COMMISSION IMPLEMENTING DECISION

of 25.10.2011

concerning the adoption of the annual work programme and financing Decision for 2011
in the framework of Council Decision 2007/162/EC, Euratom establishing a Civil
Protection Financial Instrument

(Text with EEA relevance)
COMMISSION IMPLEMENTING DECISION

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concerning the adoption of the annual work programme and financing Decision for 2011 in the framework of Council Decision 2007/162/EC, Euratom establishing a Civil Protection Financial Instrument

(Text with EEA relevance)

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the functioning of the European Union,

Having regard to Council Decision No 2007/162/EC, Euratom establishing a Civil Protection Financial Instrument¹, and in particular Article 6 thereof,

Having regard to Council Decision No 2007/779/EC, Euratom establishing a Community Civil Protection Mechanism (recast)², and in particular Article 2 thereof,

Having regard to Commission Decision 2007/606/EC, Euratom of 8 August 2007 laying down rules for the implementation of the provisions on transport in Council Decision 2007/162/EC³,

Having regard to Commission Decision 2008/73/EC, Euratom amending Decision 2004/277/EC, Euratom as regards rules for the implementation of the Mechanism,


1 OJ L 71, 10.03.2007, p. 9
2 OJ L314, 1.12.2007, p. 9
3 OJ L 241, 14.09.2007, p. 17
5 OJ L 343, 27.12.2007, p. 9
7 OJ L 111, 28.04.2007, p. 13
Whereas:

(1) The Civil Protection Financial Instrument established by Council Decision 2007/162/EC, Euratom is intended to support and complement the efforts of the Member States for the protection, primarily of people, but also the environment and property, including cultural heritage, in the event of natural and man-made disasters, acts of terrorism and technological, radiological or environmental accidents and to facilitate reinforced cooperation between the Member States in the field of civil protection.

(2) Article 6(2) of Council Decision 2007/162/EC, Euratom states that financial assistance may take the form of grants or public procurement contracts.

(3) Article 6(3) states that, in the case of grants, the Commission shall adopt annual work programmes specifying the objectives, the schedule of the call or calls for proposals, the indicative amount involved, the maximum rate of financial intervention and the results expected.

(4) Article 6(4) states that, in the case of public procurement, contracts including framework contracts for the purposes of mobilisation of the necessary means for implementing rapid response actions shall be included in the annual work programme.

(5) Under Article 110 of the Financial Regulation, grants are subject to an annual work programme.

(6) Under Article 166 of the Implementing Rules, the annual work programme for grants is to be adopted by the Commission. It is to specify the basic act, the objectives, the schedule of calls for proposals with the indicative amounts and the results expected.

(7) Under Article 168(1) (a), (b), (c), (f) of the Implementing Rules, the Commission can decide to award grants without a call for proposals in case of aid for crisis situations, as defined in Article 168(2) of the Implementing Rules, or in exceptional and duly substantiated emergencies or to bodies with a duly substantiated de facto or de jure monopoly or for actions with specific characteristics that require a particular type of body on account of its technical competence.


(9) The Budgetary Authority approved the transfer of EUR 5 Million from the Emergency Aid Reserve. For this reason the adopted Decision C(2011)4859 should be repealed and a new Decision should be adopted.

(10) This Decision constitutes a financing decision within the meaning of Article 75(2) of the Financial Regulation and Article 90 of the Implementing Rules.

(11) The measures provided for in this Decision are in accordance with the opinion of the Committee established by Article 13(1) of Council Decision 2007/162/EC, Euratom.

(12) Under Article 83 of the Financial Regulation, creditors paid late are entitled to receive default interest charged to the line from which the principal was paid. It is therefore necessary to foresee that such default interest falls within the scope of this Decision.
It is appropriate to define the terms ‘substantial change’ within the meaning of Article 90(4) of the Implementing Rules for the application of this decision,

HAS DECIDED AS FOLLOWS:

Article 1

The work programme set out in the Annex is hereby adopted as the 2011 Annual Work Programme on the following budget articles and items, for the amounts of appropriations stated in the 2011 Budget:

- Budget item 23 01 04 02 - Civil Protection – Expenditure on administrative Management - for an amount of EUR 350 000;

- Budget article 23 03 01 - Civil Protection within the Union - for an amount of EUR 18 000 000;

- Budget article 23 03 06 - Civil Protection interventions in third countries- for an amount of EUR 19 000 000.

Article 2

In addition to EUR 19 million for budget line 23 03 06 and EUR 18 million for budget line 23 03 01, the maximum amount of authorised appropriations for the financial year with respect to these budget lines also includes carried over appropriations and new appropriations resulting from the mandatory reimbursements to be made by the beneficiaries of transport grants pursuant to Article 4(3)(a) of Council Decision 2007/162/EC, Euratom of 5 March 2007 establishing a Civil Protection Financial Instrument.

Article 3

1. Pursuant to Article 168(1) (a), (b), (c) and (f) of the Implementing Rules, the Commission shall award grants without a call for proposals to the competent authorities, designated in compliance with Article 12 of Commission Decision 2007/606/EC, Euratom, to complement the transport provided by Participating States by financing additional transport resources necessary for ensuring a rapid response to major emergencies falling in the scope of the Mechanism.

2. Pursuant to Article 168(1) (c) of the Implementing Rules, the Commission shall award grants without a call for proposals to the Member State holding the Presidency of the Council of the European Union to support the exchange of knowledge and experience on specific strategic civil protection issues and to discuss further civil protection actions between Participating States.
Article 4

The appropriations covered by the work programme may be used to pay default interest in accordance with Article 83 of Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002.

