
40 Test substance: C-1701 B_C_3 No. 11/0473-3
41 Test batch: C 1701/8
42 Purity: 96.3% (HPLC)
43 Test item: 20% (w/v) suspension in deionized water
44 Test volume: 750 μ l
45 Treatment period: 4 hours at about 32 °C
46 Positive control: 20% (w/v) imidazole in deionised water
47 Negative control: Deionised water

1 GLP: In compliance
2 Study period: July - August 2012
3

4 **Methods**

5 Freshly isolated bovine eyes from 12-16 month old donor cattle were collected from the
6 slaughterhouse and examined for defects. Those presenting defects such as opacity,
7 scratches, pigmentation etc. were discarded. The corneae were carefully removed from the
8 eyes and mounted in a holder. After a first basal opacity measurement of the fresh bovine
9 corneae, 750 µl of the test item, the positive and the negative controls were applied onto
10 the corneae and incubated for 4 hours at about 32 °C. After the incubation phase, the test
11 item, the positive and the negative controls were each rinsed from the corneae and the
12 opacity was measured again. Thereafter, permeability of the corneae was determined by
13 measuring spectrophotometrically at 490 nm the transfer of 0.5% (w/v) sodium fluorescein
14 upon incubation in a horizontal position for 90 minutes at about 32 °C.
15

16 **Results**

17 The IVIS value of C 1701 B_C_3 did not indicate a test item-related risk of serious damage
18 to eyes. The PC item demonstrated appropriate sensitivity (IVIS value within 2 SD of the
19 laboratory's historical mean value, i.e. 87.7-144.2) of the test system.
20

21 **Table 6.**

<i>In vitro</i> irritancy score (IVIS) for C-1701 B_C_3			
Group	Mean opacity (± SD; n = 3)^a	Mean permeability (± SD; n = 3)^a	IVIS (± SD; n = 3)
C-1701 B_C_3 (20% aqueous solution)	5.5 ± 1.6	- 0.004 ± 0.002	5.4 ± 1.6
NC (deionised water)	1.5 ± 3.2	0.201 ± 0.358	4.5 ± 3.9
PC (20% w/v imidazole)	72.2 ± 6.4	3.847 ± 0.959	129.9 ± 16.4

22 n: number of samples, NC: negative control, PC: positive control, SD: standard deviation

23 ^a: A NC correction was not performed for PC and test item. The mean permeability score of the NC
24 was out of the historical range, because the value of a single cornea was exceptionally high. Due to
25 the unambiguous results of the test item group even without NC subtraction and because all other
26 acceptance criteria were met, the evaluation of the study was not considered influenced by this
27 deviation.
28

29 **Conclusion**

30 The study authors conclude that, under the conditions of this study, C-1701 B_C_3 does not
31 cause serious eye damage.
32

33 Ref.: Remmele M. (2012), BASF SE study number 63V0473/11A563
34
35

36 **SCCS comment**

37 SCCS notes that due to an outlier, negative control values were not within the historical
38 range. Consequently, negative control corrections for permeability and opacity
39 measurements were not performed for results obtained for the positive control and the test
40 substance. Based on the unambiguous results of the study, even without background
41 corrections, the SCCS has accepted that C-1701 B_C_3 does not cause serious eye damage.
42 However, a mild or moderate eye irritation potential cannot be excluded.
43

44 **EpiOcular™ eye irritation test** 45 46

Opinion on Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) - Submission II

1	Guideline:	MatTek, EpiOcular™ human cell construct: Procedure details version 3.1a; Harbell J.W. et al. (2009): COLIPA Program on Optimization of Existing <i>In Vitro</i> Eye Irritation Assays for Entry into Formal Validation: Technology Transfer and Intra/Inter Laboratory Evaluation of EpiOcular Assay for Chemicals, Poster #378, Society of Toxicology March 2009
2		
3		
4		
5		
6		
7		
8	Test system:	EpiOcular™ human cornea model (0.6 cm ²)
9	Replicates:	2 tissues per condition
10	Test substance:	C-1701 B_C_3 No. 11/0473-3
11	Test batch:	C-1701/8
12	Purity:	96.3% (HPLC)
13	Dose:	50 µl bulk volume (approximately 8 mg) neat test substance upon tissue wetted with 20 µl PBS
14		
15	Treatment period:	90 minutes
16	Post-treatment incubation time:	18 hours
17	Positive control:	Methyl acetate
18	Negative control:	Deionised water
19	Direct interaction with MTT:	Negative
20	Colouring of tissue:	Yes
21	GLP:	In compliance
22	Study period:	July - August 2012
23		

24 **Methods**

25 Approximately 8 mg test item was applied onto the tissues, which were wetted with 20 µl
 26 PBS and incubated for 30 minutes. In parallel, 50 µl of the negative and positive control
 27 were handled in the same manner. The treated tissues were placed in the incubator for 90
 28 minutes. After incubation, the tissues were rinsed with PBS to remove any residual test
 29 material and incubated for another 18 hours at standard culture conditions. Cell viability
 30 was next measured with the MTT assay. Here the medium was replaced by 300 µl of MTT
 31 solution. After a 3-hour incubation period, the MTT solution was removed and the tissues
 32 were washed with PBS. The formed formazan was extracted by incubation of the tissues in
 33 isopropanol at room temperature overnight or for at least 2 hours on a plate shaker. The
 34 optical density was determined spectrophotometrically at 570 nm (OD570).
 35

36 **Results**

37 The mean viability of the test item-treated tissues was 104%, determined after an exposure
 38 period of 90 minutes with about 18 hours post-incubation. Yellow discoloration of the
 39 tissues was observed after washing. The positive control item demonstrated appropriate
 40 sensitivity (relative viability < 50%, expected tissue viability of approximately 25%) of the
 41 tissues used under test conditions.
 42

43 **Table 7.**

Relative viability of EpiOcular™ tissue samples		
Group	Relative viability	
	Mean (n = 2) [% of NC]	Inter-tissue variability [%]
NC (water)	100	8.7
C-1701 B_C_3 (batch: C-1701/8) 100%	104	0.7
PC (Methyl acetate)	16	0.9

44 n: number of samples, NC: negative control, PC: positive control

Conclusion

The study authors conclude that, under the experimental conditions employed, C-1701 B_C_3 did not show an eye irritation potential.

Ref.: Wareing B. (2012), BASF SE study number 62V0473/11A564

SCCS comment

This study was performed prior to the acceptance of the official OECD TG 492 guideline for the EpiOcular™ test. However, an amount of 8 mg/0.6 cm² or 13.33 mg/cm² of C-1701 B_C_3 was applied on the tissue surface, being too low to accurately predict eye irritation potential. Due to these shortcomings, SCCS considers that the potential of C-1701 B_C_3 to be irritating to the eye cannot be excluded.

3.3.3 Skin sensitisation

Non-Radioactive Murine Local Lymph Node Assay (LLNA)

Guideline:	OECD 442B (2010),
Species/strain:	Female CBA/J mice
Group size:	2 animals/group (pre-test); 5 animals/group (main test)
Test substance:	C-1701 B_C_3
Batch:	1442/3+4
Purity:	100 area-% (HPLC)
Vehicle:	N,N-dimethylformamide (DMF)
Concentration:	10, 25 and 50 w/v%
Positive control:	25 vol % α -hexyl cinnamic aldehyde (HCA)
GLP:	In compliance
Study period:	October 2011 - January 2012

Methods

The concentrations used for the main test were based on a preliminary study using concentrations of 10, 25 and 50% (w/v), in which no clinical signs and no appreciable changes in body weights or auricular thickness were noted.

The test item was applied once daily at concentrations of 10, 25 and 50% to the outside of both ears (25 μ L/ear for three consecutive days (days 1-3). Concurrent vehicle (DMF) and positive control items (25% (v/v) HCA in DMF) were applied in the same manner. On day 5, Bromodeoxyuridine (BrdU) was administered intraperitoneally (*i.p.*) to all animals at a dose level of 5 mg/animal. All animals were sacrificed on day 6. The ears were observed and scored for erythema and/or edema. Then the auricular lymph nodes were excised for lymph node weight determination and for subsequent assessment of BrdU incorporation by means of flow cytometry. The number of BrdU-positive cells was calculated for each animal by multiplying the lymphocyte count by the ratio of BrdU-positive lymphocytes and the stimulation index (SI) was calculated for each treated group.

Results

No clinical signs, including skin irritation at the application area, were observed in any animal in the test item-treated or vehicle control group. No appreciable body weight changes were observed. In the positive control group, very slight erythema was observed in both ear auricles of all animals at approximately 1 hour after application on days 2 and 3 only.

1 The SI values were 1.1, 1.0 and 1.0 in the low-, mid- and high-dose groups (10, 25 and
2 50% (w/v)), respectively. Relevant increases in the ratio and count of BrdU-positive
3 lymphocyte cells were noted in the Positive control group as compared to the Vehicle control
4 group. The SI value in the Positive control group was 7.4, indicating a positive response and
5 an adequate sensitivity of the test system.
6
7

8 **Conclusion**

9 Based on the study results, C-1701 B_C_3 in N,N-Dimethylformamide was considered not to
10 possess any skin sensitising potential under the experimental conditions employed.
11 Therefore, C-1701 B_C_3 is not considered to be a skin sensitiser.
12

13
14 Ref.: Matsuda A. (2012), BASF project number 58V0473/11X188
15

16 **SCCS comment**

17 The LLNA:BrdU-ELISA uses a different cut-off than the traditional LLNA. In this non-
18 radioactive LLNA, a substance is considered a skin sensitiser when the SI \geq 1.6 (OECD
19 TG442B). However, using this criterion, C-1701 B_C_3 can still be regarded as having no
20 skin sensitisation potential.
21
22

23 **3.3.4 Toxicokinetics**

26 **3.3.4.1 Dermal / percutaneous absorption**

29 ***In vitro* percutaneous absorption**

30
31 Guideline: OECD 428 (2004), OECD No. 28 (2004), SCCS/1358/10, SCCS
32 NoG 6th rev. (2006), COLIPA (1997)
33 Test system: Split thickness human skin samples (200-400 μ m)
34 Number of donors: 12 samples from 4 donors (25 to 48 years)
35 Membrane integrity: Electrical resistance barrier integrity test, membranes with a
36 resistance < 4 k Ω were excluded
37 Test substance: C-1701 B_C_3
38 Batch: C-1701/8
39 Purity: 96.3% (NMR)
40 Test item: Commercial suncare formulation 758455 5, batch no. R2,
41 containing 3% (w/w) C-1701 B_C_3
42 Dose applied: 2 mg/cm² of the test preparation (approx. 0.06 mg C-1701
43 B_C_3/cm², total dose approx. 0.19 mg)
44 Exposed area: 3.14 cm²
45 Exposure period: 24 hours
46 Sampling period: 24 hours (0, 0.5, 1, 2, 4, 8 and 24 hours post dose)
47 Receptor fluid: 5% w/v bovine serum albumin in PBS
48 Solubility in receptor
49 fluid: 0.207 mg/mL
50 Mass balance analysis: Provided
51 Tape stripping: Yes (20 strips in total; 4 pools of 5 strips each)
52 Method of Analysis: LC-MS/MS
53 GLP: In compliance
54 Study period: December 2012 - January 2013
55
56

1 **Methods**

2 Split-thickness human skin (12 samples from 4 individual donors) was mounted into static
3 diffusion cells containing receptor fluid (Phosphate buffered saline (PBS) supplemented with
4 bovine serum albumin (BSA); 5% w/v) in the receptor chamber. The skin surface
5 temperature was maintained at 32 ± 1 °C throughout the experiment. An electrical
6 resistance barrier integrity test was performed and any human skin sample exhibiting a
7 resistance < 4 k Ω was excluded from absorption measurements. No samples were rejected.
8 The sunscreen formulation was applied to the mounted human skin samples at an application
9 rate of approximately 2 mg/cm². This quantity, as low as technically applicable, can be
10 considered as a good representation of the use conditions.

