# **EBSI Service Level Agreement**

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## 1 Service Level Agreement

## 1.1 Glossary

Terms used in this Service Level Agreement are defined in the <u>Node Operator General Conditions</u> and <u>Node Operator Compliance Statement for Pilot documents</u>.

Specific technical Terms related to the SLA Definition and attributes are defined in section <u>3. Attributes of SERVICE LEVEL ITEMS (KPI attributes)</u>

#### 1.2 Introduction

#### 1.2.1 Agreement Approach and purpose of the document

The present Service Level Agreement (SLA) is a detailed specification of the resilience, availability, and performance requirements the nodes should adhere to in order to provide the EBSI Service. That includes hardware, operating systems, security systems, networks, base Software and EBSI specific software, to enable the aims of the EBP and adherence of the network to the EBSI Decentralised Governance Model.

Performance against SLA parameters for each node will be monitored and/or reported to the European Blockchain Partnership (EBP) and unfulfillment may be rationale for requests for correction or temporary disconnection from the EBSI network if not addressed within the defined timelapse (tolerance for each specific SLA Item) of notification of SLA parameter infringement.

There is a distinction between Validator nodes that are the ones participating in the consensus algorithm (see complete definition in the <u>Node Operator Terms & Conditions</u> Glossary) and Regular nodes that do not participate in the consensus algorithm. Although it is advisable for Validator nodes to avoid providing any other service, until there is enough number of nodes (at least 20 regular nodes besides the Validator nodes), both Validator and Regular nodes can expose service APIs.

The uptime level will also be reasonable given the intrinsic resilience of the network and designed to ensure network availability while minimising individual member state costs. This uptime is more critical for Validator nodes as the ones that affect ledger performance but is also important for Regular nodes exposing the core services.

This is additional to the quality of code in the EBSI images, which will be dealt with through the code quality process and issue management.

## 1.2.2 Attributes of SERVICE LEVEL ITEMS (KPI attributes)

A Service Level Item is described by several attributes:

- ID: unique identifier of the Service Level Item.
- Title: representative name of the Service Level Item.
- **Description**: defines the purpose of the Service Level Item.
- **Type**: groups items.
- **Conditions**: describes the service conditions that must be respected to use the service; Service Level Target can be applied only when the service conditions are respected.
- Target: defines the performance (through a value) to be reached by the Service Provider for Regular and Validator nodes.
- **Tolerance**: the time lapse available for the node to fulfil the Target for each specific Target.
- Consequence: the procedure to be started if the Item Target is not met before the Tolerance time lapse.
- Measurement tools: identify the tools or means to be used to collect the performance data and/or calculate the performance during the specified period.

# 1.3 SLA Items (KPI)

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
1	,	Node should have a reasonable uptime of its hardware, operating systems, security systems and networks to enable the aims of the European Blockchain Partnership (EBP).  Uptime is measured as the percentage of availability of the node to the approved EBP monitoring tool.	AVAILABILITY OF NODE SYSTEMS	Availability measures of: - Host -Containers Excludes: service discontinuities due to programmed interventions	PROD PRE-PROD	95.00% of up-time per month Checked hourly	99.00% of up-time per month Checked hourly	2 hours (Validator) 1 day (Regular) 4 hours (Validator) 1 day (Regular)	Demote from Validation to Regular node, i.e.: remove from Active Validator Set Exclusion from Load Balancer (Validator & Regular)	EBP Technical Group agreed measurement tool, hosted by EBSI Technical Office
					PILOT	90%	95%	1 days (Validator) 3 days (Regular)	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
2	Node Network Response Ledger Latency	The latency of the connectivity to other nodes of the network, using the P2P ledger protocol	SYSTEM RESPONSE TIME	be agreed by	PROD PRE-PROD	60% of connections within agreed parameter. Daily	95% of connections within agreed parameter.	2 days 4 days	Temporary disconnection of node until recovery test is fulfilled	EBP Technical Group agreed measurement tool, hosted by EBSI Technical Office

I	D T	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
					node if relevant	PILOT	50%	80%	4 days	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
(	1 1	Network	The latency of the connectivity to other nodes of the network, using the offchain replication protocol	SYSTEM RESPONSE TIME	Parameters to be agreed by EBP Technical Group and may be	PROD	60% of connections within agreed parameter.	95% of connection within agreed parameter.	1 day (Validator) 2 days (Regular)	node until	EBP Technical Group agreed measurement tool, hosted by EBSI Technical
					amended over time or per node if relevant	PRE-PROD	Daily	Hourly	1 day (Validator) 2 days (Regular)		Office
						PILOT	50%	80%	1 days (Validator) 2 days (Regular)	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
4			The response time of the EBSI Service (API responses) of the node	SYSTEM RESPONSE	Parameters to be agreed by	PROD	80% of responses	95% of responses	2 days	Temporary disconnection of	EBP Technical Group agreed
	E	EBSI Response	to non-node entities (Users, Issuers, Early Adopters, Wallets and Relying Parties)	TIME	EBP Technical Group and may be amended over time or per	PRE-PROD	within agreed a parameter.	vithin within greed agreed arameter. parameter, if	4 days	node until recovery test is fulfilled	measurement tool, hosted by EBSI Technical Office