Article 5

Cumulated changes not exceeding 20 % of the maximum contribution of the Union, including increases corresponding to new appropriations resulting from assigned revenues and carried over appropriations, are not considered to be substantial provided that they do not significantly affect the nature and objectives of the work programme. The Authorising Officer by Delegation may adopt such changes in accordance with the principles of sound financial management.

Article 6

The Director-General for Humanitarian Aid and Civil Protection is responsible for ensuring the publication and implementation of the annual work programme.

Article 7

Decision C(2011) 4859 of 8 July 2011 is repealed.

Done at Brussels, 25.10.2011

(signed)

For the Commission
Kristalina GEORGIEVA
Member of the Commission
ANNEX

CIVIL PROTECTION FINANCIAL INSTRUMENT

WORK PROGRAMME 2011

The budget amount indicated is for EU 27 as foreseen for the year 2011 and can be supplemented by

a) the contribution from the EEA countries and future accession countries, which have signed a Memorandum of Understanding;

b) new or carried over appropriations resulting from the mandatory reimbursement to be made by the beneficiaries of transport grants;

LEGAL BASES

Council Decision 2007/162/EC, Euratom establishing a Civil Protection Financial Instrument,

Council Decision 2007/779/EC, Euratom establishing a Community Civil Protection Mechanism (recast),

Commission Decision 2008/73/EC, Euratom amending Decision 2004/277/EC, Euratom as regards rules for the implementation of the Mechanism,


BUDGET ARTICLES/ITEMS

23 03 01 Civil Protection within the Union

23 01 04 02 Civil Protection - Expenditure on administrative management

23 03 06 Civil protection interventions in third countries

BUDGET AMOUNTS

On budget article 23 03 01 EUR 18 000 000

On budget item 23 01 04 02 EUR 350 000

On budget article 23 03 06 EUR 19 000 000

OBJECTIVES OF THE PROGRAMME

The Civil Protection Financial Instrument established by Council Decision 2007/162/EC, Euratom is intended to support and complement the efforts of the Member States for the protection, primarily of people, but also the environment and property, including cultural heritage, in the event of natural and man-made disasters, acts of terrorism and technological, radiological or environmental
accidents and to facilitate reinforced cooperation between the Member States in the field of civil protection. It builds on three main objectives - Response, Preparedness and Prevention.

The present work programme covers the actions that are eligible for financial assistance under the Civil Protection Financial Instrument in the field of response, preparedness and prevention.

In compliance with Article 8 of the Civil Protection Financial Instrument, in implementing these actions, synergies and complementarity with other instruments of the Union shall be sought.

For emergencies occurring inside the EU, this will include consistency with Council Regulation (EC) No 2012/2002 establishing the European Union Solidarity Fund.

In the case of response to disasters in third countries, the Commission will in particular ensure the complementarity and coherence of the actions included in this work programme and the actions financed under Council Regulation (EC) 1257/96 of 20 June 1996 concerning humanitarian aid.

Actions inside the EU – i.e. actions in the field of prevention and preparedness as well as actions related to the civil protection response to major emergencies within Member States – are covered by budget article 23 03 01. Actions related to the response to major emergencies in third countries are covered by budget article 23 03 06.

INTRODUCTION

This work programme contains implementing measures for the year 2011. On the basis of the objectives given in Council Decision 2007/162/EC, Euratom establishing a Civil Protection Financial Instrument, the budget breakdown and main actions are as follows:

- Budget Article 23 03 01 - Civil Protection within the Union

Total amount earmarked in 2011: EUR 18 000 000

Grants (implemented under direct centralised management)

<table>
<thead>
<tr>
<th>Category</th>
<th>Action</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transport resources</td>
<td>Action1.2a</td>
<td>EUR 700 000</td>
</tr>
<tr>
<td>Exercises</td>
<td>Action 2.2</td>
<td>EUR 2 000 000</td>
</tr>
<tr>
<td>Preparedness projects</td>
<td>Action 2.4</td>
<td>EUR 1 600 000</td>
</tr>
<tr>
<td>Prevention projects</td>
<td>Action 3.1</td>
<td>EUR 2 000 000</td>
</tr>
<tr>
<td>Workshops with EU Presidency</td>
<td>Action 4.4</td>
<td>EUR 150 000</td>
</tr>
</tbody>
</table>

TOTAL EUR 6 450 000

Procurement (implemented under direct centralised management)

<table>
<thead>
<tr>
<th>Category</th>
<th>Action</th>
<th>Amount</th>
</tr>
</thead>
<tbody>
<tr>
<td>Transport resources</td>
<td>Action 1.2b</td>
<td>EUR 330 000</td>
</tr>
</tbody>
</table>

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Training  
Action 2.1 EUR 4 900 000

Exercises on modules and Technical Assistance and Support Teams  
Action 2.3 EUR 2 200 000

Other Prevention Activities  
Action 3.2 EUR 580 000

Awareness-raising activities and the dissemination of good practices  
Action 4.1 EUR 800 000

Early Warning  
Action 4.2 EUR 1 200 000

Maintenance and further development of CECIS and the MIC  
Action 4.3 EUR 1 040 000

TOTAL  
EUR 11 050 000

Reimbursement of expenses

Dispatching expertise  
Action 1.1 EUR 50 000

Lessons learnt programme, technical meetings, training and exercise observation missions  
Action 4.5 EUR 450 000

TOTAL  
EUR 500 000

• Budget item 23 01 04 02 -Civil Protection - Expenditure on administrative management

Evaluation  
Action 5.1 EUR 150 000

CECIS hosting, MIC security and resilience  
Action 5.2 EUR 200 000

TOTAL  
EUR 350 000

• Budget article -23 03 06 -Civil protection interventions in third countries

Dispatching expertise (Reimbursement of expenses)  
Action 1.1 EUR 600 000

Transport resources (Grants implemented under Action 1.2a direct centralised management)  
Action 1.2a EUR 15 400 000

Transport resources (Procurement implemented under direct centralised management)  
Action 1.2b EUR 3 000 000

TOTAL  
EUR 19 000 000
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The following section shows the various subheadings of the 2011 Work Programme according to the main actions.

