Report from Breakout Session III
Inspection of installations


7th EU ETS Compliance Conference
Brussels, 8 November 2016
Main discussion points

- Feedback on Commission guidance on Inspections
- Approaches to inspecting installations over the third trading period, including equal treatment of installations
- A number of good practices shared on
  - risk based approaches to select sites including use of tools to select site, for example: ‘Easytools’ (Croatia) or MS developed tools
  - Use of checklists
- Coordination with IED inspectors, including training
- Data sampling: audit processes based on ISO standards
- Inspections may provide information to support prosecutions
Conclusions

- Risk based approach can help resources focus on those areas that have the highest risk and select the number of installations to inspect.
- Commission guidance can provide useful input into fine tuning checklists or tools used by Member States.
- Inspections are time consuming but an effective tool
  - Efficient way to support operator with ETS compliance
  - Checking allocation related data
- Operators like joint IED/ETS inspections but organisational or legislative differences means that this remains largely aspirational for some MS.
- Involving senior management at close out meetings supports site staff because they are more aware of issues.
Recommendations – Next Steps

- Communicate with IED inspectors, even if joint inspections are not possible.
- Continue to share information on best practices
  - Task Force on Monitoring and Reporting
  - Bilateral support between Member States
  - Share examples of checklists on SharePoint
- Make good use of Commission guidance
- Comments on 2nd draft (circulated 7 November) by 28 November