ANNEX 5

**Action Document for “Intra-Africa Academic Mobility Scheme”**

**INFORMATION FOR POTENTIAL GRANT APPLICANTS**

**WORK PROGRAMME FOR GRANTS**

This document constitutes the work programme for grants in the sense of Article 128(1) of the Financial Regulation (Regulation (EU, Euratom) No 966/2012) in the following sections concerning calls for proposals: 5.4.1 “Grants – call for proposals (direct management).

<table>
<thead>
<tr>
<th>1. Title/basic act/CRIS number</th>
<th>“Intra-Africa Academic Mobility Scheme” CRIS number: DCI/PANAF/037-532 financed under the Development Cooperation Instrument</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Zone benefiting from the action/location</td>
<td>Pan-African The action shall be carried out at the following location: Africa</td>
</tr>
<tr>
<td>4. Sector of concentration/thematic area</td>
<td>Strategic area 3 “Human development”</td>
</tr>
<tr>
<td>5. Amounts concerned</td>
<td>Total estimated cost: EUR 10 000 000 Total amount of EU budget contribution EUR 10 000 000 from the general budget of the European Union for 2015</td>
</tr>
<tr>
<td>6. Aid modality and implementation modalities</td>
<td>Project Modality Direct management through EU executive agency: Education Audiovisual and Culture Executive Agency (EACEA) Grants (call for proposals) and procurement of services</td>
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<td>7. DAC code(s)</td>
<td>11420 – Higher Education</td>
</tr>
<tr>
<td>8. Markers (from CRIS DAC form)</td>
<td>General policy objective</td>
</tr>
<tr>
<td></td>
<td>Participation development/good governance</td>
</tr>
<tr>
<td></td>
<td>Aid to environment</td>
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<tr>
<td></td>
<td>Gender equality (including Women in Development)</td>
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<tr>
<td></td>
<td>Trade Development</td>
</tr>
<tr>
<td></td>
<td>Reproductive, Maternal, New born and child health</td>
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<tr>
<td>RIO Convention markers</td>
<td>Not targeted</td>
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<tr>
<td>------------------------</td>
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</tr>
<tr>
<td>Biological diversity</td>
<td>☒</td>
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<tr>
<td>Combat desertification</td>
<td>☒</td>
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<tr>
<td>Climate change mitigation</td>
<td>☒</td>
</tr>
<tr>
<td>Climate change adaptation</td>
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</table>

9. Global Public Goods and Challenges (GPGC) thematic flagships

N/A

**SUMMARY**

Within the framework of the Joint Africa-EU Strategy, which was adopted at the Lisbon Summit in 2007, during the 4th EU-Africa Summit in 2014, the EU and Africa committed to further promote higher education (HE) in Africa through networking, mobility of students and staff, and institutional support and innovation. This is reflected in the 2014-2017 Roadmap of the Joint Africa-EU Strategy, which underlines the crucial role of HE for economic and social development and the strong potential of mobility to improve the quality of higher education, by accelerating the use of transparency and recognition tools, and by helping institutions develop better services to send and receive foreign students and researchers. Also the Joint Declaration adopted at the 7th EU-African Union College-to-College meeting (Brussels, 22 April 2015) indicated mobility within Africa as one of the priorities under the chapter ‘Human Development’.

As a response, and based on the experience under the Africa component of the Intra-ACP Academic Mobility Scheme (2010-2013), the EU decided to continue supporting mobility of students and staff within Africa through the Intra-Africa Academic Mobility Scheme. Over the period 2014-2017, up to EUR 20 000 000 should be made available from the Pan-African Programme for this scheme through two successive actions of up to EUR 10 000 000 each.

The main objective of the scheme is to promote sustainable development and ultimately contribute to poverty reduction by increasing the availability of trained and qualified high-level professional manpower in Africa. More specifically the programme aims at improving the skills and competences of students and staff, through enhanced intra-African mobility and a better access to higher education.

The scheme also aims to build the capacity of HE institutions (HEIs) in managing mobility flows and conducting inter-institutional exchanges, thereby contributing to the promotion of internationalisation. In the medium term, it is expected that the scheme will support the harmonisation of programmes and curricula within participating institutions and enhance the political, cultural, educational and economic links between the participating countries.

The programme will be directly managed by the European Commission through the Education, Audio-visual and Culture Executive Agency (EACEA), under the supervision of the Directorate General for International Cooperation and Development. The method of implementation is through call for proposals and procurement of services.
1. Context

On average over 2005-2015, Africa has experienced impressive growth, with an annual real Gross Domestic Product (GDP) increase of 5.6%. This has not only been driven by favourable commodity prices but also extended to countries that do not possess significant natural resources. This dynamism should continue since Africa's GDP is expected to double by 2030. With 30 million km² of land, making Africa the second biggest continent, the subsoil is a tremendous asset. Demography is also dynamic. Today, 16% of the world's population lives in Africa. Around 2030, 1 person out of 4 will be African and at the end of the 21st century, 4 out of 10. In the long run, only Africa will be capable of satisfying the global need for a young and cheap labour force, with an economically active population (15-64 years) that will almost double. The population will not only be increasingly younger but also urbanised: Sub-Saharan Africa's urban population is thus projected to double by 2030.