11
12 The skin surface temperature was maintained at 32 ± 1 °C throughout the experiment.
13 Exposure was terminated at 24 hours post dose by washing the skin surface rinsed twice
14 with an aqueous solution of Sodium dodecyl sulfate (SDS, 2% w/v) and then twice with
15 water, to reflect in-use conditions. For each washing step, the skin wash was aspirated with
16 a pipette and the skin was dried with a tissue-paper swab. An additional tissue-paper swab
17 was used after the last water rinse. The soap and water were retained for analysis in a
18 single pooled sample (skin wash). The pipette tips and tissue-paper swabs were retained.
19 The cells were dismantled and the donor chamber retained for analysis (donor chamber
20 wash). The underside of the skin was dried with additional tissue swabs. The receptor
21 chambers were emptied, and bulk receptor fluid retained. The chambers were rinsed with
22 methanol (40 mL) and the wash retained (receptor wash). The skin was divided into
23 exposed skin and unexposed skin (i.e. the area of skin under the cell flange). The *stratum*
24 *corneum* was removed from the skin by tape stripping. Afterwards, the epidermis was
25 separated from the dermis by the heat separation technique. Exposed skin, unexposed skin,
26 skin washes, tissue swabs, pipette tips and tape strips were extracted in a suitable solvent
27 and all samples were analysed by LC-MS/MS. All cumulative receptor fluid values were
28 calculated from data which included values less than the lower limit of quantification (LLOQ,
29 1 ng/mL). Any receptor fluid value below the LLOQ was assigned the nominal value of the
30 LLOQ (1 ng/mL), representing the "worst-case" result for absorbed test item. Values below
31 the LLOQ were observed up to 2-4 hours post dose. The solubility of the test item in the
32 receptor fluid was not rate limiting for absorption.

33 **Results**

34 The distribution and the absorption of the test item are summarised in the following table 8.
35
36
37
38
39
40
41
42
43
44
45
46
47
48

Table 8.

Distribution/absorption of C-1701 B_C_3 (batch: C-1701/8) 24 hours after application in a typical sunscreen formulation (3% w/w^a) to split-thickness human skin		
Distribution	Fraction of applied dose mean \pm SD (n = 12) [%]	Amount mean \pm SD (n = 12) [μg/cm²]

Distribution/absorption of C-1701 B_C_3 (batch: C-1701/8) 24 hours after application in a typical sun care formulation (3% w/w^a) to split-thickness human skin		
Distribution	Fraction of applied dose mean ± SD (n = 12) [%]	Amount mean ± SD (n = 12) [µg/cm²]
Total dislodgeable dose	93.73 ± 5.48	61.79 ± 3.61
<i>Stratum corneum</i>	0.79 ± 0.46	0.52 ± 0.30
Epidermis (without <i>stratum corneum</i>)	0.57 ± 0.48	0.37 ± 0.32
Dermis	0.35 ± 0.41	0.23 ± 0.27
Total unabsorbed dose	94.71 ± 5.06	62.44 ± 3.34
Total absorbed dose	0.72 ± 0.63	0.48 ± 0.42
Dermal delivery	1.63 ± 1.02	1.08 ± 0.67
Mass balance	96.34 ± 4.57	63.51 ± 3.01

^a: nominal concentration; a test item concentration of 3.23% (w/w) was determined by LC-MS/MS

n: number of samples, SD: standard deviation

Total dislodgeable dose: donor chamber wash + skin wash + tissue swabs + pipette tips

Total unabsorbed dose: total dislodgeable dose + *stratum corneum* + unexposed skin

Total absorbed dose: cumulative receptor fluid + receptor rinse + receptor chamber wash

Dermal delivery: total absorbed dose + dermis + epidermis (without *stratum corneum*);

Mass balance: total unabsorbed dose + epidermis (without *stratum corneum*) + dermis + total absorbed dose

Conclusion

Under the conditions of this *in vitro* study, C-1701 B_C_3 in a representative sun care cosmetic formulation at the concentration of 3% (w/w) penetrated through split-thickness human skin to a low extent. At 24h post dose, the amount considered as absorbed was estimated to be at maximum 1.08 ± 0.67 µg/cm² corresponding to 1.63 ± 1.02% of the applied dose.

Ref.: Blackstock C. (2013), Charles River Laboratories study number 792670

SCCS comment

The dermal absorption study was performed adequately. The SCCS has therefore decided to use the mean +1SD (1.63%+1.02=2.65% or 1.08+0.67 µg/cm²=1.75 µg/cm²) for MoS calculations.

3.3.4.2 Other studies on toxicokinetics

3.3.5 Repeated dose toxicity

3.3.5.1 Repeated Dose (28 days) oral / dermal / inhalation toxicity

Repeated Dose (14 days) oral toxicity

Guideline: /

1	Species/strain:	Rat, Gr1:Wi (Han)
2	Group size:	5/sex/dose
5	Test substance:	C-1701 B_C_3
6	Batch:	1442/3+4
7	Purity:	98.8%; dose calculations were not corrected for purity
8	Vehicle:	Polyethylene glycol 300
10	Dose levels:	0, 100, 300 or 1000 mg/kg bw/day
11	Dose volume:	5 mL/kg bw
12	Route:	oral
13	Administration:	gavage
14	Duration:	14 days
15	GLP:	in compliance
18	Study period:	October 2011- May 2013 (in life phase ended August 2012)

19
20 Animals received test substance for 14 days. During the treatment period all animals were
21 assessed repeatedly for mortality and clinical signs of toxicity. Body weights and food
22 consumption were recorded at regular intervals. On the day of scheduled necropsy, urine
23 samples were collected after overnight fasting and blood samples were taken for the
24 assessment of haematology and clinical chemistry parameters. At necropsy, all animals
25 were examined macroscopically and selected organ weights were determined.
26 Organs/tissues of all high dose group and control group animals were processed and
27 examined microscopically for histopathological findings. The dose formulations used in this
28 study were analysed for test item concentration and homogeneity.

29
30 **Results**
31 Stability analyses demonstrated that the test item is stable in PEG 300 at room temperature
32 and protected from light for 24 hours and under refrigerated conditions (2-8 °C) and
33 protected from light for 10 days at concentrations bracketing those used in the present
34 study. All dose formulations used in this study were formulated appropriately and remained
35 within the concentration acceptance criterion (*i.e.*, difference between analytically
36 determined mean concentration and nominal concentration \leq 15%). Homogeneity testing
37 showed that the formulation technique used produced homogenous dose formulations.

38
39 No mortalities and no toxicologically relevant test item-related changes in haematology,
40 clinical chemistry and urinalysis parameters were observed. Except for the liver, no relevant
41 test item-related changes in organ weights were noted on the day of scheduled necropsy.
42 Macroscopical and histopathological examinations revealed no adverse test item-related
43 gross lesions or microscopic findings in both male and female rats. Treatment of male rats
44 with the test item resulted in clinical signs (discoloured fur, mild to moderate dehydration,
45 mild to moderate excess salivation, hunched posture, rales, decreased motor activity,
46 swelling in the axillary region and ptosis), reductions in body weight gain and food
47 consumption, and increased liver weights at the high-dose level of 1000 mg/kg bw/day.
48 Females at the same dose level showed clinical signs (discoloured fur, mild dehydration,
49 urine-stained abdominal fur and chromorrhinorrhea) and increased liver weights. Increased
50 liver weights were also seen in females treated at 300 mg/kg bw/day.

51
52 In the absence of concomitant macroscopical and histopathological findings, the increased
53 liver weights noted in both sexes at 1000 mg/kg bw/day and in females also at 300 mg/kg
54 bw/day were not considered adverse.

55
56 **Conclusion**
57 Under the conditions of this dose range-finding toxicity study, the NOAEL for C-1701 B_C_3
58 was established at 300 mg/kg bw/day for male and female rats. Dose levels of 100, 300
59 and 1000 mg/kg bw/day were selected for the subsequent 90-day repeated dose oral
60 toxicity study in rats.

61 Ref.: Carlson M.B. (2013), BASF project number 99C0284/11X221
62

SCCS comment

A dose level of 1000 mg/kg body weight/day in C-1701 produced general toxicity in the form of clinical signs (discoloured fur, dehydration, urine-stained abdominal fur and chromorhinorrhea, excess salivation, hunched posture, rales, decreased motor activity, swelling in the axillary region and ptosis), reductions in body weight gain and food consumption, and increased liver weights indicating that this dose is an appropriate maximum tolerated dose (MTD) for subsequent studies.

3.3.5.2 Sub-chronic (90 days) oral / dermal / inhalation toxicity

Oral

Guideline:	OECD 408; US EPA OPPTS 870.3100
Species/strain:	Wistar (CrI:WI(Han)) rats
Group size:	10 /sex/group
Test substance:	C-1701 B_C_3
Batch:	C-1701/8
Purity:	96.3% (¹ H-NMR)
Vehicle:	Polyethylene glycol 300
Dose levels:	0, 100, 300 or 1000 mg/kg bw/day
Dose volume:	5 mL/kg bw
Route:	oral
Administration:	gavage
Duration:	90 days
GLP:	in compliance
Study period:	May 2012- May 2013 (in life phase ended August 2012)

During the treatment period, animals were observed for mortality, general clinical observations, detailed observations, body weight and food consumption at defined intervals. Functional observation battery (FOB) and locomotor activity assessments were performed in week 12. Vaginal lavage samples were collected daily for the last 28 days of the treatment period and on the day of scheduled necropsy for estrous cycle evaluations. Ophthalmological examinations were conducted on all animals, once during the acclimatisation period and once prior to scheduled necropsy. Blood samples for clinical pathology examinations, haematology and clinical chemistry parameters were collected on the day of scheduled necropsy from all rats after an overnight fasting period. For the assessment of urinalysis parameters, only urine from female animals (obtained after overnight fasting on the day of necropsy) was taken. On the day of scheduled necropsy, all animals were examined macroscopically and the weights of selected organs were determined. Full histopathology was performed on the preserved organs/tissues of all premature decedents and of the animals of the control and high dose groups. Due to lesions observed in high-dose group animals, the liver was also examined microscopically in low- and mid-dose group animals. All gross lesions of all animals were examined. Male reproductive assessments were conducted including sperm motility, sperm concentration, sperm morphology and spermatid counts. The dose formulations used in this study were analysed for test-item concentration and homogeneity using a validated HPLC method. Stability analyses demonstrated that the test item is stable in PEG 300 at room temperature and protected from light for 24 hours and under refrigerated conditions (2-8 C) and protected from light for 10 days at concentrations bracketing those used in the present study.

