EN

ANNEX 5

of the Commission Implementing Decision on the financing of the annual action programme 2019 for the "Global Public Goods and Challenges (GPGC) in the area of Migration and Asylum" to be financed from the general budget of the Union

Action Document for the Support Measures 2019 of the GPGC Migration and Asylum programme

**Annual Programme**

This document constitutes the annual work programme in the sense of Article 110(2) of the Financial Regulation and action programme/measure in the sense of Articles 2 and 3 of Regulation N° 236/2014.

<table>
<thead>
<tr>
<th>1. Title/basic act/CRIS number</th>
<th>Support Measures 2019 – Annual Action Programme of the GPGC Migration and Asylum / CRIS number: MIGR/2019/042-093 financed under the Development Cooperation Instrument</th>
</tr>
</thead>
<tbody>
<tr>
<td>2. Zone benefiting from the action/location</td>
<td>Global</td>
</tr>
<tr>
<td>4. SDGs</td>
<td>The Action is contributing to the realization of the following SDGs: - 10.7: Facilitate orderly, safe, regular and responsible migration and mobility of people, including through the implementation of planned and well-managed migration policies</td>
</tr>
<tr>
<td>5. Sector of intervention/thematic area</td>
<td>GPGC Migration and Asylum</td>
</tr>
<tr>
<td>6. Amounts concerned</td>
<td>Total estimated cost: EUR 250 000</td>
</tr>
</tbody>
</table>
7. Aid modality(ies) and implementation modality(ies)  

<table>
<thead>
<tr>
<th>Project Modality</th>
<th>Direct through:</th>
</tr>
</thead>
<tbody>
<tr>
<td></td>
<td>Procurement of services</td>
</tr>
</tbody>
</table>

8 a) DAC code(s)  

| 15190 Facilitation of orderly, safe, regular and responsible migration and mobility |

8 b) Main Delivery Channel  

| N/A |

9. Markers (from CRIS DAC form)  

<table>
<thead>
<tr>
<th>General policy objective</th>
<th>Not targeted</th>
<th>Significant objective</th>
<th>Principal objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Participation development/good governance</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Aid to environment</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Gender equality and Women’s and Girl’s Empowerment</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Trade Development</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Reproductive, Maternal, New born and child health</td>
<td>X</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

<table>
<thead>
<tr>
<th>RIO Convention markers</th>
<th>Not targeted</th>
<th>Significant objective</th>
<th>Principal objective</th>
</tr>
</thead>
<tbody>
<tr>
<td>Biological diversity</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Combat desertification</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Climate change mitigation</td>
<td>X</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Climate change adaptation</td>
<td>X</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

10. Global Public Goods and Challenges (GPGC) thematic flagships  

| N/A |

**SUMMARY**

This Action document covers the expenditures of activities related to the preparation, follow up, monitoring, evaluation and audit activities related to implement the Migration and Asylum component of the Global Public Goods and Challenges thematic programme.

The overall objective is to contribute to achieve the objectives and specific results of the programme. This will be achieved by providing support to the EU Delegations, headquarter services as well as beneficiary countries to design and deliver evidence based, high quality, valuefor money support projects and to engage in effective policy and political dialogue.
The Support Measures will be used to finance, activities such as i) compile risk-based audits and evaluations, ii) provide technical support to identify and formulate new actions, iii) provide studies and advisory services, trainings courses for third parties, seminars, conferences, workshops, meetings and produce related publications, and iv) provide technical support to monitor, evaluate and assess the programme.

1 CONTEXT ANALYSIS

1.1 Context Description

In March 2014, the European Parliament and the Council adopted the Regulation (EU) No 233/2014 establishing a financing instrument for development cooperation in 2014-2020. The Regulation provides for, thematic programmes to address development-related global public goods and challenges and support civil society organisations and local authorities in partner countries. Migration and asylum are among the areas where significant development is needed. Actions in this area address global initiatives supporting internationally agreed development goals or the global public goods and challenges.

