
1. **Title/basic act/CRIS number**
   - Support measures 2018 for the Instrument for Nuclear Safety Cooperation (INSC) management
   - CRIS number: 2018/040-970
   - financed under Instrument for Nuclear Safety Cooperation

2. **Zone benefiting from the Action/location**
   - Not Applicable

3. **Programming document**

4. **Sector of concentration/thematic area**
   - Nuclear Safety
   - DEV. Aid: YES

5. **Amounts concerned**
   - Total estimated cost: EUR 1 505 663
   - Total amount of EU budget contribution EUR 1 505 663

6. **Aid modality(ies) and implementation modality(ies)**
   - Project Modality
   - Direct management - procurement of services and supply

7. **DAC code(s)**
   - Main DAC code – 23510

8. **Main Delivery Channel**
   - 1000 - Public Sector Institutions

<table>
<thead>
<tr>
<th>8. Markers (from CRIS DAC form)</th>
<th>General policy objective</th>
<th>Not targeted</th>
<th>Significant objective</th>
<th>Main objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participation development/good governance</td>
<td>☐</td>
<td>☒</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Aid to environment</td>
<td>☐</td>
<td>☒</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Gender equality (including Women In Development)</td>
<td>☐</td>
<td>☒</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Trade Development</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
<tr>
<td>Reproductive, Maternal, New born</td>
<td>☒</td>
<td>☐</td>
<td>☐</td>
<td></td>
</tr>
</tbody>
</table>
and child health

<table>
<thead>
<tr>
<th>RIO Convention markers</th>
<th>Not targeted</th>
<th>Significant objective</th>
<th>Main objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biological diversity</td>
<td>☒</td>
<td>☑️</td>
<td>☐</td>
</tr>
<tr>
<td>Combat desertification</td>
<td>☐</td>
<td>☑️</td>
<td>☐</td>
</tr>
<tr>
<td>Climate change mitigation</td>
<td>☒</td>
<td>☑️</td>
<td>☐</td>
</tr>
<tr>
<td>Climate change adaptation</td>
<td>☐</td>
<td>☑️</td>
<td>☐</td>
</tr>
</tbody>
</table>

9. Global Public Goods and Challenges (GPGC) thematic flagships

**SUMMARY**
This Action provides support to the sound management of the Instrument for Nuclear Safety Cooperation (INSC) programme, the achievement of its expected results and objectives and the measurement, analysis and reporting on its impact.

1 **CONTEXT**
The Instrument for Nuclear Safety Cooperation 2014 – 2020 (Regulation EURATOM 237/2014 of 13 December 2013) defines the strategic framework for the implementation of the Instrument for Nuclear Safety Cooperation (INSC) programme. Deriving from the legal basis, the Strategy for a Community Cooperation Programme in the field of Nuclear Safety 2014-2020 (C/2014/3763) has been adopted on 13 June 2014 and the Multi-annual Indicative Programme 2018-2020 \(^1\) has been adopted on 6 November 2017. The Regulation is subject to the Common Implementing Rules (CIR) that provides for support measures in its Article 3. It stipulates that the "Union financing may cover expenditure for the implementation of the Instruments and for the achievement of their objectives, including administrative support associated with the preparation, follow up, monitoring, audit and evaluation activities directly necessary for such implementation, as well as expenditure at Union delegations on the administrative support needed to manage operations financed under the Instruments”.

2 **RISKS AND ASSUMPTIONS**

<table>
<thead>
<tr>
<th>Risks</th>
<th>Risk level (H/M/L)</th>
<th>Mitigating measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>Lack of cooperation from the different project (implementing) partners such as state authorities and implementing agencies, resulting in delays</td>
<td>L</td>
<td>Close monitoring of the relevant projects and remedial taken steps as early as possible in the project preparation or project implementation process</td>
</tr>
<tr>
<td>Unforeseen and unexpected (external) developments or</td>
<td>L</td>
<td>Close monitoring of the relevant projects and remedial steps taken as early as</td>
</tr>
</tbody>
</table>

\(^1\) (C/2017/7254)
unforeseen delays that would result in late delivery of results or even make the results of some of the projects eventually irrelevant | possible in the project preparation or project implementation process

<table>
<thead>
<tr>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Good communication and support from the beneficiary and end-users</td>
</tr>
</tbody>
</table>

3 LESSONS LEARNT, COMPLEMENTARITY AND CROSS-CUTTING ISSUES

3.1 Lessons learnt

The main purpose of the support measures is to allow programme and project preparation to proceed in a quick and effective manner. Furthermore the support measures to existing EU programmes will ensure efficiency, performance and impact of all activities related to Instrument for Nuclear Safety Cooperation (INSC) implementation.

