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THIS ACTION IS FUNDED BY THE EUROPEAN UNION

ANNEX II

to the Commission Decision on the financing of a special measure for humanitarian assistance in favour of Africa, Caribbean and Pacific (ACP) countries to be financed from the 10th and 11th European Development Funds (EDF) following Russia's war of aggression against Ukraine for 2022

Action Document for humanitarian assistance expenditure incurred by the Commission

1. Title/basic act/ CRIS number	Support expenditure incurred by the Commission for financing actions addressing the food security crisis and economic shock in African, Caribbean and Pacific (ACP) countries following Russia's war of aggression against Ukraine, in connection with humanitarian actions in favour of African, Caribbean and Pacific (ACP) countries following Russia's war of aggression against Ukraine (ECHO/-FA/EDF/2022/01000) CRIS number: n/a Financed under the European Development Fund (reuse of funds decommitted from projects under the 10th and 11th EDFs)
2. Zone benefiting	The resources for support measures (referred to in Art.6 of the Internal

from the action/location	Agreement) will cover Commission expenditure associated to projects implemented in ACP countries.	
3. Programming document	Not applicable	
4. Sustainable Development Goals (SDGs)	2 - End hunger, achieve food security and improved nutrition and promote sustainable agriculture	
5. Sector of intervention/thematic area	720 - Emergency Response	DAC-reportable Assistance: yes
6. Amounts concerned	EUR 4 500 000	
7. Aid modality(ies) and implementation modality(ies)	Direct management, implementation by the Commission (DG ECHO)	
8 a) DAC code(s)	DAC code: 72040 Sector: Emergency Food Assistance Percentage: 42% 700 Humanitarian Aid 720 Emergency Response 730 Reconstruction, Relief and Rehabilitation	
b) Main Delivery	NGOs / UNs / IOs – 20 000	

Channel	Multilateral organisations – 40 000			
9. Markers (from CRIS DAC form)	General policy objective	Not targeted	Significant objective	Principal objective
	Participation development/good governance	X	<input type="checkbox"/>	<input type="checkbox"/>
	Aid to environment	X	<input type="checkbox"/>	<input type="checkbox"/>
	Gender equality and Women's and Girl's Empowerment	<input type="checkbox"/>	X	<input type="checkbox"/>
	Trade Development	X	<input type="checkbox"/>	<input type="checkbox"/>
	Reproductive, Maternal, New born and child health	<input type="checkbox"/>	X	<input type="checkbox"/>
	Disaster Risk Reduction	<input type="checkbox"/>	X	<input type="checkbox"/>
	Inclusion of persons with disabilities	X	<input type="checkbox"/>	<input type="checkbox"/>
	Nutrition	<input type="checkbox"/>	<input type="checkbox"/>	X
	RIO Convention markers	Not targeted	Significant objective	Principal objective
	Biological diversity	X	<input type="checkbox"/>	<input type="checkbox"/>
	Combat desertification	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change mitigation	X	<input type="checkbox"/>	<input type="checkbox"/>
	Climate change adaptation	<input type="checkbox"/>	X	<input type="checkbox"/>
10. Internal markers	Policy objectives	Not targeted	Significant objective	Principal objective
	Digitalisation	X		
	Migration	X		
11. Global Public Goods and Challenges (GPGC) thematic flagship	Not applicable			

SUMMARY

On 12 July 2022, the Council approved Council Decision (EU) 2022/1223 to allocate an amount up to a maximum of EUR 600 000 000 from the funds decommitted from projects under the 10th and 11th EDFs for the purpose of financing actions addressing the food security crisis and economic shock in African, Caribbean and Pacific (ACP) countries following Russia's war of aggression against Ukraine.

According to Article 1 of Council Decision (EU) 2022/1223:

These funds should finance actions with a view to providing support as follows:

- (1) up to EUR 350 000 000 for food production and resilience of food systems,
- (2) up to EUR 150 000 000 for humanitarian assistance, and
- (3) up to EUR 100 000 000 for macro-economic support.

From this amount, up to EUR 488 000 000 shall be allocated from the 10th EDF and up to EUR 112 000 000 shall be allocated from the 11th EDF.