The support measures are designed to cover expenditures associated with the preparation, follow up, monitoring, evaluation and audit activities related to the implementation of the DCI Global Public Goods and Challenges Migration and Asylum component and to achieve its objectives.

These support measures (audits, evaluations, identifications, studies, meetings, information sessions, special events for awareness-raising, publications, trainings for third parties and any other administrative or technical assistance expenditure, including interests for late payments, etc.) contribute to the:

- sound management of the programme,
- achievement of its objectives and expected results and
- measurement, analysis and reporting on its impact.

1.2 Policy Framework (Global, EU)

Migration is an important part of EU development cooperation policy and strong EU engagement on the migration-development nexus is acknowledged at global level. Main recent policy developments include:

- The comprehensive 2015 European Agenda on Migration within the EU and with partner countries outside the EU and its related documents: the Valletta Declaration and its Joint Action Plan on Migration in November 2015 and the EU Emergency Trust Fund for Africa (EUTF), as well as the 2016 EU Partnership Framework on Migration.
- The EU has also adopted in 2016 a new policy to prevent forced displacement from becoming protracted and to end dependence on humanitarian assistance (Communication 'Lives in Dignity: from Aid-dependence to Self-reliance').
Global migration is critical to implement successfully the 2030 Agenda for Sustainable Development. Migration is a crosscutting issue that features throughout the 2030 Agenda and 11 out of 17 goals contain targets and indicators with a link to migration or mobility.

The SDGs’ central reference to migration is in target 10.7 ‘to facilitate orderly, safe, regular and responsible migration and mobility of people, including through the implementation of planned and well-managed migration policies’. Under Goal 10 ‘to reduce inequality within and among countries’. Other direct references are international student mobility (4.b), trafficking in human beings (5.2, 8.7, 16.2), labour rights of migrant workers (8.5, 8.7, 8.8), remittances (SDG 10.c), and migration data (17.18). Forced displacement (refugees and internal displacement) is explicitly recognised in the introduction and the principles that underpin the 2030 Agenda and a crosscutting issue across the whole 2030 Agenda. Key goals for forced displacement include accessible and available services (3, 4), freedom from violence and access to justice, with an emphasis on violence against women and girls (5, 16) and economic inclusion and empowerment (1, 8).

The European Consensus on Development reiterates the positive contributions of well-managed migration to inclusive growth and sustainable development while acknowledging the challenges posed, particularly by irregular migration and forced displacement. EU development policy and cooperation plays a crucial role in implementing this comprehensive policy framework. With the overall aim of maximising the development impact of migration, while minimising the negative effects, the migration and asylum component of the GPGC programme contributes to implementing the external aspects of the European Agenda on Migration, in coherence with and complementary to EU measures implemented under geographical programmes.

1.3 Public Policy Analysis of the partner country/region

N/A (Global programme)

1.4 Stakeholder analysis

The main stakeholders for this action are the staff implementing the GPGC Migration and Asylum programme who will receive sufficient support through support measures to implement the GPGC Migration and Asylum programme in the most effective manner, be it at headquarters or at EU delegations.

Other important stakeholders are those involved in implementing the support measures, such as external consultants and experts, specific migration and asylum projects’ stakeholders, participants in information sessions, workshops etc.

Stakeholders need to consider the gender-responsive rights-based approach when carrying out their work.
1.5 Problem analysis/priority areas for support

The action will cover priority areas targeted by the DCI Regulation (EU) No 233/2014 under its Article 6 and the Common Implementing Rules Regulation (EU) No 236/2017 under its Article 3.

More specifically, the action will focus on the following priorities for support:

- Improving the general quality and efficiency of the GPGC Migration and Asylum programme implementation, through needs assessments, gender analysis, studies on issues covered by Regulation No 236/2014, guidelines, projects follow-up, monitoring, audit and evaluation activities.

- Providing support to the EU Delegations in implementing of the GPGC Migration and Asylum programme at the local level and bringing qualitative support in case of emergent crisis and/or to improve the exchange of good practices.