Results

Analysis of the dose formulations used in this study revealed all actual concentrations were within the acceptance criteria of $\pm 15\%$ of the nominal concentrations. All dose formulation

1 samples met acceptance criteria for homogeneity (the relative standard deviation [RSD] of
2 concentrations was < 5% for each group).
3

4 Daily test item administration at 1000 mg/kg bw/day resulted in clinical signs, consisting of
5 urine-stained abdominal fur, increased incidence of dehydration and excess salivation. Body
6 weight gains were slightly lower in males as compared with concurrent controls. After the
7 start of the study, food consumption was slightly and transiently decreased in males and
8 females. The bilirubin test in urine was positive for the female rats. Haematological and
9 clinical chemistry examinations mainly revealed slight decreases in red blood cell
10 parameters (haemoglobin concentration and haematocrit in males, mean corpuscular
11 haemoglobin concentration (MCHC) in females, mean corpuscular haemoglobin (MCH) and
12 mean corpuscular volume (MCV) in both sexes) and increased reticulocyte counts and
13 bilirubin concentrations in both sexes. Leukocyte and lymphocyte counts were slightly
14 increased in the female rats. Liver weights were moderately increased in males and
15 females, with minimal centrilobular hepatocellular hypertrophy as histopathological correlate
16 noted in 5/10 males and 8/10 females. There were statistically significant changes in other
17 organ weights, but no patterns, trends, or associated microscopic findings to identify them
18 as being toxicologically relevant. Slightly lower testicular spermatid count and spermatid
19 density occurred in the male rats; however, these differences were not considered to be
20 adverse because there were no corresponding reductions in absolute testicular weights and
21 no microscopic correlations in testicular histology.
22

23 At 300 mg/kg bw/day, urine-stained abdominal fur and increased incidence of dehydration
24 were noted in males and females. Minor differences occurred in single haematology
25 parameters, but without consistency across genders. The bilirubin test in urine was positive
26 for the female rats. These findings were not considered adverse. Liver weights were slightly
27 increased in both sexes, but without any histopathological correlates or any evidence of an
28 impaired organ function by clinical chemistry parameters. Therefore, these liver weight
29 changes were not considered adverse, but to be a test item-related adaptive response.
30

31 Following test item administration at 100 mg/kg bw/day, dehydration was observed in
32 3/10 females and the bilirubin test in the urine was positive in female rats. In the absence
33 of any other effects, these differences from controls were not considered to be adverse. No
34 test item-related effects were observed in the male rats.
35
36

37 **Conclusion**

38 Under the conditions of this study, the No Observed Adverse Effect Level (NOAEL) for
39 C-1701 B_C_3 was established at 300 mg/kg bw/day for male and female rats.
40 C-1701 B_C_3 was found to be of low toxicity and no adverse effects on male/female
41 reproductive organs have been observed after repeated administration for 90 days *via*
42 gavage.

43 Ref.: Carlson M.B. (2013), BASF project number 50C0473/11X497
44

45 **SCCS comment**

46 Administration of C-1701 B_C_3 by oral gavage to rats once a day for 90 days at a dose of
47 1000 mg/kg/day resulted in no test article-related gross findings, although liver weight
48 changes with associated microscopic liver findings (centrilobular hypertrophy) and
49 modifications in haematological parameters were observed. There were statistically
50 significant changes in other organ weights, but there were no patterns, trends or associated
51 microscopic findings to identify them as being toxicologically relevant. Administration of C-
52 1701 B_C_3 by oral gavage to rats once a day for 90 days at a dose of 100 or 300
53 mg/kg/day resulted in no test article-related gross findings. Organ weight changes in liver
54 (increased) only in females at the 300 mg/kg/day dose level but there were no microscopic
55 findings in the liver. Therefore SCCS agrees with the NOAEL of 300 mg/kg/day.
56
57

3.3.5.3 Chronic (> 12 months) toxicity

/

3.3.6 Reproductive toxicity**3.3.6.1 Fertility and reproduction toxicity****Reproduction/developmental screening study in rats**

Guideline: OECD 421; US EPA OPPTS 870.3550
Species/strain: Rat/Crl:WI(Han)
Group size: 10/sex/dose (a total of 80 rats)
Test substance: C-1701 B_C_3 suspended in polyethylene glycol 300
Batch: C-1701/8
Purity: 96.3% (¹H-NMR); dose calculations were not corrected for purity
Dose levels: 0, 100, 250 or 700 mg/kg bw/day
Dose volume: 5 mL/kg bw
Route: Oral
Exposure period: 14 days prior to cohabitation, through cohabitation, and continuing through the day before necropsy for male rats or through day 4 of lactation (DL4) for females rats that delivered a litter.
GLP: in compliance
Study period: June 2012-May 2013 (in life phase ended August 2012)

This screening study was designed to provide initial information on possible effects on reproduction and/or development, either at an early stage of assessment of toxicological properties of a compound. This test was not designed to provide complete information on all aspects of reproduction and development.

The choice of tested doses was based on a range-finding maternal toxicity study (Carlson M.B. (2013), CRL study number 20027339) in pregnant Crl:WI(Han) female rats at dose levels of 0 (vehicle control), 100, 300 and 1000 mg/kg bw/day on gestation days 6-20. In this range-finding study, clinical signs such as urine-stained abdominal fur, slight to moderate excess salivation and ungroomed coat occurred in a generally dose-dependent manner in each of the dose groups. Additionally, dehydration, piloerection, discolored urine, soft or liquid feces, hunched posture, scant feces, decreased motor activity, and discolored fur occurred in the 300 and/or 1000 mg/kg bw/day whereas ptosis, thin body condition, and hyperpnoea occurred in a single rat at 1000 mg/kg bw/day. Maternal body weights/changes, food consumption, gravid uterine weights and terminal body weights were reduced and absolute and relative liver weights were increased in the 1000 mg/kg bw/day group. On the basis of the observed effects, the dose level of 700 mg/kg bw/day was expected to produce maternal toxicity.

Dose formulation and control substance, PEG 300, were administered for 14 days prior to cohabitation, throughout cohabitation and continuing through the day before necropsy for male rats or through day 4 of lactation (DL4) for female rats that delivered a litter. Female rats that did not deliver a litter were euthanised on an estimated day 25 of gestation (DG 25).

A complete necropsy was performed in the main study on all parental (P) generation rats, and selected tissues were weighed, retained and processed for histopathological examination. All surviving filial (F1) generation pups were euthanised on postnatal day 5 (PND 5), and examined for gross lesions. In this study, mortality (P and F1 generations),

1 clinical signs (P and F1 generations), body weights (P and F1 generations), feed
2 consumption, estrous cyclicity, mating and fertility parameters, natural delivery, litter
3 observations, macroscopic findings (P and F1 generations), selected organ weights and
4 microscopic findings (incl. sperm staging in males) were assessed.

5
6 Dose formulation samples were collected for concentration and homogeneity analysis by
7 means of a HPLC method. Stability analyses were performed and demonstrated that the test
8 item is stable in the vehicle at room temperature and protected from light for 24 hours and
9 under refrigerated conditions (2-8 C) and protected from light for 10 days at concentrations
10 bracketing those used in the present study.

11 **Results**

12
13 Analysis of dose formulation samples revealed accurate preparation. The test item was
14 homogeneously distributed in the vehicle.

15
16 Administration of the test item at dose levels of 100, 250 and 700 mg/kg bw once daily by
17 oral gavage resulted in urine-stained abdominal fur in male and female rats. Mean body
18 weight gains were slightly decreased (53% of the control group mean value) during the first
19 week of study (days 1-8) in parental (P)generation male rats at 700 mg/kg bw/day. In P
20 generation female rats at the same dose level, mean body weight gains were slightly
21 decreased (83% of the control group mean value) throughout the overall gestation period
22 (gestation days 0-20). Mean food consumption values were slightly decreased (90 to 88%
23 of the control group mean value) during the first week in P generation male and female rats
24 and the first week of pregnancy (gestation days 0-7; 93 to 92% of the control group mean
25 value) in female rats at 700 mg/kg bw/day.

26
27 There were no test item-related effects on estrous cycle, mating and fertility parameters,
28 gestation and lactation. Reproductive organ weights were not altered by the administration
29 of the test item.

30
31 Mean pup weights per litter on DLs 1 and 5 were slightly reduced (9 and 14% reduction,
32 relative to control group mean values, respectively) in the 700 mg/kg bw/day group
33 (reflecting decreased body weight change in P generation females during gestation (17%
34 reduction, relative to the control group mean value) and also the slightly higher mean litter
35 size (11.2 versus 10.4 in the control group)). It is known from literature (Fleeman *et al.*,
36 2005) that reductions in fetal body weights frequently occur concurrent with reduced
37 maternal food consumption and maternal body weights, as seen in the current study
38 results.

39
40 Histopathological examinations did not reveal any test item-related effects. There were no
41 adverse clinical signs or gross lesions in the F1 generation pups attributed to administration
42 of the test item to the P generation dams.

43 **Conclusion**

44
45 Under the conditions of this study, the NOAEL for parental toxicity of C-1701 B_C_3 was
46 considered to be 250 mg/kg bw/day given the signs observed at the highest tested dose
47 (urine-stained abdominal fur, mean body weight gains and slightly decreased mean food
48 consumption values).

49
50 The NOAEL for reproductive toxicity was considered to be 250 mg/kg bw/day, based on the
51 reductions in mean pup weights per litter at 700 mg/kg bw/day, which were probably
52 related to maternal toxicity, as the reductions in pup weights were concurrent with
53 decreased maternal body weights and a slightly higher litter size. Further, these reductions
54 in mean pup weights per litter were not observed in the lower dose groups, where evidence
55 of maternal toxicity was not apparent.