Out of these funds, up to a maximum of EUR 18 000 000 shall be allocated for support expenditure incurred by the Commission.

Therefore, the envelope for support expenditure comes as a reduction to the operational envelopes, which will total EUR 582 000 000 as follows:

- (1) up to EUR 336 500 000 for food production and resilience of food systems,
- (2) up to EUR 145 500 000 for humanitarian assistance, and
- (3) up to EUR 100 000 000 for macro-economic support.

The envelope for support expenditure will be used in line with the provisions of Article 6(2) of the Internal Agreement, which provides notably that resources for support measures may cover Commission expenditure associated with:

(a) the preparation, follow-up, monitoring, accounting, audit and evaluation activities, [..];

- the achievement of the EDF objectives, by way of development policy research activities, studies, meetings, information, awareness-raising, training and publication activities,
- computer networks for the exchange of information, and any other administrative or technical assistance expenditure for the programming and implementation of the EDF.

The resources shall also cover expenditure both at Headquarters and in Union Delegations on the administrative support needed to programme and manage operations financed under the ACP-EU Partnership Agreement and the Overseas Association Decision.

1 CONTEXT ANALYSIS

1.1 Context Description

Please refer to Action Document 1.

1.2 Policy Framework (Global, EU)

Please refer to Action Document 1.

1.3 Public Policy Analysis of the partner country/region

Please refer to Action Document 1.

1.4 Stakeholder analysis

Please refer to Action Document 1.

1.5 Problem analysis/priority areas for support

Please refer to Action Document 1.

2 RISKS AND ASSUMPTIONS

The main risk is possible difficulties in recruiting and/or training staff in a timely manner in support of the preparation, follow-up, monitoring, accounting, audit and evaluation activities necessary for the execution of the EDF funds mobilised with the present Financing Decision.

The Commission will pay particular attention to ensure that actions are undertaken to adequately manage these risks.

3 LESSONS LEARNT AND COMPLEMENTARITY

3.1 Lessons learnt

The Internal Agreements of the 10th and 11th EDFs have a separate provision on the “Resources reserved for Commission support expenditure linked to the EDF” as an integral part of the total EDF envelope. Indeed the use of such support expenditure has proven to be key for an improved financial management of the EDF funds throughout the lifecycle of the operations: from the preparation, to follow-up and monitoring, until the accounting, audit and evaluation, including reporting on results.

The “support expenditure” envelope, which also covers Commission resources for the administrative support needed to programme and manage operations, has been equally important for the successful implementation of the original EDF envelopes inscribed in the Internal Agreements as well as for the effective reuse, by means of a separate Council Decision, of funds decommitted from EDF projects.

3.2 Complementarity, synergy and donor coordination

For the purpose of ensuring complementarity, synergy and coordination, the Commission may sign or enter into joint donor coordination declarations or statements and may participate in donor coordination structures, as part of its prerogative of budget implementation and to safeguard the financial interests of the Union.

Please also refer to Action Document 1.

4 DESCRIPTION OF THE ACTION

4.1 Overall objective, specific objective(s), expected outputs and indicative activities

The overall objective is to fund support expenditure incurred by the Commission for financing actions addressing the food security crisis and economic shock in African, Caribbean and Pacific (ACP) countries following Russia's war of aggression against Ukraine.

The specific objective of the support expenditure is to make available the resources necessary for the preparation, follow-up, monitoring, accounting, audit and evaluation activities, for any technical expenditure and for any administrative support needed to programme, manage and achieve the objectives of the actions funded by the present Financing Decision in a timely manner.

The expected output is an improved financial management of the EDF funds throughout the lifecycle of the operations: from the preparation, to follow-up and monitoring, until the accounting, audit and evaluation, including reporting on results.

4.2 Intervention Logic

The activities funded by the support expenditure will contribute to the achievement of the expected outcome and impact described in Action Document I.

These support measures will be implemented directly by the Commission (direct management) to guarantee the quality, relevance, effectiveness, impact and sustainability of the actions funded. Therefore, they provide assurance on the capacity of the Commission to deliver on the overall objective of the timely and effective implementation of the envelope of the present Financing Decision for the

purpose of financing actions addressing the food security crisis and economic shock in African, Caribbean and Pacific (ACP) countries following Russia's war of aggression against Ukraine.

