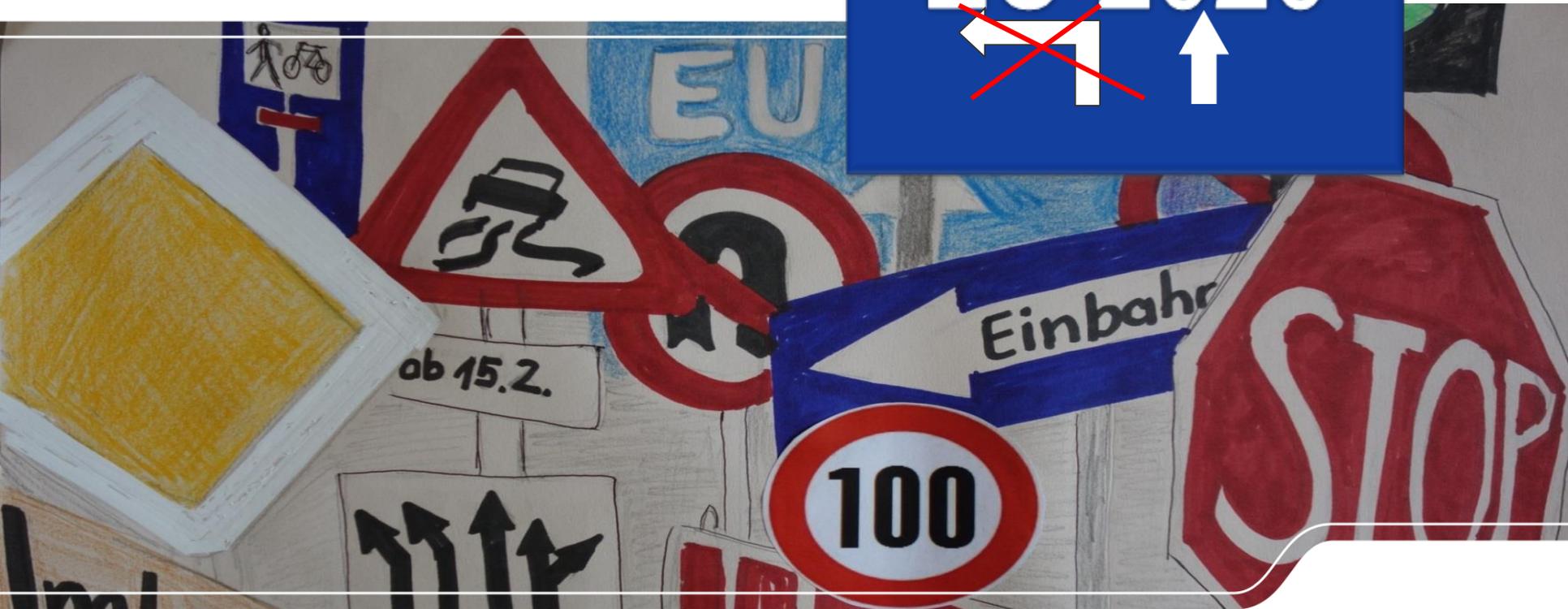


# 'The future CAP: towards a performance based delivery model'

STAATSMINISTERIUM  
FÜR UMWELT UND  
LANDWIRTSCHAFT



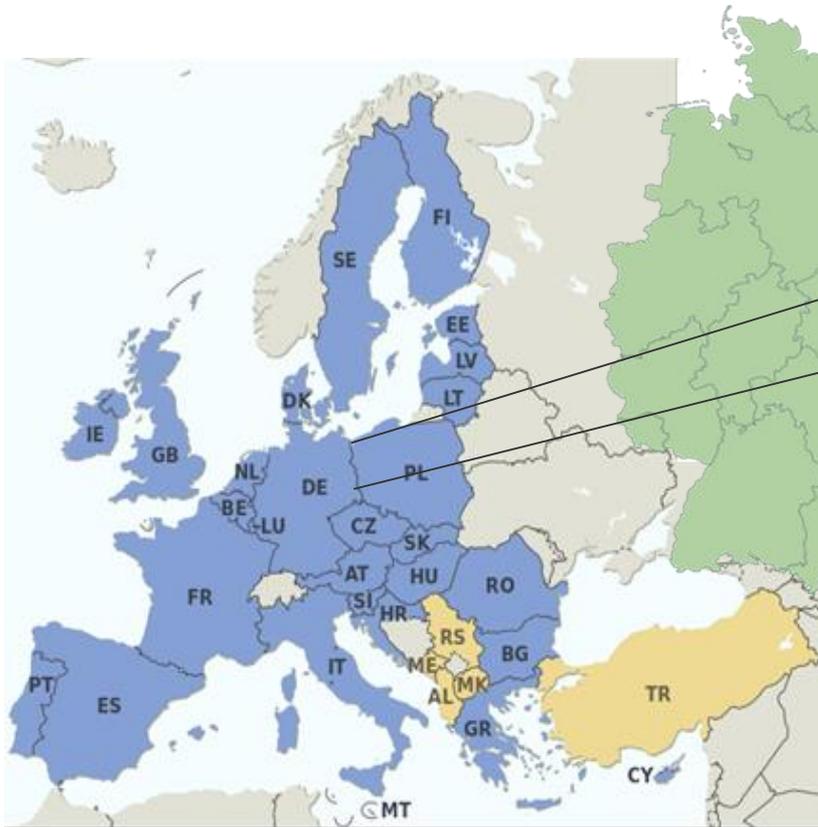
Saxony and the proposal for EAFRD-RESET



ENRD Workshop

# Saxony within the EU

STAATSMINISTERIUM  
FÜR UMWELT UND  
LANDWIRTSCHAFT



■ EU-Mitgliedstaaten  
■ Beitrittskandidaten

Source:  
EU-Mitgliedsstaaten und Kandidaten  
[https://commons.wikimedia.org/wiki/File:EU\\_Member\\_states\\_and\\_Candidate\\_countries\\_map.svg#/media/File:EU\\_Member\\_states\\_and\\_Candidate\\_countries\\_map.svg](https://commons.wikimedia.org/wiki/File:EU_Member_states_and_Candidate_countries_map.svg#/media/File:EU_Member_states_and_Candidate_countries_map.svg), 26.10.2016

Deutschland Lage von Sachsen  
[https://commons.wikimedia.org/wiki/File:%3ADeutschland\\_Lage\\_von\\_Sachsen.svg](https://commons.wikimedia.org/wiki/File:%3ADeutschland_Lage_von_Sachsen.svg), 26.10.2016



**ESI-Funds 2014 - 2020**  
**3,1 Billion EUR**

**Of that: EAFRD 879 M EUR**

# Reorientation of the EAFRD

## I Aims

- I simplification/ debureaucratisation
- I funding focused on results
- I subsidiarity

