



Register of Commission expert groups and other similar entities

Commission Expert Group

Name: Securities Law Directive Member States Working Group (E02393)
(Active - Group which operates on a permanent basis)

Policy Area: Internal Market

Lead DG: FISMA - DG Financial Stability, Financial Services and Capital Markets Union

Type: Informal, Temporary

Scope: Limited

Mission: To assist the Commission in the elaboration of a legislative proposal in the field of Securities Law.

Task: Assist the Commission in the preparation of legislative proposals and policy initiatives

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Publication in RegExp: 24 Nov 2009

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Additional Information

Activity Report

7th meeting - 24.05.2013

[Document 1](#)

(approx. 27 kb)

[Document 2](#)

(approx. 36 kb)

Activity Report

6th meeting - 19.11.2012

[Document 1](#)

(approx. 27 kb)

[Document 2](#)

(approx. 38 kb)

Activity Report

Meetings took place on: 12/02/2010; 12/03/2010; 27/05/2010; 29/06/2010. Subjects discussed: The Commission Services are currently preparing a draft Directive on legal certainty of securities holding and transactions (Securities Law Directive – SLD). The Directive is expected to address three issues: 1) the legal framework of holding and disposition of securities held in securities accounts, covering aspects belonging to the sphere of substantive law as well as conflict-of-laws; 2) the legal framework governing the exercise of investor's rights flowing from securities through a "chain" of intermediaries, in particular in cross-border situations; 3) the submission of any activity of safekeeping and administration of securities under an appropriate supervisory regime.

Selection Procedure (members)

The members of this group are the Member States. It is for the authority of each Member State to decide on who will represent them.

Internal Rules of Procedure

Expert groups usually operate in an informal setting without any formal rules of procedure. This is the case for this group.

Members

Type D - Member State Authority

Country		Membership Status
Austria	1 Public Authorit(y-ies)	Member
Belgium	3 Public Authorit(y-ies)	Member
Bulgaria	1 Public Authorit(y-ies)	Member
Croatia	1 Public Authorit(y-ies)	Member
Cyprus	1 Public Authorit(y-ies)	Member
Czech Republic	1 Public Authorit(y-ies)	Member
Denmark	1 Public Authorit(y-ies)	Member
Estonia	1 Public Authorit(y-ies)	Member
Finland	2 Public Authorit(y-ies)	Member
France	2 Public Authorit(y-ies)	Member
Germany	2 Public Authorit(y-ies)	Member
Greece	1 Public Authorit(y-ies)	Member
Hungary	1 Public Authorit(y-ies)	Member
Ireland	2 Public Authorit(y-ies)	Member
Italy	3 Public Authorit(y-ies)	Member
Latvia	1 Public Authorit(y-ies)	Member
Lithuania	1 Public Authorit(y-ies)	Member
Luxembourg	2 Public Authorit(y-ies)	Member
Malta	1 Public Authorit(y-ies)	Member
Netherlands	3 Public Authorit(y-ies)	Member
Poland	1 Public Authorit(y-ies)	Member
Portugal	3 Public Authorit(y-ies)	Member
Romania	1 Public Authorit(y-ies)	Member
Slovakia	1 Public Authorit(y-ies)	Member
Slovenia	1 Public Authorit(y-ies)	Member
Spain	2 Public Authorit(y-ies)	Member
Sweden	2 Public Authorit(y-ies)	Member
United Kingdom	3 Public Authorit(y-ies)	Member

Type E - Other public entity

Subgroups

No Subgroups assigned to this group.

Statistics

Number of Members: 28

Type A - Individual expert appointed in his/her personal capacity:	0	female: 0	male: 0
Type B - Individual expert appointed as representative of a common interest:	0	female: 0	male: 0
Type C - Organisation:	0		
Type D - Member State Authority:	28		

Number of Alternate Members: 0

Type A - Individual expert appointed in his/her personal capacity:	0	female: 0	male: 0
Type B - Individual expert appointed as representative of a common interest:	0	female: 0	male: 0
Type C - Organisation:	0		
Type D - Member State Authority:	0		

Number of Observers: 3

Type A - Individual expert appointed in his/her personal capacity:	0	female: 0	male: 0
Type B - Individual expert appointed as representative of a common interest:	0	female: 0	male: 0
Type C - Organisation:	0		
Type D - Member State Authority:	0		