OPERATIONAL EXPENDITURE

A. BUDGET ARTICLE 23 03 01 – CIVIL PROTECTION WITHIN THE UNION AND 
BUDGET ARTICLE 23 03 06- CIVIL PROTECTION INTERVENTIONS IN THIRD COUNTRIES

1. RESPONSE

1.1 DISPATCHING EXPERTISE

| Objectives | Dispatch assessment and coordination experts, along with their supporting equipment, facilitate the provision of assistance and cooperation with other actors in the event of major emergencies, or imminent threat thereof, in civil protection assistance interventions in the framework of the Mechanism. Provide appropriate logistical support for the assessment and/or coordination experts it deploys. |
| Expected results | The assessment and/or coordination team of experts is deployed quickly and works safely and autonomously in the field in close cooperation with the UN and EU humanitarian aid, whenever they are involved in the emergency, keeping contacts with the competent authorities of the State requesting assistance and other actors concerned by the emergency, in coordination with the MIC. The team of experts is provided with the safety and telecom equipment necessary to fulfil its tasks and may mobilize all technical and logistical equipment necessary to assess the situation, the civil protection needs, and/or facilitate the coordination on site of civil protection assistance channelled through the Mechanism by Participating States. |
| Type of activity | Experts’ missions as defined in Article 5.4 of the Council Decision 2007/779/EC, Euratom: “establishing the capability to mobilise and dispatch, as quickly as possible, small teams of experts” and on the basis of Article 4.2 a of the Council Decision 2007/162/EC, Euratom "dispatching assessment and coordination experts along with their supporting equipment, in particular communication tools, to facilitate the provision of assistance and cooperation with other actors present" |
| Indicative amount | EUR 50 000, BUDGET ARTICLE 23 03 01 (for missions inside EU) EUR 600 000, BUDGET ARTICLE 23 03 06 (for missions outside EU) |
| Type of procedure | Service contract with a logistic executive service provider. Pending the entry into force of the contract, activities will be managed with the following procedures: |
- Use of existing framework contract for travel and accommodation costs; reimbursement of such costs to experts whenever the use of the framework contract is not possible;

- Use of framework contract or low value contracts for insurance coverage of experts and MIC Liaison Officers, including risks not covered by the standard Commission contract;

- Use of low value contracts for purchase of safety, telecommunication and technical equipment;

- Reimbursement of all operational costs of the experts approved and necessary to execute the mission that were paid in advance by the MIC Liaison Officers (e.g. with official credit cards);

- Reimbursement of all other mission’s related costs to experts on the basis of written agreements.

| Indicative schedule | Use of existing framework contract or Call for tender for a service contract:  
Publication of the call: 1<sup>st</sup> semester 2011 Dispatch of experts on a case by case basis, depending on emergencies and activations of the Mechanism. |

### 1.2A TRANSPORT RESOURCES<sup>9,10</sup> (GRANTS)

| Objectives | Complement the transport provided by Participating States by financing additional transport resources necessary for ensuring a rapid response to major emergencies falling in the scope of the Mechanism. |
| Expected results | Participating States transport the assistance offered through the Mechanism (and accepted) by a requesting country efficiently and timely in order to cope with the nature of the emergency’s scenario. |
| Type of activity | Co-financing of the following transport costs:  
- Related to the movement of transport resources to the point of dispatch in the territory of the Participating State offering the civil protection assistance;  
- Incurred from the point of dispatch to its final destination; |

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<sup>9</sup> Overall amount earmarked for this action: €16 100 000 on budget lines 23 03 01 and 23 03 06. The distribution of the transport funds between grants and procurement is not binding and will depend on the type of financial supports (grant or transport service) requested by the Participating States to the Commission.

<sup>10</sup> In accordance with Commission Decision 2007/606/EC, Euratom of 8 August 2007 laying down rules for the implementation of the provisions on transport in Council Decision 2007/162/EC, including Article 9.
• Necessary for the return journey of the transport assets and any team and their equipment;

Only if all the criteria as defined in Article 4.2 (c) of Council Decision 2007/162/EC, Euratom are met.

**Indicative amount**

EUR 700 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union

EUR 15 400 000, BUDGET ARTICLE 23 03 06 -Civil protection interventions in third countries

Eligible costs are established in Article 9 of Commission Decision 2007/606 EC, Euratom of 8 August 2007 laying down rules for the implementation of the provisions on transport in Council Decision 2007/162/EC. Article 4.3a) of the Civil Protection Financial Instrument and Article 7.3 of Commission Decision 2007/606 EC, Euratom laying down the implementing rules for this instrument provide for reimbursement of at least 50% of the Union funds by Member States.

**Type of procedure**

Direct grants awarded without calls for proposals to competent authorities designated in compliance with Article 12 of the Commission Decision 2007/606 EC, Euratom of 8 August 2007 laying down the rules for the implementation of the provisions on transport (Article110 of Financial Regulation/Article 168(1) (a), (b), (c) and (f) of Implementing Rules) or Framework Partnership Agreements in compliance with Article 6.3 of the Decision.

