1617/2008 r.3.1 Final

DISCLAIMER

This text has already been voted by the Customs Code Committee but it is not yet finally adopted by the European Commission. Moreover, it shall still undergo linguistic and legal revisions before its adoption by the European Commission and publication in the Official Journal of the European Union. Only the official text published in the OJEU may be considered authentic.

COMMISSION OF THE EUROPEAN COMMUNITIES

Brussels, 18-12-2008
TAXUD/1617/2008 Rev. 3.1 – **EN**
Final

Working document

Customs Code Committee – SAD Section

Forms to be used in NCTS, ECS and ICS

Draft

COMMISSION REGULATION (EC) No …/..

of […]

amending Regulation (EEC) No 2454/93 laying down provisions for the implementation of Council Regulation No 2913/92 establishing the Community Customs Code.

THE COMMISSION OF THE EUROPEAN COMMUNITIES,

Having regard to the Treaty establishing the European Community,

Having regard to Regulation (EC) No 2913/92 of 12 October 1992 establishing the Community Customs Code[1], and in particular Article 247 thereof,

Whereas:

(1) Regulation (EC) No 648/2005 of the European Parliament and of the Council [2] introduced in Regulation (EEC) No 2913/92 the obligation to lodge entry or exit summary declarations by electronic means. Starting from 1 July 2009, the lodgement of a paper-based export customs declaration is allowed only where the computerised system of the customs authorities is not functioning or where the electronic application of the person lodging the declaration is not functioning.

(2) An alternative version of the Transit Accompanying Document (the "Transit/Security Accompanying Document") and the related list of items should be established to include the data required in Annex 30A of Commission Regulation (EEC) No 2454/93 [3] to enhance security.

(3) The "Export Accompanying Document" and the related list of items provided for in Article 796a of Regulation (EEC) 2454/93 should also be adapted to include the data set out in Annex 30A of Regulation (EEC) No 2454/93.

(4) Where the persons lodging the declaration cannot provide the customs authorities with export and exit summary declarations data using the normal computerized procedures because the computerised system of the customs authorities or the electronic application of the person lodging the declaration is not functioning, they should be permitted to use an alternative paper procedure that enables them to provide the necessary information to the customs authorities. To this end, it is necessary to provide for the use of a form, the "Export/Security Single Administrative Document", that can contain both export declaration and exit summary declaration data.

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[1] OJ No L 302, 19.10.1992, p. 1.
[2] OJ L 117, 4.5.2005, p. 13.
[3] OJ L 253, 11.10.1993, p. 1.

(5) For situations where the computerised system of the customs authorities is not functioning or where the electronic application of the person lodging the declaration is not functioning it is necessary to provide for a paper-based "Security and Safety Document" that should be used for entry summary declarations and for exit summary declarations. It should contain the data defined in Annex 30A of Regulation (EEC) No 2454/93 and it should be supplemented by a list of items where the consignment consists of more than one item of goods.

(6) In order to give economic operators the widest possible range of options to provide the required data where all customs and private computerized systems are not functioning, it is necessary to allow customs authorities to authorize them to communicate this data by commercial documents providing the documents produced to customs authorities contain the particulars laid down for entry or exit summary declarations in Annex 30A of Regulation (EC) No 2454/93.

(7) Since the provisions of Commission Regulation (EC) No 1875/2006 relating to safety and security apply from 1 July 2009, the corresponding provisions laid down in this Regulation should apply from the same date. Commission Regulation (EEC) No 2454/93 should therefore be amended accordingly.

(8) The measures provided for in this Regulation are in accordance with the opinion of the […] Committee,

HAS ADOPTED THIS REGULATION:

Article 1

Regulation (EEC) No 2454/93 is amended as follows:

(1) Article 183(2) is amended as follows:

(a) the second subparagraph is replaced by the following:

"In the cases referred to in points (a) and (b) of the first subparagraph, the paper-based entry summary declaration shall be made using the Security and Safety Document form, corresponding to the specimen set out in Annex 45i. Where the consignment for which an entry summary declaration is made consists of more than one item of goods, the Security and Safety Document shall be supplemented by a list of items corresponding to the specimen set out in Annex 45j. The list of items shall form an integral part of the Security and Safety Document.

(b) the following subparagraph is added:

In the cases referred to in points (a) and (b) of the first subparagraph, the customs authorities may allow the Security and Safety Document to be replaced by, or complemented by, commercial documents provided the documents submitted to the customs authorities contain the particulars laid down for entry summary declarations in Annex 30A."

(2) In Article 340b the following point 6a is added:

"6a. "Transit/security accompanying document": means the document printed by the computerised system to accompany the goods based on the data of the transit declaration and the entry or exit summary declaration."