## I Risks

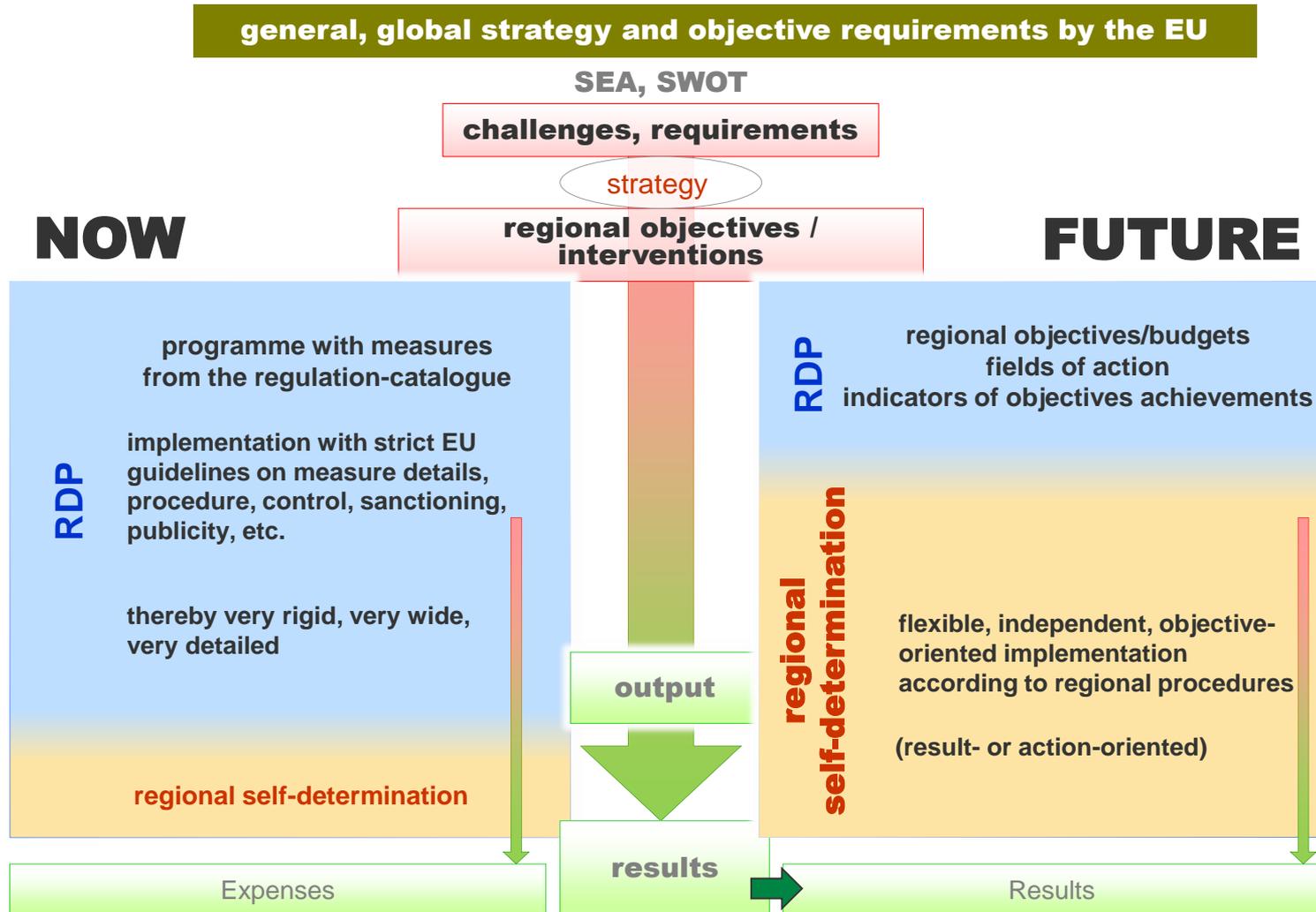
- I focus on results on top of the current system
- I focus on results without flexibility

## I Conclusion

- I either real restart or maintenance of old system without changes
- I a real restart means that a focus on results must be implemented for the entire system



