



Brussels, **XXX**  
[...] (2019) **XXX** draft

ANNEXES 1 to 2

## **ANNEXES**

**to the**

**Commission Implementing Regulation (EU)**

**laying down implementing technical standards with regard to the format of applications for registration as a securitisation repository or for extension of a registration of a trade repository pursuant to Regulation (EU) 2017/2402 of the European Parliament and of the Council**

**ANNEX I**

**Formats for an application for registration as a securitisation repository**

**Table 1: General information**

Date of application	
Corporate name of securitisation repository	
Place of incorporation and scope of business activity	
Legal entity identifier (LEI) registered with the Global Legal Entity Identifier Foundation	
Legal address of the securitisation repository	
Legal address of any subsidiaries of the securitisation repository	
Legal address of any branches of the securitisation repository	
Uniform resource locator (URL) of the securitisation repository's website	
The securitisation types, risk transfer methods and underlying exposure types for which the applicant repository is applying to be registered	
If the applicant is authorised or registered by a competent authority in the Member State where it is established, the name of the authority and any reference number related to the authorisation or registration	
Name of the person(s) responsible for the application	
Contact details of the person(s) responsible for the application	
Name of person(s) responsible for the securitisation repository compliance (or any other staff involved in compliance assessments for the securitisation repository)	
Contact details of the person(s) responsible for the securitisation repository compliance, or any other staff involved in compliance assessments for the securitisation repository, in relation to its provision of core securitisation services	
Name of any parent undertaking	
LEI registered with the Global Legal Entity Identifier Foundation of any parent undertaking	
Legal address of any parent undertaking	
Name of the responsible supervisory authority for any parent undertaking	
Reference number of the responsible supervisory authority for any parent undertaking	
Name of any ultimate parent undertaking	
LEI registered with the Global Legal Entity Identifier Foundation of any ultimate parent undertaking	
Legal address of any ultimate parent undertaking	
Name of the responsible supervisory authority for any ultimate parent undertaking	
Reference number of the responsible supervisory authority for any ultimate parent undertaking	

**Table 2: Document references <sup>(1)</sup>:**

Provision of Commission Delegated Regulation (EU) .../... [ <i>Commission Delegated Regulation (EU) .../... supplementing Regulation (EU) 2017/2402 of the European Parliament and of the Council with regard to regulatory technical standards specifying the details of the application for registration of a securitisation repository and the details of the application for a simplified application for an extension of registration of a trade repository</i> ] containing the information requirement to which the document relates	Unique reference number of document	Title of the document	Chapter or section or page of the document where the information is provided or reason why the information is not provided

<sup>1</sup> For all information required in Commission Delegated Regulation C(2019)8882 with the exception of points (a) to (c), (e), (f), (h) and (i) of Article 2(2) and Article 7(2) thereof.

**ANNEX II**

**Formats for an application of a trade repository for extension of registration**

**Table 1: General information**

Date of application	
Date of registration of the applicant as a trade repository	
Corporate name of securitisation repository	
Legal entity identifier (LEI) registered with the Global Legal Entity Identifier Foundation	
Legal address of the securitisation repository	
Legal address of any subsidiaries of the securitisation repository	
Legal address of any branches of the securitisation repository	
Uniform resource locator (URL) of the securitisation repository's website	
Legal Entity Identifier (LEI) registered with the Global Legal Entity Identifier Foundation	
The securitisation types, risk transfer methods and underlying exposure types for which the applicant repository is applying to be registered	
If the applicant is authorised or registered by a competent authority in the Member State where it is established, the name of the authority and any reference number related to the authorisation or registration	
Name of the person(s) responsible for the application	
Contact details of the person(s) responsible for the application	
Name of person(s) responsible for the securitisation repository compliance, or any other staff involved in compliance assessments for the securitisation repository, in relation to its provision of core securitisation services	
Contact details of the person(s) responsible for the securitisation repository compliance (or any other staff involved in compliance assessments for the securitisation repository)	

**Table 2: Document references <sup>(2)</sup>:**

Provision of Commission Delegated Regulation (EU) .../... [ <i>Commission Delegated Regulation (EU) .../... supplementing Regulation (EU) 2017/2402 of the European Parliament and of the Council with regard to regulatory technical standards specifying the details of the application for registration of a securitisation repository and the details of the application for a simplified application for an extension of registration of a trade repository</i> ] containing the information requirement to which the document relates.	Unique reference number of document	Title of the document	Chapter or section or page of the document where the information is provided or reason why the information is not provided

<sup>2</sup> For all information required in Commission Delegated Regulation C(2019)8882 with the exception of points (a) to (c), (e), (f), (h) and (i) of Article 2(2) and Article 7(2) thereof.