Yet, Africa's growth is not generating the jobs that its people need. In 2013, approximately 27.2% of young people in the labour force were without work compared to 26.6% in 2012. Although the proportion of people living in extreme poverty (i.e. on less than USD 1.25 a day) in Sub-Saharan Africa decreased from 53% in 1981 to 47% in 2011, almost one out of every two Africans lives in extreme poverty. If Africa fails to create the jobs its growing population needs, unemployed urban youth could become a source of potential future instability and irregular migration. Typically, out of the 33 fragile states identified in 2015, 18 are African. There is also room for more progress in the areas of inclusion, gender equality and environmental sustainability which are needed to further promote sustainable human development.

1.1 Sector analysis

In 2008, students from Sub-Saharan Africa were the most mobile in the world, with one in 16 studying abroad. About 1/4 of them studied in another country within the same region, while nearly two-thirds (65.1%) studied in North America and Western Europe. These high outbound mobility figures can be explained by “push factors” in the home country such as deficits of the education sector or others. Given this dynamic, Africa is extremely vulnerable to the exodus of students and qualified people, who should be retained in the continent with attractive opportunities for studying/research.

1.1.1 Public Policy Assessment and EU Policy Framework

African continental Higher Education (HE) policy
The African Union Commission (AUC) is working towards the construction of an African Higher Education and Research Space (AHERS) to facilitate academic mobility and collaboration among African countries and their HE systems. The AUC is pursuing this objective through the following activities:

- reinforcing the AU Harmonisation Strategy of HE;
- advocating the ratification and implementation of the revised Arusha Convention;
- activating the involvement of Universities in Harmonisation Process through the Tuning Methodology;
- promoting an African Credit Transfer System;
- advancing the development of an African Continental Qualification Framework;
- strengthening African cooperation in Quality Assurance;
- fostering Intra-Africa Mobility of Academics and Students through the Mwalimu Nyerere AU Scholarship Scheme established by the AU in 2007 to enable African students to study at recognised HEIs on the continent, in areas related to science and technology. Students who
participate in this programme are required to work in Africa for a minimum of two years after graduation;
• promoting research and postgraduate training through Centres of Excellence.

At regional level, efforts are made to enhance mobility of staff and students across regions: the Inter-University Council for East Africa, the African and Malagasy Council for Higher Education (CAMES) for African francophone countries, and the Southern Africa Development Community (SADC) which is developing regional qualifications framework for supporting mobility within the region. Discussions are also ongoing about the establishment of mobility schemes in Northern Africa.

EU development policy in HE
The EU development policy towards Africa emphasises the importance of cooperation in higher education, in order to build high-quality tertiary education capacity through networking, mobility of students and staff, and institutional support and innovation. It is considered that HE plays a crucial role in producing high quality human resources and in disseminating the results of scientific and technical research. Fostering HE is therefore instrumental for growth and jobs.

At the 4th EU-Africa Summit in April 2014, the EU and African Heads of State and Government recognised the importance of HE in enhancing citizenship and democratic values as well as in fostering sustainable development and encouraging trade and investment. They also agreed to promote student exchange programmes between the two continents and within Africa. Mobility within Africa is also one of the priorities under the chapter ‘Human Development’ of the Joint Declaration adopted during the closing session of the 7th EU-African Union College-to-College meeting (Brussels, 22 April 2015).

1.1.2 Stakeholder analysis

The key stakeholders of the programme are:
• African HEIs which will participate to cross-regional partnerships, and host students and staff;
• Postgraduate students (Master students as well as doctoral students), academics and professional staff of African HEIs, who are interested in getting access to and following high quality education/training and to carry out research in other African HEIs;
• EU or African HEIs as technical partners;
• Non-governmental organisations (NGOs), local authorities, Small and Medium Enterprises (SMEs), etc, as associated members;
• The African Union Commission;
• European Commission services which will participate in the selection panels of the proposals.

African Regional Economic Communities (RECs) are not specifically targeted but regional institutions may be used for dissemination of information, e.g. CAMES, Inter-University Council of East Africa, as well as other relevant associations, such as the Association of African Universities (AAU), the Regional Universities Forum for Capacity Building in Agriculture (RUFORUM), the African Network for Agriculture, Agroforestry and Natural Resources Education (ANAFE),

1.1.3 Priority areas for support/problem analysis

A major problem for Africa is the increasing lack of high level professionals in areas crucial to development. Shrinking public funding for HE and a rapid increase in enrolment (massification of HE) have resulted in the erosion of the quality of education which has hampered the development of human resources and the economic growth in the region.
This lack of access and quality of HE in African countries has also contributed to migration of skilled graduates and professionals («brain drain»), looking for more favourable employment and study opportunities mostly in North America and Europe, a phenomenon which has further increased the shortage of educated professionals.

As a response to these challenges and working on the assumption that intra-Africa mobility of staff and students can facilitate brain circulation among the participating HEIs and countries, the EU launched the Intra-ACP Academic Mobility Scheme (2010-2013, for a budget of EUR 35 000 000) for promoting the mobility of students and academic staff within Africa. Following three calls for proposals, 15 partnerships among HEIs were selected, registering 157 instances of participation of African institutions from 32 African countries. These partnerships planned that 1,500 students and staff will benefit from mobility across the continent. The programme also paved the way to reinforced cooperation between different HE systems in Africa, as each partnership must involve institutions from at least three different African regions.

