



EUROPEAN COMMISSION

Brussels, XXX
[\[...\]](#)(2011) XXX draft

COMMISSION DECISION

of XXX

amending Decision C(2010)9089 concerning the adoption of a financing decision concerning operational and non-operational technical assistance at the initiative of or on behalf of the Commission under the European Social Fund for 2011 and serving as a work programme for this technical assistance

COMMISSION DECISION

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amending Decision C(2010)9089 concerning the adoption of a financing decision concerning operational and non-operational technical assistance at the initiative of or on behalf of the Commission under the European Social Fund for 2011 and serving as a work programme for this technical assistance

THE EUROPEAN COMMISSION,

Having regard to the Treaty on the Functioning of the European Union,

Having regard to Council Regulation (EC) No 1083/2006 of 11 July 2006 laying down general provisions on the European Regional Development Fund, the European Social Fund and the Cohesion Fund and repealing Regulation (EC) No 1260/1999¹, and in particular Article 45(3) thereof,

Having regard to Council Regulation (EC, Euratom) No 1605/2002 of 25 June 2002 on the Financial Regulation applicable to the general budget of the European Communities² (hereinafter referred to as the 'Financial Regulation'), and in particular Articles 75 and 110 thereof,

Having regard to Commission Regulation (EC, Euratom) No 2342/2002 of 23 December 2002 laying down detailed rules for the implementation of Council Regulation (EC, Euratom) No 1605/2002 on the Financial Regulation applicable to the general budget of the European Communities³ (hereinafter referred to as the 'Implementing Rules'), and in particular Articles 90 and 166 thereof,

Having regard to the opinion of the Coordination Committee of the Funds and the opinion of the Committee under Article 163 of the Treaty on the functioning of the European Union,

Whereas:

Whereas:

- (1) On 14 December 2010, the Commission approved Decision C(2010) 9089 concerning operational and non-operational technical assistance at the initiative of or on behalf of the Commission under the European Social Fund for 2011 and serving as a work programme for the technical assistance.
- (2) Regarding the priorities of the Commission and after the mid-term review of the budget, it is necessary to replace Annex I to Decision C(2010) 9089 which contains

¹ OJ L 210 of 31.7.2006, p. 25.

² OJ L 248, 16.9.2002, p. 1.

³ OJ L 357, 31.12.2002, p. 1.

the actions planned in 2011 by the Commission services concerning the budget line 04.022000 (operational technical assistance) and Annex II to the same Decision which contains the actions planned in 2011 by the Commission services concerning the budget line 04.010401 (non-operational technical assistance) due to adjustments, additions and suppression of actions.

- (3) In accordance with Articles 90(4) and 166(2) of the Implementing Regulation, this constitutes a substantial adaptation to Decision C(2010) 9089 which should be adopted and published under the same procedure.
- (4) Under Article 168(1)(c) of the Implementing Rules, grants may be awarded without a call for proposals in the case of bodies with a de jure or de facto monopoly. As justified in the work programme set out in the Annex II annexed to Decision C(2010) 9089, such companies are the Worldbank.
- (1) In accordance with Article 53d of the Financial Regulation, evidence has been obtained that the World Bank to be entrusted by the Commission with the implementation of Union's funds in joint management, applies standards which offer guarantees equivalent to internationally accepted standards in their accounting, audit, internal control and procurement procedures.
- (6) Decision C(2010) 9089 should therefore be amended accordingly,

HAS DECIDED AS FOLLOWS:

Article 1

In accordance with the conditions detailed in the work programme set out in the Annex II to Decision C(2010) 9089, grants may be awarded without a call for proposals to the following bodies with a de jure or de facto monopoly:

Worldbank;

Article 2

The budget implementation of tasks related to action 16 set out in Annex II may be entrusted to the World Bank.

Article 3

Decision C(2010)9089 is amended as follows:

- (1) The table set out in Annex I is replaced by the table set out in Annex I to this Decision;
- (2) The table set out in Annex II is replaced by the table set out in Annex II to this Decision.

Done at Brussels,

For the Commission
László Andor
Member of the Commission

ANNEX I

Amended Financial Table I Operational Technical Assistance

ANNEX II

Amended Financial Table II Non-Operational Technical Assistance

1. INTRODUCTION:

This list contains the actions planned in 2011 by DG Employment, Social Affairs and Inclusion concerning the budget heading for "operational technical assistance": 04.022000.

The types of measures and the types of procedures followed for the contracts or procurement procedures are indicated. For possible grants, the selection and award criteria and the maximum co-financing rates are indicated.