4.3 Mainstreaming

Please refer to Action Document 1.

4.4 Contribution to Sustainable Development Goals (SDGs)

This intervention is relevant for the United Nations 2030 Agenda for Sustainable Development. It contributes primarily to the progressive achievement of SDG 2 – “End hunger, achieve food security and improved nutrition and promote sustainable agriculture”.

5 IMPLEMENTATION

5.1 Financing agreement

No financing agreement will be concluded for the implementation of this Financing Decision.

5.2 Indicative implementation period

The indicative operational implementation period of this action, during which the activities described in section 4 will be carried out and the corresponding contracts and agreements implemented, is 48 months from the date of adoption by the Commission of this Financing Decision.

Extensions of the implementation period may be agreed by the Commission’s responsible authorising officer by amending this Decision and the relevant contracts and agreements.

5.3 Implementation modalities

Direct management, implementation by the European Commission: DG ECHO for the preparation of the budgetary commitments, DG HR for the legal commitments and the PMO for the payment of contract agents’ salaries.

The Commission ensures that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with EU restrictive measures¹.

These funds shall be used for financial commitments in accordance with the rules and procedures applicable to the 11th European Development Fund, as set out in Council Regulation (EU) 2015/322 of 2 March 2015 on the implementation of the 11th European Development Fund, and Council Regulation (EU) 2018/1877 of 26 November 2018 on the financial regulation applicable to the 11th European Development Fund.

5.3.1 *Grants: (direct management)*

Not applicable

5.3.2 *Prize(s) (direct management)*

Not applicable

5.3.3 *Procurement (direct management)*

Procurement planned for the monitoring, evaluation, audit, communication and visibility listed in sections 5.8 – 5.11.

5.3.4 *Indirect management with a Member State Organisation or third donor country*

Not applicable

5.3.5 *Indirect management with a regional organisation or the partner country*

Not applicable

¹ www.sanctionsmap.eu Please note that the sanctions map is an IT tool for identifying the sanctions regimes. The source of the sanctions stems from legal acts published in the Official Journal (OJ). In case of discrepancy between the published legal acts and the updates on the website it is the OJ version that prevails.

5.3.6 *Contribution to relevant Regional Blending Facility/Platform*

Not applicable

5.3.7 *Changes from indirect to direct management mode due to exceptional circumstances*

Not applicable

5.4 Scope of geographical eligibility for procurement and grants

Not applicable

5.5 Indicative budget

The financial envelope is EUR 4 500 000 and will cover expenditure associated with activities provided for in Article 6 of the Internal Agreement.

Support expenditure will cover the funding of staff (contract agents and/or local agents) in Headquarters and in the field offices. When the resources of the present envelope cover the needs in human resources for the administrative support required to programme and manage the operations financed, this shall include any additional indirect costs related to employer's contribution to the pensions, health and accident insurance and unemployment insurance of contract agents financed under this envelope.

5.6 Organisational set-up and responsibilities

Direct management, implementation by the European Commission.

5.7 Performance and Results monitoring and reporting

Please refer to the performance and results monitoring and reporting arrangements defined in Action Document 1.

5.8 Evaluation

Please refer to the evaluation arrangements defined in Action Document 1.

5.9 Audit

Please refer to the audit arrangements defined in Action Document 1.

5.10 Communication and visibility

Please refer to the communication and visibility arrangements defined in Action Document 1.

5.11 Implementation modalities with respect to EU restrictive measures

The Commission ensures that the EU appropriate rules and procedures for providing financing to third parties are respected, including review procedures, where appropriate, and compliance of the action with European Union restrictive measures². The Commission must always seek solutions that do not breach European Union restrictive measures. Accordingly, the Commission is required to channel humanitarian aid via actions and persons that are not restricted under the European Union restrictive measures.

However, in accordance with the relevant principles of international humanitarian law and with the principles of impartiality, neutrality and non-discrimination referred to in Article 214(2) TFEU, the Union must allow and facilitate rapid and unimpeded access to humanitarian relief by persons in need.

Therefore, where no other options are available, the provision of [assistance that qualifies as](#) humanitarian aid should not be prevented by European Union restrictive measures.

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