1 Based on the study results, C-1701 B_C_3 did not display adverse effects on reproduction
2 parameters.

3
4 Ref.:
5 Carlson M.B. (2013), CRL study number 20027339 (range-finding study)
6 Carlson M.B. (2013), Charles River Laboratories study number 20027631, BASF project
7 number 80R0473/11X498

8 **SCCS comment**

9 SCCS agrees with a NOAEL of 250 mg/kg bw/day for the parental toxicity as well as for the
10 reproductive toxicity.
11
12

13 **3.3.6.2 Developmental toxicity**

14
15
16 Guideline: OECD 414
17 Species/strain: Rat/ Crl:WI(Han)
18 Group size: 25 pregnant female rats/group (a total of 100 rats)
19 Test substance: C 1701 B_C_3
20 Batch: C-1701/8
21 Dose levels: 0, 100, 250 and 700 mg/kg bw/day on GDs 6-20
22 Dose volume: 5 mL/kg bw
23 Route: Oral gavage
24 Exposure period: from gestation day 6 to gestation day 20
25 Positive control:
26 GLP: In compliance
27 Study period: April 2012- May 2013 (in life phase ended August 2012)
28

29 **Methods**

30
31 The choice of tested doses was based on a range-finding maternal toxicity study (Carlson
32 M.B. (2013), CRL study number 20027339) in pregnant Crl:WI(Han) female rats at dose
33 levels of 0 (vehicle control), 100, 300 and 1000 mg/kg bw/day on DGs 6-20. In this range-
34 finding study clinical signs such as urine-stained abdominal fur, slight to moderate excess
35 salivation and ungroomed coat occurred in a generally dose-dependent manner in each of
36 the dose groups. Additionally, dehydration, piloerection, discolored urine, soft or liquid
37 feces, hunched posture, scant feces, decreased motor activity, and discolored fur occurred
38 in the 300 and/or 1000 mg/kg bw/day whereas ptosis, thin body condition, and hyperpnoea
39 occurred in a single rat at 1000 mg/kg bw/day. Maternal body weights/ changes, food
40 consumption, gravid uterine weights and terminal body weights were reduced and absolute
41 and relative liver weights were increased in the 1000 mg/kg bw/day group. On the basis of
42 the observed effects, the dose level of 700 mg/kg bw/day was expected to produce
43 maternal toxicity and the dose levels of 100, 250 and 700 mg/kg bw/day were selected for
44 the main prenatal developmental toxicity study.
45

46 All female rats were euthanised on DG 21 and examined for ovarian and uterine contents,
47 and a gross necropsy of the thoracic, abdominal, and pelvic viscera was performed blind to
48 dose group. The following parameters and end points were evaluated: viability, clinical
49 signs, body weights, body weight changes, food consumption, mating performance, gross
50 observations, ovarian and uterine contents, gravid uterine weights, and fetal sex, fetal body
51 weights, and fetal gross external, soft tissue, and skeletal alterations, as well as ossification
52 site averages. Dose formulation samples were collected for concentration and homogeneity
53 analysis by means of a HPLC method.

54 Stability analyses demonstrated that the test item is stable in the vehicle at room
55 temperature and protected from light for 24 hours and under refrigerated conditions (2-8

1 C) and protected from light for 10 days at concentrations bracketing those used in the
2 present study.
3

4 **Results**

5 Analysis of the dose formulation samples revealed all actual concentrations were within the
6 acceptance criteria of $\pm 15\%$ of the respective theoretical concentrations. All dose
7 formulation samples met acceptance criteria for homogeneity (the relative standard
8 deviation [RSD] of concentrations was $< 5\%$ for each group). Control substance samples
9 contained no detectable concentrations of the test substance.
10

11 Urine-stained abdominal fur, dehydration (based on skin turgor), and red perinasal
12 substance occurred in the 700 mg/kg bw/day group. These clinical signs were attributed to
13 administration of the test item. Additional clinical signs included but were not limited to
14 excess salivation, thin body condition, urine-stained perivaginal area, all of which occurred
15 in a single animal in the 700 mg/kg bw/day group; these clinical signs were also attributed
16 to test item administration. Urine-stained abdominal fur also occurred in an increased
17 number of animals at 250 mg/kg bw/day, and dehydration was noted in a single animal on
18 a single occasion. No test item-related clinical signs were observed at 100 mg/kg bw/day.
19

20 Mean maternal body weights and body weight changes (absolute and corrected for gravid
21 uterine weights) were reduced at 700 mg/kg bw/day, and mean absolute body weight gain
22 between DGs 6 and 21 was reduced by 24% when compared to the control group value.
23 Likewise, mean absolute and relative food consumption values in this dose group were
24 reduced by 14% and 12%, respectively when compared to the respective control group
25 values during this same interval. Mean body weight and body weight changes and food
26 consumption values were not affected by the administration of the test substance in the
27 other dose groups.
28

29 Slight reductions in fetal body weight averages (approximately 7%) were noted at 700
30 mg/kg bw/day. Fetal morphology examinations revealed reduced numbers of ossified caudal
31 vertebrae and hind limb tarsals, metatarsals, and phalanges at 700 mg/kg bw/day. No test
32 item-related effects were observed at 100 and 250 mg/kg bw/day.
33

34 Overall, daily test item administration at 700 mg/kg bw/day from DGs 6-20 caused
35 maternal toxicity, as evidenced by clinical signs, significantly reduced food consumption and
36 significantly reduced body weight and body weight changes. There were no compound-
37 related effects regarding pregnancy or Caesarean-sectioning examination parameters. Mean
38 fetal body weights were slightly reduced at 700 mg/kg bw/day. Fetal examinations revealed
39 reductions in the mean number of ossification sites in the caudal vertebrae and hind limbs,
40 but no test item-related effects regarding the incidence of malformations and other
41 variations. The reductions in the mean number of ossification sites at the caudal vertebrae
42 and hind limbs were morphological correlates of the reductions in fetal body weight
43 averages, which occurred at a maternally toxic dose level. It is known from the literature
44 (Fleeman *et al.*, 2005) that reductions in fetal body weights and delays in ossification
45 frequently occur concurrent with reduced maternal food consumption and maternal body
46 weights, as seen in the current study results.
47

48 At 250 mg/kg bw/day, a higher incidence of urine-stained abdominal fur was present in the
49 dams. Mild dehydration (based on skin turgor) occurred in a single rat on a single occasion.
50 In the absence of any other changes, these findings were not considered as adverse. No
51 embryo-fetal effects were observed.
52

53 Neither maternal nor embryo-fetal effects were observed at 100 mg/kg bw/day.
54

55 **Conclusion**

1 Under the conditions of this study with the C-1701 B_C_3, the No Observed Adverse Effect
2 Levels (NOAELs) for maternal and embryo-fetal toxicity were established at 250 mg/kg
3 bw/day.

4
5 Reductions in fetal body weight averages and reductions in the mean number of ossification
6 sites in the caudal vertebrae and hind limbs occurred at 700 mg/kg bw/day, and were
7 considered related to maternal toxicity, as these effects were concurrent with decreased
8 maternal food consumption and body weights. These reductions in fetal body weights and
9 ossification sites were not observed at lower dose levels, including 250 mg/kg bw/day,
10 where evidence of maternal toxicity was not apparent.

11
12 Considering that test item-related slight reduction in fetal body weight and retardation of
13 ossification were seen only in association with maternal toxicity, C-1701 B_C_3 was
14 considered to have no selective embryotoxicity or teratogenicity.

15
16 Ref.:

17 Carlson M.B. (2013), CRL study number 20027339 (range-finding study)
18 Carlson M.B. (2013), BASF project number 30R0473/11X499

21 **SCCS comment**

22 SCCS agrees with a NOAEL of 250 mg/kg bw/day for maternal toxicity as well as for the
23 embryo-fetal toxicity.

26 **3.3.7 Mutagenicity / Genotoxicity**

29 **3.3.7.1 Mutagenicity / genotoxicity *in vitro***

31 Bacterial Reverse Mutation Test (AMES)

32
33 Guideline: OECD 471; Commission Regulation (EC) No 440/2008, B.13/B.14; US
34 EPA OPPTS 870.5100
35 Test system: Salmonella typhimurium strains TA1535, TA100, TA1537, TA98 and E.
36 coli WP2 uvrA
37 Replicates: Triplicate plates
38 Test substance: C-1701 B_C_3
39 Batch: 1442/3+4
40 Purity: 98.8%
41 Solvent: DMSO
42 Concentrations: 0, 33, 100, 333, 1 000, 2 625 and 5 250 µg/plate.
43 Treatment: Exp. 1: Standard plate test (SPT) and Exp. 2: preincubation test (PIT),
44 both with and without a mammalian metabolic activation system,
45 incubation 48-72 h
46 Negative control: DMSO
47 Positive control: with S9-mix: 2 Aminoanthracene (2-AA), without S9-mix: N-methyl-N'-
48 nitro-N-nitrosoguanidine (MNNG), 4-Nitro-o-phenylenediamine (NOPD),
49 9 Aminoacridine (AAC), 4 Nitroquinoline-N-oxide (4 NQO)
50 GLP: in compliance
51 Study period: 10 April 2011 - 31 January 2012

52 The test substance C-1701 B_C_3 was tested for mutagenicity in the Salmonella
53 typhimurium / Escherichia coli reverse mutation assay both in the standard plate test (SPT)
54 and in the preincubation test (PIT) with and without metabolising system (S9 mix), obtained
55 from phenobarbital/β-naphthoflavone-induced rats using the Salmonella strains TA 1535, TA
56 100, TA 1537, TA 98 and Escherichia coli WP2 uvrA.

1 The stability of the test item at room temperature in the vehicle DMSO over a period of
2 4 hours was verified analytically.
3 Bacteriotoxicity was detected by a decrease in the number of revertants, clearing or
4 diminution of the background lawn and/or reduction in the titer. Precipitation of the test
5 item was recorded. Individual plate counts and the mean number of revertant colonies per
6 plate were determined for mutagenicity assessment.
7 The test item was considered positive in this assay if a dose-related and reproducible
8 increase in the number of revertant colonies, *i.e.* nearly doubling of the spontaneous
9 mutation rate in at least one tester strain either without S9-mix or with S9-mix, was noted.
10 A test substance was considered non-mutagenic if the number of revertant colonies for all
11 tester strains was within the historical NC range under all experimental conditions in two
12 independent experiments. Negative and positive controls were in accordance with the OECD
13 guideline.
14
15

16 Results

17 Bacteriotoxicity (decrease in the number of his⁺ revertants, slight reduction in the titer) was
18 observed in the SPT and PIT depending on the strain and test conditions at or from about
19 2625 µg/plate onward. No test item precipitation was found with and without S9-mix.
20 C-1701 B_C_3 did not induce a biologically relevant increase in the number of revertant
21 colonies over background, either with S9-mix or without S9-mix in two independent
22 experiments (SPT and PIT).
23 The results of the NC and PC items performed in parallel corroborated the validity of this
24 study, since the values fulfilled the acceptance criteria. The number of revertant colonies in
25 the NC plates was within the range of the historical NC data for each tester strain, with and
26 without S9-mix. In addition, the PC items both with and without S9-mix induced a
27 significant increase in the number of revertant colonies within the range of the historical PC
28 data or above.
29
30

31 Conclusion

32 C-1701 B_C_3 up to 5250 µg/plate was not mutagenic in the bacterial reverse mutation test
33 (Ames test) neither in the absence nor in the presence of a mammalian metabolic activation
34 system S9-mix under the experimental conditions of the study.
35

36 Ref.: Woltkowiak C. (2012)

40 *In vitro* Micronucleus Test in human lymphocytes

41
42 Guideline: OECD 487 (2010)
43 Species/strain: Cultured human peripheral blood lymphocytes from two female
44 volunteers (pooled blood)
45 Replicates: Duplicate cultures, two independent experiments
46 Test substance: C-1701 B_C_3
47 Batch: 1442/3+4
48 Purity: 98.8%
49 Concentrations: Exp1: -S9 mix: 750, 900, 1050 µg/mL (3 h), +S9 mix: 750, 900, 1000
50 µg/mL (3 h), -S9 mix: 80, 110, 155 µg/mL (24 h) Exp1: -S9 mix: 400,
51 800, 1000 µg/mL (3 h), +S9 mix: 800, 950, 1000 µg/mL (3 h)
52 Solvent/negative
53 Control (NC): 0.85% saline
54 Positive Controls (PC): -S9 mix: Mitomycin C (MMC), Vinblastine (VIN)
55 +S9 mix: Cyclophosphamide (CPA)
56 Vehicle: DMSO
57 GLP: In compliance

1 Study period: 27 October 2011 - September 12, 2012

2
3 In an *in vitro* micronucleus assay, C-1701 B_C_3 (purity/content: 98.8%; batch:
4 1442/3+4) was tested using duplicate human lymphocyte cultures prepared from the
5 pooled blood of two female donors in two independent experiments for clastogenicity and
6 aneugenicity assessment. The maximum concentrations analysed were determined following
7 a preliminary cytotoxicity experiment. Cytotoxicity was assessed as reduction in the
8 replication index (RI). Suitable maximum concentrations for analysis were selected with
9 special regard to the steep concentration-related toxicity observed.