This experience has shown that mobility actions in the HE sector have an impact on the personal development and indirectly on employability of students but also a sustainable impact on HE institutions. It appeared in particular that, in order to ensure a high level of quality in terms of preparation, mentoring and recognition of the outcomes for the individuals by the institutions involved, mobility needs to take place in the framework of institutional agreements. Mobility also has a positive impact on the quality of HE by promoting the design of internationalisation strategies, thus contributing to regional and continental policies for the harmonisation of HE on the African continent.

2. RISKS AND ASSUMPTIONS

<table>
<thead>
<tr>
<th>Risks</th>
<th>Risk level (H/M/L)</th>
<th>Mitigating measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>1. Low take-up of the new programme by institutions/organisations (e.g. AUC and AAU) and HE authorities</td>
<td>L</td>
<td>Information provided to stakeholders, awareness raised to encourage participation</td>
</tr>
<tr>
<td>2. Non transparent selection procedure for students and staff</td>
<td>L</td>
<td>Clear selection process put in place with objective criteria</td>
</tr>
<tr>
<td>3. Difficulties for scholarship holders to leave their country and entering the host country/ies</td>
<td>M</td>
<td>Information provided to embassies to facilitate the visa application process</td>
</tr>
<tr>
<td>4. Under-performing students and risk of non-completion of the mobility</td>
<td>M</td>
<td>Mentoring/support system put in place in host universities</td>
</tr>
<tr>
<td>5. Lack of experience of HE institutions in managing large scale scholarship schemes</td>
<td>M</td>
<td>Information and guidance provided; possibility to involve “technical” partners</td>
</tr>
<tr>
<td>6. Non-absorption of the grant awarded in particular in fragile and LDC countries</td>
<td>H</td>
<td>Close monitoring of the projects and use of smaller grants</td>
</tr>
</tbody>
</table>

Assumptions:

a) Cooperation among HEIs from different HE systems support the internationalisation process and the design of internationalisation strategies, thus contributing to regional and continental policies for the harmonisation of HE;

b) Development and approval at appropriate levels by the national/regional bodies of the policy papers and processes that would permit HEIs to develop and implement mobility schemes;
c) Mobility has an impact on the personal development and employability of scholarship holders as well as on the HE sector improving the quality of the HE systems as a whole; d) Intra-Africa mobility of staff and students facilitate brain circulation among the participating HEIs.

The risk of low take-up of the new programme is mitigated by the information provided to the relevant institutions/organisations (e.g. AUC and AAU) and HE authorities in Africa. This contributes to creating awareness of the new programme and to boosting demand for mobility. Since this programme is a follow-up to the well-established Intra-ACP Academic Mobility Scheme, this risk is expected to be low.

A clear selection process respecting minimum objective criteria (academic merit, disadvantaged background, gender balance and favourable treatment of disabled students) will be put in place to ensure a transparent and fair selection of students and staff. After their selection, it is assumed that scholarship holders will be authorised to leave their country and to enter the host countries. In order to ease the visa application process, embassies of the hosting countries will be sensitised about the programme. Another risk is that candidates will not complete the mobility for which scholarships were granted. To that end, host universities are expected to have a mentoring/support system to prevent or address under-performing students and will monitor the mobility.

The lack of experience of HEIs in managing large scale scholarship schemes might be a challenge to a smooth implementation of the programme. Information and guidance will be provided to the HEIs and to the grant beneficiaries in case of none or limited experience of some of these bodies in a) managing and hosting international students and b) fulfilling their contractual obligations in the context of the programme. In addition, applying partnerships will be allowed to involve a ‘technical’ partner (EU HEI or other experienced African HEI) to assist them with the organisation and implementation of the scheme.

There is a risk of non-absorption of the grants awarded in particular in fragile and least developed countries or regions within countries characterised by weak institutions and unstable environment (wars, conflicts and natural disasters). In those fast changing contexts, a close monitoring and smaller amounts granted should limit the risks of non-full absorption.

3. LESSONS LEARNT, COMPLEMENTARITY AND CROSS-CUTTING ISSUES

3.1 Lessons learnt

Evidence from EU experience and other similar schemes shows that promoting mobility of students and staff is likely to improve the quality of HE systems as a whole as well as the quality of professionals that have been involved in such schemes. This can also help address the problem of brain-drain from Africa to developed countries.

In line with the commitments made under the Joint Africa-EU Strategy (JAES) since 2008, the EU has been supporting the HE sector through the Erasmus Mundus Programme, the Edulink programme, the Intra-ACP Academic Mobility Scheme (Lot 1 - Africa) and in parallel the support to the Mwalimu Nyerere AU Scholarship Scheme. Both the Nyerere and the Intra-ACP schemes have aimed, with different modalities, at contributing to the generation and retention of high-level African human resources through scholarships in key areas relevant to Africa’s social and economic development.
No Results Oriented Monitoring (ROM) exercise nor mid-term evaluation was carried out for the Intra-ACP Academic Mobility Scheme. Yet, through meetings with HEIs and students in the region, as well as through desk and field monitoring experiences, valuable lessons have been learnt:

- The need for a continental programme which supports South-South cooperation and involves different African regions and institutional systems. This diversity, which sometimes proves challenging, incentivises the participating HEIs to reverse the traditional one-directional patterns of cooperation with HEIs in North America and Europe and to focus instead on continental opportunities.