(3) Article 358 is amended as follows:

(a) In paragraph 2, first subparagraph, the introductory phrase is replaced by the following:

"2. Following the release of goods, the Transit Accompanying Document or the Transit/Security Accompanying Document shall accompany the goods placed under the Community transit procedure. It shall correspond to the specimen and particulars of the Transit Accompanying Document in Annex 45a or, in situations where data referred to in Annex 30A are provided in addition to transit data, to the specimen and particulars of the Transit/Security Accompanying Document set out in Annex 45e and the Transit/Security List of Items set out in Annex 45f. The document shall be made available to the operator in one of the following ways:"

(b) Paragraph 3 is replaced by the following text:

"3. Where the declaration contains more than one item of goods, the Transit Accompanying Document referred to in paragraph 2 shall be supplemented by a list of items corresponding to the specimen set out in Annex 45b. The Transit/Security Accompanying Document referred to in paragraph 2 shall always be supplemented by the list of items set out in Annex 45f. The list of items shall form an integral part of the Transit Accompanying Document or the Transit/Security Accompanying Document."

(4) In Article 787, paragraph (2) is replaced by the following:

"2. Where the custom authorities' computerised system is not functioning or where the electronic application of the person lodging an export declaration is not functioning, the customs authorities shall accept a paper-based export declaration provided that it is made in one of the following ways:

(a) using a form corresponding to the specimen set out in Annexes 31 to 34 complemented by a Security and Safety Document corresponding to the specimen set out in Annex 45i and a Security and safety List of Items corresponding to the specimen set out in Annex 45j;

(b) using an Export/Security Single Administrative Document corresponding to the specimen set out in Annex 45k and an Export/Security List of Items corresponding to the specimen set out in Annex 45l.

The form shall contain the minimum list of data set out in Annex 37 and in Annex 30A for the export procedure."

(5) Articles 796a is amended as follows:

(a) In paragraph 1 the words "Annex 45c" are replaced by "Annex 45g".

(b) In paragraph 2 the words "Annex 45d" are replaced by "Annex 45h".

(6) In Article 796c second paragraph, the words "Annex 45c" are replaced by "Annex 45g".

(7) Article 842b(3) is amended as follows:

(a) the second subparagraph is replaced by the following:

"In the cases referred to in points (a) and (b) of the first subparagraph, the paper-based exit summary declaration shall be made using the Security and Safety Document corresponding to the specimen set out in Annex 45i. Where the consignment for which an exit summary declaration is made consists of more than one item, the Security and Safety Document shall be supplemented by a list of items corresponding to the specimen set out in Annex 45j. The list of items shall form an integral part of the Security and Safety Document."

(b) the following third subparagraph is added:

"In the cases referred to in points (a) and (b) of the first subparagraph, customs authorities may allow the Security and Safety Document to be replaced by, or complemented by, commercial documents provided the documents submitted to customs authorities contain the particulars laid down for exit summary declarations in Annex 30A."

(8) In Articles 183, 359(1) and (4), 360(1) and (2), 361(3) and (4), 406(1)and (2), 408(1)(d), 454 (4), 454b (2) and (4), 455(1) and 457b(2) and (3), the words "Transit accompanying document" is replaced by "Transit accompanying document - Transit/security accompanying document".

(9) Annex 37d is amended as follows:

(a) in point 3.1, third indent, " Transit accompanying document(TAD)" is replaced by "Transit accompanying document(TAD) - Transit/security accompanying document (TSAD)".

(b) In points 3.2, 3.3, 4.1, 7, 8, 18 and 30.1, "TAD" is replaced by "TAD/TSAD"

(c) In point 3.2 the words "Annex 37 and 45a " are replaced by "Annex 37, 45a and 45e".

(10) Annexes 45c and 45d are deleted.

(11) Annex 45e set out in Annex I to this Regulation is inserted.

(12) Annex 45f set out in Annex II to this Regulation is inserted.

(13) Annex 45g set out in Annex III to this Regulation is inserted.

(14) Annex 45h set out in Annex IV to this Regulation is inserted.

(15) Annex 45i set out in Annex V to this Regulation is inserted.

(16) Annex 45j set out in Annex VI to this Regulation is inserted.

(17) Annex 45k set out in Annex VII to this Regulation is inserted.

(18) Annex 45l set out in Annex VIII to this Regulation is inserted.

Article 2

This Regulation shall enter into force on the […] day following that of its publication in the *Official Journal of the European Union.*

It shall apply from 1 July 2009.

This Regulation shall be binding in its entirety and directly applicable in all Member States.

Done at Brussels, […]

For the Commission
[…]
Member of the Commission

ANNEX I

ANNEX 45e

(referred to in Article 358(2))

Transit/Security Accompanying Document ("TSAD")

Chapter I

Specimen of the Transit/Security Accompanying Document

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EUROPEAN COMMUNITY

TRANSIT/SECURITY ACCOMPANYING DOCUMENT

DECLARATION TYPE (1) | **MRN**

Other SCI (S32)

Forms (3): 001 | Sec. Decl. (S00)

Items (5) | Total packages (6) | Gross mass (kg) (35)

Consignor/Exporter (2) No

Consignee (8) No

Reference numbers (7)

Return copy has to be sent to the office:

Date and time of arrival at first place of arrival in Customs territory (S12)

C. disp./exp. Code (15) | Country destin. Code (17)

Transport charges method of payment code (S29)

Other incidents during carriage
Details and measures taken (56)

CERTIFICATION BY COMPETENT AUTHORITIES (G)

Identity and nationality of means of transport at departure (18)

Identity and nationality of active means of transport crossing the border (21)

Mode of transport | at the border (25) | Location of goods (30)

Place of loading (S17) | Place of unloading (S18)

Countr(ies) of routing codes (S13)

Conveyance reference number (S10)

Consignee (security) (S06) No

Consignor (security) (S04) No

Carrier (S07) No

Seal Number (S28)

Tranship-ments (55)

Place and country:
Ident. and nat. new means transp.:
Ctr. (1) Identity of new container:
(1) Enter 1 if YES and 0 if NO.

