

Sector 10 Lifts

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Information on activities in other product sectors can be find on the webpage of the Commission:
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Belgium

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	4	1	5	13
2.	Number of substantiated complaints by industry concerning unfair competition				
3.	Number of inspections (total number)	5	2	5	15
3.1	number of reactive inspections	5	2	5	15
3.2	number of self-initiated inspections	0	0	0	0
3.3	number of inspections prompted by the customs	0	0	0	0
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products				
5	Number of inspections resulting in:				
5.1	finding of non-compliance				
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

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Bulgaria

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	-	-	-	-
2.	Number of substantiated complaints by industry concerning unfair competition	-	-	-	-
3.	Number of inspections (total number)	800	523	586	582
3.1	number of reactive inspections	1	-	-	-
3.2	number of self-initiated inspections	799	523	586	5862
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				
4.1	tests performed in laboratories	-	-	-	-
4.2	physical checks of products	800	523	586	582
5	Number of inspections resulting in:				
5.1	finding of non-compliance	1	-	-	-
5.2	corrective actions taken by economic operators ("voluntary measures")	1	-	-	-
5.3	restrictive measures taken by market surveillance authorities	1	-	-	-
5.4	application of sanctions/penalties	1	-	-	-
6	Number of inspections where other Member States were invited to collaborate	-	-	-	-

Information on communication activities carried out in the 2010-2013 period (optional)

Information on resources (subject to availability)

		2010	2011	2012	2013
7.1	Budget available to market surveillance authorities in nominal terms (€)	1 490 889	1 478 888	1 510 745	1 413 257
7.2	Budget available to market surveillance authorities in relative terms (% age of total national budget)				

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		2010	2011	2012	2013
8	Staff available to market surveillance authorities (full-time equivalent units) ¹	97	99	100	100
9	Number of inspectors available to market surveillance authorities (full-time equivalent units) ²	9	10	10	10

B. Assessment of the functioning of market surveillance activities in the sector

The results of checks carried out in the period 2010-2013 show a decreasing number of infringements relating to the placing on the market of lifts. The few cases identified concerned small-scale producers or producers from third countries who were less familiar with the requirements for placing of goods on the EU market and the essential requirements of harmonised EU legislation in this area.

Czech Republic

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	-	-	3	1
2.	Number of substantiated complaints by industry concerning unfair competition	-	-	-	1
3.	Number of inspections (total number)	46	23	24	-
3.1	number of reactive inspections	1	2	-	-
3.2	number of self-initiated inspections	45	21	24	-
3.3	number of inspections prompted by the customs	-	-	-	-
4	Number of inspections based on:				
4.1	tests performed in laboratories	-	-	-	-
4.2	physical checks of products	46	23	24	-
5	Number of inspections resulting in:				

¹ Total number of staff who, besides being engaged in market surveillance, are largely engaged in carrying out technical supervision of high-risk facilities.

² Staff directly involved in carrying out market surveillance (excluding managerial staff and staff not involved directly in performing market surveillance, i.e. inspectors not engaged in the supervision of this type of equipment).

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		2010	2011	2012	2013
5.1	finding of non-compliance	26	2	3	-
5.2	corrective actions taken by economic operators ("voluntary measures")	-	-	-	-
5.3	restrictive measures taken by market surveillance authorities	-	-	-	-
5.4	application of sanctions/penalties	3	1	3	2
6	Number of inspections where other Member States were invited to collaborate	-	-	-	-

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Surveillance of stipulated products in the lift sector centred on product marketing inspections and inspections of accompanying marketing documents. Secondly, surveillance focused on safety components placed on the market separately, whether by domestic manufacturers or importers to the EU. Specialised surveillance staff for this area are also delegated to carry out surveillance in Sectors 9, 11 and 12.

Denmark

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	0	0	0	0
2.	Number of substantiated complaints by industry concerning unfair competition	0	0	0	0
3.	Number of inspections (total number)	0	0	0	1
3.1	number of reactive inspections	0	0	0	0
3.2	number of self-initiated inspections	0	0	0	1
3.3	number of inspections prompted by the customs	0	0	0	0
4	Number of inspections based on:				

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		2010	2011	2012	2013
4.1	tests performed in laboratories	0	0	0	0
4.2	physical checks of products	0	0	0	1
5	Number of inspections resulting in:				
5.1	finding of non-compliance	0	0	0	1
5.2	corrective actions taken by economic operators ("voluntary measures")	0	0	0	0
5.3	restrictive measures taken by market surveillance authorities	0	0	0	0
5.4	application of sanctions/penalties	0	0	0	0
6	Number of inspections where other Member States were invited to collaborate	0	0	0	0

Information on communication activities carried out in the 2010-2013 period (optional)

There have not been any activities of the relevant kind, other than routine administrative tasks such as following instructions from the EU, ADCO, etc.