- Participation in the Intra-ACP Academic Mobility Scheme appears to be highly relevant for most participating institutions, and is highly appreciated by individual beneficiaries. This is highlighted in the positive feedback received from beneficiaries and several examples underline the benefits for the institutions, such as the creation or reinforcement of international offices, the creation of new academic networks, and the conclusion of bilateral/multilateral cooperation agreements.

- Some HEIs are deliberately utilising the programme for upgrading the qualifications of academics, i.e. encouraging staff members to apply as students. This practice, which is also relevant for the administrative staff mobility, can improve the capacities of institutions with less experience in managing international programmes.

- The financial management of the grant often challenges the internal financial organisation, mainly for the coordinating institutions which have to change their procedures to adapt to EU requirements. Despite the changes undergone, the amount of the grant often seems too high compared to the capacity (in terms of administration and management of high number of mobility flows).

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- Some challenges have been reported by the coordinating institutions and scholarship holders regarding the financial conditions of the programme. The implementation has shown that some of the unit costs and lump-sums currently granted for the organisation and implementation of mobility are inadequate and do not correspond to the reality.

- In the proposals submitted by the applicants, the needs analysis regarding curricula development and the feasibility study of managing mobility in different partner institutions is often weak. Partnerships tended to offer more scholarships for degree seeking mobility flows. This is due to the fact that (i) some HEIs are not fully ready for exchanges (mainly at master level) and that (ii) the availability at the partner institution of a specific academic offer does not exist at the origin institution (particularly for doctorates).

- Exchanges seem to be more common at doctorate level since they are based on research periods and not on credits acquired. Joint researches, cotutelle, research collaborations and common scientific publications are among the main outputs of the mobility implemented under the scheme.

- The lack of practical arrangements (i.e. credit transfer systems) for recognising the mobility periods spent at a different HEI makes it difficult to develop an integrated approach to the study recognition in a short timeframe. One exception was the partnerships involving HEIs from the West and Central regions where many harmonisation initiatives are ongoing to allow exchanges. This issue has also an impact on the scholarship applications since students, who are not sure to have the mobility period recognised as integral part of their studies, prefer to apply for degree seeking scholarships. Even so, the partnerships have made efforts to facilitate cooperation on recognition of studies and qualifications.

- Although partnerships are requested to pay attention to the gender balance, the female ratio is still lower in terms of applications received/selected, in particular for doctorate mobility.

- Overall, the organisation of mobility for beneficiaries went well during the first years. Applying for and obtaining the necessary documentation for entering the host country in some cases took longer and required more effort than anticipated. For example, an additional transit visa may be required and for some countries applications and collections can be made from one centre only.
3.2 Complementarity, synergy and donor coordination

Complementarity
The project is consistent with and complementary to ongoing and planned programmes in the area of higher education in African countries:

- the ending EDULINK programme;
- the ending Erasmus Mundus programme;
- the Erasmus+ programme for 2014-2020;
- the African HE Harmonisation and Tuning pilot initiative (2011-2013) and its successor phase funded under the Pan-African Programme;
- the Pan-African University (PAU);
- the Mwalimu Nyerere AU Scholarship Scheme; (launched in 2007 and supported by the EU) which aims to encourage and promote mobility of students and staff within Africa. It is expected that by 2017 the programme should provide scholarships to around 500 students for postgraduate studies and allow for the mobility of 70 academic and administrative staff.
- Some EU Member States have North-South/South-North scholarship programmes with Africa (full degrees, shorter credit mobility or sandwich programmes, summer schools, etc.): DAAD (the German Academic Exchange Service), the Agence Universitaire de la Francophonie, the British Council, NUFFIC (the Netherlands organisation for international cooperation in HE), CIMO (the Centre for International Mobility, Finland), Programkontoret Sweden, Campus France. A 2010 mapping of Member States programmes can be found at: http://www.mapping-he.eu/Programmes/Default.aspx.
- Other international organisations such as Carnegie also operate scholarship programmes.

Donor coordination
Several EU Member States, international organisations as well as other countries support HE cooperation programmes and student and academic mobility for Africa.
A HE donor harmonisation group was constituted in 2011 as a result of an Erasmus Mundus Action 3 project (Access to Success) steered by the European University Association and the Association of African universities (AAU). This group meets twice a year to report on EU Member States’ activities on HE in Africa (programmes, scholarships, capacity building actions etc.) and is kept up-to-date and involved in the work undertaken in the framework of the Joint Africa-EU Strategy (JAES).

3.3 Cross-cutting and other issues
The Intra-Africa Academic Mobility Scheme will address gender equality by promoting a balanced participation of women (both staff and students) in mobility. Partnership proposals will have to demonstrate how they respond to gender equality and social equity issues.
In addition, the action will strive to increase the participation of students and staff from fragile and conflict-affected states and regions within a country.

4. DESCRIPTION OF THE ACTION

4.1 Objectives/results
The overall objective of the action is to promote sustainable development and poverty reduction by increasing the availability of trained and qualified high-level professional manpower in Africa.

The specific objectives are:
- To contribute to the improvement of the quality of higher education through the promotion of internationalisation, and harmonisation of programmes and curricula within participating institutions.
• To enable students and staff to benefit linguistically, culturally and educationally from the experience gained in the context of mobility to another country.