**Indicative schedule**


**1.2b TRANSPORT RESOURCES**

**Objectives**

Support Participating States in obtaining access to transport resources by:

• Identifying and facilitating their access to transport resources that may be available from other sources, including the commercial market;

• Complementing the transport provided by Participating States by

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11 Overall amount earmarked for this action: € 3 330 000 on budget lines 23 03 01 and 23 03 06. The distribution of the transport funds between grants and procurement is not binding and will depend on the type of financial support (grant or transport service) requested by the Participating States to the Commission.

<table>
<thead>
<tr>
<th><strong>financing additional transport resources necessary for ensuring a rapid response to major emergencies falling in the scope of the Mechanism.</strong></th>
</tr>
</thead>
<tbody>
<tr>
<td><strong>Expected result</strong></td>
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<tr>
<td><strong>Type of activity</strong></td>
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<td></td>
</tr>
<tr>
<td><strong>Indicative amount</strong></td>
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<tr>
<td></td>
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<tr>
<td><strong>Type of procedure</strong></td>
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<tr>
<td><strong>Indicative schedule</strong></td>
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</tbody>
</table>
2. **PREPAREDNESS**

2.1 **TRAINING**

<p>| <strong>Objectives</strong> | Significantly improve the EU preparedness, notably regarding the dispatch of coordination and assessment teams of experts and the deployment of European civil protection assistance intervention teams and modules to European and third countries. |
| <strong>Expected results</strong> | Staff management, coordination and assessment skills of managers, experts and administrators are enhanced, notably for the participation in emergencies of a magnitude and nature which overwhelm the capacity of the requesting State and need the coordination of international community response. Expected number of places to be offered in courses 2011: up to 1000. |
| | Enhancement of the knowledge and skills of national and Union diplomatic personnel regarding civil protection. |
| | Establish at experimental stage the main components of Disaster Management Training Arrangements in accordance with Council conclusions on European disaster management training. |
| | Enhancement of the knowledge about the Mechanism among national experts selected to attend the Mechanism training programme and among trainers and students conducting and attending disaster management course at the national level. |
| | Training centres share a common syllabus, agreed with the MIC and conforming also to UN standards. |
| | Improved skills of trainers for reaching the above results. |
| <strong>Type of activity</strong> | Provision of courses to Participating States’ experts (civil protection including CBRN experts and marine pollution), Commission and UN experts who could participate in interventions, and national and Union diplomatic personnel. |
| | Provision of training documentation (i.e. books, the UNDAC handbook (United Nations’ Disaster Assessment and Coordination), documentation for diplomatic personnel, etc.) |
| | Meetings of trainers, of the Training Policy Group and ad hoc expert and stakeholder meetings, and preparation of documents for those meetings. |
| | Provision of a learning material that could be used as pre-training material for experts attending Mechanism courses or during national training courses. Conduct &quot;train the trainers&quot; workshops for national training centres. |</p>
<table>
<thead>
<tr>
<th>Indicative amount</th>
<th>EUR 4 900 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of procedure</td>
<td>Call for tender for a framework contract with training centres. Indicative number of specific contracts: 7</td>
</tr>
<tr>
<td></td>
<td>Use of existing framework contract for travel and accommodation costs of trainers, Training Policy Group members, and experts and stakeholders; reimbursement of such costs whenever the use of the framework contract is not possible.</td>
</tr>
<tr>
<td></td>
<td>Use of framework contracts or calls for tenders for purchasing training material to be provided in addition to that already provided by training centres and for the provision of expertise and organisation of meetings.</td>
</tr>
<tr>
<td>Indicative schedule</td>
<td>Indicatively three meetings and two workshops will be organised by the Training Policy Group; five expert and stakeholder ad hoc meetings.</td>
</tr>
<tr>
<td></td>
<td>Open call for tender: publication: May 2011</td>
</tr>
</tbody>
</table>

### 2.2 EXERCISES

| Objectives | To improve civil protection preparedness and response to all kinds of emergencies, including marine pollution, through providing a testing environment and learning opportunity for all actors involved in civil protection assistance interventions under the Mechanism, all actors involved in civil protection modules, technical assistance and support teams, as well as testing the procedures of the Mechanism itself. |
| Expected results | The interoperability of intervention teams is improved as the result of the exercise; in particular this will imply quicker dispatch time, improved cooperation arrangements, better communication between headquarters and field, identification of shortcomings in the existing structures. |
| Participating States are aware and prepared to receive and to provide assistance through the Mechanism. |

| Type of activity | Exercises simulating the situation and conditions of major emergencies for the activation of the Mechanism including chemical, biological, radiological, nuclear emergencies and the involvement of Participating States through the MIC, mainly with deployment of intervention teams (including modules), teams of experts, national key contact point staff, officials of the EU Institutions including humanitarian aid experts |
| Parts related to satellite communications might be subject to special procedure established between the Commission and the European Space Agency (ESA), co-funded by the ESA. |
| Exercises with marine pollution scenarios are possible. Whenever the scenario includes the participation of the European Maritime Safety Agency. |
Agency's (EMSA) oil spill response vessels, the daily rate for the vessel’s operational use and fuel costs will be covered by the EMSA.