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
			OVOTEM	node if relevant	PILOT	60%	80%	4 days	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
5	Node Systems	The latency of the Governance/Management Service	SYSTEM RESPONSE	be agreed by	PROD	95 % of responses	99% of responses	4 hours	Temporary disconnection of	EBP Technical Group agreed
	Governance Response Latency	Response of the node to EBP Hosting Member or EC requests. (How fast a node answers to voting requests, acknowledgements, etc.)	TIME	EBP Technical Group and may be amended over time or per node if relevant	PRE-PROD	agreed agreed parameter.	parameter, if applicable.	8 hours	node until root reason is assessed	measurement tool, hosted by EBSI Technical Office
					PILOT	90%	95%	1 day	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
6a	Security Issue	Resolution of security issues by Node Operator.	NODE HOST SUPPORT	Security issues should have	PROD		nation is cons		Temporary disconnection of	EBSI TECHNICAL
	Critical	Emergency Situation: Active Cyber-	TEAM RESPONSE	the capability to take the	PRE-PROD		al and not pub for security re		node until Resolution	OFFICE SUPPORT DESK
		attack e.g. Evidence of an attacker operating from an escalated account, malware or attack behaviour on a host, multiple machines displaying attack behaviour, data exfiltration.	TIME PER ISSUE	node offline at any time.	PILOT				INGSUIGHT	TOOL

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS		
6b	Security Issue	Resolution of security issues by Node	NODE HOST SUPPORT	Security issues should have	PROD		ation is cons		Temporary disconnection of	EBSI TECHNICAL		
	High	Operator.  High possibility of functional impact	TEAM	the capability	PRE-PROD		I and not pul or security re		node until	OFFICE		
	· ng.i	e.g. credential lost due to phishing, detection of unauthorised program task or user activities	RESPONSE TIME PER ISSUE	to take the node offline at any time.	PILOT		·		Resolution	SUPPORT DESK TOOL		
6с	Security	Resolution of security issues by Node	NODE HOST	Security issues	PROD		ation is cons		Temporary	EBSI		
	Issue Medium	Operator.  Moderate possibility of functional	SUPPORT TEAM	should have the capability	PRE-PROD		I and not pul or security re		disconnection of node until	TECHNICAL OFFICE		
	Wodam	impact	RESPONSE TIME PER	to take the node offline at	PILOT		,		Resolution	SUPPORT DESK TOOL		
		e.g. malware quarantines or blocked, suspicious inbound/outbound connections blocked, evidence of suspicious sign-in attempts.	ISSUE	any time.								
6d	Security	Resolution of security issues by Node	NODE HOST	ODE HOST Security issues PF Should have			ation is cons		Temporary	EBSI		
	Issue	TEA	TEAM	the capability	PRE-PROD	confidential and not publicly disclosed for security reasons.		disconnection of node until	TECHNICAL OFFICE			
	Low	e.g. vulnerability scan or risk	RESPONSE TIME PER		PILOT			Resolution	SUPPORT DESK TOOL			
		assessment reveals Low risk vulnerabilities										
7a	Issue resolution	Resolution of issues assigned to node hosts:	SUPPORT have the				PROD	This information is considered confidential and not publicly		olicly	Temporary disconnection of	EBSI TECHNICAL
	Priority 1	P1: Critical – an incident will be	RESPONSE	take the node		disclosed f	or security re	easons.	node until Resolution	OFFICE SUPPORT DESK		
		Node Services are totally	TIME PER ISSUE	offline at any time.	PRE-PROD	-			N/A	TOOL		
		unusable			PILOT							
		<ul><li>the validation service is not producing new blocks</li><li>is affecting critical functions</li></ul>										
		So, the issue is causing a major impact (service unavailability).										