Information on resources (subject to availability)

		2010	2011	2012	2013
7.1	Budget available to market surveillance authorities in nominal terms (€)	34 000	34 000	34 000	34 000
7.2	Budget available to market surveillance authorities in relative terms (% age of total national budget)	0.00005%	0.00005%	0.00005%	0.00005%
8	Staff available to market surveillance authorities (full-time equivalent units)	0.30	0.30	0.30	0.30
9	Number of inspectors available to market surveillance authorities (full-time equivalent units)	0.10	0.10	0.10	0.10

B. Assessment of the functioning of market surveillance activities in the sector

The Danish Working Environment Authority is not aware of any general safety problems in this area, and has therefore chosen not to use any significant proactive resources for inspections during this period. Overall market surveillance by the Danish Working Environment Authority is prioritised on the basis of risk assessments, and other sectors have been given a higher priority.

The market is generally subject to good controls by the notified bodies and inspection bodies, which check installation and maintenance in accordance with the national Danish rules. The Danish Working Environment Authority did, however, find a defective lift during one proactive random sampling check, so it will consider conducting more random-sampling checks in the future. It may be added

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that the case in question is not yet closed, but it is anticipated that the lift installer will solve the problems voluntarily.

<i>Germany</i>

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

<i>Estonia</i>

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Market surveillance over lifts is conducted by the Technical Surveillance Authority. In the event of surveillance of lifts, surveillance is conducted in the first place over use thereof. No separate market surveillance is carried out, since lifts are not articles of retail sales and they are built and installed in their place of use.

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Ireland

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints				
2.	Number of substantiated complaints by industry concerning unfair competition	0	0	0	0
3.	Number of inspections (total number)			57	
3.1	number of reactive inspections			57	
3.2	number of self-initiated inspections				
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products			57	
5	Number of inspections resulting in:				
5.1	finding of non-compliance			20	
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

The HSA actively follows up reports from competent persons concerning in-service inspection of lifts where serious defects have been found. This activity is not addressed in this report.

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

In 2012 HSA carried out a project which examined the safety of lifts in use and in service inspection, during which some data became available on Lift Directive compliance. The project follow up focused on owner duties in respect of maintenance and periodic inspection. Project planned for 2014

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on installer compliance. In the environment where construction companies and other businesses including lift installers have failed, access to records for lift installations has been difficult.

Greece

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints				
2.	Number of substantiated complaints by industry concerning unfair competition				
3.	Number of inspections (total number)		2		
3.1	number of reactive inspections				
3.2	number of self-initiated inspections		2		
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products		2		
5	Number of inspections resulting in:				
5.1	finding of non-compliance				
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

Theoretical training was given to inspectors from the General Secretariat for Industry in 2011 and November 2013 by a representative from the Association of Accredited Bodies.

Information on resources (subject to availability)

		2010	2011	2012	2013
7.1	Budget available to market surveillance authorities in nominal terms (€)	The annual budget for resources related to the General Secretariat for Industry's entire market			

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		2010	2011	2012	2013
7.2	Budget available to market surveillance authorities in relative terms (% age of total national budget)	surveillance operation (for this purpose rows 7.1 and 7.2 have not been completed, which exclusively relate to LIFTS)			
8	Staff available to market surveillance authorities (full-time equivalent units)	2	2	2	2
9	Number of inspectors available to market surveillance authorities (full-time equivalent units)	2	2		

B. Assessment of the functioning of market surveillance activities in the sector

Market surveillance in this sector functioned poorly due to a lack of specialised inspectors.

Spain

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

France

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

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Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Around 10 000 lifts are marketed in France every year, approximately 25% of which are intended to be installed in existing buildings.

The French market is dominated by four large enterprises which account for over 80% of the market and carry out their own inspections, i.e. using compliance assessment procedures that draw on an assessment of their quality assurance systems.