Appropriations may be used to cover the payment of interest on late payment due to contractors and beneficiaries in accordance with Article 106 of the Implementing Rules of the Financial Regulation, arising from legal commitments concluded on the current budget heading.

In addition, late payment interest arising from legal commitments concluded under previous programmes and actions in the field of the European Social Fund, for which no earmarked revenues and no legal basis exist any more, may be paid by the current budget heading, if the current budget heading is to be considered the closest line.

2. DETAILED LIST OF ACTIONS:

Budget heading

04.022000 Operational technical assistance

BASIC ACT

The appropriations under this item are intended to finance actions taken by the Commission by virtue of the powers specifically conferred on it by Article 45(1) of Regulation (EC) No 1083/2006

AVAILABLE APPROPRIATIONS

€ 10,000,000

DESCRIPTION OF ACTIVITIES TO BE FINANCED

1. GRANTS

Four ESF Presidency Conferences will be organised in 2011-12. In addition EIPA will organize the European Public Sector Award (EPSA) 2011 conference. The Commission intends to co-fund these conferences. The awarded amount is € 700,000 and the maximum Community co-financing rate will be 80% of the total eligible costs of the action.

Table I: Budget 04.022000 Operational technical assistance budget – Grants

N°	Action title (details)	Content	Objective	Expected results	Awarded amount	Indicative date market procedure	If grant, key selection & award criteria	If subvention, max co-financing rate
1	ESF presidency conference Hungary	ESF reporting, evaluation & monitoring	To exchange views on issues related to ESF reporting, evaluation & monitoring with a view to contribute to the preparation of the next ESF regulation for 2014-2020	Mutual learning for ESF managing authorities & other interested actors	300,000.00	1st sem, 2011	monopoly	80%
2	ESF presidency conference Poland	Debate on the next ESF Regulation - 2014-2020	To exchange views on issues related to the next ESF regulation for 2014-2020	Mutual learning for ESF managing authorities & other interested actors	300,000.00	2nd sem, 2011	monopoly	80%
3	European Public Sector Award (EPSA) 2011	The EPSA award promotes & rewards the best, most innovative & efficient good practice projects of the public sector in Europe	To contribute to the realisation of the Europe 2020 strategy by highlighting exemplary models of innovative public performances & building capacities in public administrations; to create a common European administrative space; to learn from the EU leading edge	To devise new administrative problem-solving paradigms & sharing them across Europe; to foster constructive competition amongst public sector organisations & thus reach & reward excellence; to create a network of public excellence.	100,000.00	1st sem, 2011	institution of EU interest	80%
Subtotal					700,000.00			

2. PROCUREMENT

The global budgetary envelope requested for the procurements is € 9,299,624.00

Table II: Budget 04.022000 Operational technical assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
4	ESF in the future	Study on scales of unit costs to feed & substantiate the impact assessment for the Structural Fund Regulations post 2013	Better informed decision making	Examination of the advantages & disadvantages of possible scales of unit costs	5,000.00	1st sem, 2011	Negotiated procedure	
5	Services to support exchange & learning between member states, mainstreaming of good practice & lessons learnt	Support for identification, synthesis, validation and dissemination at EU level of good practice from innovative & transnational activities, on key ESF themes & good governance issues	To support the Commission & key stakeholders at regional, national & European level in analysing, disseminating & mainstreaming good practice & lessons learnt from innovative & transnational activities	Mutual learning of ESF managing bodies & strategic stakeholders through good practice fiches, policy briefs, background documents, web articles; brochures & publications	1,200,000.00	---	Existing framework contract (VC/2010/371)	AEIDL (European Association for Information on Local Development)
6	ESF Communication	Maintenance & update of ESF website,	To improve information provision on ESF, to	Up-to-date website, publication various	2,599,624.00	---	VC/2010/0038 VC/2007/0052	ESN Qwenten-Kantor

Table II: Budget 04.022000 Operational technical assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
		preparation ESF publications, press work & development of audiovisual products as well as other communication functions & tools.	highlight results of ESF, to enhance public image of ESF & to increase knowledge about ESF among general public.	documents, production of audiovisual material & overall increased information availability for general public.			PO/2006-39/Dir D	Media Consulta; European Service Network/Saatchi &Saatchi Consortium; Mostra/Pleon Consortium
							VC/2007/0673	Mostra
7	ESF communication, networking & visibility	Promotional material	To enhance the visibility & knowledge of the ESF by the general public	Greater awareness among EU citizens of what the ESF does	100,000.00	---	new procedure	---
8	Studies in support of the preparation or the follow-up of the restructuring forum in order to promote partnerships, pacts & initiatives through networking of relevant stakeholders	+/- 6 reports or documents concerning specific issues in links with previous or future forums	To support the preparation of the follow-up of the different restructuring forums	Background documents to facilitate the discussions during the forum & documents to launch a follow-up of a previous forum in order to mobilise for reforms in the field of employment & labour market inclusiveness	350,000.00	throughout 2011	new procedure	---