Place and country:
Ident. and nat. new means transp.:
Ctr. (1) Identity of new container:
(1) Enter 1 if YES and 0 if NO.

CERTIFICATION BY COMPETENT AUTHORITIES (F)

New seals: Number: identity:
Signature: Stamp:
Data already recorded into the system

New seals: Number: identity:
Signature: Stamp:
Data already recorded into the system

Principal/TIR Holder (50) No

OFFICE OF DEPARTURE (C)

Intended offices of transit (and country) (51)

Guarantee not valid for (52) | Code

Office of destination (and country) (53)

CONTROL BY OFFICE OF DEPARTURE (D)

Result:
Seals affixed: Number:
identity:
Time limit (date):

CONTROL BY OFFICE OF DESTINATION (I)

Date of arrival:
Examination of seals:
Remarks:

Return copy sent
on
after registration under
No
Signature: Stamp:

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Chapter II

Explanatory notes and particulars (data) for the Transit/Security Accompanying Document

The acronym "BCP" ("Business continuity plan") used in this Chapter refers to situations in which the fallback procedure defined in Article 340b (7) applies.

The Transit/Security Accompanying Document contains data valid for the whole of the declaration.

The information contained in the Transit/Security Accompanying Document shall be based on data derived from the transit declaration; where necessary, that information will be amended by the principal and/or verified by the office of departure.

The paper to be used for the Transit/Security Accompanying Document can be of green colour.

In addition to the provisions in the explanatory notes of annexes 30A, 37 and 38, particulars have to be printed as follows:

1. MRN (MOVEMENT REFERENCE NUMBER)

The MRN is to be printed on the first page and on all lists of items except where these forms are used in the context of the BCP in which cases no MRN is allocated.

The information is alphanumerical and comprises 18 characters following the prescriptions below:

Field	Content	Field type	Examples
1	Last two digits of year of formal acceptance of the transit declaration (YY)	Numeric 2	06
2	Identifier of the country where the movement originates (alpha 2 country code)	Alphabetic 2	RO
3	Unique identifier for transit movement per year and country	Alphanumeric 13	9876AB8890123
4	Check digit	Alphanumeric 1	5

Fields 1 and 2 as explained above.

Field 3 shall be filled in with an identifier for the transit transaction. The way that field is used is under the responsibility of national administrations but each transit transaction handled during one year within the given country must have a unique number.

National administrations that want to have the office reference number of the customs authorities included in the MRN, could use up to the first 6 characters to insert the national number of the office.

Field 4 shall be filled with a value that is a check digit for the whole MRN. This field allows for detection of an error when capturing the whole MRN.

The ‘MRN’ shall also be printed in bar code mode using the standard ‘code 128’, character set ‘B’.

2. BOX SEC. DECL. (S00):

Indicate code S where the Transit/Security Accompanying Document contains security information as well. Where this Document does not contain security information, the box shall be left blank.

3. BOX FORMS (3):

First subdivision: serial number of the current printed sheet,
Second subdivision: total number of sheets printed (including list of items)

4. BOX REFERENCE NUMBERS (7):

Indicate LRN or/and UCR

LRN - a local reference number as defined in Annex 37a.

UCR- a Unique Consignment Reference Number as referred to in Annex 37, title II, box 7.

5. IN THE SPACE TO THE RIGHT OF BOX CONSIGNEE (8):

Name and address of the customs office to which the return copy of the Transit/Security Accompanying Document shall be returned.

6. BOX OTHER SCI (S32):

Enter other specific circumstance indicator

7. BOX OFFICE OF DEPARTURE (C):

— Reference number of the office of departure,
— Acceptance date of the transit declaration,
— The name and the authorisation number of the authorised consignor (if any).

8. BOX CONTROL BY OFFICE OF DEPARTURE (D):

— control results,
— Seals affixed or the indication "- -" identifying the "Waiver - 99201",
— The indication ‘Binding itinerary’, where appropriate.
The Transit/Security Accompanying Document shall not be modified nor shall any addition or deletion be made thereto unless otherwise specified in this Regulation.

9. FORMALITIES EN ROUTE

Between the time when the goods leave the office of departure and the time they arrive at the office of destination, certain details may have to be added on the Transit/Security Accompanying Document accompanying the goods. The details relate to the transport operation and must be entered by the carrier responsible for the means of transport on which the goods are loaded as and when the corresponding activities are carried out. The particulars may be added legibly by hand, in which case the entries should be made in ink and in block letters.