10 Treatments were conducted 48 hours following mitogen stimulation with
11 Phytohaemagglutinin (PHA). Cells were exposed to the test item in the vehicle DMSO for 3
12 hours (followed by 21 hours recovery) in the absence and the presence of a mammalian
13 metabolic activation system (S9-mix from the liver of Aroclor 1254 induced male Sprague
14 Dawley rats). In addition, cells were exposed for 24 hours (equivalent to approximately 1.5
15 to 2 times the average generation time of cultured lymphocytes from the panel of donors
16 used in this laboratory; no recovery) in the absence of S9-mix.

17 Negative and positive controls were in accordance with the OECD guideline.

18 All cultures were sampled 24 hours after the beginning of treatment (*i.e.* 72 hours after
19 culture initiation). A total of 1000 binucleate cells from each culture (2000
20 cells/concentration) was analysed for micronuclei. The test item was considered to induce
21 clastogenic and/or aneugenic events if a statistically significant increase in the frequency of
22 binucleate cells with micronuclei (MNBN) at one or more concentrations was observed, an
23 incidence of MNBN cells at such a concentration that exceeded the normal range in both
24 replicates was seen and a concentration-related increase in the proportion of MNBN cells
25 was noted.

26
27

28 **Results**

29 Experiment 1

30 Treatment of cells with C-1701 B_C_3 for 3 hours in the absence of S9-mix in Experiment 1
31 resulted in mean frequencies of MNBN cells that were significantly higher than those
32 observed in concurrent NCs at the highest two concentrations analysed (900 and
33 1050 µg/mL, giving 23% and 69% reductions in RI, respectively). The MNBN cell
34 frequencies exceeded the 95th percentile of the observed historical NC range (0.1-1.0%) in
35 one culture at 900 µg/mL and both cultures at 1050 µg/mL and there was evidence of a
36 concentration-related response. However, the MNBN frequencies in both cultures at 900
37 µg/mL were below the upper limit of the historical NC range (2.40%) and the only
38 concentration at which the MNBN frequencies exceeded this range (1050 µg/mL) gave 69%
39 reduction in RI (greater than the target RI range of 50-60%). The data therefore showed
40 evidence of micronucleus induction under this treatment condition, but primarily at a
41 cytotoxic concentration at which increased MNBN frequency might be a secondary effect of
42 cytotoxicity.

43

44 Treatment of cells for 3 hours in the presence of S9-mix resulted in frequencies of MNBN
45 cells that were significantly higher than those observed in concurrent NCs at the highest
46 concentration analysed (1000 µg/mL, giving 39% reduction in RI). The MNBN cell
47 frequencies exceeded the 95th percentile of the historical NC range (0.1-1.1%) in both
48 cultures at 1000 µg/mL.

49 Treatment of cells for 24 hours in the absence of S9-mix resulted in frequencies of MNBN
50 cells that were similar to (and not significantly different from) those observed in concurrent
51 NCs at all concentrations analysed. The MNBN cell frequencies in all treated cultures fell
52 within the 95% percentile of the historical NC range (0.1-1.4%).

53

54 Experiment 2

55 Treatment of cells for 3 hours in the absence of S9-mix resulted in frequencies of MNBN
56 cells that were significantly higher than those observed in concurrent NCs at the highest two

1 concentrations analysed (800 and 1000 µg/mL, giving 31% and 39% reductions in RI,
2 respectively). The MNBN cell frequencies exceeded the 95% percentile of the historical NC
3 range (0.1-1.0%) in both cultures at 800 and 1000 µg/mL and exceeded the upper limit of
4 the historical NC range at 1000 µg/mL with evidence of a concentration-related increase in
5 MNBN cell frequency, thus fulfilling the criteria for a positive response. The data from
6 Experiment 2 in the absence of S9-mix therefore confirmed the evidence of micronucleus
7 induction seen in Experiment 1 at concentrations giving moderate levels of cytotoxicity.
8 Treatment of cells for 3 hours in the presence of S9-mix resulted in frequencies of MNBN
9 cells that were significantly higher than those observed in concurrent NCs at all three
10 concentrations analysed (800, 950 and 1000 µg/mL, giving 14%, 30% and 46% reductions
11 in RI, respectively). The MNBN cell frequencies exceeded the 95% percentile of the
12 historical NC range (0.1-1.1%) in one culture at 800 µg/mL and in both cultures at 950 and
13 1000 µg/mL, with evidence of a concentration-related increase in MNBN cell frequency.

14
15 Because of the positivity observed after a 3-hour treatment, treatment of cells for 24 hours
16 in the absence of S9-mix was not considered necessary in Experiment 2.
17 The data therefore showed evidence of micronucleus induction in the presence of S9-mix in
18 Experiments 1 and 2.

19 20 **Conclusion**

21 C-1701 B_C_3 induced micronuclei in cultured human peripheral blood lymphocytes when
22 tested for 3 hours in the absence and presence of a mammalian metabolic activation
23 system. In the same test system, the test item did not induce micronuclei when tested up
24 to cytotoxic concentrations for 24 hours in the absence of metabolic activation.

25
26 Ref: Lloyd M. (2012)

27 28 **SCCS comment**

29 C-1701 B_C_3 was positive in an *in vitro* micronucleus assay. After 3h treatment both with
30 and without S9-mix, a statistically significant and concentration-dependent increase in the
31 number of cells with micronuclei was observed in both experiments.
32 The SCCS notes a discrepancy in the highest concentrations used in the MN tests (>750
33 µg/mL) and in the solubility of the test substance in water (450 µg/mL) as reported in the
34 paragraph 3.1.6 Solubility.

35 36 37 38 **In Vitro Micronucleus Test using Reconstructed skin Micronucleus (RSMN) assay in 39 EpiDerm™**

40
41 Guideline: OECD Guideline not available
42 Species/strain: EpiDerm™ tissues come from MatTek Corporation (Ashland, MA, USA)
43 Replicates: Two independent experiments, triplicate tissue
44 Test substance: C-1701 B_C_3
45 Batch: 0009511412
46 Purity: 98.8%
47 Concentrations: 10, 20, 25, 30, 35, 40, 45, 50, and 60 mg/mL
48 Treatment: First experiment 2-day regime (2x24 h), 2. Experiment 3-day regime
49 (3x 24h)
50 Solvent/negative
51 control: acetone
52 Positive Controls: Mitomycin C (MMC),
53 Vehicle: acetone
54 GLP: In compliance
55 Study period: November 2, 2015 – March 16, 2016
56

57 The genotoxic potential of C-1701 B_C_3 (purity/content: 98/73% by HPLC, batch

1 0009511412) was assessed for induction of micronuclei in the reconstructed skin
2 micronucleus assay (RSMN) in EpiDerm™ on the basis on an expert recommended protocol
3 (Dahl et al, 2011) derived from the general *in vitro* micronucleus OECD Guideline 487.

4 Tissues were treated by application of 10 µL of the test article/vehicle mixture at the
5 appropriate concentration on the top surface of the tissue. EpiDerm™ tissues come from
6 MatTek Corporation (Ashland, MA, USA) and are multi-layered, differentiated tissues
7 consisting of basal, spinous, granular and cornified layers resembling the normal human
8 epidermis (MatTek Corporation, 2010).

9 Cytotoxicity was assessed by calculating the cytokinesis-block proliferation index (CBPI) and
10 determining the relative viable cell count (RVCC), whichever parameter came first.

11 In the preliminary cytotoxicity and the 1st definitive micronucleus assay, EpiDerm™ tissues
12 were treated twice, 24 hours apart, and tissues were processed at 48 hours (2-day dosing
13 regimen). In the confirmatory micronucleus assay, the tissues were treated three times, 24
14 hours apart, and tissues were processed at 72 hours (3-day dosing regimen).

15 The preliminary cytotoxicity test was conducted by exposing a single tissue per
16 concentration to vehicle alone and 15 concentrations of the test article ranging from 0.006
17 to 100 mg/mL (corresponding to the maximum recommended concentration). Both
18 Micronucleus assays were conducted with 9 concentrations using triplicate tissues.

19 The highest dose level evaluated for micronuclei was selected to give 50 to 60% cytotoxicity
20 (CBPI relative to the vehicle control or reduction in RVCC, whichever comes first) and at
21 least two additional dose levels, demonstrating moderate to minimal toxicity, were also
22 evaluated.

23 **Results**

24 In the preliminary assay, ≥ 50% cytotoxicity by calculating CBPI relative to vehicle control
25 was observed at concentrations ≥ 50 mg/mL, while cytotoxicity RVCC determination was
26 not observed at any concentrations. Precipitate was observed on the tissue at
27 concentrations ≥ 50 mg/mL at the end of treatment.

28 Based on these results, the definitive micronucleus assay was conducted at concentrations
29 ranging from 10 to 60 mg/mL. A 50 to 60% cytotoxicity by calculating CBPI relative to
30 vehicle control was observed in the 3 replicates at the concentrations of 25 and 30 mg/mL,
31 while cytotoxicity by RVCC determination was not observed at any concentration. The
32 concentrations selected for scoring micronuclei were 10, 20, 25, and 30 mg/mL. One thousand
33 binucleated cells per tissue were scored for the presence of micronuclei. The percentage of
34 micronucleated binucleated cells in the test article-treated tissues was not significantly
35 increased relative to the vehicle control at any concentration tested.

