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**ANNUAL WORK PROGRAMME FOR GRANTS  
of the European Union Delegation to South Africa  
on behalf of the Republic of South Africa**

### **1. Basic act and Financing source**

Regulation (EC) No 1905/2006 of the European Parliament and of the Council of 18 December 2006 establishing a financing instrument for development cooperation (DCI).

Financing Source: Budget Line 21.060200

Financing Agreement: DCI-AFS/2011/022802

### **2. Objectives of the programme, priorities for 2012 and expected results**

The **overall objective** of the National Development Policy Support Programme is to contribute to the implementation of the Republic of South Africa's Medium-Term Strategic Framework (MTSF) and the accompanying outcomes-based approach, which aim to improve the conditions of life of South Africans and halving poverty and unemployment, while being aligned with the Millennium Development Goals (MDG). The strategic focus relates in particular to the understanding that economic growth and development, including the creation of decent work on a large scale, investment in skills development, service delivery and public sector reform are at the centre of the Government's approach.

The following two calls for proposals are part of two complementary supports programmes that contribute to the National Development Policy Support Programme overall objective. One component supports civil society organisations, while the other seeks to improve evidence-based policy making.

#### **CALL FOR PROPOSALS 1**

The overall objective of the call is to support the implementation of environmentally sustainable initiatives in South Africa which could facilitate South Africa's response to climate change and its transition to lower carbon economy while promoting job creation. The expected results comprise the following:

- Employment creation linked to enhanced environmental assets and natural resources has been facilitated;
- Extent and scope of environmentally sustainable actions in South Africa have increased;
- Capacities and networks of environment-related organisations in South Africa have been reinforced;
- Benefits of environmentally sustainable actions have been widely acknowledged in South Africa.

In line with these expected results, research activities and isolated capacity-building activities (workshops/training) may be excluded from eligible actions under this call for proposals. Preference will be given to actions employing a replication mechanism.

### **3. Eligibility conditions**

In order to be eligible for a grant, applicants must:

- be legal persons or an entity without legal personality **and**
- be non profit making **and**

- be specific types of organisations such as: be registered Non State Actors/civil society organisations including nongovernmental organisations, think tanks, research institutions, and independent foundations **and**
- be established in<sup>1</sup> a Member State of the European Union or the Republic of South Africa or any other eligible country as per the relevant provisions of DCI regulation<sup>2</sup> **and**
- be directly responsible for the preparation and management of the action with their partners, not acting as an intermediary

Potential applicants may not participate in calls for proposals or be awarded grants if they are in any of the situations which are listed in Section 2.3.3 of the Practical Guide to contract procedures for EU external actions (available from the following Internet address:

[http://ec.europa.eu/europeaid/work/procedures/implementation/index\\_en.htm](http://ec.europa.eu/europeaid/work/procedures/implementation/index_en.htm)).

#### **4. Selection and award criteria**

The evaluation will be carried out in accordance with the procedures set out in the Practical Guide to contract procedures for EU external actions.

#### **5. Maximum rate of European Union financing**

Any grant requested may not exceed 80% of the total eligible costs of the proposed budget for the action. The balance (i.e. the difference between the total cost of the action and the amount requested from the Contracting Authority) must be financed from the applicant's or partners' own resources, or from sources other than the European Community budget or the European Development Fund.

#### **6. Schedule of calls for proposals**

The call for proposals will indicatively be published in September 2012. The deadline for the submission of the application form will be 90 days after publication.

#### **7. Indicative amount of calls for proposals**

Total amount available: EUR 7,200,000

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<sup>1</sup> to be determined on the basis of the organisation's statutes which should demonstrate that it has been established by an instrument governed by the national law of the country concerned. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation, even if the statutes are registered locally or a "Memorandum of Understanding" has been concluded

<sup>2</sup> DCI regulations : [http://ec.europa.eu/europeaid/work/procedures/legislation/legal\\_bases/documents/dci\\_en.pdf](http://ec.europa.eu/europeaid/work/procedures/legislation/legal_bases/documents/dci_en.pdf)

Please see annex 2 to the Practical guide:

[http://ec.europa.eu/europeaid/work/procedures/implementation/eligibility/index\\_en.htm](http://ec.europa.eu/europeaid/work/procedures/implementation/eligibility/index_en.htm)

## CALL FOR PROPOSALS 2

The present call for proposal is part of the component related to the Programme to Support pro-Poor Policy Development II. The Programme purpose is to improve evidence-based policy making and implementation on poverty and inequality at national and provincial levels.