Carriers are reminded that goods can be transhipped only under an authorisation of the customs authorities of the country in whose territory the transhipment is to be made.

Where those authorities consider that the Community transit operation concerned may continue in the normal way they shall, once they have taken any steps that may be necessary, endorse the Transit/Security Accompanying Documents.

The customs authorities at the office of transit or office of destination, as the case may be, have the obligation to incorporate into the system the added data on the Transit/SecurityAccompanying Document. The data can also be incorporated by the authorised consignee.

The boxes and activities involved are:

10. TRANSHIPMENT: USE BOX 55

Box Transhipment (55)

The carrier must complete the first three lines of this box when goods are transhipped from one means of transport to another or from one container to another in the course of the operation in question.

However where goods are carried in containers that are to be transported by road vehicles, customs authorities may authorise the principal to leave box 18 blank where the logistical pattern at the point of departure may prevent the identity and nationality of the means of transport from being provided at the time of establishment of the transit declaration, and where they can ensure that the proper information concerning the means of transport shall be subsequently entered in box 55.

11. OTHER INCIDENTS: USE BOX 56.

Box Other incidents during carriage (56)

Box to be completed in accordance with current obligations regarding transit.

In addition, where goods have been loaded on a semi-trailer and the tractor is changed during the journey (without the goods being handled or transhipped), enter in this box the registration number and nationality of the new tractor. In this case, endorsement by the competent authorities is not necessary."

ANNEX II

ANNEX 45f

(referred to in Article 358(3))

Transit/Security List of Items ("TSLoI")

Chapter I

Specimen of the Transit/Security List of Items

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TRANSIT/SECURITY LIST OF ITEMS

MRN

Forms (3)

32 Item No	Number and kind of packages, pieces, marks and numbers of packages (31/1)			Description of goods (31/2)			
Consignor/Exporter (2)				Consignee (8)			
Consignor (security) (S04)				Consignee (security) (S06)			
Produced documents / certificates (44/1)				Summary declaration/Previous document (40)			
				Special mentions (44/2)			
Identity and nationality of means of transport at departure (18)				Unique consignment reference number (7)			
Identity and nationality of active means of transport crossing the border (21)				Container numbers (31/3)			Seal Number (S28)
Place of unloading (S18)				Commodity Code (33)			Gross mass (kg) (35)
UNDG (44/4)	Sensitive quantity (31/5)	Sensitive code (31/4)	Tpt.Ch.M.Pay.code (S29)	Declaration type (1)	15 C.disp/exp.Code	C.Dest.Code (17)	Net mass (kg) (38)

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Chapter II

Explanatory notes and particulars (data) for the Transit/Security List of Items

The acronym "BCP" ("Business continuity plan") used in this Chapter refers to situations in which the fallback procedure defined in Article 340b(7) applies.

The transit/security List of Items shall contain the data specific to items of goods within the declaration.

The boxes of the list of items are vertically expandable.In addition to the provisions in the explanatory notes of annexes 30A and 37, particulars have to be printed as follows, if appropriate using codes:

1. Box MRN — movement reference number as defined in Annex 45e. The MRN is to be printed on the first page and on all lists of items except where these forms are used in the context of the BCP in which cases no MRN is allocated.

2. The particulars of the different boxes at item level have to be printed as follows:

   (a) Box Item No (32) — serial number of the current item;

   (b) Box Tpt.Ch.M.pay.code (S29) — enter transport charges method of payment code;

   (c) UNDG (44/4) — UN Dangerous Goods code;

   (d) Box Forms (3):

   – First subdivision: serial number of the current printed sheet,

   – Second subdivision: total number of sheets printed (Transit/Security List of Items)

ANNEX III

ANNEX 45g

(referred to in Article 796a)

Export Accompanying Document ("EAD")

Chapter I

Specimen of the Export Accompanying Document

EUROPEAN COMMUNITY

EXPORT ACCOMPANYING DOCUMENT

Consignor/Exporter (2) No	DECLARATION TYPE (1)	Other SCI (S32)	MRN
	Forms (3) 001	Sec. Decl. (S00)	
	Items (5)	Total packages (6)	Issuing date: Customs office:
Consignee (8) No	Reference numbers (7)		
	Transport charges method of payment code (S29)	C. disp./exp. Code (15) a	Country destin. Code (17) a
	Countr(ies) of routing codes (S13)		
Declarant/Representative (14) No	Representative of person lodging sum.decl. (14b) No		
Identity of means of transport at departure (18)		Gross mass (kg) (35)	
Mode of transport / at the border (25) / Location of goods (30)	Seal Number (S28)		
Office of exit (29)			

Packages and description of goods (31)

Marks and numbers - Container No(s) - Number and kind

32 Item No / Number and kind of packages, pieces, marks and numbers of packages (31/1)	Description of goods (31/2)			
Consignor/Exporter (2)	Consignee (8)			
Identity of means of transport at departure (18)	Commodity Code (33)			
Unique consignment reference number (7)	Summary declaration/Previous document (40)			
Produced documents / certificates (44/1)	Container numbers (31/3)			Seal Number (S28)
Special mentions (44/2)	Procedure (37)	C. Exp. (15a)	C. Dest. (17a)	Gross mass (kg) (35)
UNDG (44/4) / Transport charges method of payment code (S29)	Declaration type (1)	Statistical value (46)		Net mass (kg) (38)