36 Since the result of the micronucleus assay using a 2-day dosing regimen was negative, a
37 confirmatory assay was conducted with a 3-day dosing regimen at concentrations ranging
38 from 8 to 35 mg/mL.

39 In the confirmatory micronucleus assay, cytotoxicity of 50 to 60% (determined by
40 calculating CBPI relative to vehicle control) was observed at the concentrations of 24 and 26
41 mg/mL, while cytotoxicity (RVCC) was not observed at any other concentrations. The
42 concentrations selected for scoring micronuclei were 8, 20, and 26 mg/mL. The percentage of
43 micronucleated binucleated cells in the test article-treated tissues was not significantly
44 increased relative to the vehicle control at any concentration tested.

45 In addition, in the definitive and confirmatory micronucleus assays, the percentage of
46 micronucleated binucleated cells in the vehicle control was within the acceptable historical
47 control range and the percentage of micronucleated binucleated cells in the positive control
48 was statistically increased and also within the historical positive range.

49 **Conclusion**

50 Based on the findings of this study, it was concluded that C-1701 B_C_3 was negative for
51 the induction of micronuclei in the reconstructed skin micronucleus assay (RSMN) in
52 EpiDerm™.

53 Ref.: Shambhu R. (2016)

1 **Conclusion on genotoxicity**

2 The genotoxic potential of C 1701 B_C_3 was evaluated in an extensive battery of *in vitro*
3 studies including the bacterial reverse mutation test, a micronucleus test in cultured human
4 lymphocytes and also in a reconstructed skin micronucleus assay (RSMN assay) in
5 EpiDerm™ model.

6 When tested for gene mutation in a bacterial system (in independent experiments) with and
7 without addition of a mammalian metabolic activation system, the UV filter C 1701 B_C_3
8 was shown to be non-mutagenic *in vitro*.

9 The potential of C-1701 B_C_3 to induce clastogenicity and/or aneugenicity was assessed in
10 two separate *in vitro* micronucleus tests.

11 In the *in vitro* micronucleus test in cultured human peripheral blood lymphocytes, C-1701
12 B_C_3 induced micronuclei when tested for 3 hours in the absence and presence of a
13 mammalian metabolic activation system. A reconstructed skin micronucleus assay (RSMN
14 assay) in EpiDerm™ model was done as an alternative to an *in vivo* test. In this test, C-
15 1701 B_C_3 did not induce any increase in the frequency of micronuclei at any tested
16 concentrations showing a sufficient cytotoxicity (50-60% of cytotoxicity). This model
17 currently under validation has already been demonstrated to be sensitive to the clastogenic
18 and aneugenic activity of variety of chemicals and is considered as especially relevant for
19 chemicals for which human exposure is expected to be dermal. In addition, the EpiDerm™
20 model has been shown to be more permeable than human skin and the applied dose is
21 higher in this test than expected in human. Thus, the exposure conditions in this model are
22 assumed to be maximal.

23
24 Taken together, the results obtained in the available *in vitro* test battery, addressing all
25 relevant endpoints of genotoxicity, indicate that the UV filter C-1701 B_C_3 is non-
26 mutagenic and non-genotoxic.

27
28

29 **SCCS comment**

30 The SCCS considers RSMN assay a promising new *in vitro* approach designed to assess
31 genotoxicity of dermally-applied compounds. However, in the RSMN assay on S87 (GLP
32 study) submitted by the applicant, only Mitomycin C was used (a direct-acting clastogen) as
33 a positive control substance. Additional genotoxins with a different mode of action should be
34 applied as a positive control (e.g. cyclophosphamide, indirectly acting clastogen and
35 vinblastine, direct aneugen).

36
37 SCCS is of the opinion that the reconstructed skin micronucleus EpiDerm assay alone cannot
38 be used to overrule the positive result in the *in vitro* micronucleus test.

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42 3.3.7.2 Mutagenicity / genotoxicity *in vivo*

43

44 ***In vivo* Mammalian Erythrocytes Micronucleus Test**

45

46	Guideline:	OECD 474 (1997)
47	Species/strain:	Sprague-Dawley (Hsd:SD) male and female Sprague-Dawley rats
48	Group size:	5 rats/sex/group
49	Test substance:	C-1701 B_C_3, the code number AD48SR
50	Batch:	C-1701/8
51	Purity:	98.4 – 98.7 99.2%
52	Vehicle:	Polyethylene glycol PEG 300 (CAS no 25322-68-3; lot no S5473984)
53	Positive control:	Cyclophosphamide monohydrate (CP; CAS no 6055-19-2; lot no 54 120M1253V;
55	Dose level:	500, 1000 and 2000 mg/kg bw
56	Route:	oral
57	Treatment:	twice in 24h interval

1 Sacrifice times: 24 h after the last administration
2 GLP: in compliance
3 Study period: March 13 - December 11, 2012
4
5

6 C-1701 B has been investigated for the induction of micronucleated polychromatic
7 erythrocytes (mnPCEs) in the bone marrow of male and female Sprague-Dawley rats after
8 repeated administration. Groups of 5 Sprague-Dawley rats/sex/dose level received a first
9 (first dose given on day 0) and, 24 hours later, a second oral gavage treatment with the
10 test item suspended in Polyethylene glycol 300 (PEG 300) at dose levels of 500, 1000 or
11 2000 mg/kg/d (dose volume: 10 mL/kg). A concurrent control group of 5 rats/sex was
12 dosed similarly with the vehicle only. A positive control group of 5 rats/sex received a single
13 oral gavage administration of Cyclophosphamide (CPA) in water at 40 mg/kg. During the in-
14 life period mortality, clinical signs and body weights were repeatedly assessed. Following
15 necropsy (conducted 24 hours after last administration) and preparation of bone marrow
16 smears, the number of mnPCE was counted in 2000 PCEs for each animal using a
17 fluorescent microscope. The number of normo-chromatic erythrocytes (NCEs) and micro-
18 nucleated NCEs (mnNCEs) in the field of 1000 total erythrocytes (PCEs + NCEs) was
19 determined for each animal. The proportion of PCEs to total erythrocytes was determined
20 per total of 1000 erythrocytes (PCEs + NCEs) for each animal as an indication of bone
21 marrow cytotoxicity. A test substance is considered positive in this assay if it induces a
22 significant increase in mnPCE frequency at any dose level or sampling time ($p \leq 0.05$, one-
23 way ANOVA or T-test or Kastenbaum and Bowman table). During the study, dose
24 formulation samples were collected for homogeneity and concentration control analysis.
25

26 Results

27 No mortality was observed in any of the treatment groups. Diarrhea was noted in two male
28 rats in the vehicle control group on day 2 (first dose given on day 0). In all high dose group
29 animals piloerection was noted after the second administration of 2000 mg/kg (day 1)
30 which persisted in the males until euthanasia (day 2). All other animals appeared normal
31 during the study period. No appreciable changes in group mean body weights were
32 observed in most groups, although a slight body weight loss was observed in high dose
33 males between days 1 and 2. These adverse effects were considered to represent evidence
34 of systemic exposure of treated animals to the test substance.

35 Based on the analytical results, all test item formulations used in this study were within the
36 adequate range and the test item was homogeneously distributed in the vehicle.

37 There were no statistically significant decreases in the proportion of PCEs to total
38 erythrocytes at any dose level ($p > 0.05$), indicating that the test item did not inhibit
39 erythropoiesis. However, individual high dose males (2000 mg/kg/d) exhibited decreased
40 PCE proportions as compared to the concurrent control males. Collectively, the clinical
41 observations, the loss in body weight between days 1 and 2 in males, and individually low
42 PCE proportions in males were considered to be indicative of systemic exposure to the test
43 substance in animals given the highest dose level.
44

45 No statistically significant increases in mnPCE frequencies were observed at any dose level
46 of the test item as compared to the concurrent vehicle control ($p > 0.05$). In contrast, the
47 positive control item induced a statistically significant increase in mnPCE frequencies
48 ($p \leq 0.05$). All positive and vehicle control values were within acceptable ranges, and all
49 criteria for a valid assay were met.
50

51 Conclusion

52 Under the conditions of this *in vivo* study, C-1701 B_C_3 was negative in the bone marrow
53 micronucleus test in male and female rats after repeated administration. The adverse
54 effects seen at the high dose were considered to be indicative of systemic exposure to the
55 test substance.
56

Stankowski LF (2012)

SCCS comment

The SCCS agrees that, from the clinical symptoms observed (also considering other toxicity studies) there is a sufficient proof of systemic exposure. Analysis of plasma to measure whether S87 reached systemic circulation could provide further evidence of bone marrow exposure. It is not clear how many experiments represent historical controls, i.e. how many studies were conducted between 2009-2011.

3.3.8 Carcinogenicity

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3.3.9 Photo-induced toxicity**3.3.9.1 Phototoxicity / photo-irritation and photosensitisation*****In vitro* 3T3 NRU phototoxicity test**

Guideline: OECD 432; Commission Regulation (EC) No 440/2008, B.41
Species: Balb/c 3T3 cells
Test substance: C-1701 B_C_3
Batch: C-1701/8
Purity: 96.3%
Vehicle: aqueous Dimethyl sulfoxide (DMSO, 1.0% v/v)
Exposure duration: 24 h
Concentrations: UVA: 0, 4.6, 10.0, 21.5, 46.4, 100.0, 215.4, 464.2, 1000.0 µg/mL; +UVA:
0, 4.6, 10.0, 21.5, 46.4, 100.0, 215.4, 464.2, 1000.0 µg/mL
GLP: In compliance
Study period: July – September 2012

Photo-cytotoxicity was estimated using the neutral red uptake (NRU) method. Two independent experiments (Experiment 1 and 2) were carried out, both with and without irradiation by means of an ultraviolet A (UVA) source. According to an initial range-finding phototoxicity test conducted for the determination of experimental concentrations, the following concentrations were tested in aqueous Dimethyl sulfoxide (DMSO, 1.0% v/v) in both main experiments:

- without UVA irradiation (-UVA)
0, 4.6, 10.0, 21.5, 46.4, 100.0, 215.4, 464.2, 1000.0 µg/mL
- with UVA irradiation (+UVA)
0, 4.6, 10.0, 21.5, 46.4, 100.0, 215.4, 464.2, 1000.0 µg/mL

After an attachment period of about 24 hours, the cells were pre-incubated with the test item or the positive control (PC) item Chlorpromazine (CPZ) for 1 hour in the dark. Then one microtiter plate per substance (test item or PC item) was irradiated for 50 minutes with the UVA irradiation source (approximately 5 J/cm²). The respective reference plates treated in parallel were kept in the dark for the same period. About 24 hours after end of treatment, the cytotoxicity was determined by measuring the NRU using a microplate reader. In

1 addition, the pH value, osmolarity, test item solubility (precipitation) and cell morphology in
2 the cultures were assessed.