Table II: Budget 04.022000 Operational technical assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
9	Database on studies	Database on studies & reports on job & skill anticipation, foresight & forecast realized at different levels	To share information between the different stakeholders working in the field of restructuring (public bodies, experts, trade unions & businesses.	Animation of an interactive web site compound by 3 elements (library, forum & a wiki part) called "anticipedia"	100,000.00	2nd sem. 2011	new procedure	---
10	Study on toolkits	2 or 3 reports concerning cases, tools & actions developed to reduce the negative social impacts of restructuring	To collect & to share practical tools or actions devoted to the management of restructuring	Basis of discussion with & between the different stakeholders active in restructuring (public bodies, experts, trade unions & businesses)	100,000.00	2nd sem. 2011	new procedure	---
11	Financial & business service support systems for starters from vulnerable groups	Capacity Building in member states & regions for inclusive financial & business service support systems through a broad range of instruments (awareness raising, exchange of good practice, mutual learning, networking & expert assignments etc)	To support governmental bodies, service providers, & financial intermediaries in improving quality & performance of support systems for starters from vulnerable groups & micro-enterprises, thus building an interface between the ESF & the European Progress Microfinance Facility (EPMF)	Expert assistance & peer learning will empower relevant government institutions & ESF bodies to become drivers & enablers of inclusive support systems for starters, & prepare the ground for effective demand for the financial services of the EPMF	1,300,000.00	2nd sem. 2011	new procedure open tender	---

Table II: Budget 04.022000 Operational technical assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
12	Campaign on the modernised social security coordination	Promotional material for the campaign	To enhance the mobility of workers throughout Europe. The campaign should improve the live of EU Citizens by giving them better job prospects in the framework of the EU 2020 strategy.	Greater awareness among EU citizens about the modernised social security coordination (the campaign will inform citizens about their rights & information obligations)	265,000.00	1 st sem. 2011	DG EMPL framework contracts VC/2007/034 VC/2007/673 VC/2007/674	Gellis Communications SPRL Mostra SA Bernhard Brunhes International SA,
13	Monitoring and development of Exchange of social security schemes (EESSI)	Assistance particularly when the system rolls out in full production	To enhance the mobility of workers throughout Europe. Citizens exercising their right of free movement within the EU will profit from EESSI by giving them better jobs prospects	To grant social benefits to mobile citizens in a speedy and efficient way and provision of electronic exchange of information between national social security institutions	1,450,000.00	Throughout 2011	Use of framework contracts of DG DIGIT ESP DESIS Nr 05710 to 5724	One4EU & others
14	Evaluation expert network (03)	policy papers, country reports synthesising Member States evaluations & an EU level synthesis report	To deliver policy analysis & evidence on the performance of ESF programmes based on the monitoring & evaluation work done by the Member States.	Stocktaking & synthesis of evaluations undertaken by Member States	1,800,000.00	throughout 2011	New procedure - Open Tender	

Table II: Budget 04.022000 Operational technical assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
15	Expert support to ESF partnership meetings and working groups	discussion papers, participation in ESF evaluation partnership meetings, expert support on methodological issues	To provide support to the preparation of, participation in & reporting on meeting of the ESF evaluation partnership	discussion of ESF evaluation matters of interest to the Commission & Member States	30,000.00		new procedure	
Subtotal					9,299,624.00			

SUMMARY INFORMATION ESF OPERATIONAL TECHNICAL ASSISTANCE

Budget heading 04022000	Commitments	Payments
Available budget	10,000,000.00	7,500,000.00
Proposed budget	-9,999,624.00	---
Difference	376.00	---

Appropriations may be used to cover the payment of interest on late payment due to contractors and beneficiaries in accordance with Article 106 of the Implementing Rules of the Financial Regulation, arising from legal commitments concluded on the current budget heading. In addition, late payment interest arising from legal commitments concluded under previous programmes and actions in the field of the European Social Fund, for which no earmarked revenues and no legal basis exist any more, may be paid by the current budget heading, if the current budget heading is to be considered the closest line.