Expected results
1. Improved and enhanced intra-African mobility of HE students and staff;
2. Wider access to postgraduate courses provided to students including those from disadvantaged groups;
3. Increased participation of HE institutions, students and staff from fragile and least developed regions/countries in the mobility scheme;
4. Reinforced capacity for African academic and administrative staff (linguistically, culturally and educationally) and their institutions in managing mobility flows within the frame of inter-institutional exchanges and enhanced capacity in international cooperation e.g. through an increased number of bi- or multi-lateral cooperation agreements with other universities;
5. Facilitated cooperation on recognition of studies and qualifications which will contribute to the improvement of the quality of HE through the promotion of internationalisation, and progress in the harmonisation of programmes and curricula within participating institutions;
6. Increased visibility for the participating institutions;
7. Enhanced dissemination of information on the various experiences and good practices of participating universities;
8. Enhanced political, cultural, educational and economic links between the participating countries in the longer run.

4.2 Main activities

The main activities related to the management of the programme are the following:
• Preparation and implementation of communication strategy targeting the potential applicants;
• Preparation of guidelines for call for proposals (scope of the call, eligible entities and partnerships, grant amount and number of mobility flows; conditions for organisation and implementation of mobility by the partnerships);
• Evaluation of the proposals (analysis by external experts);
• Selection of proposals and award of grants;
• Preparation and signature of grant agreements with the applicant/coordinating HEI;
• Follow-up and monitoring of projects;
• Results and quality assessment of the projects: impact on the region and country, on the institutions (e.g. number of staff, number of doctoral candidates, cooperation/partnerships established), and on individual students (e.g. return of students to the institution, increased employability and full fulfilment of the period of mobility).

The main activities within the partnerships are the following:
• Organisation and implementation of mobility;
• Development of communication and information strategy;
• Preparation of reports and analysis of results.

The main activities related to involvement of the African Union are the following:
• Consultation of AUC on the implementation of the mobility scheme through the Steering Committee;
• Transfer of know-how to AUC and/or possible designated independent body related to the preparation and implementation of the information strategy, evaluation of proposals, and selection of the grants, as well as in the design, management and monitoring of mobility schemes.
5. IMPLEMENTATION

5.1 Financing agreement

In order to implement this action, it is not foreseen to conclude a financing agreement with the partner country, referred to in Article 184(2)(b) of Regulation (EU, Euratom) No 966/2012.

5.2 Indicative implementation period

The indicative operational implementation period of this action, during which the activities described in section 4.2 will be carried out and the corresponding contracts and agreements implemented, is 90 months from the date of adoption by the Commission of this Action Document.

Extensions of the implementation period may be agreed by the Commission’s authorising officer responsible by amending this decision and the relevant contracts and agreements; such amendments to this decision constitute technical amendments in the sense of point (i) of Article 2(3)(c) of Regulation (EU) No 236/2014.

5.3 Implementation of the budget support component

Not applicable.

5.4 Implementation modalities

The action will be implemented under direct management by the Education, Audio-visual and Culture Executive Agency (EACEA) through a delegation by the Commission in accordance with Decision (2013/776/EU). EACEA will be responsible for the management of the call for proposals, and for the assessment and management of grant awards, contracting and monitoring of the awarded projects.

5.4.1 Grants: call for proposals “Intra-Africa Academic Mobility Scheme” (direct management)

(a) Objectives of the grants, fields of intervention, priorities of the year and expected results

In line with the objectives indicated in section 4.1, the call for proposals will enhance the capacity of HE institutions in Africa in international cooperation (by promoting collaboration between sending and hosting institutions) and will support mobility activities to improve the skills and competences of students and staff. Priorities of the call will be defined by the programme's stakeholders within 6 months after approval of the programme.

The awarded grants will fund the organisation and implementation of student and staff mobility within Africa as well as the provision of education/training and other services to international students, teaching/training, research assignments and other services to staff from the countries covered by the project.

The grants will be calculated on the basis of lump-sums to cover the organisation of mobility and on unit costs/scholarships for the implementation of mobility. The use of reimbursement on the basis of lump-sums and unit costs has shown to considerably simplify the calculation of the grant amounts in comparison to the budget-based system leading to a significant decrease of the workload of the contracting authorities and to an accelerated payment procedure for beneficiaries.
The programme's stakeholders will fix the rates relative to those financing modalities before the publication of the call for proposals. The methodology to be followed when defining these costs will take into account the experience of the Intra-ACP Programme, modifying the rates of lump-sums and unit costs when the implementation has shown their inadequacy. The revision will be based on statistical data and financial means mobilised in similar existing programmes, as well as on the rates defined for the international dimension of the Erasmus+ programme.

The call for proposals will mention an indicative number of individual mobility flows.

The amounts of the individual grants may vary depending on the uptake of the programme and taking into consideration lessons learnt from previous calls. It will notably depend on the number of scholarships proposed by the applicant, as well as the type and duration of planned individual mobility flows (master, doctoral candidates and staff, credits/degree-seeking mobility flows). After the evaluation of proposals, grant agreements will be signed with the coordinating institution of the partnership. The grants awarded under this call for proposal may cover multiple rounds of individual mobility applications (e.g. selection rounds that take place in different academic years).