CONTROL BY OFFICE OF DISPATCH/EXPORT (E)

Result:

Seals affixed: Number:

identity:

Time limit (date):

CONTROL BY OFFICE OF EXIT (K)

Date of arrival:

Examination of seals:

Remarks:

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Chapter II

Explanatory notes and particulars (data) for the Export Accompanying Document

The acronym "BCP" (Business continuity plan) used in this Chapter refers to situations in which the fallback procedure defined in Article 787 (2) applies.

The Export Accompanying Document contains data valid for the whole of the declaration and for one item of goods.

The information contained in the Export Accompanying Document shall be based on data derived from the export declaration; where necessary, that information will be amended by the declarant / representative and/or verified by the office of export.

In addition to the provisions in the explanatory notes of annexes 30A and 37, particulars have to be printed as follows:

1. Box MRN (movement reference number)

The MRN is to be printed on the first page and on all lists of items except where these forms are used in the context of the BCP in which cases no MRN is allocated.

The information is alphanumerical and comprises 18 characters following the prescriptions below:

Field	Content	Field type	Examples
1	Last two digits of year of formal acceptance of the export declaration (YY)	Numeric 2	06
2	Identifier of the country of export. (alpha 2 code as provided for box 2 of the Single Administrative Document in Annex 38)	Alphabetic 2	RO
3	Unique identifier for export operation per year and country	Alphanumeric 13	9876AB8890123
4	Check digit	Alphanumeric 1	5

Fields 1 and 2 as explained above.

Field 3 shall be filled in with an identifier for the export control system transaction. The way that field is used is under the responsibility of national administrations but each export transaction handled during one year within the given country must have a unique number. National administrations that want to have the office reference number of the competent authorities included in the MRN, could use up to the first 6 characters to insert the national number of the office.

Field 4 shall be filled with a value that is a check digit for the whole MRN. This field allows for detection of an error when capturing the whole MRN.

The ‘MRN’ shall also be printed in bar code mode using the standard ‘code 128’, character set ‘B’.

2. Box Sec. Decl. (S00):

Indicate code S where the Export Accompanying Document contains security information as well. Where this Document does not contain security information, the box shall be left blank.

3. Box Customs office

Reference number of the office of export.

4. Box reference number (7)

Indicate LRN or/and UCR.

LRN - a local reference number as defined in Annex 37a.

UCR- a Unique Consignment Reference Number as referred to in Annex 37, title II, box 7.

5. Box Other SCI (S32)

Enter other specific circumstance indicator.

6. The particulars of the different boxes at item level have to be printed as follows:

(a) Box Item No (32) — serial number of the current item;

(b) Box UNDG (44/4) — UN Dangerous Goods code.

The Export Accompanying Document shall not be modified nor shall any addition or deletion be made thereto unless otherwise specified in this Regulation.

ANNEX IV

ANNEX 45h

(referred to in Article 796a)

Export List of Items ("ELoI")

Chapter I

Specimen of the Export List of Items

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EUROPEAN COMMUNITY

EXPORT LIST OF ITEMS

Forms (3)

MRN

32 Item No	Number and kind of packages, pieces, marks and numbers of packages (31/1)	Description of goods (31/2)			
Consignor/Exporter (2)		Consignee (8)			
Identity of means of transport at departure (18)		Commodity Code (33)			
Unique consignment reference number (7)		Summary declaration/Previous document (40)			
Produced documents / certificates (44/1)		Container numbers (31/3)			Seal Number (S28)
Special mentions (44/2)		Procedure (37)	C. Exp. (15a)	C. Dest. (17a)	Gross mass (kg) (35)
UNDG (44/4)	Transport charges method of payment code (S29)	Declaration type (1)	Statistical value (46)		Net mass (kg) (38)

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Chapter II

Explanatory notes and particulars (data) for the Export List of Items

The export List of Items contains the data specific to items of goods within the declaration.

The boxes of the export list of items are vertically expandable.

In addition to the provisions in the explanatory notes of annexes 30A and 37, the particulars have to be printed as follows, if appropriate using codes:

1. Box MRN — movement reference number as defined in Annex 45g. The MRN shall be printed on first page and on all lists of items.

2. The particulars of the different boxes at item level have to be printed as follows:

   (a) Box Item No (32) — serial number of the current item;

   (b) Box UNDG (44/4) — UN Dangerous Goods code.

