



The EU Framework Programme for Research and Innovation

HORIZON 2020

**Funding instruments,
proposal preparation
and evaluation**



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EASME/B.2

Info day Climate Action, Environment,
Resource Efficiency and Raw Materials
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*Research and
Innovation*

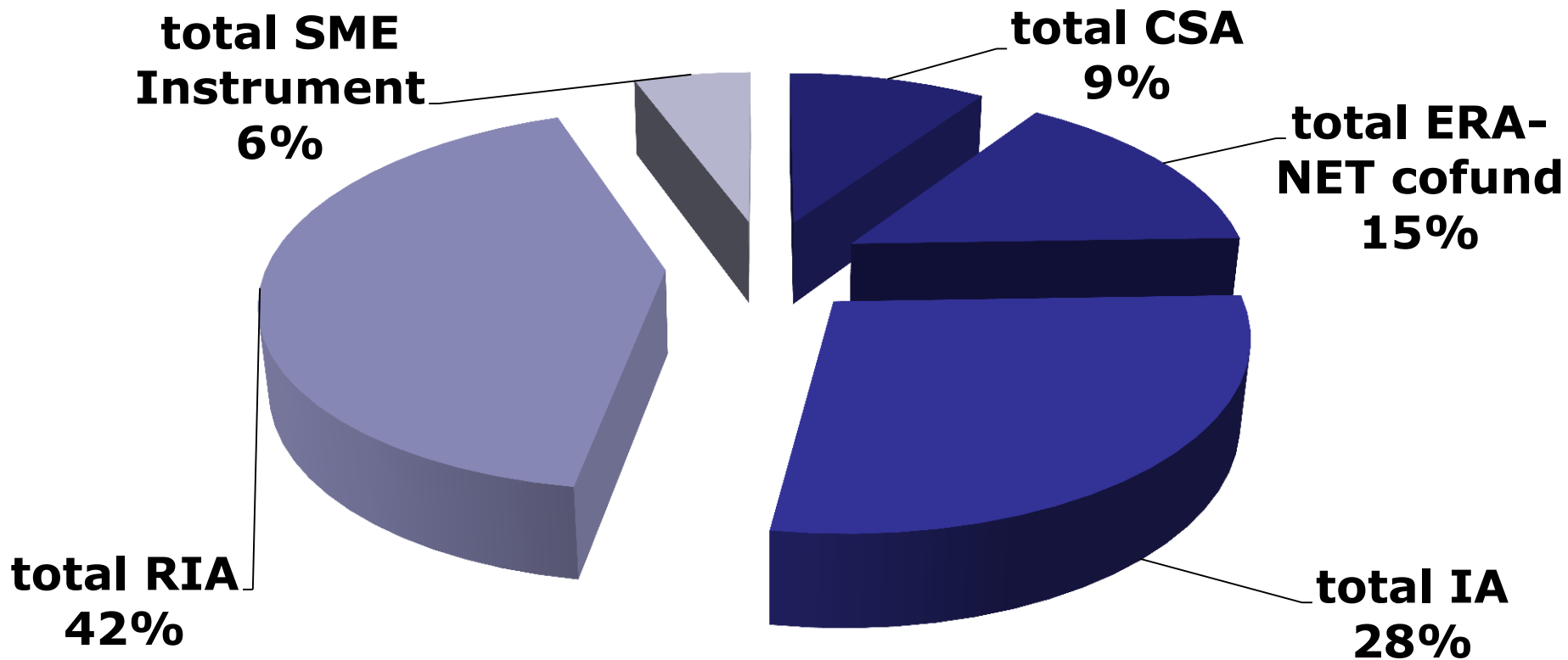
Content

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1. Types of action

- *Coordination and Support Action (CSA)*
- *Research and Innovation Action (RIA)*
- *Innovation Action (IA)*
- *other*

Budget H2020-SC5-WASTE-WATER-2015 per type of action (total 339 Meuro)



2. Proposal preparation

- a. Rules of participation*
- b. Your proposal*
- c. Eligible costs*

a. Rules of participation

- *RIA/IA: at least three independent legal entities, established in a different Member State or associated country*
- *CSA: at least one legal entity.*

b. Your proposal

- *Contributes to the achievement of the objectives of 'Societal Challenge 5'*
- *Complies with requirements of the concerning type of action (General Annexes D)*
- *Responds to topic description in work programme.*

c. Eligible costs

- *direct personnel costs*
- *subcontracting costs*
- *other direct costs (e.g. travel costs, depreciation of equipment, etc.)*
- *indirect costs (25% of direct eligible costs)*

➔ should be actual costs and directly related to the implementation of the action as described in the 'Description of the Action'

3. Proposal submission (1)

- *proposals are addressing topics described in a bi-annual work programme,*
- *submitted in response of annual calls for proposals*
- *some topics have two-stage submission schemes.*

3. Proposal submission (2)

- *electronic submission through the participant portal*
- *the outcome of the evaluations will be communicated to applicants within five months from the final date for submission*
- *grant agreement signature is foreseen within three months from the date of informing the applicants.*

4. Proposal evaluation

- *carried out by independent external experts*
- *selection criteria*
 - financial capacity
 - operational capacity
- *award criteria:*
 1. excellence
 2. impact
 3. quality and efficiency of the implementation

5. Lessons learnt H2020-2014

- *Innovation Actions*
 - development stage
 - degree of involvement of future end-users
 - clear exploitation approach and/or business model
- *ethical issues*
 - the action involves personal data collection and/or processing
 - the action involve non-EU countries
 - the action involves the use of elements that may cause harm to humans, the environment, to animals or plants
- *subcontracts, 'other specific costs'...*

Thank you for your attention!

- *H2020 Work Programme 2014-2015*
(http://ec.europa.eu/research/participants/data/ref/h2020/wp/2014_2015/main/h2020-wp1415-climate_en.pdf)
- *National Contact Points*
(http://ec.europa.eu/research/participants/portal/desktop/en/support/national_contact_points.html)
- *Questions to the European Commission* (EASME-H2020-SC5-ENQUIRIES@ec.europa.eu)

ETHICS

Does the action involve personal data collection and/or processing (e.g interviews, surveys)?

- The necessary privacy and data protection safeguards need to be introduced in view of the proposed interviews and surveys.
- Copies of ethical approvals for the collection of personal data by the competent University Data Protection Officer / National Data Protection authority must be submitted to the REA.
- Detailed information must be provided on the procedures that will be implemented for data collection, storage, protection, retention and destruction and confirmation that they comply with national and EU legislation.
- Detailed information must be provided on the informed consent procedures that will be implemented.

Does the action involve non-EU countries?

- The applicant must confirm that the ethical standards and guidelines of Horizon2020 will be rigorously applied, regardless of the country in which the research is carried out.

Does the action involve the use of elements that may cause harm to humans, the environment, to animals or plants?

- The applicant must provide further information about the possible harm to the environment caused by the research and state the measures that will be taken to mitigate the risks.
- Details must be provided on the endangered species and/or protected areas involved in the research and, if applicable, the relevant authorisations must be submitted.
- The applicant must ensure that appropriate health and safety procedures conforming to relevant local/national guidelines/legislation are followed for staff involved in this project.