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	NODE	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
7b	Issue resolution Priority 2	P2: Urgent – an incident will be	SUPPORT TEAM RESPONSE	Issues should have the capability to take the node	PROD	confidentia	ation is cons I and not pub or security re	olicly	Temporary disconnection of node until Resolution	EBSI TECHNICAL OFFICE SUPPORT DESK
		possible to use the service of any node but limited in daily work	TIME PER ISSUE	offline at any time.	PRE-PROD				N/A	TOOL
		(deteriorated method): large but not critical part of the EBSI network which can have an impact for the users			PILOT					
70	Issue resolution	Resolution of issues assigned to node hosts:	NODE HOST SUPPORT		PROD		ation is cons		Temporary disconnection of	EBSI TECHNICAL OFFICE SUPPORT DESK TOOL
	Priority 3		TEAM RESPONSE TIME PER		PRE-PROD		I and not pub or security re		node until Resolution	
		not affect production or causes limited loss of functionality	ISSUE		PILOT				2 Notifications from EBSI Service Desk.	TOOL
										On 3rd notification: Temporary disconnection of node until Resolution
7c	I Issue resolution	Resolution of issues assigned to node hosts:	NODE HOST SUPPORT		PROD		ation is cons		Temporary disconnection of	EBSI TECHNICAL
	Priority 4		TEAM RESPONSE TIME PER		PRE-PROD	confidential and not publicly disclosed for security reasons.			node until Resolution	OFFICE SUPPORT DESK TOOL
			ISSUE		PILOT				2 Notifications from EBSI Service Desk.	TOOL
									On 3rd notification: Temporary disconnection of node until Resolution	

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
8	Minimal technical	Node Minimal technical requirements in force need to be respected at all stimes	HW conformance		PROD	full compliance	full compliance	No tolerance	Temporary disconnection of node until Resolution	AGREED AUDIT TOOL
	requirements		Comormance		PRE-PROD	(or better) checked monthly	(or better) checked monthly			
					PILOT			2 WORKING DAYS	Resolution	
9	Open firewalls	Node Open firewalls ports requirements in force need to be	SW Conformance		PROD	100% compliance	100%	4h	Temporary disconnection of	AGREED AUDIT
	requirement	•	Comormance		PRE-PROD	Compliance	Compliance		node until Resolution	TOOL
					PILOT			2 WORKING DAYS	Resolution	
10	Software stack up to	Required versions for node software stack (O.S., low level stack, containers) needs to be respected at all times.	SW Conformance		PROD	100%	100% compliance	24h	Temporary disconnection of	AGREED AUDIT
	date				PRE-PROD	Compliance	Compliance		node until Resolution	TOOL
					PILOT			2 WORKING DAYS	resolution	
11	EBSI installed	There can be no additional software installed on the node (VM) by the	SW Conformance		PROD	100%	100% compliance	24h	Temporary disconnection of	AGREED AUDIT
	software	Node Operators	Comormance		PRE-PROD	compliance	Compliance		node until	TOOL
		Software not related to EBSI workload should not be installed on EBSI nodes.			PILOT			2 WORKING DAYS	Resolution	
12	Response	How often does a node respond to an	Availability		PROD	99%.	99%, if	2 days	Temporary	AGREED AUDIT
	Frequency E	BSI Service Request. The percentage of the EBSI Service sponses (API responses) of the		is applicable to Nodes exposing EBSI APIs	PRE-PROD	Daily	applicable. Daily	4 days	disconnection of node until recovery test is fulfilled	TOOL

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
		node to non node entities (Users, Issuers and Relying Parties).			PILOT	95%	95%	6 days	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
13		Block Proposal time. Each time a node is assigned to	Consensus	When block node proposal	PROD	N/A	2 block proposal	1 day	Problem must be analysed	AGREED AUDIT
	Time	propose the next block of transactions, it must do so within the established timeframe.  When such a node fails to comply, it will lose its privilege to propose blocks of transactions as it directly impacts the whole system performance		role is assigned to node.  The timeframe, i.e. the maximum available time to propose a node should be defined by the TO and can be updated in the	PRE-PROD		misses in a day		immediately, to report causes and solutions.  Node is proposed for demotion when it fails to propose the block in the specified timeframe on the same day for the second time.	TOOL
				future.	PILOT		4 block proposal misses in a day	2 days	2 Notifications from EBSI Service Desk. On 3rd notification: Temporary disconnection of node until Resolution	
14			Consensus		PROD	N/A		1 day		

ID	TITLE	DESCRIPTION	TYPE	CONDITIONS	ENVIRONMENT	REGULAR NODE TARGET	VALIDATOR NODE TARGET	TOLERANCE	CONSEQUENCE	MEASUREMENT TOOLS
	·	Participation on the group of accepting/refusing the new block.  A node must effectively participate in the consensus algorithm to retain its status while included in the Active Validator Set.		Node in the Active Validator Set. Parameters to be agreed by EBP Technical Group and may be amended over	PRE-PROD PILOT		70% of average block signatures Hourly 50% of block signatures Daily	2 days	Node proposed for demotion until the causes are analysed and solved. 2 Notifications from EBSI Service Desk.	AGREED AUDIT TOOL
		NOTE: Whenever EBSI requ		time or per node if relevant					On 3rd notification: Temporary disconnection of node until Resolution	

# 1.4 EBSI Service Desk Contact Information

EBSI Support Team By Service Desk By email
Standard Service:
8:00-18:00 CET on normal Commission working days
Standby Service*:
18:00-8:00 CET on normal Commission working days
Available 24 hours on weekends, Commission and public holidays Only by phone: +32 2 298 96 00 * Only for critical and urgent incidents and only by phone

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