- Ensuring the visibility of the GPGC Migration and Asylum programme, of its objectives and its results for a diverse audience.

- Providing research, studies, exchange of best practices, on relevant issues and ensuring that the information is shared.

2 RISKS AND ASSUMPTIONS

<table>
<thead>
<tr>
<th>Risks</th>
<th>Risk level (H/M/L)</th>
<th>Mitigating measures</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Given the detailed nature of this action there are no major risks to foresee</td>
<td>N/A</td>
<td>N/A</td>
</tr>
</tbody>
</table>

Assumption:

- Sufficient human resources are dedicated to managing the framework and service contracts for this action. High quality experts supplied (including experts on gender equality and the rights-based approach)

3 LESSONS LEARNED AND COMPLEMENTARITY

3.1 Lessons learned

The support measures have ensured that the programme and project preparation proceeds in an efficient manner. They have strengthened the efficiency, performance and impact of all activities related to the implementation of the GPGC programme. The support measures allocation has
been instrumental not only for the implementation of the GPGC programme, but also for the promotion of some of the crucial policy priorities in migration and asylum.

3.2 Complementarity, synergy and donor coordination

The activities under this action will be developed where necessary, in coordination with other relevant Commission Departments, EU Delegations and the European External Action Service (EEAS).

Technical and study facilities set up at geographical levels, existing arrangements covering monitoring and evaluation (Result Oriented Monitor (ROM) contracts, sectoral evaluation studies, Court of Auditors reports, etc.) and training courses (aid delivery methods contract) will complement to the support measures under this action.

The support measures are not directly tied to donor coordination. At the same time many of their outputs (evaluations, studies, conferences) could be used to feed the dialogue with other donors and to improve consistency between country approaches.

Particular attention will be paid to existing arrangements covering studies, monitoring and evaluations, such as the ROM contracts, when deciding on actions to be implemented under the Support Measures. The aim is to ensure complementarity of support measures and avoid risk of duplication.

4 DESCRIPTION OF THE ACTION

4.1 Overall objective, specific objective(s), expected outputs and indicative activities

The Support Measures will be used as a framework to finance activities linked to the implementation of the Global Public Goods and Challenges Migration and Asylum programme.

The main activities will include:

1. Risk based audits and evaluations. The objective is to strengthen auditing and evaluation activities to ensure the proper and sound management and implementation of EU funds as well as to assess the impact of actions financed by the GPGC programme.

2. Technical support to identify and develop new actions. The objective is to provide support to EU Delegations and Headquarters in designing projects and programmes that require more in-depth analysis.

3. Studies, seminars, meetings and production of related publications. The objective is to support practitioners involved in implementing GPGC programme and in achieving its objectives. Seminars, workshops, conferences and field support could be organised to help better understand of the drivers of irregular migration and forced displacement as well as effective response strategies and good practices to ensure high quality results while increasing efficiency and effectiveness.

4. Any other administrative or technical assistance expenditure, including interests for late payments.

The design and implementation of the activities will be taken from the gender responsive rights-based approach.
4.2 Contribution to SDGs

This intervention is linked to the 2030 Agenda. It contributes primarily to achieving SDGs target 10.7: Facilitate orderly, safe, regular and responsible migration and mobility of people, including through the implementation of planned and well-managed migration policies.

5 IMPLEMENTATION

5.1 Financing agreement

to implement this action, no financing agreement has been planned with the partner country.

5.2 Indicative implementation period

The indicative operational implementation period for this action, during which the activities described in section 4 will be carried out and the corresponding contracts and agreements implemented, is 48 months from the date of the adoption by the Commission of this Financing Decision.

The Commission’s authorising officer responsible may agree to extending the implementation period by amending this Decision and the relevant contracts and agreements.

5.3 Implementation modalities

For both indirect and direct management, the Commission will ensure that the relevant rules and procedures for providing financing to third parties are respected including review procedures, where appropriate, and compliance of the action with EU restrictive measures\(^1\).