ANNEX V

ANNEX 45i

(referred to in Articles 183(2), 787(2)(a) and 842b(3))

Security and Safety Document ("SSD")

Chapter I

Specimen of the Security and Safety Document

EUROPEAN COMMUNITY

SECURITY AND SAFETY DOCUMENT

DECLARATION TYPE (1)	Other SCI (S32)	MRN	
Forms (3) 001	Items (5)	Issuing date:	
		Customs office:	
Reference number (7)			
Carrier (S07) No		Identity and nationality of active means of transport crossing the border (21)	
Countr(ies) of routing codes (S13)		Mode of tpt (25)	Conveyance reference number (S10)
Date/time arr.1st place cust.Terr. (S12)		Office of exit (29)	Location of goods (30)
First place arr. cde (S11)		Offices of subsequent entry (S11/2)	
Shipping marks (S22)		Consignee (security) (S06) No	
Notify party (S08) No		Consignor (security) (S04) No	
Place of loading (S17)	Place of unloading (S18)	Unique consignment/transport reference Nr (S02-03)	
Container numbers (31/3)		Seal Number (S28)	Gross mass (kg) (35)
T.C.M.paym.cde (S29)		Consignee (security) (S06) No	
Notify party (S08) No		Consignor (security) (S04) No	
Place of loading (S17)	Place of unloading (S18)	Unique consignment/transport reference Nr (S02-03)	
Container numbers (31/3)		Seal Number (S28)	Gross mass (kg) (35)
T.C.M.paym.cde (S29)		No/kind pack./pces, marks and numbers of packages (31/1)	
Identity and nationality of active means of transport crossing the border (21)		Special mentions (44/2)	
Description of goods (31/2)		Commodity Code (33)	
UNDG (S27)		32 Item No 001	
Person lodging the exit/entry sum. Dec. (S05) No		Place and date:	
Representative of Pers.lodging sum.Dec. (S05a) No		Signature and name:	

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Chapter II

Explanatory notes and particulars (data) for the Security and Safety Document

The form contains header level information and the information for one item of goods.

The information contained in the Security and Safety Document shall be based on data provided for the entry or exit summary declaration; where necessary, that information will be amended by the person lodging the summary declaration and/or verified by the office of entry or exit respectively.

The Security and Safety Document shall be completed by the person lodging the summary declaration.

In addition to the provisions in the explanatory notes of annex 30A and 37, particulars have to be printed as follows:

1. Box MRN — movement reference number as defined in Annex 45e or ad hoc references issued by the customs office. The MRN shall be printed on first page and on all lists of items.

2. Customs office

   Reference number of the office of entry/exit;

3. Box Declaration type (1)

   Codes "IM" or "EX" according to whether the document contains Entry summary declaration or exit summary declaration data;

4. Box Reference number (7):

   Enter LRN - LRN - a local reference number as defined in Annex 37a.

5. Box First place arr.cde (S11):

   First place of arrival code;

6. Box Date/time arr. 1st place cust. Terr. (S12):

   Enter date and time of arrival at first place of arrival in Customs territory;

7. Box T.C.M.paym.cde (S29):

   Enter transport charges method of payment code;

8. Box UNDG (S27) — UN Dangerous Goods code;

9. Box Other SCI (S32):

   Enter other specific circumstance indicator;

The Security and Safety Document shall not be modified nor shall any addition or deletion be made thereto unless otherwise specified in this Regulation.

ANNEX VI

ANNEX 45j

(referred to in Articles 183(2), 787(2)(a) and 842b(3))

Security and safety List of Items ("SSLoI")

Chapter I

Specimen of the Security and Safety List of Items

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EUROPEAN COMMUNITY

SECURITY AND SAFETY LIST OF ITEMS

DECLARATION TYPE (1)	MRN
Other SCI (S32)	
Forms (3)	Issuing date:
BIS	Customs office:

Consignee (security) (S06) No		Notify party (S08) No	
Consignor (security) (S04) No		Place of loading (S17)	Place of unloading (S18)
		Unique consignment/transport reference Nr (S02-03)	
Container numbers (31/3)		Seal Number (S28)	Gross mass (kg) (35)
			T.C.M.paym.cde (S29)
No/kind pack./pces, marks and numbers of packages (31/1)		Identity and nationality of active means of transport crossing the border (21)	
		Special mentions (44/2)	
Description of goods (31/2)			Commodity Code (33)
			UNDG (S27)
			32 Item No

Consignee (security) (S06) No		Notify party (S08) No	
Consignor (security) (S04) No		Place of loading (S17)	Place of unloading (S18)
		Unique consignment/transport reference Nr (S02-03)	
Container numbers (31/3)		Seal Number (S28)	Gross mass (kg) (35)
			T.C.M.paym.cde (S29)
No/kind pack./pces, marks and numbers of packages (31/1)		Identity and nationality of active means of transport crossing the border (21)	
		Special mentions (44/2)	
Description of goods (31/2)			Commodity Code (33)
			UNDG (S27)
			32 Item No

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Chapter II

Explanatory notes and particulars (data) for the Security and Safety List of Items

The boxes of the list of items are not vertically expandable.

In addition to the provisions in the explanatory notes of annexes 30A and 37, the particulars of the different boxes have to be printed as follows:

Box Item No (32) — serial number of the current item;

Box T.C.M.paym.cde (S29) - transport charges method of payment code;

Box UNDG (S27) — UN Dangerous Goods code.