3
4 The prediction model is based on the comparison of two equi-effective cytotoxic
5 concentrations (EC50 values) obtained in concurrently performed experiments in the
6 absence (-UVA) and presence (+UVA) of UVA irradiation, which are used to calculate a
7 photo-irritancy factor (PIF): $PIF = EC50(-UVA) / EC50(+UVA)$

8 If a test substance is only cytotoxic after irradiation (+UVA), a C PIF has to be calculated
9 using the highest test concentration (Cmax) applied in the experimental part in the absence
10 of UV light (-UVA): $C\ PIF = C_{max}(-UVA) / EC50(+UVA)$

11 **Results**

12 After treatment with the test item, cytotoxic effects indicated by neutral red absorbance
13 values of below 50% of control were observed in Experiments 1 and 2 in the presence of
14 UVA irradiation and only in Experiment 2 in the absence of UVA irradiation at the highest
15 concentration. Without UVA irradiation, in Experiment 2 there was a decrease in the cell
16 number at 1000 µg/mL (EC50: 958.1 µg/mL). The cell densities were not distinctly reduced.
17 In addition, with UVA irradiation, there was a decrease in the cell number at 1000 µg/mL
18 (Experiment 1: EC50 of 998.7 µg/mL; Experiment 2: EC50 of 758.4 µg/mL). The cell
19 densities were not distinctly reduced. Based on the EC50 values a C PIF of 1.0 (no
20 phototoxic potential) was obtained in Experiment 1 and a PIF of 1.3 (no phototoxic
21 potential) was obtained in Experiment 2.

22 The vehicle controls (DMSO) clearly fulfilled the acceptance criteria. The PC item led to the
23 expected cytotoxicity both with and without UVA irradiation (PIF: 28.7 and 44.2 in each
24 experiment, respectively). Osmolarity and pH values were not influenced by the test item
25 treatment. No precipitation was noted in Experiments 1 and 2 at the end of the exposure
26 period.

27 **Conclusion**

28 Under the experimental conditions of this study, C-1701 B_C_3 was not a phototoxic
29 substance in the *in vitro* 3T3 NRU phototoxicity test using Balb/c 3T3 cells.

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33 Ref.: Cetto V. (2012)

34 **Skin photosensitisation study in guinea pigs**

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37 Guideline/method: No guideline available
38 Species/strain: Guinea pig / Hartley CrI:HA
39 Group size: Main study: 10 animals/group (with UV irradiation) and 5
40 animals/group (without UV irradiation), Positive control: 2 groups
41 with 5 animals/group
42 Test substances: C-1701 B_C_3 (solution 50% (w/v) in N,N-Dimethylformamide)
43 Batch: C-1701/8
44 Purity: 96.3% (¹H-NMR)
45 Concentration: 10, 25 or 50 w/v% (preliminary study) and 50% (w/v) (main study)
46 Volume: Duplicate 0.5 mL samples
47 Route:
48 Negative control:
49 Positive control: 3,3',4',5 Tetrachlorosalicylanilide (TCSA) in acetone.
50 Source of light: Dermaray®-200 type UV irradiator
51 Irradiation: In the main study the actual values of irradiance, intensity and
52 duration of irradiation were 8.10-8.85 J/cm², 5.4-5.9 mW/cm² and
53 1500 s for UVA light and 0.093-0.098 J/cm², 0.93-0.98 mW/cm² and
54 100 s for UVB light, respectively
55 Observations: 1, 4, 24 and 48 hrs after application
56 GLP: In compliance
57 Study period: 28 August 2012- 9 January 2013

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3 The concentrations of dose formulations used in this study were verified by means of a
4 HPLC method. The stability of another batch of C-1701 B_C_3 (batch: 1442/3+4) at 1 and
5 50% (w/v) was confirmed for a storage duration of 4 hours in tight containers at room
6 temperature. Dose formulations in this study were used within 2 hours after preparation.
7 In the main assay, a test item concentration of 50% (w/v) was used for induction and
8 challenge.
9

10 **Results**

11 No clinical signs were observed in any animal in the test item or vehicle control group. The
12 animals gained weight in a normal range during the course of the study.

13 During the induction period, slight erythema (score = 0.5) was observed in 2 animals each
14 at the induction sites with DMF in the vehicle control and UV irradiated test item groups
15 starting prior to the fourth induction until prior to the last (sixth) induction. No erythema
16 was observed at any induction site with the test item in the UV-irradiated or UV non-
17 irradiated test item group.

18 No erythema was observed at any challenge site with the test item in the vehicle control
19 group, the test item groups (with or without UV irradiation) or at any challenge site with
20 DMF in the UV-irradiated test item group.

21 In the PC groups, slight erythema (score = 0.5) was observed prior to the fourth induction
22 at the induction sites with TCSA in the UV-irradiated and UV non-irradiated groups. The
23 degree of erythema increased thereafter and erythema was still observed at 24 hours after
24 the last induction. At the challenge sites with TCSA, slight erythema was observed in the UV
25 non-irradiated group and mild or marked erythema was observed in the UV irradiated
26 group. Therefore, the skin photosensitising potential of TCSA was confirmed and it was
27 demonstrated that this study was conducted under the appropriate conditions.

28 Analysis of dose formulations revealed appropriate dosing with the test item. The mean
29 measured concentrations at the first and second preparations were 113.5% and 98.9% of
30 the nominal concentration, respectively and were considered acceptable.
31

32 **Conclusion**

33 Based on the results obtained, under the conditions of this study, C-1701 B_C_3 displayed
34 no skin photoirritating or photosensitising potential when tested up to 50% (w/v) in DMF.
35

36 Ref: Matsuda A. (2013) Ina Research Inc. study number ZB12180
37 BASF project number 47H0473/11X539
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43 3.3.9.2 Phototoxicity / photomutagenicity / photoclastogenicity

45 **Photomutagenicity in a Salmonella typhimurium and Escherichia coli reverse 46 mutation assay**

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48 Guideline: Based on OECD 471; EC 440/2008, B.13/14, SCCNFP/0690/03
49 Species/strain: Salmonella typhimurium strains TA1537, TA98, TA100, TA102 and
50 Escherichia coli strain WP2
51 Replicates: Triplicates in 3 individual experiments
52 Test substance: C-1701 B_C_3
53 Solvent: DMSO
54 Batch: C-1701/8
55 Purity: 96.8%
56 UV source: Dr. Hönle Sol 500 solar simulator

1 UVA doses: TA 1537, TA 98, T100 and WP2: 486 mJ/cm², TA102 324 mJ/cm²
 2 UVB doses: The filter H1 was used to keep the UVB irradiation as low as possible.
 3 Concentrations: Pre- Experiment: 3, 10, 33, 100, 333, 1000, 2500, 5000 µg/plate
 4 Experiment I and II: 33, 100, 333, 1000, 2500, 5000 µg/plate
 5 Positive controls: -UVA: Sodium azide (TA100, 10 µg/plate), 4-Nitro-o-phenylene-diamine
 6 (TA1537, 50 µg/plate; TA98, 10 µg/plate), methyl methane sulfonate (WP2
 7 and TA102, 3.0 µL/plate). +UVA: 8-methoxypsoralen (WP2, TA102; 125
 8 µg/plate).
 9 Treatment:
 10 GLP: In compliance
 11 Date: 24 October 2012 – 13 May 2013
 12

13 **Methods**

14 This study was performed to investigate the potential of C-1701 B_C_3 to induce gene
 15 mutations under irradiation with artificial sunlight according to the plate-incorporation test
 16 (Experiment I) and the pre-incubation test (Experiment II) using the Salmonella
 17 typhimurium strains TA1537, TA98, TA100, TA102 and Escherichia coli strain WP2. These
 18 strains were chosen since they tolerate relatively high doses of ultraviolet (UV) irradiation
 19 used to assess the possible photomutagenic potential of sunblockers.
 20 The assay was performed in three independent experiments including a pre-experiment for
 21 dose selection for the main experiments. Each concentration, including the controls, was
 22 tested in triplicate.
 23

24 **Results**

25 Precipitation of the test item was observed in the overlay agar in the test tubes at 5000
 26 µg/plate in all experiments. No precipitation of the test item was observed on the incubated
 27 agar plates.
 28 The plates incubated with the test item showed normal background growth up to 5000
 29 µg/plate without metabolic activation with irradiation in both independent experiments. No
 30 toxic effects, evident as a reduction in the number of revertants, occurred in the test groups
 31 with irradiation and without metabolic activation. No substantial increase in revertant colony
 32 numbers of any of the 5 tester strains was observed following test item treatment under
 33 irradiation with artificial sunlight at any concentration tested.
 34 The appropriate reference mutagens used as PCs showed a distinct increase of induced
 35 revertant colonies over background, thus confirming sensitivity of the test system.
 36

37 **Conclusion**

38 Under the experimental conditions reported, C-1701 B_C_3 did not induce gene mutations
 39 by base pair changes or frameshifts in the genome of the bacterial strains used. Therefore,
 40 C 1701 B_C_3 was non-mutagenic in this Salmonella typhimurium and Escherichia coli
 41 photomutagenicity assay.

42 Ref.: Sokolowski A. (2013)
 43

44 **3.3.10 Human data**

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47 **3.3.11 Special investigations**

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49 **3.4 Exposure assessment**

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51 **3.5 Safety evaluation (including calculation of the MoS)**

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CALCULATION OF THE MARGIN OF SAFETY

Margin of safety calculation based on dermal absorption of test substance reported in $\mu\text{g}/\text{cm}^2$.

The estimated systemic exposure dose (SED), that results from exposure to C 1701 B_C_3 in cosmetic products (3% w/w), when applied to the human skin, is calculated to amount to 1.02 mg/kg bw/day under consideration of the test item fraction absorbed in the key *in vitro* dermal absorption study conducted with split-thickness human skin according to the following formula:

Absorption through the skin	DA_a	=	1.75 $\mu\text{g}/\text{cm}^2$
Skin Area surface	SSA	=	17500 cm^2
Dermal absorption per treatment	SSA x DA_a x 0.001	=	30.6 mg
Typical body weight of human		=	60 kg
Systemic exposure dose (SED)	SAS x A x 0.001 x F*/...	=	1.02 mg/kg bw
No observed adverse effect level (90-day, oral, rat)	NOAEL	=	250 mg/kg bw/d
Bioavailability 50%*		=	125 mg/kg bw/d

Margin of Safety	adjusted NOAEL/SED = 122
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*F = frequency of product application (= 2 as recommended for sun care products in NoG, worst case scenario)

The estimated systemic exposure dose (SED), that results from exposure to C 1701 B_C_3 in cosmetic products (3% w/w), when applied to the human skin, is calculated to amount to 1.02 mg/kg bw/day under consideration of the test item fraction absorbed in the key *in vitro* dermal absorption study conducted with split-thickness human skin according to the following formula:

The margin of safety (MoS) is determined as the ratio of 50% of the lowest NOAEL (NOAEL_{sys}) and the estimated SED in humans.

An acceptable **MoS of 122** is derived for the use of C-1701 B_C_3 at 3% in a cosmetic product.