Funding for small-scale support measures with flexible and swift decision-making will make a vital contribution to the partnership.

3.2 Complementarity, synergy and donor coordination

The activities under this Action are complementary with the one of the budget line 21 04 05. While budget line 21 04 05 aims to fulfil the administrative needs of the instrument and ensures the functioning of the Commission (IT systems, staff costs, etc.), the support measures envelope will provide complementary support to specific projects (audits, meetings, publications).

3.3 Cross-cutting issues

Not applicable.

4 DESCRIPTION OF THE ACTION

4.1 Objectives/results

The specific objective is to contribute to the sound management of the programme, to the achievement of its expected results and objectives and to the measurement, analysis and reporting on its impact.

The support measures will be used as a framework for funding activities under the Instrument for Nuclear Safety Cooperation (INSC) in fields including:

- administrative support associated with the preparation, follow-up, monitoring, audit and evaluation activities directly necessary for implementation of the Instrument;
- technical assistance for projects funded by the Instrument for Nuclear Safety Cooperation,
- studies, meetings, information, awareness-raising, training, preparation, and exchange of lessons learnt and best practices, publication activities and any other administrative or technical assistance expenditure necessary for the management of Actions,
- research activities and studies
- expenditure related to the provision of information and communication Actions, including the development of communication strategies and corporate communication materials.

4.2 Main activities

4.2.1. Technical assistance of the projects funded by the Instrument for Nuclear Safety Cooperation (INSC)

- As necessary, technical assistance for Instrument for Nuclear Safety Cooperation (INSC) projects (services and/or supply contracts) being implemented

4.2.2. Programme support, studies, audits and evaluations

- Preparation of projects and general studies, which may result in EU assistance (e.g. studies in specialist areas, preparatory activities/studies and assessments);
- Support to programme implementation (committees, expert group, etc.);
- Missions to be conducted in the field of nuclear safety contracting operations (experts for evaluations, etc.);
- Missions to be conducted for the management of contracted projects;
- Workshops and seminars;
- Evaluation of past projects or programme implemented in a country or region of interest in view of information of the Member States, feedback and lessons learned for future programming;
- Direct fees, costs and reimbursable expenses, incurred by experts contracted by the Commission to undertake audits on Instrument for Nuclear Safety Cooperation (INSC) projects;
- Reporting, evaluation and assessment of the impact of the projects/programme using the defined Key Performance Indicators.

4.2.3. Information and visibility

Activities raising EU visibility and information initiatives in the following areas: Audio-visual and multimedia, publications, information on the EU and the partnership relations, promotion of dialogue.

The activities will include publication programmes, website and database management, in particular the periodic update of the DEVCO website to report on the results of the INSC programme, in-country information activities, media relations and media monitoring, the production of audio-visual material and information support to events and conferences.

The global allocation shall also cover the organisation and financing of meetings, seminars and conferences, including all related seminar costs and travel, accommodation relating to the programming and operation of the Instrument for Nuclear Safety Cooperation (INSC) programme.
4.3 Intervention logic

The Commission will be provided with technical and administrative support to facilitate the effective and efficient implementation of the programmes and projects of the Instrument for Nuclear Safety Cooperation (INSC) implementation.

5 IMPLEMENTATION

5.1 Financing agreement

In order to implement this Action, it is not foreseen to conclude a financing agreement with the partner country.

5.2 Indicative implementation period

The indicative operational implementation period of this Action, during which the activities described in section 4 will be carried out and the corresponding contracts and agreements implemented, is 72 months from the date of adoption by the Commission of this Action Document.

Extensions of the implementation period may be agreed by the Commission’s authorising officer responsible by amending this decision and the relevant contracts and agreements; such amendments to this decision constitute technical amendments in the sense of point (i) of Article 2(3)(c) of Regulation (EU) No 236/2014.

5.3 Implementation modalities

5.3.1.1 Procurement (direct management)

<table>
<thead>
<tr>
<th>Subject in generic terms, if possible</th>
<th>Type (works, supplies, services)</th>
<th>Indicative number of contracts</th>
<th>Indicative trimester of launch of the procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Programme support and studies</td>
<td>Services and supply</td>
<td>7</td>
<td>4/2018</td>
</tr>
</tbody>
</table>

5.4 Scope of geographical eligibility for procurement and grants

The geographical eligibility, in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased, as established in the basic Act and set out in the relevant contractual documents shall apply.