### Indicative amount

<table>
<thead>
<tr>
<th>Indicative amount</th>
<th>EUR 2 000 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union</th>
</tr>
</thead>
<tbody>
<tr>
<td>Maximum co-funding rate of 85% of eligible costs, with a maximum of EUR 1 000 000 of EU contribution for each proposal financed.</td>
<td></td>
</tr>
</tbody>
</table>

### Type of procedure

- Calls for proposals

### Indicative schedule

- Publication: January 2011
- Closure: April 2011
- Award decision: August 2011

### Essential eligibility, selection and award criteria

#### Eligibility criteria

- The emergency scenario is realistic and of such magnitude to overwhelm the capacities of the affected country;
- The request for assistance to the MIC is established through the official channels of the affected state (National Authority) and coordinated through the MIC and the official channels of the other Participating States;
- The Mechanism is activated;
- At least two Participating States other than the State affected by the emergency simulated commit to dispatch intervention teams, civil protection modules, or technical assistance and support teams through the MIC;
- Involvement of the national civil protection authorities of Participating States in the project.

#### Selection criteria

- Proven evidence of adequate operational capacity and professional qualifications and experience of all participants to carry out a project according to the proposed objectives and deliverables;
- Financial soundness of all project participants: self-financing and/or co-financing capacity.

#### Award criteria

- Understanding: the use of the different resources of the Mechanism and the testing of procedures proposed by the project correspond to the objectives, the strategic and legislative context of the call;
- Methodology: the proposed project tasks, the project management methodology in general and the exercise planning conduct and evaluation methodology are suited for the achievement of the project aims, objectives and expected results. They also take into account standards and procedures of the other Participating States, as well as international standards and conventions (UN), where appropriate.
- Cost effectiveness: the proposed budget is sufficient for a proper project implementation and the project is conceived in such a way to ensure the best value for money, taking also into account the
expected results and deliverables.

- European dimension: the proposed project has a proper European dimension i.e. broad participation of Member States and Participating States of the Mechanism, importance, applicability and relevance of the scenario and the expected results for the Member States and the EU as a whole.
- Involvement of a wide range of emergency responders: for emergencies inside the EU, the proposed project involves a wide range of emergency responders (civil protection, police, health and other intervention support). As appropriate, for scenario related to emergencies outside the EU humanitarian aid experts and relevant international organisations are involved.

### 2.3 Exercises on Modules and Technical Assistance and Support Teams

| Objectives | To establish common understanding of the cooperation and achieve an interdisciplinary interoperability between modules and/or technical assistance support teams. To establish common standards of cooperation in civil protection assistance interventions. Improvement of civil protection preparedness and response for emergencies through providing a testing environment and learning opportunity for all actors involved in civil protection modules and technical assistance and support teams as well as testing the procedures of the Mechanism. |
| Expected results | Modules are interoperable, and Participating States and the MIC are aware of cooperation arrangements and operational procedures for their use in real emergencies. Accelerated dispatch time in real emergencies. |
| Type of activity | Plan, conduct and evaluate exercises for registered civil protection modules and technical assistance and support teams |
| Indicative amount | EUR 2 200 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union |
| Type of procedure | Call for tender for service contracts – indicative number (3 contracts) |
| Indicative schedule | Publication: April 2011 |
## 2.4 Preparedness Projects

| Objectives | • Improve the effectiveness of emergency response by enhancing the preparedness and awareness of civil protection professionals and volunteers.  
  • Support and complement the efforts of the Participating States for the protection of citizens, environment and property in the event of natural and man-made disasters.  
  • Facilitate reinforced cooperation between the Participating States in the field of preparedness in civil protection and marine pollution. |
| Expected results | • Awareness and skills of civil protection professionals and volunteers are increased.  
  • Solutions to concrete civil protection needs and/or challenges at regional and cross border level are assessed and implemented. |
| Type of activity | Projects and small scale exercises aimed at concrete complementary improvements and innovation. Concrete outputs may include, e.g., the joint design, planning, development and implementation of innovative civil protection activities, including procedures, techniques, tools, etc. They may also include the transfer or the adaptation of existing features from other geographical or thematic contexts. |
| Priorities for the year | Cross border and civil protection co-operation regarding direct response to natural and man made disasters, which may affect critical cross-border infrastructures between two Participating States or which require specific technical skills or know-how.  
   Actions aiming at enhancing operational cooperation in the framework of the Mechanism enabling Participating States to develop, exercise and register multinational modules.  
   Actions aiming to increase the Participating States' preparedness to chemical, biological, radiological and nuclear acts of terrorism or industrial accidents and to support the implementation of the EU CBRN Action Plan in particular.  
   Actions aiming to increase the Participating States preparedness for major events occurring in the EU  
   Actions aiming to increase the Participating States preparedness to on the coast consequences of marine pollution accidents.  
   Actions aiming to increase the Participating States' preparedness for receiving assistance.  
   Projects should be complementary to relevant activities undertaken at European level using Union funds (these include the European Agricultural Fund for Rural Development, the European Regional Development Fund, the Cohesion Fund, Life+, DIPECHO, CIPS |
programme, the ICT Policy Support Programme and the Seventh Research Framework Programme).

| Indicative amount | EUR 1 600 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union
|                  | Maximum co-funding rate of 75% of eligible costs, with a maximum of EUR 500 000 of EU contribution for each proposal financed. |

| Type of procedure | Calls for proposals |

| Indicative schedule | Publication of the call: January 2011
|                    | Closure: 1st stage February 2011
|                    | 2nd stage end of April 2011
|                    | Award decision: September 2011 |

| Essential eligibility, selection and award criteria | The call for proposals should follow a two stage submission procedure. For the first stage applicants will be asked to submit only a summary of the actions proposed. Only those applicants, whose proposals satisfy the evaluation for the first stage, shall be requested to submit complete proposals in the second stage. |

| 1st set of criteria: |
| 1. Relevance of the actions proposed to the objectives of the call |
| 2. Overall methodology of actions proposed (aims, proposed activities, results, partners) |

| 2nd set of criteria |

| Eligibility criteria |
| Projects are designed and implemented by trans-national partnerships involving entities from at least 2 Participating States; in the event of international organisations, a branch office is considered as a single entity; |

| Selection criteria |
| Proved evidence of adequate operational capacity and professional qualifications and experience of all participants to carry out a project according to the proposed objectives and deliverables; |

| Financial soundness of all project participants: self-financing and/or co-financing capacity. |

| Award criteria |
| Understanding: clarity and consistency of rationale, objectives and planning. The project's proposal is established in compliance with the objectives, the strategic and legislative context of the call and the |

|
Mechanism; the activities address the whole or part of the problem/need identified.