There is also a network of around 120 SMEs installing and maintaining lifts, a large proportion of which also use a quality assurance system to certify compliance.

There are fifteen or so accredited notified bodies for the final inspection of lifts which carry out around 1 000 final inspections every year.

Among these bodies, six carry out an average of 100 to 150 unit inspections per year.

All notified bodies are members of the association COFNA (French Coordination of Notified Bodies for the Lifts Directive). This association meets twice a year and draws up documents containing recommendations on how the various types of assessments should be carried out.

Under the regulations, maintenance contracts are mandatory and must contain certain clauses to improve the quality of maintenance. Particular provisions have been laid down to promote competition in this field in which there have been problems that have been prejudicial to the proper maintenance of lifts.

Periodic technical inspections have also been made mandatory for all lifts in France.

These inspections have shown that keeping lifts safe over time is a major problem, even for lifts marketed under the provisions of the European Directive. In particular, the remote alarm system installed is not always operational.

Lastly, a decree of 8 July 2010 specified that the free spaces and refuges mentioned in point 2.2 of Annex 1 to the Directive setting out the essential safety requirements for lifts, are mandatory, with no derogation, when lifts are installed in new buildings.

<i>Croatia</i>

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

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Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Italy

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Cyprus

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints				
2.	Number of substantiated complaints by industry concerning unfair competition				
3.	Number of inspections (total number)	29	49	48	49
3.1	number of reactive inspections				
3.2	number of self-initiated inspections				
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				

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		2010	2011	2012	2013
4.1	tests performed in laboratories				
4.2	physical checks of products				
5	Number of inspections resulting in:				
5.1	finding of non-compliance				
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Market surveillance is carried out proactively on the basis of a national initiative, as set out in the 2014 national market surveillance programme for lifts and safety components for which CE marking is required. Checks are conducted both on the establishments of lift installers and on the buildings in which lifts are installed. The completeness and validity of the markings and documentation required by the applicable legislation are checked, and comparisons are made with that provided in relation to the lift. On-the-spot checks are also conducted to verify the technical data of the safety components compared to the data included in the relevant documentation accompanying the lift. The conformity measures taken are proportionate and are applied only at a national level. There are usually Conformity Notices issued, instructing the installers to conform. The market surveillance activities carried out cover approximately 6% of the new lifts, as installed annually by approximately 20 installers. It also appears that the two conformity assessment procedures used by the installers are the Final Inspection (Annex VI) and the Unit Verification (Annex X). There are 2 Cypriot Notified Bodies in this sector, and there are also Notified Bodies from Greece.

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Latvia

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	n.a.	n.a.	n.a.	n.a.
2.	Number of substantiated complaints by industry concerning unfair competition	n.a.	n.a.	n.a.	n.a.
3.	Number of inspections (total number)	0	0	1	0
3.1	number of reactive inspections			1	
3.2	number of self-initiated inspections			0	
3.3	number of inspections prompted by the customs			0	
4	Number of inspections based on:				
4.1	tests performed in laboratories			0	
4.2	physical checks of products			1	
5	Number of inspections resulting in:				
5.1	finding of non-compliance			0	
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

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Lithuania

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

The SLI's sector-specific programme for 2012 was focused on the surveillance of lifts and machinery and mechanisms. The programme contained the following information:

- a specific product;
- product control type (e.g. checks by own initiative);
- control activity (e.g. desk audit, visual checks, etc.);
- the start date of the control exercise;
- control interfaces;
- control results.

Luxembourg

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Investigations are very limited in this sector. However, a project was carried out in 2012 to check hydraulic lifts, which led to the conclusion that harmonised standard EN81-2 was not in line with the Directive. Investigations following an accident in 2013 led to the conclusion that harmonised standard

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EN81-1 was not in line with the Directive. All lifts brought into service in Luxembourg are subjected to an initial inspection by an inspection body. This investigation comprises a visual inspection of the device and of the labelling and the presence of the conformity declaration. The inspections sometimes lead to more in-depth investigations but have not found any serious non-compliance.