The Commission’s authorising officer responsible may extend the geographical eligibility in accordance with Article 9(2) (b) of Regulation (EU) No 236/2014 on the basis of urgency or of unavailability of products and services in the markets of the countries concerned, or in other duly substantiated cases, where the eligibility rules would make the realisation of this Action impossible or exceedingly difficult.
5.5 Indicative budget

<table>
<thead>
<tr>
<th></th>
<th>EU contribution (amount in EUR)</th>
<th>Indicative third party contribution, in currency identified</th>
</tr>
</thead>
<tbody>
<tr>
<td>Procurement (direct management)</td>
<td>1 135 663</td>
<td>N.A.</td>
</tr>
<tr>
<td>Evaluation and audit (indicative)</td>
<td>70 000</td>
<td>N.A.</td>
</tr>
<tr>
<td>Communication and visibility (indicative)</td>
<td>300 000</td>
<td>N.A.</td>
</tr>
<tr>
<td>Totals</td>
<td>1 505 663</td>
<td>N.A.</td>
</tr>
</tbody>
</table>

5.6 Organisational set-up and responsibilities

The stakeholders are: state institutions; project implementing agencies (public; private) in charge of preparing and implementing the different activities as financed by the EU, under the Instrument for Nuclear Safety Cooperation in the various partner countries.

Stakeholders are also the European Commission as financing authority, the European Union citizens, partner countries’ citizens, and relevant organisations.

5.7 Performance monitoring and reporting

The day-to-day technical and financial monitoring of the implementation of this Action will be a continuous process and part of the implementing partner’s responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the Action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the Action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators, using as reference the Logical Framework matrix (logframe) (for project modality) or the list of result indicators (for budget support). The report shall be laid out in such a way as to allow monitoring of the means envisaged and employed, and of the budget details for the Action. The final report, narrative and financial, will cover the entire period of implementation of the Action.

The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants, recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).
5.8 Evaluation

Having regard to the nature of the Action, an ex-post evaluation will not be carried out for this Action or its components.
In case an evaluation is not foreseen, the Commission may, during implementation, decide to undertake such an evaluation for duly justified reasons either on its own decision or on the initiative of the partner.
The evaluation reports shall be shared with the partner country and other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up Actions to be taken and any necessary adjustments, including, if indicated, reorientation of the project.

5.9 Audit

Without prejudice to the obligations applicable to contracts concluded for the implementation of this Action, the Commission may, on the basis of a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

5.10 Communication and visibility

Summaries of completed projects will be included in a database managed by the JRC at: https://nuclear.jrc.ec.europa.eu/tipins.
Communication and visibility of the EU is a legal obligation for all external Actions funded by the EU.
This Action shall contain communication and visibility measures, which shall be based on a specific Communication and Visibility Plan of the Action, to be elaborated at the start of implementation and supported with the budget, indicated in section 5.5 above.
In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Appropriate contractual obligations shall be included in, respectively, the financing agreement, procurement and grant contracts, and delegation agreements.
The Communication and Visibility Manual for European Union External Action shall be used to establish the Communication and Visibility Plan of the Action and the appropriate contractual obligations.
APPENDIX - INDICATIVE LOGFRAME MATRIX (FOR PROJECT MODALITY)

The activities, the expected outputs and all the indicators, targets and baselines included in the logframe matrix are indicative and may be updated during the implementation of the Action, no amendment being required to the financing decision. When it is not possible to determine the outputs of an Action at formulation stage, intermediary outcomes should be presented and the outputs defined during inception of the overall programme and its components. The indicative logframe matrix will evolve during the lifetime of the Action: new lines will be added for including the activities as well as new columns for intermediary targets (milestones) for the output and outcome indicators whenever it is relevant for monitoring and reporting purposes. Note also that indicators should be disaggregated by sex whenever relevant.

<table>
<thead>
<tr>
<th>Results chain</th>
<th>Indicators</th>
<th>Baselines (incl. reference year)</th>
<th>Targets (incl. reference year)</th>
<th>Sources and means of verification</th>
<th>Assumptions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Overall objective: Impact</td>
<td>The promotion of an effective nuclear safety culture and implementation of the highest nuclear safety and radiation protection standards, and continuous improvement of nuclear safety</td>
<td>Grade of implementation of project outputs</td>
<td>2018</td>
<td>Project reporting, monitoring and auditing</td>
<td>Full commitment from the national authorities and institutions identified as partners and beneficiaries</td>
</tr>
<tr>
<td>Specific objective(s): Outcomes</td>
<td>Good Governance</td>
<td>Budget spent in effective support of Instrument for Nuclear Safety Cooperation (INSC) implementation</td>
<td>25 % (2018)</td>
<td>100 % (2019)</td>
<td>Budget control systems</td>
</tr>
</tbody>
</table>