- Methodology: description of the ways and means for translating the project into practice and producing the expected results (theoretical background, operating procedures, schedules, constraints, assumptions, risks). There are logical links between identified problems, needs, and solutions proposed through the project (Logical Frame Concept). Feasibility of the project within the proposed time frame.

- Cost effectiveness: the proposed budget is sufficient for a proper project implementation and the project is conceived in such a way to ensure the best value for money, taking also into account the expected results and deliverables. The project demonstrates to be sustainable in a later stage without the financial support of EU.

- European dimension: the expected results are the result of transnational work of partners and have the potential to be efficiently reproduced and/or transferred to all/other EU countries or organisations.
### 3. Prevention

#### 3.1 Prevention Projects

<table>
<thead>
<tr>
<th><strong>Objectives</strong></th>
<th>Support and complement the efforts of Participating States on prevention, focusing on areas where a common European approach is more effective than separate national approaches.</th>
</tr>
</thead>
</table>
| **Expected results** | Fostering of best practices, training, and awareness-raising in disaster prevention.  
                            Contribution to:  
                            • the development of knowledge based disaster prevention policies,  
                            • the improvement of the linking of the relevant actors and policies throughout the disaster management cycle (prevention-preparedness-response-recovery),  
                            • the improvement of the effectiveness of existing policy and financial instruments with regard to disaster prevention. |
| **Type of activity** | Projects, plans and studies. |
| **Indicative amount** | EUR 2 000 000, Budget Article 23 03 01 – Civil protection within the Union  
Maximum co-funding rate of 75% of eligible costs, with a maximum of EUR 500 000 of EU contribution for each proposal financed. |
| **Type of procedure** | Call for proposals |
| **Indicative schedule** | Publication of the call: January 2011  
Closure: 1st stage February 2011  
2nd stage: April 2011  
Award decision: September 2011 |
| **Priorities for the year** | Actions assessing the costs and benefits of risk prevention measures  
Actions developing hazard identification and risk modelling  
Actions to improve the effectiveness of financial instruments supporting disaster prevention  
Actions drawing lessons from past disasters to look at potential improvements to disaster prevention |
## Actions on awareness-raising of the public on disaster prevention

Actions on prevention training (training of trainers), planning and governance

Cross border and civil protection co-operation regarding the prevention of natural and man made disasters, which may affect critical cross-border infrastructures. Projects should be complementary to relevant activities undertaken at European level using Union funds for prevention activities (these include the European Agricultural Fund for Rural Development, the European Regional Development Fund, the Cohesion Fund, Life+, DIPECHO, CIPS programme, the ICT Policy Support Programme and the Seventh Research Framework Programme).

<table>
<thead>
<tr>
<th>Essential eligibility, selection and award criteria</th>
</tr>
</thead>
<tbody>
<tr>
<td>The call for proposals should follow a two stage submission procedure. For the first stage applicants will be asked to submit only a summary of the actions proposed. Only those applicants, whose proposals satisfy the evaluation for the fist stage, shall be requested to submit complete proposals in the second stage.</td>
</tr>
</tbody>
</table>

**1st set of criteria:**

1. Relevance of the actions proposed to the objectives of the call
2. Overall methodology of actions proposed (aims, proposed activities, results, partners)

**2nd set of criteria**

### Eligibility criteria
- Projects are designed and implemented by trans-national partnerships involving entities from at least 3 Participating States; in the event of international organisations, a branch office is considered as a single entity;
- Proved evidence of adequate operational capacity and professional qualifications and experience of all participants to carry out a project according to the proposed objectives and deliverables;
- Financial soundness of all project participants: self-financing and/or co-financing capacity.

### Selection criteria
- Understanding: clarity and consistency of rationale, objectives and planning. The project's proposal is established in compliance with the objectives, the strategic and legislative context of the call and the Mechanism; the activities address the whole or part of the problem/need identified.
- Methodology: description of the ways and means for translating the project into practice and producing the expected results (theoretical background, operating procedures, schedules, constraints, assumptions, risks). There are logical links between identified problems, needs, and solutions proposed through the project (Logical Frame Concept). Feasibility of the project within the proposed time frame.
- Cost effectiveness: the proposed budget is sufficient for a proper
project implementation and the project is conceived in such a way to ensure the best value for money, taking also into account the expected results and deliverables. The project demonstrates to be sustainable in a later stage without the financial support of EU. European dimension: the expected results are the result of transnational work of partners, serve the interest of a large number of Participating States and have the potential to be efficiently reproduced and/or transferred to all/other EU countries or organisations.

