

Guidance document for reporting of negative conformity assessment activities between notified bodies for pyrotechnics
(approved during meeting in Sofia May 12th, 2015)

Background

According to article 35 number 2 of the Directive 2013/29/EU, notified bodies (PNBs) shall provide the other bodies notified under this Directive carrying out similar conformity assessment activities covering the same pyrotechnic articles with relevant information on issues relating to negative and, on request, positive¹ conformity assessment results.

This guidance document defines the procedure that is necessary to fulfill these requirements, and covers the following aspects:

1. What information is provided,
2. When is the information provided,
3. How is the information provided,
4. To whom is the information provided, and
5. Consequences from the reporting to the respective PNBs.

Reporting procedures and documentation

1) What information is provided

The minimum information regarding negative conformity assessment results to be reported to the other PNBs depends on the applied conformity assessment module (refer to Annex II of 2013/29/EU), and is displayed in table 1.

Table 1: Reported minimum information on negative conformity assessments results depending on the applied conformity assessment module

Module/Annex II of 2013/29/EU	Reported minimum information to the respective PNBs
B - EU-type examination	<ul style="list-style-type: none"> • Name of manufacturer and importer, if applicable • Name, type and subtype of the article, if applicable • Unique product/article number, if given • Rough identification of the article, such as: <ul style="list-style-type: none"> • relevant dimensions (outer and caliber), • NEC (range, if applicable), • family variants where applicable, • number of shots where applicable, and • Type and number of observed nonconformity(ies)
C2 - Conformity to type based on internal production control plus supervised product checks at random intervals	<ul style="list-style-type: none"> • Name of manufacturer and importer, if applicable • Registration number • Product/article, batch or serial number • Type and number of observed nonconformity(ies)
D - Conformity to type based on quality assurance of the production process	<ul style="list-style-type: none"> • Name of manufacturer • Number of withdrawn certificate, if applicable • Scope of certificate (list of registration numbers) • Type and number of observed nonconformity(ies)
E - Conformity to type based on product quality assurance	<ul style="list-style-type: none"> • Name of manufacturer and importer, if applicable • Number of withdrawn certificate, if applicable • Scope of certificate (list of registration numbers) • Type and number of observed nonconformity(ies)

¹ This requirement is already considered to be fulfilled, due to the obligations of the notified bodies set out in article 33 number 3 of 2013/29/EU and article 2 of 2014/58/EU

G - Conformity based on unit verification	As for module B
H - Conformity based on full quality assurance	As for module B

Table 1 displays the relevant minimum information to be reported. This does not hinder the reporting PNB to send further information to the product or procedure which is considered necessary on a case-by-case basis, provided confidential and non-public aspects with regards to the manufacturer are acknowledged.

2) When is the information provided

The relevant information according to clause 1) shall be provided to the respective PNBs shortly after the completion of the respective conformity assessment activity (including the period for objection) and preferably in parallel to the information sent to the manufacturer.

3) How is the information provided

The reported information according to clause 1) shall be easily readable and understandable to the other PNBs. This should include that the information is given in a commonly spoken language (preferably English) and is provided with a commonly used media such as email.

4) To whom is the information provided

Under confidentiality aspects, the reported information according to clause 1) shall only be sent to the PNBs under this Directive carrying out similar conformity assessment activities covering the same pyrotechnic articles, i.e. notification for the same module and category of product, regardless if one or more PNBs were to that date involved in such conformity assessment procedures of this/these concerned type(s).

This information is available on the following European Commission website (NANDO):

<http://ec.europa.eu/growth/tools-databases/nando/index.cfm>

In addition, the responsible contact person(s) of the PNBs, if known, may also be informed directly.

The information shall also be made available upon request to the European Commission.

5) Consequences from the reporting to the respective PNBs

If a respective report is received from a PNB and an application assumed equal to that is ongoing, the PNB shall take all appropriate measures to check that these products are with regards to the observed and expected nonconformities not identical. This includes communication with the manufacturer and, with the reporting PNB. In case of positive re-assessment, the PNBs involved will be informed.

Future applications assumed equal to the reported one, shall be treated in the same way, if applicable and reasonable.