Hungary

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints				1
2.	Number of substantiated complaints by industry concerning unfair competition				0
3.	Number of inspections (total number)				97
3.1	number of reactive inspections				1
3.2	number of self-initiated inspections				96
3.3	number of inspections prompted by the customs				0
4	Number of inspections based on:				
4.1	tests performed in laboratories				0
4.2	physical checks of products				94
5	Number of inspections resulting in:				
5.1	finding of non-compliance				10
5.2	corrective actions taken by economic operators ("voluntary measures")				1
5.3	restrictive measures taken by market surveillance authorities				9
5.4	application of sanctions/penalties				1
6	Number of inspections where other Member States were invited to collaborate				0

Information on communication activities carried out in the 2010-2013 period (optional)

In 2013, two staff members of the Lifts and Escalators Inspectorate of ÉMI Építésügyi Minőségellenőrző Innovációs Nonprofit Kft. (ÉMI Not-for-profit Company for Quality Control and Innovation in Construction) (the 'ÉMI FMF') attended the spring meeting of ADCO in Brussels. The representatives of the ÉMI FMF operated a customer service for market surveillance cases throughout the year, handled complaint cases and received clients who came forward in connection with appeals.

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The experts of the ÉMI FMF took part in the commissioning test of a total of 87 new pieces of equipment from February 2013 to the end of the year, taking into account market surveillance considerations. One of the representatives of the ÉMI FMF participated in a several-day consumer protection training offered by the ICSMS during the year. The representatives of the ÉMI FMF handled the electronic correspondence on the IMI, ICSMS and ADCO Internet interfaces throughout the year.

Information on resources (subject to availability)

		2010	2011	2012	2013
7.1	Budget available to market surveillance authorities in nominal terms (€)				60 000
7.2	Budget available to market surveillance authorities in relative terms (% age of total national budget)				
8	Staff available to market surveillance authorities (full-time equivalent units)				10
9	Number of inspectors available to market surveillance authorities (full-time equivalent units)				10

B. Assessment of the functioning of market surveillance activities in the sector

Acting within its responsibilities, the ÉMI FMF verifies whether lifts, escalators and passenger conveyors placed on the market, distributed or put into service in the territory of Hungary (the ‘product’) meet the relevant safety and statutory requirements. By conducting its inspections and taking its necessary measures, it contributes to ensuring that the products to be placed and already placed on the market do not pose a health and safety hazard to consumers and users.

As market surveillance authority, the ÉMI FMF is entitled to check the safety, conformity and documentation of any product, and is entitled to enter the head offices and company sites of the economic operators concerned, to request submission of the necessary information and documentation from economic operators and to request economic operators to make decisions. In the case of products posing a serious hazard, it may order its withdrawal from distribution and/or recall.

The ÉMI FMF carries out its responsibilities and activities falling within its competence independently, impartially and without prejudice, taking into consideration the principle of good faith and fair practices, and always cooperates with economic operators during its procedures. The ÉMI FMF performed its market surveillance activities in 2013 pursuant to Government Decree No 6 of 18 January 2013, in accordance with an action plan laid down in advance.

Information on activities in other product sectors can be found on the webpage of the Commission:
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Report on the Member States reviews and assessment of the functioning of market surveillance activities for the 2010-2013 period pursuant to Article 18(6) of Regulation (EC) No 765/2008

Sector 10 Lifts

Malta

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of investigations (total number)	183	118	64	51
1.1	%age of investigations prompted by complaints	39	56	64	81
1.2	%age of investigations prompted by accidents or incidents	3	5	7	4
1.3	%age of self-initiated inspections	58	39	29	15
1.4	%age of investigations prompted by information from other Member State authorities (e.g. via RAPEX notifications)	0	0	0	0
2	Number of inspections resulting in:				
2.1	finding of non-compliance	106	86	46	38
2.2	corrective actions taken by economic operators ("voluntary measures")	72	30	11	9
2.3	restrictive measures taken by market surveillance authorities	5	2	7	4

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

Lifts were the subject of a major operation in 2009 and 2010, during which a large number of self-initiated inspections were carried out in an effort to raise awareness amongst economic operators and consumers on the need to ensure that lifts are fully compliant with requirements. During the last 3 years, action has been more reactive in response to complaints received by consumers.

Information on activities in other product sectors can be found on the webpage of the Commission:
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Sector 10 Lifts

Netherlands

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

The I-SZW gave four presentations. It also contributed to the definition of the distinction between cableways and lifts. In 2012, the Ministry of Social Affairs and Employment began fulfilling comitology agreements (elaboration of the product directives and regulations on product safety, known as the primary regulations) for the five product directives. Knowledge and insights from the comitology consultation are presented to the Standing Committee of the European Union.