### 3.2 OTHER PREVENTION ACTIVITIES

| **Objectives** | Exchange best practices. Provide added value through the pooling of expertise from the Participating States, the Commission and relevant stakeholders  
Assessment of the need for further EU initiatives in the field of prevention. Implementation of the EU framework on disaster prevention |
| **Expected results** | Identification of good practices for disaster prevention. Expert advice to the Commission on upcoming initiatives implementing the EU framework on disaster prevention.  
Data confirming the feasibility, added value, and possible implementation of further EU initiative in the field of prevention. Identification and spreading of best practices. Development of guidance regarding risk assessment and mapping. Improvement of knowledge base on disasters and their impact, including risks faced. |
| **Type of activity** | Studies, meetings |
| **Indicative amount** | EUR 580 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union |
| **Type of procedure** | For travel and accommodation costs of experts use of existing framework contract; reimbursement of such costs whenever the use of the framework contract is not possible.  
For services on knowledge base, exchange of good practice: Call for tender or use of framework contracts. |
| **Indicative schedule** | Call for tender:  
Publication: April 2011 |
### 4. SUPPLEMENTARY AND AWARENESS-RAISING ACTIVITIES

#### 4.1. AWARENESS-RAISING ACTIVITIES AND THE DISSEMINATION OF GOOD PRACTICES

| **Objectives** | Promote awareness-raising, information and communication on the Mechanism (prevention, preparedness, response). Better reach individuals throughout Europe that will not be able to go for training, visit the MIC, etc.  
Perform exploratory work in specific areas and support the development of policy initiatives.  
Facilitate and support the implementation of the Mechanism and maximise the effectiveness of assistance missions performed in this framework.  
Organise a Civil Protection Forum informing and updating civil protection stakeholders |
| **Expected results** | Increased awareness on hazards, risks and civil protection issues.  
Increased visibility and understanding of the Mechanism and of EU action in civil protection. Improved knowledge and understanding of technical and policy related issues with regard to civil protection.  
Preparation and publication of an EU CBRN Emergency Response Guidebook to support the implementation of the EU CBRN Action Plan.  
Increased and more effective use of the Mechanism. |
| **Type of activity and subject of contract** | General information and communication actions, publications and information materials, publication of deliverables of previous projects financed by the Civil Protection Financial Instrument, promotion and dissemination events.  
Studies, Euro Barometer and other surveys, analyses, statistics, evaluations and impact assessments. |
| **Indicative amount** | EUR 800 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union |
| **Type of procedure** | Call for tender for services, use of framework contracts. Indicative number of contracts: 3 |
| **Indicative schedule** | Publication: April 2011 |
### 4.2 Early Warning

| Objectives | Improve situational awareness and capacity for timely response of the Monitoring and Information Centre (MIC) and its partners, in particular by:  
Ensuring rapid access to existing and new Early Warning Systems (EWS) through multi-hazard based applications, integrating and interlinking existing and newly available EWS, covering specific gaps identified;  
Providing conceptual input to development of new EWS from an end user point of view;  
Facilitating exchange of best practices and dissemination of knowledge on EWS;  
Promoting interconnection, interoperability and cooperation with early warning and analysis capacities of the Commission and Participating States. |
| Expected results | Improved understanding and mapping of hazards; precise monitoring and forecasting of disaster events.  
Quicker processing and dissemination of warnings to national contact points, political authorities and decision makers in the framework of the Mechanism; Mechanism participants will be able to undertake appropriate and timely actions in response to the warnings.  
Extensive EWS coverage of different hazards. |
| Type of activity | Service contracts or administrative arrangements for the development and maintenance of the following applications:  
GDACS (Global Disaster Alert and Coordination System): maintenance of the system, better calibration of alert messages to European situation;  
MIC Daily, MIC Flash: upgrade and integrate all tools available (e.g. EWS, floods alert, etc.) with MIC communication instruments;  
MIC Portal: better integrate the EWS available into the MIC system (e.g. training sessions for duty officers).  
Specific attention will be given to close existing gaps (e.g. flood alert systems such as EFAS or GFDS, multilingual warnings, in situ measurement devices) and better integration of the EWS into the workflows of the Mechanism. |
| Indicative amount | EUR 1 200 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union |
| Type of | Administrative arrangement with the JRC on the basis of article 161 of |
4.3 Maintenance and Further Development of CECIS and the MIC

| Objectives | Managing and further developing the operational capacity of the Monitoring and Information Centre (MIC) and its information and communication systems serving the Member States and the Commission for the purposes of the Mechanism |
| Expected results | MIC has at its disposal a resilient operational structure accessible and able to react immediately 24 hours a day to respond in a rapid and efficient manner to major emergencies.

This includes, in particular, a secured communication environment (CECIS) and other dedicated hardware and software or specialised equipment/arrangements which enables effective cooperation and sharing of information between the MIC and the operational contact points of the Member States as well as other participants in the context of the Mechanism at any moment. |
| Type of activity | Service contracts, to support the following activities:

- further extend and implement the "MIC in a box" concept (secure mobile communication equipment linking headquarters and field experts);
- update an Internet version of CECIS;
- CECIS evolutive maintenance, training on the application, including command post exercises, helpdesk and documentation update.