# Reorientation of the EAFRD ...at RDP level



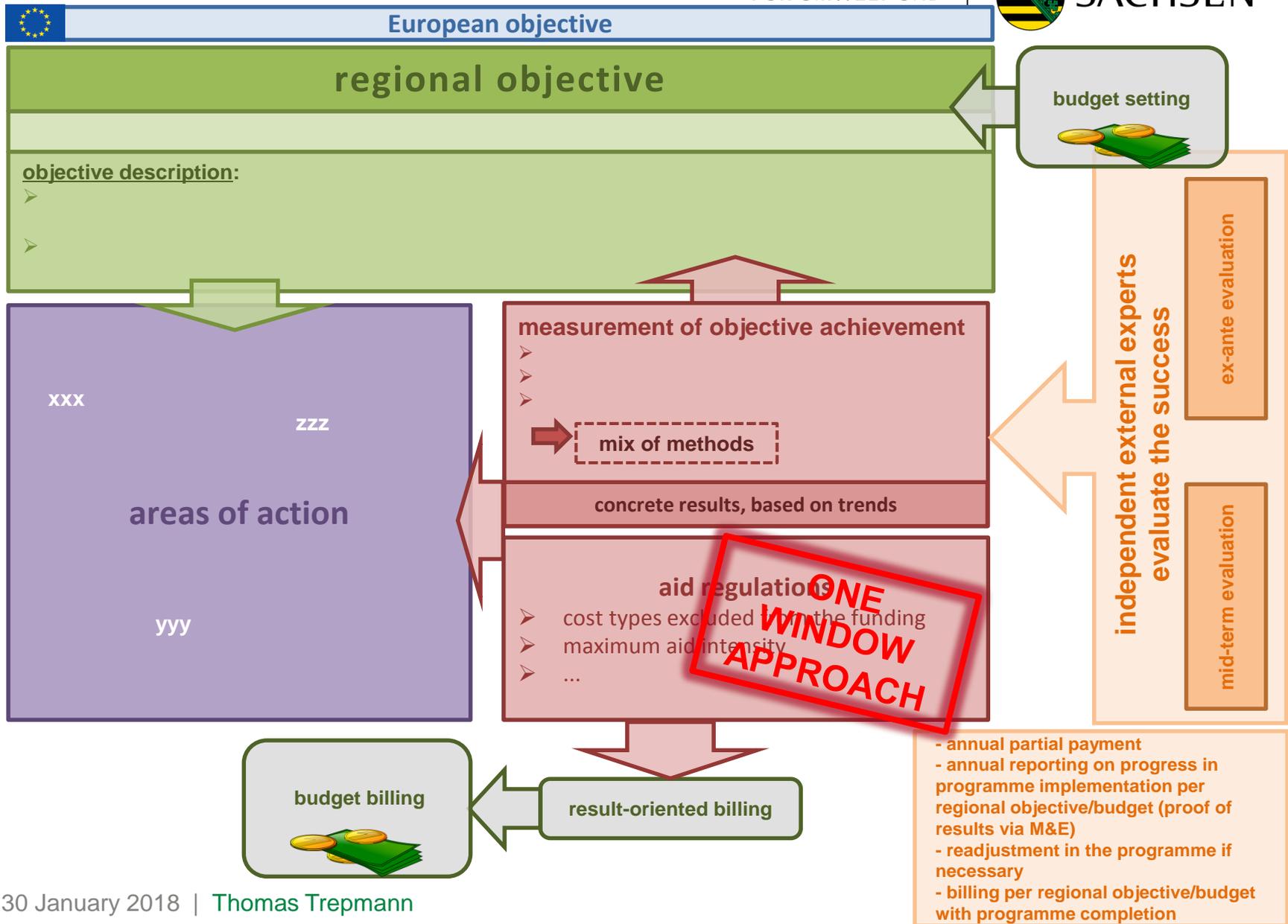
# Reorientation of the EAFRD ...at RDP level

## What is no longer in the RDP?

- | procedures for application and approval
- | payment procedures
- | support rates
- | control criteria
- | beneficiaries
- | eligible costs
- | other detailed information incl. references to other legal acts

**Change state  
aid rules**

# Core Elements of a new RDP



# Focus on Results and Targets....

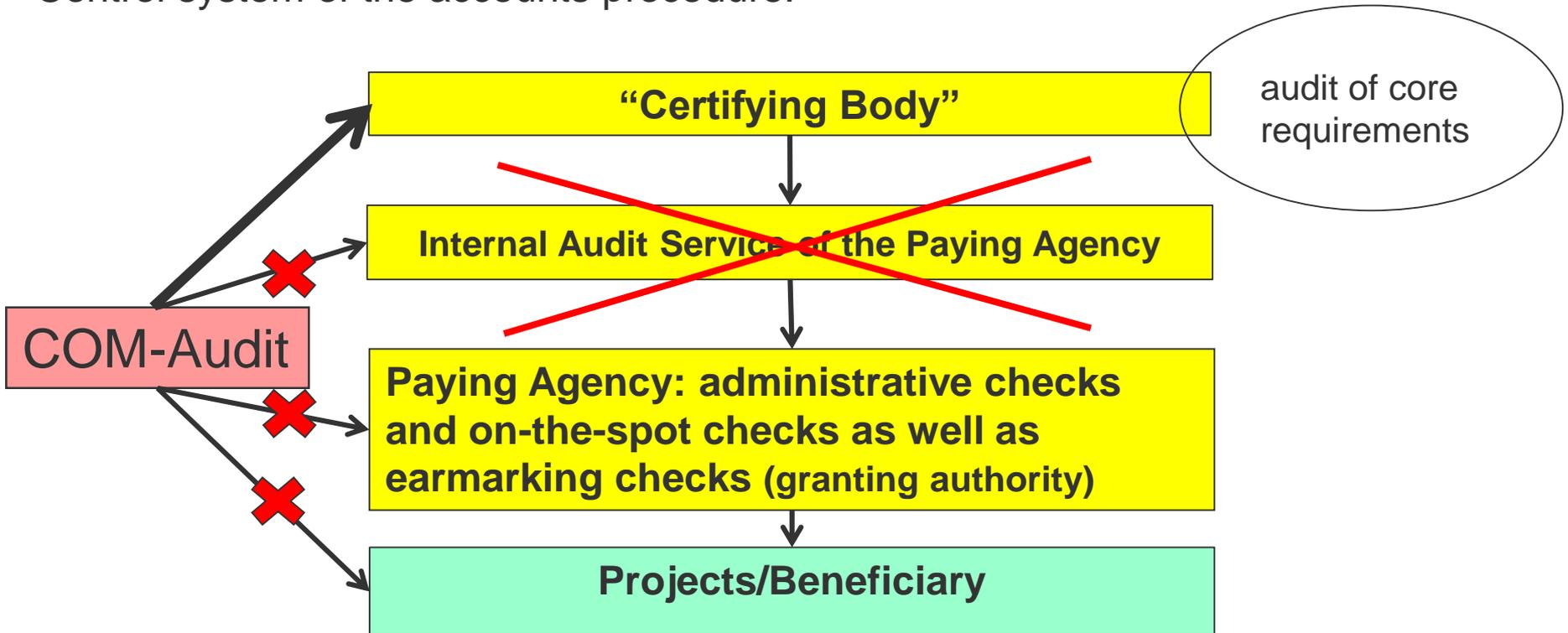
- | ... does not need to set target values, but corridors/margins where achievements are analysed regularly and if necessary adjustments are made – for orientation intermediate targets are stated,
- | ... must consider that effects sometimes occur years later (e.g. groundwater body) and are often a result of many factors (multifactorial impacts),
- | ... requires the region to react if it is expected to not reach the targets (adjustments to the programme, budget transfers, etc.),
- | ... cannot lead to consequences for beneficiaries if the targets are not achieved on RDP level - rights and obligations of the beneficiary are conclusively regulated in the approval of funding,
- | ... must leave space for external impacts that could objectively not have been foreseen (e.g. market crisis')