INTRODUCTION:

This list contains the actions planned in 2011 by DG Employment, Social Affairs and Inclusion concerning the budget heading for "non-operational technical assistance": 04.010401.

The types of measures and the types of procedures followed for the contracts or procurement procedures are indicated.

Appropriations may be used to cover the payment of interest on late payment due to contractors and beneficiaries in accordance with Article 106 of the Implementing Rules of the Financial Regulation, arising from legal commitments concluded on the current budget heading.

In addition, late payment interest arising from legal commitments concluded under previous programmes and actions in the field of the European Social Fund, for which no earmarked revenues and no legal basis exist any more, may be paid by the current budget heading, if the current budget heading is to be considered the closest line.

DETAILED LIST OF ACTIONS:

Budget heading

04.010401 Non-operational technical assistance

BASIC ACT

The appropriations under this item are intended to finance actions taken by the Commission by virtue of the powers specifically conferred on it by Article 45(1) of Regulation (EC) No 1083/2006

AVAILABLE APPROPRIATIONS

€ 16,500,000

DESCRIPTION OF ACTIVITIES TO BE FINANCED

1. JOINT MANAGEMENT

Budget heading 04.010401 Non-Operational Technical Assistance budget – Joint Management

N°	Action title (details)	Content	Objective	Expected results	Awarded amount	Joint Management	Joint management justification	If subvention, max co-financing rate
16	Poverty mapping - mapping extremely marginalised communities in Member States	Following the publication of the Communication on "Fighting against poverty platform", the Communication "EU framework for Roma National Integrated Strategies", calling for mapping marginalised communities in Europe to better spend national and communities funds in favour of extremely poverty and the EU Council Conclusions of 24 June 2011. DG REGIO and DG EMPL agreed to sign a joint management agreement with the World Bank in cooperation with the UNDP and OSI to map poverty in CZ, HU, SK, PL, BU, RO, Estonia, Latvia, Lithuania, Slovenia. This project will be complemented with a mapping exercise of marginalised communities in the old member states to be carried out in the framework of ESPON	The main objective of the project is to map extremely marginalised communities in Member States and use the maps to more efficiently target interventions in these areas. Poverty is linked to the territories and the objective is to map local areas below NUTS 3 level. In collaboration with ESPON, this project would allow using the maps during the upcoming negotiation of the Structural Funds (ERDF,ESF).	Maps of extremely marginalised communities in 10 new MS thought the use of data coming from the national statistic offices (census and administrative data) and surveys. These maps would be complemented with the poverty maps of old member states to be produced in the framework of a project to be funded by ESPON.	450,000.00 (DG REGIO will contribute with further 450,000.00 from their TA 2011)	Second half 2011 Joint agreement in the framework of the FAFA World Bank	The joint management scheme is chosen because of the very specialised methodology needed to carry out map of local marginalised communities in the 10 member states identified. Both the WB and UNDP have proved experience and methods developed in this field in all the identified countries.	Not applicable

2. PROCUREMENT

The global budgetary envelope reserved for the procurements is €16,049,028.00

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
17	Framework contract outsourced audits Art. 6	Outsourcing of audit work on 4 Art. 6 projects	To improve efficiency of staff resources; more audits	4 audited Art. 6 project grants additional to audits by I2-staff	65,000.00	First half of 2011	DG BUDG framework contract No -30-CE-0135779/00-80 of 23/07/2007	Moore Stephens Limited Liability Partnership

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
18	contracts to support EMPL audits	Outsourcing of ESF audits related to closure 2000-2006 & audits of OPs 2007-2013	Increase number of audits to have a better view on assurance level of Managing & Control Systems in MS	Re-performance audits of project audits and project audits of 2007-2013 & possibly closure audits 2000-2006	1,752,000.00	Throughout 2001	DG EMPL/REGIO/MARE joint framework contract on audit services: VC/2009/876,877,878 Or new framework contract of DG BUDG for audit services or open tender	Ernst&Young Deloitte Mazars
		Development of an audit IT Tool	Monitor efficiently the audit activities of Commission auditors	Provide auditors and managers an integrated environment to better organise and monitor audit tasks and associated files			DIGIT/R2/PO/2009/029 Software acquisition Channel (SACHAI)	PC-WARE Information Technologies BV
		Risk scoring tool	Strengthen the Structural Funds management and control systems	Identifying irregular circumstances of SF and CF operations. List of riskiest operations per Operational Programme			New- procedure- Open tender or a DG DIGIT FWC	