Specialised equipment and other arrangements to develop a fully operational 24/7 crisis centre and to ensure security, resilience and business continuity of the MIC |
| Indicative amount | EUR 1 040 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union |
| Type of Procedure | Call for tender. Indicative number of service contracts: 2. |
| Indicative schedule | Publication of the calls: May 2011 |
### 4.4 Workshops with the EU Presidency

| **Objectives** | Workshops to exchange knowledge and experience on specific strategic civil protection issues and to discuss further civil protection actions. Workshops organised in cooperation with the Hungarian and Polish Presidency of the Council of the European Union |
| **Expected results** | Increased awareness and better understanding of strategic challenges in civil protection. Improved consensus among the main stakeholders. |
| **Type of activity** | Workshops |
| **Indicative amount** | EUR 150 000 (as an indication EUR 75 000 for each Presidency), BUDGET ARTICLE 23 03 01 |
| | Max co-funding rate of 75% of eligible costs. |
| **Type of procedure** | Grants without a call for proposals to bodies with a duly substantiated de facto or de jure monopoly (Article 110 Financial Regulation/Article 168(1) (c) Implementing Rules). |
| **Indicative schedule** | 1\textsuperscript{st} and 2\textsuperscript{nd} semester 2011 |

### 4.5 Lessons Learnt Programme, Technical Meetings, Training and Exercise Observation Missions

| **Objectives** | Improve professional skills on management, assessment techniques and skills, coordination and interaction with partners and population, of a limited number (50 estimated in 2011) of highly qualified experts selected by MIC among those proposed by Participating States. Bring together existing initiatives in the area of disaster management training and provide added value through the pooling of expertise from the Member States, the Commission and key stakeholders. Better preparedness of future missions on the basis of lessons learnt from the past emergencies. |
| **Expected results** | Improve the EU preparedness concerning the capability to rapidly dispatch and deploy European civil protection assistance intervention teams and European expert teams to European and third countries, independently of the nature of emergency Enhancement of disaster prevention measures. |
| **Type of activity** | Participation in trainings organised by other actors, technical meetings, |
Debriefings (lessons learnt), exercises and observation missions.

Lessons learnt and technical meetings on different topics including for example: activation of the Mechanism, prevention activities exercises, interventions, marine emergencies, training, CECIS. Improving the concept for the evaluation of interventions and exercises; incorporation of findings into training courses and exercises; regular updates of a “lessons learnt” library. Meetings on modules to improve and further develop the modular approach. 1 workshop on the interface between operations at sea and on land. 2 Workshops on floods response management. Additional practical training activities for coordination and assessment experts, trainers and managers who followed the training courses under action 2.1.

Expert meetings and other appropriate means (e.g. conferences, studies, policy documents etc.) to promote EWS, exchange best practice and to support innovative approaches.

<table>
<thead>
<tr>
<th>Indicative amount</th>
<th>EUR 450 000, BUDGET ARTICLE 23 03 01 – Civil protection within the Union</th>
</tr>
</thead>
<tbody>
<tr>
<td>Type of procedure</td>
<td>Use of existing framework contract for travel and accommodation costs of experts and for the transport of necessary equipment; reimbursement of such costs whenever the use of the framework contract is not possible. In addition to this, reimbursement of per diems for experts dispatched on the MIC mission and for experts invited by the Commission to present their missions during the relevant lessons learnt or technical meetings.</td>
</tr>
<tr>
<td>Indicative schedule</td>
<td>For lessons learnt and technical workshops, schedule follows the activation of the Mechanism on a case by case basis, depending on the emergencies. For training and exercise observation missions the schedule follows the organisation of major exercises or other training opportunities. 1 Lessons Learnt meeting per quarter, depending on the emergencies. 1 meeting per month about specific topics (e.g. modules, early warning).</td>
</tr>
</tbody>
</table>
5. SUPPORT EXPENDITURE

B. BUDGET ITEM 23 01 04 02 (CIVIL PROTECTION – EXPENDITURE ON ADMINISTRATIVE MANAGEMENT)

5.1 EVALUATION

<table>
<thead>
<tr>
<th>Objectives</th>
<th>Evaluation, impact assessments and feasibility studies of future initiatives in the area of civil protection.</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expected results</td>
<td>Better knowledge on impacts of COM initiatives in the area of civil protection and their contribution to national policies</td>
</tr>
<tr>
<td>Type of activity</td>
<td>Evaluation and impact assessments studies.</td>
</tr>
<tr>
<td>Indicative amount</td>
<td>EUR 150 000, BUDGET ITEM 23 01 04 02</td>
</tr>
<tr>
<td>Type of procedure</td>
<td>Calls for tender, use of framework contracts. Indicative number of contracts: 2.</td>
</tr>
<tr>
<td>Indicative schedule</td>
<td>Publication of the call: January 2011</td>
</tr>
</tbody>
</table>

5.2 CECIS HOSTING, MIC SECURITY AND RESILIENCE

<table>
<thead>
<tr>
<th>Objectives</th>
<th>Ensure the MIC's security and resilience against external and internal hazards and increase safety and security of Liaison Officers sent on MIC missions</th>
</tr>
</thead>
<tbody>
<tr>
<td>Expected results</td>
<td>MIC and CECIS in particular, work permanently, in secure conditions and at their fully capacity, during emergencies as well as during usual duty. Fully equipped and protected Liaison Officers to be dispatched on MIC missions.</td>
</tr>
<tr>
<td>Type of activity</td>
<td>Software development and assistance</td>
</tr>
<tr>
<td></td>
<td>Supply of necessary protective and other equipment</td>
</tr>
<tr>
<td>Indicative amount</td>
<td>EUR 200 000, BUDGET ITEM 23 01 04 02</td>
</tr>
<tr>
<td>Type of procedure</td>
<td>2 service level agreements with DIGIT (CECIS hosting and CECIS Internet version)</td>
</tr>
<tr>
<td></td>
<td>Call for tender or existing framework contract for equipment</td>
</tr>
<tr>
<td></td>
<td>Indicative number of contracts: 3.</td>
</tr>
<tr>
<td>Indicative schedule</td>
<td>Launch of procedures: mid-2011</td>
</tr>
</tbody>
</table>