ANNEX VII

ANNEX 45k

(referred to in Article 787)

Export/Security SAD ("ESS")

Chapter I

Specimen of the Export/Security SAD

EUROPEAN COMMUNITY - EXP-SAD/SEC

Copy for the country of dispatch/export

1

A OFFICE OF DISPATCH/EXPORT

1 DECLARATION

Other SCI (S32)

3 Forms

4 Loading lists

5 Items

6 Total packages

7 Reference numbers

2 Consignor/Exporter No

8 Consignee No

Seal Number (S28)

14 Declarant/Representative No

Transport charges method of payment code (S29)

15 C. disp./exp. Code a b

17 Country destin. Code a

Countr(ies) of routing codes (S13)

18 Identity and nationality of means of transport at departure

19 Ctr.

20 Delivery terms

21 Identity and nationality of active means of transport crossing the border

22 Currency and total amount invoiced

23 Exchange rate

24 Nature of transaction

25 Mode of transport at the border

26 Inland mode of transport

1

29 Office of exit

30 Location of goods

31 Packages and description of goods

Marks and numbers - Container No(s) - Number and kind

32 Item No

33 Commodity Code

34 Country origin Code a b

35 Gross mass (kg)

37 PROCEDURE

38 Net mass (kg)

40 Summary declaration/Previous document

41 Supplementary units

Seal Number (S28)

44 Additional information/ Documents produced/ Certificates and authori-zations

A.I. Code

46 Statistical value

47 Calculation of taxes

Type	Tax base	Rate	Amount	MP
		Total:		

48 Deferred payment

49 Identification of warehouse

B ACCOUNTING DETAILS

50 Principal No

Signature:

represented by

Place and date:

CONTROL BY OFFICE OF EXIT (K)

Stamp:

Date of arrival:

Examination of seals:

Remarks:

CONTROL BY OFFICE OF DISPATCH/EXPORT (E)

Stamp:

54 Place and date:

Result:

Seals affixed: Number:

identity:

Time limit (date):

Signature:

Signature and name of declarant/representative:

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EUROPEAN COMMUNITY - EXP-SAD/SEC

A OFFICE OF DISPATCH/EXPORT

1 DECLARATION

Other SCI (S32)

3 Forms

4 Loading lists

5 Items

6 Total packages

7 Reference numbers

Statistical copy - Country of dispatch/export

2

2 Consignor/Exporter No

8 Consignee No

Seal Number (S28)

14 Declarant/Representative No

Transport charges method of payment code (S29)

15 C. disp./exp. Code a b

17 Country destin. Code a

Countr(ies) of routing codes (S13)

18 Identity and nationality of means of transport at departure

19 Ctr.

20 Delivery terms

21 Identity and nationality of active means of transport crossing the border

22 Currency and total amount invoiced

23 Exchange rate

24 Nature of transaction

25 Mode of transport at the border

26 Inland mode of transport

2

29 Office of exit

30 Location of goods

31 Packages and description of goods

Marks and numbers - Container No(s) - Number and kind

32 Item No

33 Commodity Code

34 Country origin Code a b

35 Gross mass (kg)

37 PROCEDURE

38 Net mass (kg)

40 Summary declaration/Previous document

41 Supplementary units

Seal Number (S28)

44 Additional information/ Documents produced/ Certificates and authori-zations

A.I. Code

46 Statistical value

47 Calculation of taxes

Type	Tax base	Rate	Amount	MP
		Total:		

48 Deferred payment

49 Identification of warehouse

B ACCOUNTING DETAILS

50 Principal No

Signature:

represented by

Place and date:

CONTROL BY OFFICE OF EXIT (K)

Stamp:

Date of arrival:

Examination of seals:

Remarks:

CONTROL BY OFFICE OF DISPATCH/EXPORT (E)

Stamp:

54 Place and date:

Result:

Seals affixed: Number:

identity:

Time limit (date):

Signature:

Signature and name of declarant/representative:

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EUROPEAN COMMUNITY - EXP-SAD/SEC

A OFFICE OF DISPATCH/EXPORT

Copy for the consignor/exporter

3

1 DECLARATION

Other SCI (S32)

2 Consignor/Exporter No

3 Forms

4 Loading lists

5 Items

6 Total packages

7 Reference numbers

8 Consignee No

Seal Number (S28)

14 Declarant/Representative No

Transport charges method of payment code (S29)

15 C. disp./exp. Code a b

17 Country destin. Code a

Countr(ies) of routing codes (S13)

18 Identity and nationality of means of transport at departure

19 Ctr.

20 Delivery terms

21 Identity and nationality of active means of transport crossing the border

22 Currency and total amount invoiced

23 Exchange rate

24 Nature of transaction

25 Mode of transport at the border

26 Inland mode of transport

3

29 Office of exit

30 Location of goods

31 Packages and description of goods

Marks and numbers - Container No(s) - Number and kind

32 Item No

33 Commodity Code

34 Country origin Code a b

35 Gross mass (kg)

37 PROCEDURE

38 Net mass (kg)

40 Summary declaration/Previous document

41 Supplementary units

Seal Number (S28)