5.3.1 Procurement (direct management)

<table>
<thead>
<tr>
<th>Activities</th>
<th>Indicative type (works, supplies, services)</th>
<th>Indicative trimester of launch of the procedure</th>
</tr>
</thead>
<tbody>
<tr>
<td>Risks based audits and evaluations</td>
<td>Services</td>
<td>Second trimester of 2019</td>
</tr>
<tr>
<td>Technical assistance, identification and formulation of new action to support the programme</td>
<td>Services</td>
<td>Second trimester of 2019</td>
</tr>
</tbody>
</table>

\(^1\) www.sanctionsmap.eu. Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website, it is the OJ version that prevails.
Studies, seminars, meetings and production of related communication and visibility material such as publications | Services | Third trimester of 2019

5.4 **Scope of geographical eligibility for procurement and grants**

The geographical eligibility in terms of place of establishment for participating in procurement and grant award procedures and in terms of origin of supplies purchased as established in the basic act and set out in the relevant contractual documents shall apply.

Under Article 9 (2)(b) of Regulation (EU) No 236/2014, the Commission’s authorising officer responsible may extend the geographical eligibility on the basis of urgency or of unavailability of products and services in the markets of the countries concerned, or in other duly substantiated cases where the eligibility rules would make the realisation of this action impossible or exceedingly difficult.

5.5 **Indicative budget**

<table>
<thead>
<tr>
<th>EU contribution (amount in EUR)</th>
<th>Indicative third party contribution, in currency identified</th>
</tr>
</thead>
<tbody>
<tr>
<td>- Audits EUR 50 000</td>
<td>N/A</td>
</tr>
<tr>
<td>- Evaluations EUR 150 000</td>
<td></td>
</tr>
<tr>
<td>- Studies, conferences EUR 50 000</td>
<td></td>
</tr>
<tr>
<td>Total Procurement (Direct management)</td>
<td>EUR 250 000</td>
</tr>
</tbody>
</table>

5.6 **Organisational set-up and responsibilities**

Support measures are available to all EU delegations implementing actions funded by the DCI Global Public Goods and Challenges Migration and Asylum programme. Each year, the Commission will submit a note to the EU Delegations and its geographic directorates of the Directorate-General for International Cooperation and Development, announcing the availability of funds for support measures. EU Delegations must seek the authorisation to the departments of the Commission’s headquarter in charge of the decision. Following the authorisation, it may then manage the procurement mainly through existing framework contracts- and contracting of services.
5.7 **Performance, results monitoring and reporting**

The day-to-day technical and financial monitoring of implementing the actions resulting from the procurement procedure falls with the EU Delegations or the Commission departments in charge of the contract.

5.8 **Evaluation**

Evaluation(s) may be carried out for this action or its components (including how these actions support gender equality and the rights-based approach (participation, accountability, transparency …)).

5.9 **Audit**

Without prejudice to the obligations applicable to contracts concluded for the implementation of this action, the Commission may, based on a risk assessment, contract independent audits or expenditure verification assignments for one or several contracts or agreements.

5.10 **Communication and visibility**

Communication and visibility of the EU is a legal obligation for all external actions funded by the EU.

This action includes where relevant communication and visibility measures that are based on a specific Communication and Visibility Plan for the Action. The plan should be drawn up at the start of implementation.

In terms of legal obligations on communication and visibility, the measures shall be implemented by the Commission, the partner country, contractors, grant beneficiaries and/or entrusted entities. Therefore, relevant contractual obligations on the communication and visibility measures must be included in the financing agreement, procurement and grant contracts, and delegation agreements.

The Communication and Visibility requirements for European Union External Action (or any succeeding document) shall be used to draw the Communication and Visibility Plan of the Action and the relevant contractual obligations.

The communication and visibility measures are implemented by way of procurement (beyond the procurement envisaged in Section 5.3.1) include the overall budget allocation in section 5.5, the indicative number and type of contracts (including the use of framework contracts, if any) and the indicative trimester of the launch of the procedure.