(b) Eligibility conditions

The applicant/coordinating HEI must be:
- legal entities registered in one African country (NB: branches of HEIs from outside those countries are not eligible);
- HEIs (private or public) i.e. applicants must provide courses at the graduate and/or doctorate level leading to a qualification recognised by the competent authorities in their own country. They must be accredited by relevant national authorities in an African country and may be called “University” or bear any other relevant name (e.g. “Polytechnic”, “College”, “Institute”, etc.).

The full partners must follow the same eligibility criteria as the applicant/coordinating HEI.

Other types of organisations (NGOs, local authorities, Small and Medium Enterprises, etc.) from Africa or other countries can be involved in the project as associated members.

Applying partnerships will be allowed to involve an HEI (from EU or Africa) as "technical partner" to assist them with the organisation and implementation of the scheme.

Under each grant agreement, only the applicant/coordinating HEI will be a beneficiary while the other partners involved (full partners, technical partners, associated members) will be sub-grantees.

Eligible individual beneficiaries must be nationals from and resident in Africa. In addition, students (master and doctorates) must be registered in/graduated from an HEI within the partnership or another African HEI. Staff must work or be associated with an HEI within the partnership. In order to encourage brain-gain, partnerships may also target students from "diaspora", who are nationals of an African country but do not reside in Africa.

Subject to information to be published in the call for proposals, the indicative amount of the EU contribution per grant is between EUR 1 000 000 and 1 500 000 and the grants may be awarded to mono-beneficiaries.

The indicative duration of the grant (its implementation period) is 54 to 60 months. This duration is justified by the duration of the academic programmes offered (up to 48 months).
An extension of maximum 12 months may be granted to the beneficiary if for fully justified reasons beyond his control during the implementation of the project it becomes impossible to complete the activities within the scheduled period.

(c) Essential selection and award criteria

The essential selection criteria are the financial and operational capacity of the applicant. The essential award criteria are relevance of the proposed action to the objectives of the call; design, effectiveness, feasibility, sustainability and cost-effectiveness of the action.

(d) Maximum rate of co-financing

In accordance with Article 192 of Regulation (EU, Euratom) No 966/2012, the maximum possible rate of co-financing for grants under this call is 100%. Full funding is indeed essential for the action to be carried out, since parallel co-financing by the partners is not foreseen at the time of application but possibly during the implementation of the activities.

The essentiality of full funding will be justified by the Commission’s authorising officer responsible in the award decision, in respect of the principles of equal treatment and sound financial management.

(e) Indicative timing to launch the call


5.4.2 Grant: direct award (direct management)

Not applicable.

5.4.3 Procurement (direct management)

<table>
<thead>
<tr>
<th>Type (works, supplies, services)</th>
<th>Indicative number of contracts</th>
<th>Indicative trimester of launch of the procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Recruitment of external experts for the evaluation of the proposals</td>
<td>Services</td>
<td>10</td>
</tr>
<tr>
<td>Visibility activities</td>
<td>Services</td>
<td>3</td>
</tr>
</tbody>
</table>

5.5 Scope of geographical eligibility for procurement and grants

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.
The Commission’s authorising officer responsible may extend the geographical eligibility in accordance with Article 9(2)(b) of Regulation (EU) No 236/2014 on the basis of urgency or of unavailability of products and services in the markets of the countries concerned, or in other duly substantiated cases where the eligibility rules would make the realisation of this action impossible or exceedingly difficult.

5.6 **Indicative budget**

The indicative budget for the programme is as follows:

<table>
<thead>
<tr>
<th>contribution (in EUR)</th>
<th>Indicative third party contribution, in EUR</th>
</tr>
</thead>
<tbody>
<tr>
<td>5.4.1 Call for proposals “Intra-Africa Academic Mobility scheme”</td>
<td>9 904 000</td>
</tr>
<tr>
<td>5.4.3 – Procurement (direct management) - Experts</td>
<td>56 000</td>
</tr>
<tr>
<td>5.4.3 – Procurement (direct management) - Visibility activities</td>
<td>40 000</td>
</tr>
<tr>
<td>Total</td>
<td>10 000 000</td>
</tr>
</tbody>
</table>

Since the EACEA will be in charge of the implementation of the programme, the provisions of article 12 of the Council Regulation 58/2003 relating to operating costs apply. Credits for administrative costs will be transferred to EACEA from the general budget of the European Union, subject to the availability of funds for 2016 and subsequent years.

5.7 **Organisational set-up and responsibilities**

A Steering Committee will be set up to oversee, provide advice and validate the overall policy orientations of the programme.

The Steering Committee will be composed of EACEA, the European Commission and the AUC. Other stakeholders may be represented with an observer status upon invitation by the Steering Committee.

5.8 **Performance monitoring and reporting**

The day-to-day technical and financial monitoring of the implementation of project resulting from a call for proposals will be a continuous process and part of the implementing partner’s responsibilities. To this aim, the implementing partner shall establish a permanent internal, technical and financial monitoring system for the action and elaborate regular progress reports (not less than annual) and final reports. Every report shall provide an accurate account of implementation of the action, difficulties encountered, changes introduced, as well as the degree of achievement of its results (outputs and direct outcomes) as measured by corresponding indicators, using as reference the logframe matrix. The report shall be laid out in such a way as to allow monitoring of the means envisaged and employed and of the budget details for the action. The final report, narrative and financial, will cover the entire period of the action implementation.