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
19	Expenditure on staff other than officials	Technical tasks to be performed by staff other than officials: contract agents/national experts on secondment/agency workers	To implement the ESF	Assistance in implementing the ESF	5,000,000.00	---	NA	---
20	Development & maintenance of computer programs (intra muros)	Development & maintenance of software applications for the management of the Structural Funds. Daily operational maintenance, corrections & evolution of existing applications. Assistance to users (helpdesk). Analysis & development for 2007-13 period. Seminar with Member States on SFC 2007-2013	To develop effective management tools.	Implementation of management tools for structural funds	1,440,000.00	---	Framework contract – DIGIT	---
21	Establishment & maintenance of equipment (hardware / software) required for the transaction of business	Cost of acquisition, replacement & maintenance of software & equipment (PCs, laptops, printers, servers), cost of licensing software applications (Oracle, Microsoft), small expenses & associated actions including hosting contribution In the Calculation Centre.	To replace obsolete equipment, to acquire equipment according to population increase, to maintain equipment & software.	Provision of material resources	700,000.00	---	Framework contract – DIGIT	---

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
22	Technical assistance for the daily operation of systems & training & user support	Service helpdesk, user support for desktop & applications, managing servers, computer databases.	To provide computer services to users	Logistics services & computer	560,000.00	---	Framework contract – DIGIT	---
23	ESF Communication	Maintenance and update of ESF website, preparation of ESF publications, press work and development of audiovisual products as well as other communication functions and tools.	To improve information provision on ESF, to highlight results of ESF, to enhance public image of ESF & to increase knowledge about ESF among general public.	Up-to-date website, publication of various documents, production of audio-visual material and overall increased information availability for general public	709,418.00		VC/2010/0038 VC/20070052 DI/06763 DI/067670 DI/06764 VC/2007/0673 PO/2006-39/Dir D	ESN Qwentés Kantor PanoplisB Consortium PanoplisA Consortium ONE4EU2 Mostra Media Consulta: European Service Network /Saatchi&Saatchi Consortium; Mostra/Pleon Consortium

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
24	OPOCE	Publication, printing & storage ESF documents.	To have printed copies in Community languages of ESF publications.	High quality information provision to general public.	152,610.00	---	OPOCE framework contracts	---

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
25	Conferences and workshops	Organisation of events (logistical support / travel expenses / catering, etc.)	To implement the ESF	Enhancing the knowledge of ESF operations, achievements of ESF, possibilities of ESF	2,150,000.00	throughout 2011	VC/2008/0063 VC/2008/1148 VC/2008/0862 VC/2008/0864 VC/2008/0867 VC/2008/0868 VC/2008/0869 VC/2008/0870	Destrée Studio EGA Minotaur Travex Adie Technics Adie Technics Team Work IGEP
26	Fora, workshops & conferences on restructuring		To collect, promote & disseminate information gathered by projects on restructuring issues.	exchanges & discussions, support for trade unions, businesses & workers to tackle restructuring challenges.	1,020,000.00			
27	Meetings	Costs associated with meetings of groups of experts associated with the ESF (in particular, the ESF Committee)	To implement the ESF	Adherence to regulatory requirements & better coordination with the Member States	800,000.00	throughout 2011	---	---
28	Missions	Mission expenses for DG EMPL staff - other than officials - involved in the programming, monitoring & control of the ESF	To programme, implement & monitor on-the-spot activities supported by the ESF – control & audit of the ESF	Local work with the Member States. Good coverage in terms of control & audit of activities supported by the ESF	1,700,000.00	throughout 2011	---	---

Budget heading 04.010401 Non-Operational Technical Assistance budget – Procurement

N°	Action title (details)	Content	Objective	Expected results	Requested budget (€)	Indicative date market procedure	If procurement existing contract or new procedure	If ongoing contract contractor
total					16,499,028			

SUMMARY INFORMATION ESF OPERATIONAL TECHNICAL ASSISTANCE

Budget heading 04022000	
Available budget for commitments & payments	16,500,000.00
Proposed budget commitments	- 16,499,028.00
Difference	972.00

Appropriations may be used to cover the payment of interest on late payment due to contractors and beneficiaries in accordance with Article 106 of the Implementing Rules of the Financial Regulation, arising from legal commitments concluded on the current budget heading. In addition, late payment interest arising from legal commitments concluded under previous programmes and actions in the field of the European Social Fund, for which no earmarked revenues and no legal basis exist any more, may be paid by the current budget heading, if the current budget heading is to be considered the closest line.