3.6 Discussion

Physicochemical properties

The SCCS has noted that the quantification for the impurities was based on HPLC-DAD data of the concentrated and the diluted solution of the test substance. Therefore, the Applicant should explain the dilution factor used for the calculation and the linearity range (concentrations) of the test substance.

S87 is a secondary amine, and thus is prone to nitrosation and formation of nitrosamines. It should not be used in combination with nitrosating substances. The nitrosamine content should be < 50 ppb.

Function and uses

S87 is proposed to be used as a UV filter in personal care products, including sun care cosmetic formulations at a maximum concentration of 3% w/w.

1 **Toxicological Evaluation**

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5 **Acute toxicity**

6 On the basis of data provided, C-1701 B_C_3 is not considered to be acutely toxic.

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9 **Irritation and corrosivity**

10 The skin irritation potential of neat substance C 1701 B_C_3 has been tested *in vitro*
11 according to OECD TG 439 (2010) using the EpiDerm™ model. The amount of test item
12 brought onto the tissues was too low. On the basis of this *in vitro* study, a skin irritation
13 potential of C 1701 B_C_3 cannot be excluded.

14
15 On the basis of the results obtained for C 1701 B_C_3 in the BCOP assay (OECD 437,
16 2009), it can be concluded that C 1701 B_C_3 does not cause serious eye damage. The eye
17 irritation potential of C 1701 B_C_3 has been tested *in vitro* using the EpiOcular™ test
18 system. The study was performed prior to the acceptance of the official guideline of this
19 test. The amount of test item brought onto the tissues was too low. On the basis of these
20 results, an eye irritation potential of C 1701 B_C_3 cannot be excluded.

21
22
23 **Skin sensitisation**

24 The skin sensitising potential of C 1701 B_C_3 was assessed in the LLNA:Brdu-ELISA assay.
25 Based on the results of this study, C 1701 B_C_3 is regarded to be a non-skin sensitiser.

26
27
28 **Dermal absorption**

29 The dermal absorption study was performed adequately. The SCCS has therefore decided to
30 use the mean +1SD (1.63%+1.02=2.65% or 1.08+0.67 µg/cm²=1.75 µg/cm²) for MoS
31 calculations.

32
33
34 **Repeated dose toxicity**

35 Administration of C-1701 B_C_3 by oral gavage to rats once a day for 90 days at a dose of
36 1000 mg/kg/day resulted in no test article-related gross findings, although liver weight
37 changes with associated microscopic liver findings (centrilobular hypertrophy) were noted.
38 There were statistically significant changes in other organ weights, but there were no
39 patterns, trends or associated microscopic findings to identify them as being toxicologically
40 relevant. Administration of C-1701 B_C_3 by oral gavage to rats once a day for 90 days at
41 a dose of 100 or 300 mg/kg/day resulted in no test article-related gross findings, organ
42 weight changes in liver (increased) only in females at the 300 mg/kg/day dose level and no
43 microscopic findings in the liver. Therefore, based on these results, a NOAEL of 300
44 mg/kg/day may be derived.

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47 **Inhalation toxicity**

48 No data have been provided on inhalation toxicity of S87.

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51 **Reproductive toxicity**

52 Based on the results of a reproduction/developmental screening study in rats, the NOAEL
53 for parental toxicity of C 1701 B_C_3 was considered to be 250 mg/kg bw/day given the
54 signs observed at the highest tested dose (urine-stained abdominal fur, mean body weight
55 gains and slightly decreased mean food consumption values). The NOAEL for reproductive
56 toxicity was considered to be 250 mg/kg bw/day, based on the reductions in mean pup
57 weights per litter at 700 mg/kg bw/day, which were probably related to maternal toxicity.

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2 Based on the results of a developmental toxicity study in rats, a NOAEL for maternal and for
3 embryo-fetal toxicity was established at 250 mg/kg bw/day. Indeed, reductions in fetal
4 body weight averages and reductions in the mean number of ossification sites in the caudal
5 vertebrae and hind limbs occurred at 700 mg/kg bw/day, and were considered related to
6 maternal toxicity, as these effects were concurrent with decreased maternal food
7 consumption and body weights. These reductions in fetal body weights and ossification sites
8 were not observed at lower dose levels, including 250 mg/kg bw/day, where evidence of
9 maternal toxicity was not apparent.

10
11 C-1701 B_C_3 was considered to be of no concern regarding embryotoxicity or
12 teratogenicity.

13
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15 **Mutagenicity / genotoxicity**

16 The genotoxicity of C-1701 B_C_3 was investigated in the three endpoints of genotoxicity:
17 gene mutations, structural chromosome aberrations and aneuploidy. C-1701 B_C_3 did not
18 induce gene mutations in 4 strains of Salmonella typhimurium (TA98, TA100, TA1535,
19 TA1537) nor in the E. coli WP2 uvrA strain up to the concentration of 5250 µg/plate in the
20 absence and in the presence of a rat liver metabolic activation system (S-9 MIX). However,
21 C-1701 B_C_3 clearly induced micronuclei in cultured human peripheral blood lymphocytes
22 in the absence and presence of S-9 mix. Results from subsequent 3D human reconstructed
23 skin micronucleus test did not indicate any mutagenic effect of C-1701 B_C_3. C-1701
24 B_C_3 has been also investigated for the induction of micronucleated polychromatic
25 erythrocytes (mnPCEs) in the bone marrow of male and female Sprague-Dawley rats after
26 repeated administration. Under the conditions of this *in vivo* study, C-1701 B_C_3 was
27 negative in the bone marrow micronucleus test in male and female rats. Considering the
28 data obtained in the *in vitro* and *in vivo* test battery, C-1701 B_C_3 was considered to have
29 no genotoxic potential *in vivo*. No further testing is necessary.

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32 **Carcinogenicity**

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36 **Photo-induced toxicity**

37 The data provided did not show any evidence for phototoxicity.

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41 **Human data**

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45 **Special investigation**

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4. CONCLUSION

(1) In light of the data provided, does the SCCS consider Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87), safe when used as UV-filter in cosmetic products up to a maximum concentration of 3%?

Based on the data submitted, the SCCS concluded that the use of Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87), as a UV-filter in cosmetic products up to a maximum concentration of 3%, can be considered safe.

Inhalation toxicity was not assessed in this Opinion because no data were provided. Hence, this Opinion is not applicable to any sprayable products that could lead to exposure of the consumer's lung by inhalation.

(2) If not, what is according to the SCCS, the maximum concentration considered safe for Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) when used as UV-filter in cosmetic products?

/

(3) Does the SCCS have any further scientific concerns with regard to the use of Methoxypropylamino Cyclohexenylidene Ethoxyethylcyanoacetate (S87) in cosmetic products?

As in the previous Submission, adequate studies on skin and eye irritation have not been provided in this Submission. Hence, skin and eye irritation potential of S87 cannot be excluded.

S87 is a secondary amine, and thus is prone to nitrosation and formation of nitrosamines. It should not be used in combination with nitrosating substances. The nitrosamine content should be < 50 ppb.

5. MINORITY OPINION

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40 Data base search for references

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2 No data base search was performed for the present Submission.

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6 **7. GLOSSARY OF TERMS**

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8 See SCCS/1602/18, 10th Revision of the SCCS Notes of Guidance for the Testing of
9 Cosmetic Ingredients and their Safety Evaluation – from page 141

10 **Glossary from the Applicant**

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A	Absorbance
AAC	9-Aminoacridine
ANOVA	Analysis of variance
2-AA	2-Aminoanthracene
BCOP	Bovine corneal opacity and permeability
BrdU	Bromodeoxyuridine
BSA	Bovine serum albumin
bw	Body weight
CAS	Chemical Abstracts Service
CHO	Chinese hamster ovary
CPA	Cyclophosphamide
CPZ	Chlorpromazine
C _{max}	Maximum concentration
DG	Day of gestation
DL	Day of lactation
DMF	N,N-Dimethylformamide
DMSO	Dimethyl sulfoxide
DPP	Day <i>post partum</i>
DSC	Differential scanning calorimetry
ε	Specific absorptivity
EC ₅₀	Effective concentration 50
EMS	Ethyl methanesulfonate
EPA	Environmental Protection Agency
F	Filial generation
FID	Flame ionisation detector
FOB	Functional observation battery
FTIR	Fourier transform infrared
GC	Gas chromatography
GI	Gastrointestinal
GLP	Good laboratory practice
HCA	α-Hexyl cinnamic aldehyde
his	Histidine
HPLC	High-performance liquid chromatography
HPRT	Hypoxanthine-guanine phosphoribosyl transferase
HS	Headspace
INCI	International Nomenclature of Cosmetic Ingredients
<i>i.p.</i>	Intraperitoneal (administration)
IR	Infrared

IVIS	<i>In vitro</i> irritancy score
Koc	Adsorption coefficient
LC	Liquid chromatography
LD	Lethal dose
LLNA	Local lymph node assay
LLOQ	Lower limit of quantification
MCA	Methylcholanthrene
MCH	Mean corpuscular hemoglobin
MCHC	Mean corpuscular hemoglobin concentration
MCV	Mean corpuscular volume
MMC	Mitomycin C
mn	Micronucleated
MNBN	Micronucleated binucleate cells
MNNG	N-methyl-N'-nitro-N-nitrosoguanidine
MoS	Margin of safety
MS	Mass spectrometry
MTT	Tetrazolium salt
MW	Molecular weight
n	Number of samples
NC	Negative control
NCE	Normochromatic erythrocytes
ND	No data
n.d.	Not determined
NMR	Nuclear magnetic resonance
no.	Number
NOAEL	No Observed Adverse Effect Level
NOPD	4-Nitro-o-phenylenediamine
NRU	Neutral red uptake
n.s.	Not significant
4-NQO	4-Nitroquinoline-N-oxide
OCSPP	Office of Chemical Safety and Pollution Prevention
OD	Optical density
OECD	Organisation for Economic Co-operation and Development
OPPTS	Office of Prevention, Pesticides and Toxic Substances
P	Parental generation
PBS	Phosphate buffered saline
PC	Positive control
PCE	Polychromatic erythrocytes
PEG	Polyethylene glycol
PHA	Phytohaemagglutinin
PIF	Photo-irritancy factor
PIT	Preincubation test
pKa	Dissociation constant
PND	Postnatal day
RI	Replication index
RSD	Relative standard deviation
SCCNFP	Scientific Committee on Cosmetic Products and Non-food Products
SCCS	Scientific Committee on Consumer Safety
SD	Standard deviation

SDS	Sodium dodecyl sulfate
SED	Systemic exposure dose
SI	Stimulation index
SPT	Standard plate test
TCSA	3,3',4',5-Tetrachlorosalicylanilide
trp	Tryptophane
UV	Ultraviolet
UVA	Ultraviolet A
UVB	Ultraviolet B
VC	Vehicle control
VIN	Vinblastine
VIS	Visible absorption spectroscopy

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8. LIST OF ABBREVIATIONS

See SCCS/1602/18, 10th Revision of the SCCS Notes of Guidance for the Testing of Cosmetic Ingredients and their Safety Evaluation – from page 141