In addition, the monitoring of the Intra-Africa Academic Mobility Scheme will be done by EACEA, on the basis of the following performance indicators:

- Number of individual applications (by gender) per year;
• Number of students participating per year (disaggregated by gender, credit mobility, degree mobility and employability);
• Number of academic staff (by gender) participating and indicating whether they have returned to their institutions;
• Differentiation of students and academic staff among African countries/regions (disaggregated by sending and receiving country, sector, gender, students with special needs and students coming from disadvantaged socio-economic background, and fragile and least developed countries and regions within a country);
• Number of institutions applying for - and eventually participating in - the Intra-Africa Academic Mobility Scheme;
• Differentiation of institutions among African countries/regions (including from fragile and least developed countries/regions within a country);
• Number of institutions involved which have not participated in the previous Intra-ACP academic mobility scheme (Lot 1-Africa);
• The establishment of recognition mechanisms of the mobility for students.

EACEA will follow-up the project implementation through desk-monitoring and field monitoring activities. The Commission may undertake additional project monitoring visits both through its own staff and through independent consultants recruited directly by the Commission for independent monitoring reviews (or recruited by the responsible agent contracted by the Commission for implementing such reviews).

5.9 Evaluation

The findings of the reports and evaluations may feed into a mid-term review and also in view of the next phase of the programme.

Having regard to the nature of the action, mid-term review may be carried out for this action or its components via independent consultants contracted by the Commission. It will be carried out for learning purposes, in particular with respect to inform the next phase of the programme (including for policy revision).

The Commission shall inform the implementing partner at least 2 months in advance of the dates foreseen for the evaluation missions. The implementing partner shall collaborate efficiently and effectively with the evaluation experts, and inter alia provide them with all necessary information and documentation, as well as access to the project premises and activities.

The evaluation reports shall be shared with the partner country and other key stakeholders. The implementing partner and the Commission shall analyse the conclusions and recommendations of the evaluations and, where appropriate, in agreement with the partner country, jointly decide on the follow-up actions to be taken and any adjustments necessary, including, if indicated, the reorientation of the project.

The financing of the evaluation shall be covered by another measure constituting a financing decision.

5.10 Audit

EACEA will audit financially the projects financed under this scheme.

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, on the basis of a risk assessment, contract independent audits or
expenditure verification assignments for one or several contracts or agreements. The financing of such an audit shall be covered by another measure constituting a financing decision.

5.11 Communication and visibility

Communication and visibility of the EU is a legal obligation for all external actions funded by the EU.

This action shall contain communication and visibility measures which shall be based on a specific Communication and Visibility Plan of the Action, to be elaborated at the start of implementation and supported with the budget indicated in section 5.6 above.

In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Appropriate contractual obligations shall be included in, respectively, the financing agreement, procurement and grant contracts, and delegation agreements.

With regards to the communication on the programme, the EACEA will promote the call for proposals via its website. Information sessions will be organised in order to disseminate the information of the opportunities offered by the call. Additional activities might be organised targeting a specific African region/country, as well as participation in networking events, international fairs, etc., might be envisaged.

Information on the call for proposals will also be sent to all the relevant stakeholders and organisations involved or interested in being involved the programme. EU Delegations in relevant countries will be briefed on the programme in order to promote in HEIs’ country and/or answer or refer questions.
AUC and AAU will use their own systems at the same time to promote the programme and provide information to prospective beneficiaries and grant applicants.

The Communication and Visibility Manual for European Union External Action shall be used to establish the Communication and Visibility Plan of the Action and the appropriate contractual obligations.
**APPENDIX - INDICATIVE LOGFRAME MATRIX**

The activities, the expected outputs and all the indicators, targets and baselines included in the logframe matrix are indicative and may be updated during the implementation of the action without an amendment to the financing decision. The indicative logframe matrix will evolve during the lifetime of the action: new lines will be added for listing the activities as well as new columns for intermediary targets (milestones) when it is relevant and for reporting purpose on the achievement of results as measured by indicators.

<table>
<thead>
<tr>
<th>Overall objective: Impact</th>
<th>Intervention logic</th>
<th>Indicators</th>
<th>Baselines (incl. reference year)</th>
<th>Targets (incl. reference year)</th>
<th>Sources and means of verification</th>
<th>Assumptions</th>
</tr>
</thead>
</table>
| Overall objective: Impact | Promote sustainable development and poverty reduction by increasing the availability of trained and qualified high-level professional manpower in Africa | • Number of students/academics trained and better qualified  
• Number of HEIs that have taken steps to increase access to vulnerable and/or under-represented groups  
• Employment rate of graduates from HEIs having participated in the exchange programme compared to non-participants | 2016 | Access to HE (including vulnerable groups) improved | Strategic documents for economic and social development in target countries  
Programme midterm review  
Studies on impact of HE on development | • Social and economic inclusion is a driver of poverty reduction  
• Mobility has a positive impact on employability  
• Labour market absorption rate depends on the availability of trained and qualified high-level professionals |