Expected result areas are:

- **1:** New and existing **research and other evidence** made available and new knowledge generated.
- **2:** Improved awareness and skills of policy-makers and researchers in generating, analysing and using evidence.
- **3: Work with** key stakeholders to **identify institutional mechanisms to improve the use of evidence to inform policy-making and implementation** (eg outcome support processes – clusters/Implementation Forums relevant to key themes, DPME/NPC systems, Limpopo Research Forum, provincial M&E units, national dept policy/strategy units, evaluation policy and systems, KM systems in government, Policy Action Network (PAN), operational analysts).

The overall objective of the call is to support the creation of new evidence and research in the field of poverty and inequality, covering the following themes in the social sector related to amongst others: education, health, social cohesion, crime, developmental social welfare, child poverty. In the economic-related field the following key themes will be covered: employment and unemployment, livelihood strategies, industrial and sector studies (agriculture, mining, services), innovation, land reform and agriculture. Crosscutting issues are governance and building institutional capacity.

### **3. Eligibility conditions**

In order to be eligible for a grant, applicants must:

- be legal persons or an entity without legal personality **and**
- be non profit making **and**
- be a statutory academic research institution of South Africa as well as research institutions linked to Universities, or be a Non Governmental Organisation that is able to provide evidence in its statutes that its core business is research i.e. a so-called ‘research NGO’ **and**
- be established<sup>3</sup> of a Member State of the European Union and South Africa or any other eligible country as per the relevant provisions of DCI regulation<sup>4</sup>
- be directly responsible for the preparation and management of the action with their partners, not acting as an intermediary.

Potential applicants may not participate in calls for proposals or be awarded grants if they are in any of the situations which are listed in Section 2.3.3 of the Practical Guide to contract procedures for EU

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<sup>3</sup>: to be determined on the basis of the organisation's statutes which should demonstrate that it has been established by an instrument governed by the internal law of the country concerned. In this respect, any legal entity whose statutes have been established in another country cannot be considered an eligible local organisation, even if the statutes are registered locally or accompanied or a “Memorandum of Understanding” has been concluded.

<sup>4</sup>: DCI regulations : [http://ec.europa.eu/europeaid/work/procedures/legislation/legal\\_bases/documents/dci\\_en.pdf](http://ec.europa.eu/europeaid/work/procedures/legislation/legal_bases/documents/dci_en.pdf)  
Please see annex 2 to the Practical guide:  
[http://ec.europa.eu/europeaid/work/procedures/implementation/eligibility/index\\_en.htm](http://ec.europa.eu/europeaid/work/procedures/implementation/eligibility/index_en.htm)

external actions (available from the following Internet address:  
[http://ec.europa.eu/europeaid/work/procedures/implementation/index\\_en.htm](http://ec.europa.eu/europeaid/work/procedures/implementation/index_en.htm)).

#### **4. Selection and award criteria**

The evaluation will be carried out in accordance with the procedures set out in the Practical Guide to contract procedures for EU external actions.

#### **5. Maximum rate of European Union financing**

Any grant requested may not exceed 80% of the total eligible costs of the proposed budget for the action. The balance (i.e. the difference between the total cost of the action and the amount requested from the Contracting Authority) must be financed from the applicant's or partners' own resources, or from sources other than the European Community budget or the European Development Fund.

#### **6. Schedule of calls for proposals**

The call for proposals will indicatively be published in July 2012. The deadline for the submission of the application form will be 90 days after publication.

#### **7. Indicative amount of call for proposals**

Total amount available: EUR 1,000,000