44 Additional information/ Documents produced/ Certificates and authorizations

A.I. Code

46 Statistical value

47 Calculation of taxes

Type	Tax base	Rate	Amount	MP
		Total:		

48 Deferred payment

49 Identification of warehouse

B ACCOUNTING DETAILS

50 Principal No

Signature:

represented by

Place and date:

CONTROL BY OFFICE OF EXIT (K)

Stamp:

Date of arrival:

Examination of seals:

Remarks:

CONTROL BY OFFICE OF DISPATCH/EXPORT (E)

Stamp:

54 Place and date:

Result:

Seals affixed: Number:

identity:

Time limit (date):

Signature:

Signature and name of declarant/representative:

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Chapter II

Explanatory notes and particulars (data) for the Export/Security SAD

The acronym "BCP" (Business continuity plan) used in this Chapter refers to situations in which the fallback procedure defined in Article 787 (2) applies.

The form contains all information necessary for export and exit data where export and security data are provided together. The form includes information at header level and for one item of goods. It is designed to be used in the context of the BCP.

There are three copies of the Export/Security SAD:

Copy 1 is kept by the authorities of the Member State in which export (dispatch) or Community transit formalities are completed,
Copy 2 is used for statistical purposes by the Member State of export.
Copy 3 is returned to the exporter after being stamped by the customs authority.

The Export/Security SAD contains data valid for the whole of the declaration.

The information contained in the Export/Security SAD shall be based on data derived from the export and exit declaration; where necessary, that information will be amended by the declarant / representative and/or verified by the office of export.

In addition to the provisions in the explanatory notes of annexes 30A and 37, particulars have to be printed as follows:

1. Box MRN (movement reference number)

The MRN is to be printed on the first page and on all lists of items except where these forms are used in the context of the BCP in which cases no MRN is allocated.

The information is alphanumerical and comprises 18 characters following the prescriptions below:

Field	Content	Field type	Examples
1	Last two digits of year of formal acceptance of the export declaration (YY)	Numeric 2	06
2	Identifier of the country of export. (alpha 2 code as provided for box 2 of the Single Administrative Document in Annex 38)	Alphabetic 2	RO
3	Unique identifier for export operation per year and country	Alphanumeric 13	9876AB8890123
4	Check digit	Alphanumeric 1	5

Fields 1 and 2 as explained above.

Field 3 shall be filled in with an identifier for the export control system transaction. The way that field is used is under the responsibility of national administrations but each export transaction handled during one

year within the given country must have a unique number. National administrations that want to have the office reference number of the competent authorities included in the MRN, could use up to the first 6 characters to insert the national number of the office.

Field 4 shall be filled with a value that is a check digit for the whole MRN. This field allows for detection of an error when capturing the whole MRN.

The ‘MRN’ shall also be printed in bar code mode using the standard ‘code 128’, character set ‘B’.

2. Box 7 reference numbers:

   Indicate LRN or/and UCR.

   LRN - a local reference number as defined in Annex 37a.

   UCR- a Unique Consignment Reference Number as referred to in Annex 37, title II, box 7.

3. Box Other SCI (S32):

   Enter other specific circumstance indicator.

The Export/Security SAD shall not be modified nor shall any addition or deletion be made thereto unless otherwise specified in this Regulation.

ANNEX VIII

ANNEX 45l

(referred to in Article 787)

Export/Security SAD List of Items ("ESSLoI")

Chapter I

Specimen of the Export/Security SAD List of Items

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EUROPEAN COMMUNITY

EXP-SAD/SEC - LIST OF ITEMS

Forms (3)

A OFFICE OF DISPATCH/EXPORT

32 Item No	Number and kind of packages, pieces, marks and numbers of packages (31/1)	Description of goods (31/2)			
Consignor/Exporter (2)		Consignee (8)			
Identity of means of transport at departure (18)		Procedure (37)			
Reference numbers (7)		Summary declaration/Previous document (40)			
Produced documents / certificates (44/1)		Container numbers (31/3)			Seal Number (S28)
Special mentions (44/2)		Commodity Code (33)			
UNDG (44/4)	Transport charges method of payment code (S29)	Country origin Code (34)	15 C.disp/exp.Code	C.Dest.Code (17)	Gross mass (kg) (35)
Supplementary units (41)		Declaration (1)	Statistical value (46)		Net mass (kg) (38)

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Chapter II

Explanatory notes and particulars (data) for the Export/Security SAD List of Items

The Export/Security SAD List of Items contains the data specific to items of goods within the declaration.

The boxes of the list of items are vertically expandable.

In addition to the provisions in the explanatory notes of annexes 30A and 37, particulars have to be printed as follows:

1. Box MRN — movement reference number as defined in Annex 45k. The MRN shall be printed on the first page and on all lists of items.

2. The particulars of the different boxes at item level have to be printed as follows:

   – Box Item No (32) — serial number of the current item;

   – Box Documents produced/ Certificates (44/1): this box contains also the transport document number, where appropriate;

   – Box UNDG (44/4) — UN Dangerous Goods code.