<table>
<thead>
<tr>
<th>Specific objective(s): Outcome(s)</th>
<th>Intervention logic</th>
<th>Indicators</th>
<th>Baselines (incl. reference year)</th>
<th>Targets (incl. reference year)</th>
<th>Sources and means of verification</th>
<th>Assumptions</th>
</tr>
</thead>
</table>
| Specific objective(s): Outcome(s) | To contribute to the improvement of the quality of higher education through the promotion of internationalisation, and harmonisation of programmes and curricula within collaborating institutions | • Number and academic value of degrees achieved by 2nd and 3rd cycle students  
• Number of research outputs and outcomes produced by research-active academic staff of partner HEIs | 2016 | Improved quality of teaching and learning  
Enhanced institutional and human capacity and conditions for academic research | Strategic plans and documents in the area of HE  
HEIs annual reports  
Agency/Implementing Organisation and reports | All relevant stakeholders are willing to cooperate |
<table>
<thead>
<tr>
<th>Specific objective(s): Outcome(s)</th>
<th>2016</th>
<th>Survey to alumni/alumni associations</th>
<th>Mobility has an impact on the personal development</th>
</tr>
</thead>
<tbody>
<tr>
<td>To enable students and staff to benefit linguistically, culturally and educationally from the experience gained in the context of mobility to another country.</td>
<td></td>
<td></td>
<td>Monitoring and assessment systems of mobility are in place</td>
</tr>
<tr>
<td>- Number of applications (by sex) for the intra-African mobility scheme and AU Nyerere Program per year* (MIP area 3.2, indicator 1.1)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>- Number of students (by sex) participating in the intra-African mobility scheme and AU Nyerere Program per year* (MIP area 3.2, indicator 1.2)</td>
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<tr>
<td>- Trends of postgraduate students returning to their home countries after their studies abroad</td>
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</tbody>
</table>
1. Improved and enhanced intra-African mobility of HE students and staff;
2. Wider access to postgraduate courses provided to HE for students including those from disadvantaged groups;
3. Increased participation of HE institutions, students and staff from fragile and least developed regions/countries in intra-African mobility schemes;
4. Reinforced capacity for African academic and administrative staff (linguistically, culturally and educationally) and their institutions in managing mobility flows within the frame of inter-institutional exchanges and enhanced capacity in international cooperation e.g. through an increased number of bi- or multilateral cooperation agreements with other universities;
5. Facilitated cooperation on recognition of studies and qualifications which will contribute to the improvement of the quality of HE through the promotion of internationalisation, and progress in the harmonisation of programmes and curricula within participating institutions;
6. Increased visibility for the participating...

<table>
<thead>
<tr>
<th>2016</th>
<th>Regional level strategic evaluation</th>
<th>Regional organisations play an active role towards harmonisation of national HE systems</th>
<th>Mobility has an impact on the HE sector improving the quality of the HE systems as a whole</th>
</tr>
</thead>
<tbody>
<tr>
<td>Number and scope of partnerships among HEIs</td>
<td>Regional dialogues on HE established or supported</td>
<td>Regional dialogues on HE established or supported</td>
<td>Regional dialogues on HE established or supported</td>
</tr>
<tr>
<td>Number and scope of agreements on mutual recognition of qualifications</td>
<td>Advanced standardisation of HE at regional level</td>
<td>Advanced standardisation of HE at regional level</td>
<td>Advanced standardisation of HE at regional level</td>
</tr>
<tr>
<td>Joint or collaborative degree programmes established</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
</tr>
<tr>
<td>Application of harmonisation process guidelines to HEIs teaching and learning organisation, modalities and practices</td>
<td>Enhanced use of standards and tools in recognition and transfer of credits systems</td>
<td>Enhanced use of standards and tools in recognition and transfer of credits systems</td>
<td>Enhanced use of standards and tools in recognition and transfer of credits systems</td>
</tr>
<tr>
<td>Number of IOs implemented/restructured</td>
<td>Improved management practices at participating HEIs</td>
<td>Improved management practices at participating HEIs</td>
<td>Improved management practices at participating HEIs</td>
</tr>
<tr>
<td>Number of reforms in participating HEIs that better allow them to implement exchange programmes</td>
<td>Exchange between employers and HEIs on teaching/learning process and outcomes</td>
<td>Exchange between employers and HEIs on teaching/learning process and outcomes</td>
<td>Exchange between employers and HEIs on teaching/learning process and outcomes</td>
</tr>
<tr>
<td>Study of regional HE systems in partner countries/regions (e.g. strategic study on HE challenges in the country/region, institutional/capacity assessment, etc.)</td>
<td>Studies on South-South co-operation in HE</td>
<td>Studies on South-South co-operation in HE</td>
<td>Studies on South-South co-operation in HE</td>
</tr>
<tr>
<td>Interviews of HEIs management staff</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
</tr>
<tr>
<td>Regional organisations play an active role towards harmonisation of national HE systems</td>
<td>Mobility has an impact on the HE sector improving the quality of the HE systems as a whole</td>
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</tr>
<tr>
<td>Mechanisms to reflect labour market needs in degree programmes and related curricula exist</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
<td>Regional level strategic evaluation</td>
</tr>
</tbody>
</table>

Regional level strategic evaluation

Regional dialogues on HE established or supported

Regional organisations play an active role towards harmonisation of national HE systems

Mobility has an impact on the HE sector improving the quality of the HE systems as a whole

Mechanisms to reflect labour market needs in degree programmes and related curricula exist