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Austria

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	0	0	0	0
2.	Number of substantiated complaints by industry concerning unfair competition	0	0	0	0
3.	Number of inspections (total number)	50			
3.1	number of reactive inspections	50			
3.2	number of self-initiated inspections	0	0	0	0
3.3	number of inspections prompted by the customs	0	0	0	0
4	Number of inspections based on:				
4.1	tests performed in laboratories	0	0	0	0
4.2	physical checks of products	1			
5	Number of inspections resulting in:				

Information on activities in other product sectors can be found on the webpage of the Commission:

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Sector 10 Lifts

		2010	2011	2012	2013
5.1	finding of non-compliance	1			
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties	0	0	0	0
6	Number of inspections where other Member States were invited to collaborate	0	0	0	0

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Poland

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	0	1	0	0
2.	Number of substantiated complaints by industry concerning unfair competition	n.a.	n.a.	n.a.	n.a.
3.	Number of inspections (total number)	3	2	2	2
3.1	number of reactive inspections	3	2	1	2
3.2	number of self-initiated inspections	0	0	0	0
3.3	number of inspections prompted by the customs	0	0	1	0
4	Number of inspections based on:				
4.1	tests performed in laboratories	0	0	0	0
4.2	physical checks of products	3	2	2	2
5	Number of inspections resulting in:				

Information on activities in other product sectors can be found on the webpage of the Commission:

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		2010	2011	2012	2013
5.1	finding of non-compliance	2	1	1	2
5.2	corrective actions taken by economic operators ("voluntary measures")	2	0	1	2
5.3	restrictive measures taken by market surveillance authorities	0	1	0	0
5.4	application of sanctions/penalties	0	0	0	0
6	Number of inspections where other Member States were invited to collaborate	0	0	0	0

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

The small number of controls carried out in this sector is due to the specificity of issues connected with lift equipment. The Technical Supervision Office (UDT) carries out surveillance of lifts in Poland and issues decisions authorising their operation. Controls were carried out by the National Labour Inspectorate.

Inspections were usually restricted to checking documents and verifying if a specific item of equipment was accredited by the UDT. During the normal working of equipment labour inspectors have no possibility of carrying out an assessment of systems installed outside the cabin (in the shaft), and possibly in the machinery space.

In the years 2010-2013 controls of products covered by the Lifts Directive applied in practice to intervention controls or controls conducted at the request of other national authorities or entities.

Portugal

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on activities in other product sectors can be found on the webpage of the Commission:
http://ec.europa.eu/growth/single-market/goods/building-blocks/market-surveillance/organisation/index_en.htm

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Sector 10 Lifts

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Romania

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	-	-	-	-
2.	Number of substantiated complaints by industry concerning unfair competition	-	-	-	-
3.	Number of inspections (total number)	0	0	8	20
3.1	number of reactive inspections				
3.2	number of self-initiated inspections	0	0	8	20
3.3	number of inspections prompted by the customs	-	-	-	-
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products	0	0	8	20
5	Number of inspections resulting in:				
5.1	finding of non-compliance	0	0	8	20
5.2	corrective actions taken by economic operators ("voluntary measures")	0	0	1	1
5.3	restrictive measures taken by market surveillance authorities	0	0	1	1
5.4	application of sanctions/penalties	0	0	1	0
6	Number of inspections where other Member States were invited to collaborate	0	0	0	0

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on activities in other product sectors can be found on the webpage of the Commission:
http://ec.europa.eu/growth/single-market/goods/building-blocks/market-surveillance/organisation/index_en.htm

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Sector 10 Lifts

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Slovenia

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	3	5	0	1
2.	Number of substantiated complaints by industry concerning unfair competition	0	0	0	0
3.	Number of inspections (total number)				
3.1	number of reactive inspections				
3.2	number of self-initiated inspections	0	179	0	0
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products				
5	Number of inspections resulting in:				
5.1	finding of non-compliance	0	192	46 (in scheduled inspections)	1 (in scheduled inspections)
5.2	corrective actions taken by economic operators ("voluntary measures")				
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties	0	11 (warnings)	0	0
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on activities in other product sectors can be found on the webpage of the Commission:
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Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

The competent inspectors of the Labour Inspectorate carry out market surveillance activities within the context of standard scheduled inspections and extraordinary inspections, where they do not differentiate between content or different regulations. Thus in the course of the same inspection they simultaneously verify the fulfilment of the provisions of legislation from multiple fields. All costs relating to market surveillance are thus covered from the overall budget of the inspectorate. The Labour Inspectorate does not plan special resources for its market surveillance activities and does not have a separate budget for this purpose.