# Reorientation of the EAFRD ...at **Paying Agency level**

- I Change in the procedure of the Paying Agency?
  - greatest challenge if focus is set on results
- I What can be changed?
  - I accounting of results instead of expenses?
- I What should be maintained?
  - I area identification system
  - I part of the administrative structures

# Reorientation of the EAFRD ...at **Paying Agency level** Single-Audit

Control system of the accounts procedure:



To reduce the number of COM audits it is necessary to acknowledge the work of some kind of "Certifying Body".

# Reorientation of the EAFRD ...at Paying Agency level

## I core requirements for a working result control system

- I administrative controls
- I on-the-spot controls
- I exclusion of double funding
- I prevention/ punishment of fraud
- I institutional requirements

- I monitoring and confirmation through  
“Certifying Body”
- I the regions are responsible for the  
procedures

**RISK!**

**Change of „audit  
culture“!**

# Reorientation of the EAFRD ...at Paying Agency level

## Model: paying procedures whilst accounting with focus on results

- I separation from actual expenses
- I accounting per budget of the regional targets at the end of programme
- I → procedure is adaptable (annually, quarterly...); liquidity of member state/region must be secured
- I readjustments in the RDP must be possible

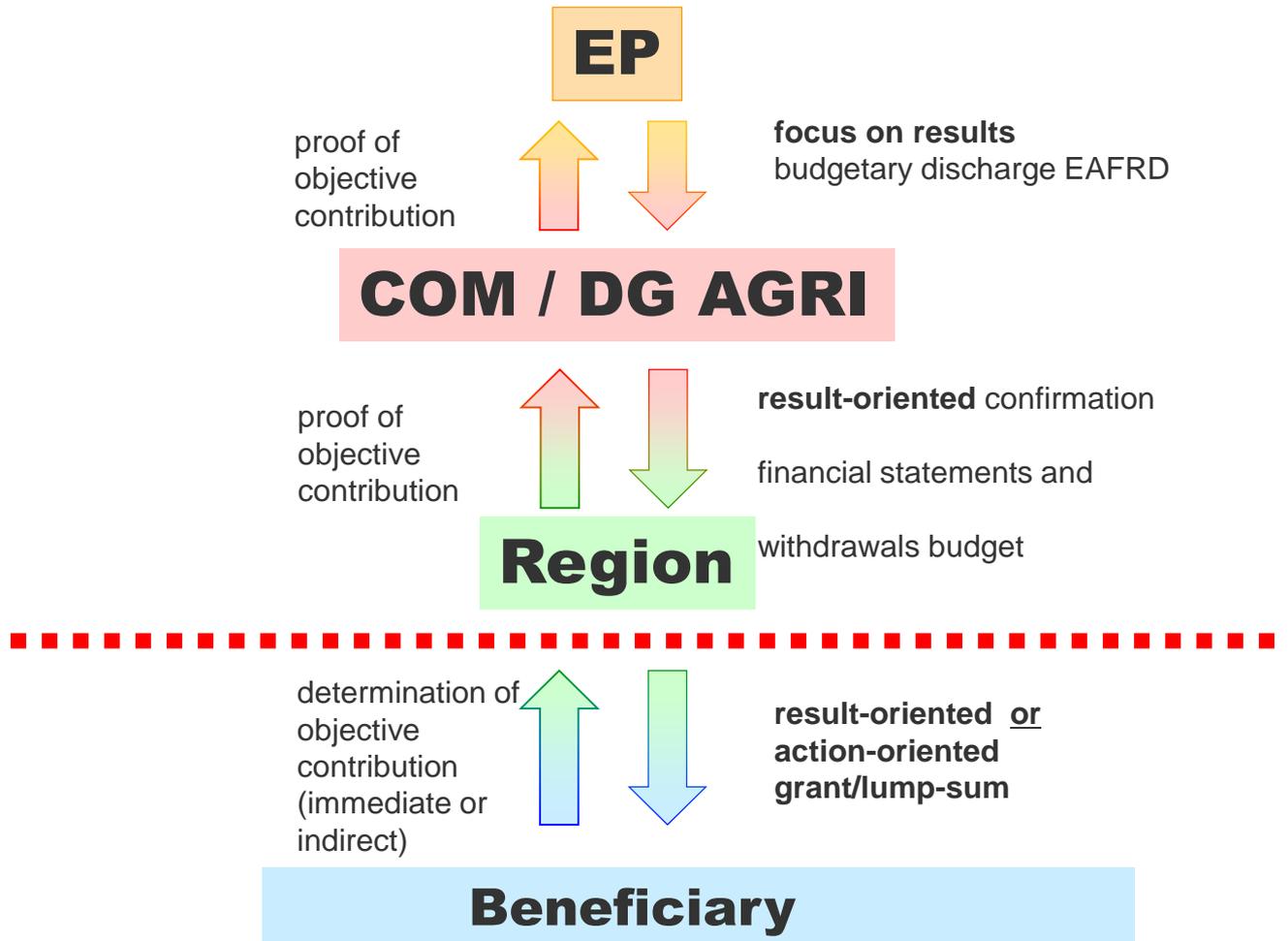
ANHANG I  
X-TABELLE  
Haushaltsjahr 2018

2018	A↓	2017	A↓	F100	F101	F103	F105	F105B	F105C	F106	F106A	F107	F108	F109	F110	F200	F201	F202A
05020101	1000	05020101	1000	X	X	X	X		X	X		X	X	X		X	X	X
05020101	1003	05020101	1003	X	X	X	X		X	X		X	X	X		X	X	X
05020102	1011	05020102	1011															
05020102	1013	05020102	1013															
05020201	1850	05020201	1850	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3010	05020300	3010	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3011	05020300	3011	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3012	05020300	3012	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3013	05020300	3013	X	X	X	X		X	X		X	X	X		X	X	X
05020300	3014	05020300	3014	X	X	X	X		X	X		X	X	X		X	X	X

# Summary

## Focus on Results

### „Focus on Results“



# Conclusion

Although **RISKS** do unquestionably exist it is nonetheless worthwhile to further develop this approach, because there is a **CHANCE** that eventually a system will be formed which:

- | is less bureaucratic,
- | is therefore more focused on results,
- | brings more output for the same resources,
- | takes burden off beneficiary and administration and thereby
- | increases acceptance for the European funding policy.



Thank you for your attention!

Contact: **Thomas Trepmann**

Saxon State Ministry of the  
Environment and Agriculture

Tel.: +49 351 564-2230

[thomas.trepmann@smul.sachsen.de](mailto:thomas.trepmann@smul.sachsen.de)

[www.smul.sachsen.de/eafrd-reset](http://www.smul.sachsen.de/eafrd-reset)