Slovak Republic

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

Finland

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints	0	0	0	0

Information on activities in other product sectors can be found on the webpage of the Commission:
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		2010	2011	2012	2013
2.	Number of substantiated complaints by industry concerning unfair competition	0	0	0	0
3.	Number of inspections (total number)	0	1	0	0
3.1	number of reactive inspections	0	0	0	0
3.2	number of self-initiated inspections	0	1	0	0
3.3	number of inspections prompted by the customs	0	0	0	0
4	Number of inspections based on:				
4.1	tests performed in laboratories	0	0	0	0
4.2	physical checks of products	0	1	0	0
5	Number of inspections resulting in:				
5.1	finding of non-compliance	0	0	0	0
5.2	corrective actions taken by economic operators ("voluntary measures")	0	0	0	0
5.3	restrictive measures taken by market surveillance authorities	0	0	0	0
5.4	application of sanctions/penalties	0	0	0	0
6	Number of inspections where other Member States were invited to collaborate	0	0	0	0

Information on communication activities carried out in the 2010-2013 period (optional)

Press releases published during the period of 2010-2013: Passenger can influence the safety of lift travel (2011), Danger in lift gate doors (2012), Safety of lift working (2013). Annual lift seminars have been arranged to inform the lift branch.

Information on resources (subject to availability)

		2010	2011	2012	2013
7.1	Budget available to market surveillance authorities in nominal terms (€)	110 000	138 000	138 000	146 000
7.2	Budget available to market surveillance authorities in relative terms (% age of total national budget)	0.0002	0.0003	0.0003	0.0003
8	Staff available to market surveillance authorities (full-time equivalent units)	1.25	1.25	1.25	1.25
9	Number of inspectors available to market surveillance authorities (full-time equivalent units)	1.25	1.25	1.25	1.25

Information on activities in other product sectors can be find on the webpage of the Commission:
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Sector 10 Lifts

B. Assessment of the functioning of market surveillance activities in the sector

National market surveillance programmes have been followed. The market surveillance authority sees that the market surveillance activities have been sufficient.

A need for proactive inspections is not very high, because almost all lifts in Finland are placed on market according to final inspection (annex VI of Lifts Directive) or according to unit verification (annex VIII, module G of Lifts Directive) and not according to modules based on quality assurance.

Sweden

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

		2010	2011	2012	2013
1.	Number of product related accidents / user complaints				
2.	Number of substantiated complaints by industry concerning unfair competition				
3.	Number of inspections (total number)	1			1
3.1	number of reactive inspections	1			1
3.2	number of self-initiated inspections				
3.3	number of inspections prompted by the customs				
4	Number of inspections based on:				
4.1	tests performed in laboratories				
4.2	physical checks of products				
5	Number of inspections resulting in:				
5.1	finding of non-compliance				
5.2	corrective actions taken by economic operators ("voluntary measures")	1			1
5.3	restrictive measures taken by market surveillance authorities				
5.4	application of sanctions/penalties				
6	Number of inspections where other Member States were invited to collaborate				

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on activities in other product sectors can be found on the webpage of the Commission:
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Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

No information

<i>United Kingdom</i>

A. Review of market surveillance activities in the sector

Information on enforcement activities carried out in the 2010-2013 period

No information

Information on communication activities carried out in the 2010-2013 period (optional)

No information

Information on resources (subject to availability)

No information

B. Assessment of the functioning of market surveillance activities in the sector

HSE have enforcement responsibility for this Directive. Trading Standards have enforcement responsibility when it is a consumer product. Generally, HSE's activities are not based on industries or sectors – but is a risk- based approach identifying machinery/equipment where there is a known or suspected problem, or based on information received about particular products. The enforcement of legislation covering workplace goods is undertaken by the HSE Product Safety Team which has created a network of 'virtual' product safety teams across Great Britain. These teams involve product safety specialists who take the lead on product supply issues that arise from the initial findings of other inspectors who carry out more general responsibilities working across business

Information on activities in other product sectors can be find on the webpage of the Commission:
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